

DOE SBIR/STTR Phase II Application Checklist

Required Forms	Required Attachments (PDF format)	Additional Attachments (if applicable, PDF format)
<input type="checkbox"/> Application for Federal Assistance SF-424		
<input type="checkbox"/> Research and Related: Budget Form	<input type="checkbox"/> Budget Justification <i>Attach to Field K</i>	<input type="checkbox"/> Additional Senior Key Persons <i>Attach to Field A. 9</i> <input type="checkbox"/> Additional Equipment <i>Attach to Field C.11</i>
<input type="checkbox"/> Research and Related: Senior/Key Person Profile Form	<input type="checkbox"/> Biographical Sketch for each person <i>Attach to Appropriate Block</i>	<input type="checkbox"/> Current and Pending Support for each person <i>Attach to Appropriate Block</i>

Required Forms

Research and Related: Other Project Information

Required Attachments
(PDF format)

Project Abstract and Summary
Attach to Field 7

Project Narrative Including PIER Plan
Attach to Field 8

Data Management Plan
Attach to Field 12

Disclosure of Foreign Relationships
Attach to Field 12

Level of Effort & Max Funding Worksheet
Attach to Field 12

Cybersecurity Self-Assessment
Attach to Field 12

SBA Company Registration
Attach to Field 12

Phase I Final or Phase II Progress Report
Attach to Field 12

Additional Attachments
(if applicable, PDF format)

Letter of Commitment for consultant, subaward, or research institution
Attach to Field 12

STTR only: Company Commercialization Report from SBIR.gov
Attach to Field 12

Authorization for DOE/NNSA FFRDC
Attach to Field 12

Authorization for non-DOE/NNSA FFRDC
Attach to Field 12

Cooperative Agreements Only: Go/No Go Milestones
Attach to Field 12

Required Forms

Required Attachments
(PDF format)

Additional Attachments
(if applicable, PDF format)

Project/Performance
Site Locations

SBIR/STTR
Information
Form

Phase II
Commercialization
Plan Including
Letters of Support
Attach to Field 8

SBIR only or Both
SBIR/STTR:
Company
Commercialization
Report from
SBIR.gov
Attach to Field 9

Additional Forms
(if applicable)

Attachments
(PDF format)

Research and
Related Sub-
award Budget
Form

Budget Justification
for each sub-award
*Attach to
Appropriate Block*

SF-LLL
Disclosure of
Lobbying
Activities