



## Machine Learning Optimization Upstream and Downstream of the Accelerator: The Cases of VENUS and GRETA

Funded under FY2023 Lab FOA

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> NP AI/ML PI Exchange Meeting November 20, 2025

### **Optimizing the Front-End and Experimental End-Station**



The effective operation of any accelerator facility is not limited to the accelerator itself – fully optimized operation is realized by optimizing all parts of an experiment, and reducing down-time along the entire facility chain.

We focus on the front end and the end-point of a facility - the VENUS ion source and GRETA experiment.

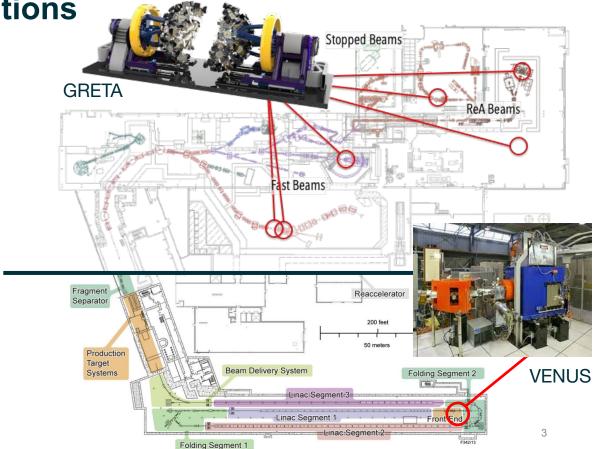
Applying Machine Learning to LBNL Systems to Impact

88" and FRIB Operations









### Original FY21 ML/AI VENUS+GRETA Project

- The original effort was funded with a FY21 award, \$1M split evenly across two years
- First effort focused on:
  - Readying VENUS for application of ML techniques no data was recorded regularly,
     combination of EPICS and LabView interfaces needed to be made/re-written
  - Accumulating data from VENUS from human-driven tuning and source baking to provide a starting data set for ML applications
  - Automation of the frequent "baking" operation to reduce human time and improve efficiency
  - Initial demonstration of Bayesian optimized tuning within limited parameter space
  - Automating the optimization of the GRETA electronics signal chains for resolution, and providing complete calibration of a crystal including interface to GRETA EPICS systems and hardware
- Ended grant period with \$360k of carryover (postdoc joined 10 months into the award period)

### FY23 Award ML/AI VENUS+GRETA Project

- Award was a total of \$1.098M split across two years
- Effort focused on:
  - Bayesian optimized tuning for VENUS across full parameter space
  - Computer-enhanced stability in running using data filtering techniques to detect and avoid source instabilities
  - Modest VENUS hardware upgrades to provide additional data (e.g. rapid charge state distributions)
  - Enhancement of the GRETA basis production pipeline for parameter sensitivity studies and ML-assisted optimizations

### **Budget (FY23 Award)**

	Year 1	Year 2	Total (\$k)
(a) Funds Allocated	228	870	1,098
(b) Actual Costs to Date	228	789	1,017

### **Research Team - Staff and Postdocs**



Chris Campbell Scientific Engineer LE Program / GRETA



Nico Abgrall Senior Scientific Engineering Associate ANP Program



Mario Cromaz
Applied Physicist Staff
Scientist
LE Program / GRETA



Yue Shi Lai Applied Physicist Research Scientist ANP Program



Marco Salathe Applied Physicist Research Scientist ANP Program



Victor Watson Senior Scientific Engineering Associate ML Project



Jessica Rehak Senior Scientific Engineering Associate 88 Ops Program



Gabriel Garcia Jimenez Postdoctoral Associate ML Project

### Research Team - Undergraduate Researchers



Ezra Apple
UCBerkeley
Electrical
Engineering/Computer
Science
Class of 2025



Julia Dreiling
University of Ohio
Data Analytics
Class of 2024
- now pursuing MSc at
University of St.
Andrews



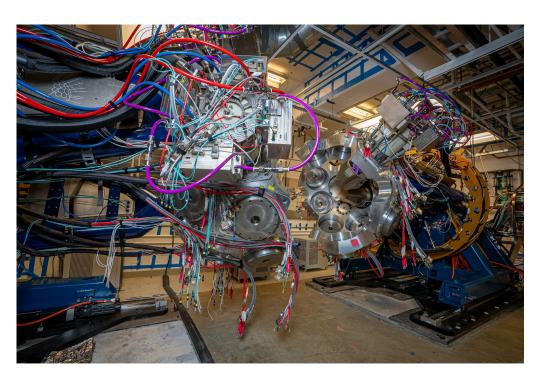
Alex Kireeff
Carnegie Mellon
University,
Electrical Computer
Engineering
Class of 2024



Arin Manohar UCBerkeley Physics, Computer Science, Mathematics Class of 2026

## GRETA

### **Gamma-Ray Energy Tracking Array, GRETA**



- U.S. implementation of a gamma-ray tracking array
- Complete  $4\pi$  solid angle coverage of active high-purity germanium (HPGe), consisting of 120 individual detector crystals, each with 37 electrical signals
- Gamma-ray tracking and Compton suppression is enabled by signal decomposition algorithm which localized gamma-ray scatter events to within ~mm³ volumes

GRETA is currently being installed for the first time at FRIB.

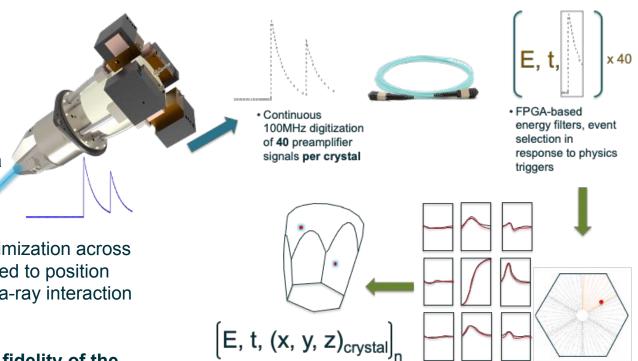
### **GRETA Optimizations**

Simple control parameters include:

- 4-6+ energy filter parameters per channel
- 2+ calibration parameters per channel
- ~ 30k knobs just for energy spectra

In addition to energy resolution optimization across the array, GRETA performance is tied to position resolution for reconstructing gamma-ray interaction points.

Position resolution depends on the **fidelity of the calculated response** of the HPGe crystals.



### **GRETA Goals and Status**

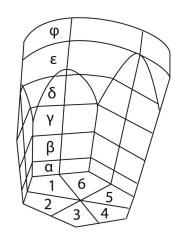
- Improvement of the signal basis used for the process of signal decomposition, exploring improvement in the position resolution of interactions in GRETA by improving the calculated signals used in the fit through an ML-driven global optimization.
  - Signal basis parameters are now being fully explored, with automated and streamlined pipeline in place
  - Alternative detector simulation has also been implemented, enabling exploration of sensitivity of performance to additional parameters related to detector physics

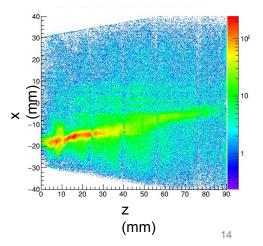
**GRETA Basis Creation Optimization** 

### **GRETA Basis Creation Overview**

The GRETA basis production has two distinct steps:

- 1. Pristine basis calculation and signal generation
  - a. A calculation of the HPGe semiconductor is used to calculate the electric fields and weighting potentials within each crystal, and from this the shapes of signals on all crystal electrodes based on quantities e.g. material impurity (profile), temperature, bias voltage, dead layers (dozens of parameters)
- 2. Electronics response correction
  - The real data folds the innate crystal response with the response of the signal processing electronics – includes shaping times, cross-talk (integral + differential), rise times (includes several hundred parameters)





### **GRETA Basis Generation Pipeline**

Generating a basis for GRETA requires multiple calculation steps:

- 1. Calculation of electric field and weighing potentials
- 2. Gridding of crystals and modeling of signal shapes at each point
- 3. Combination of all signals into the pristine basis
- 4. Correcting the crystal response with the electronics response.

Completing the full pipeline required multiple points of manual intervention:

- Compilation of the binaries to run each step, which may involve editing the source C files
- Editing output file contents to prepare it for the next step
- Moving or renaming files to the expected input of the next binary
- Combining files to create a "full output"

### **Automated GRETA Basis Generation**

An automated workflow was implemented in python3 to simplify use of the pipeline and eliminate manual intervention. The automation:

- Appropriately runs the binaries for each step
- Modifies files as needed to act as input for the next step
- Allows for running some or all of the steps
- Implements configuration in a single yaml configuration file
- Can be run in a Docker container eliminating the need to build binaries locally

```
static_input_root: static_inputs # resolved at runtime
   crystal_data: crystal_data.csv
   detector geometry file: geometry setup.dat
   signal calculation configuration; calc signal setup.dat
   drift velocity correction: drift vel tcorr.dat
   cross talk parameters: pars669.txt
   geant simulation points:
     A: sel_sim_Atype_125.txt
     B: sel sim Btype 124.txt
   superpulse_measurements: superpulse/
  results_root: output
  pipeline:
    name: crystal_impurity_scaling
     routine: impurity scaling
     results_path: impurity_scaling
     script_path: find_impurity_scaling.pl
     binary: f3d gretina

    routine: crystal scaling update

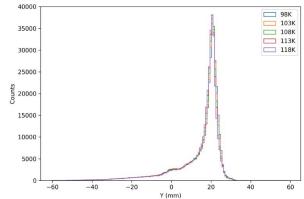
     input_step: crystal_impurity_scaling
      results_path: field_calc_setup
    - routine: calculate fields and potentials
compose.vaml x
  greta-pipeline:
     image: ghcr.io/lbnl-ai88/greta-pipeline-docker:latest
     user: root
    volumes:
       - ./config.greta.yaml:/greta-pipeline/config.greta.yaml:ro
       - ./static_inputs:/greta-pipeline/static_inputs:ro
       - type: bind
         source: ./output
         target: /greta-pipeline/output
         bind:
           create_host_path: true
```

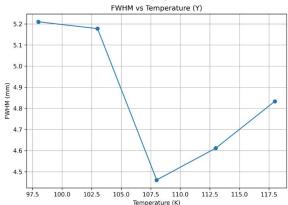


# **Superpulse Fitting and Detector Response**

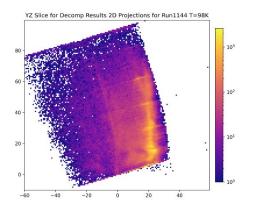
- Goal was to streamline the procedure from Geant4 and basis points data to fitting the superpulse, to automate procedure and improve the current fit model
  - Entire process has been implemented in Python, fitting using a trust-region reflective algorithm for convergence stability and computational efficiency
- With the full GRETA/GRETINA electronics response function implemented, the parameter sensitivity was investigated
- Insensitive per-segment delay parameters were removed, and the quality of the GRETA basis was confirmed to be fully maintained

### **Exploring Crystal Parameters - e.g. Temperature**





- Combining the containerized basis production pipeline and the Python-based superpulse fitting, now exploring sensitivity to crystal parameters
- First to be explored is crystal temperature
- Optimizing position resolution of a pencil beam measured for a given GRETA crystal

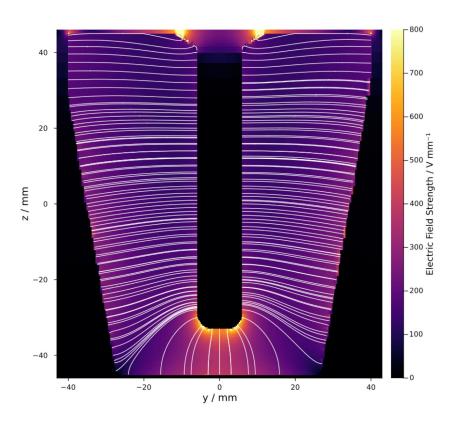


Demonstrated that optimal temperature is above what was previously assumed; now extending optimization to multiple crystals to understand systematic behaviour of detectors

Manohar et al., to be submitted December 2025.



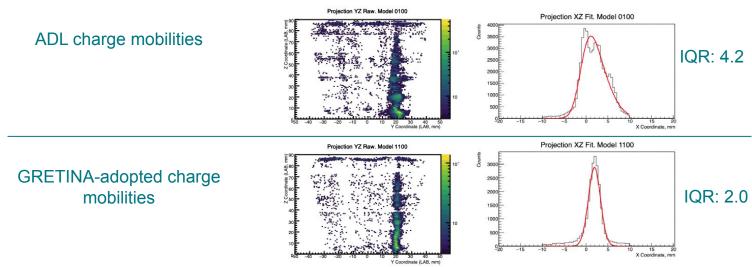
### **Alternative Basis Production - Additional Physics**



- An alternate pipeline was also established using the Julia-implemented SSD (Solid State Detectors) framework
- In addition to having an active community and documentation, this simulation enables additional physics
  - Charge cloud simulation
  - Non-linear impurity profiles
  - Alternate charge drift models

### **Impact of Charge Drift Models**

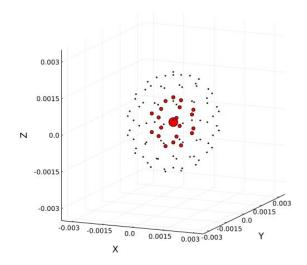
- Different charge drift model parameterizations were investigated including the GRETINA-adopted model and the AGATA Detector Library model, and applied across several data sets to explore the impact (in combination with several other parameters)
- Optimization was across a discrete set of options, but shows a clear preference for the GRETINA-adopted drift velocity parameterization

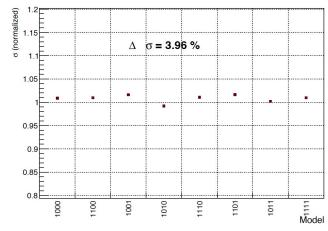


### **Impact of Charge Clouds**

- Prior GRETA basis assumed a point charge moving in the crystal to generate the calculated pulses
- SSD pipeline enables exploration of the impact of charge cloud diffusion and self-repulsion
- Optimization was performed across discrete model options including electron diffusion, self-repulsion
- Considered different sized charge clouds
- No sensitivity in position resolution with respect to charge clouds

Garcia Jimenez et al., in preparation.

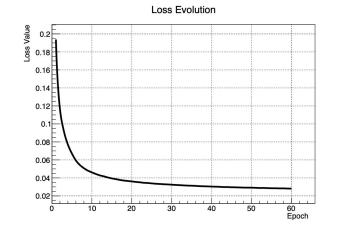




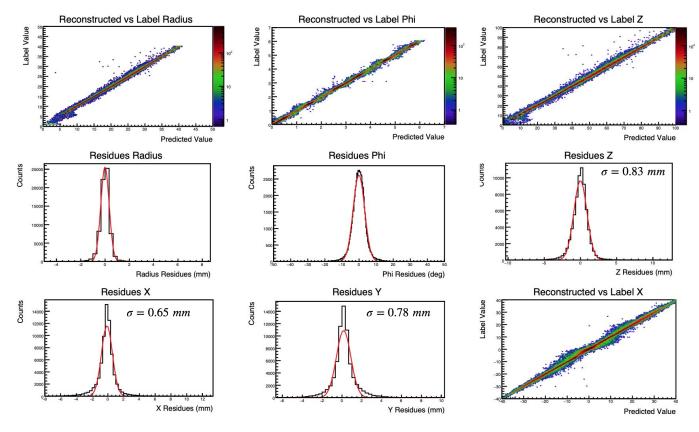
### **Exploring a Neural Network to Extract Positions in**

**GRETA** Conv2D Max Pooling Conv2D  $(\hat{r}^i,\hat{\phi}^i,\hat{z}^i)$ Flatten  $(r^i, \phi^i, z^i)$ 

- 9990 Parameters
- ~ 229.000 events (the basis)
- 37 x 50 matrices
- 60 epochs
- 6/10 training, 3/10 test, 1/10 validation
- ~ 30 min training



### **Results - Calculated Signal Basis**



- Promising!
- Next step is to test with calculated signals including added noise (white + correlated)
- Single-interaction data will follow

### **GRETA Project Goals and Status**

WBS	Milestone	Description	
2	GRETA Staffing Requirements Met	Advertise and hire an undergraduate student and postdoc to work on GRETA scope.	
2.2.1	Develop Python utilities for signal basis representation	Develop a library of Python tools for signal basis representation and visualization, including pulses at individual interaction points.	
2.2.1	Define electronics response function	Define a parameterization for the electronics response function for basis generation.	
2.2.1	Explore sensitivity of superpulse types to parameters	Characterize the sensitivity of different measurement types (superpulse types) to parameters in the electronics response function.	
2.2.2	Evaluate hyperparameter search tools for use in GRETA case	Explore the available hyperparameter search tools that we can consider for use in optimizing the electronics response and crystal parameters.	
2.1	Demonstrate (up to) 120 crystal simultaneous optimization	Extend the optimization and calibration code to tackle 120 crystals at once.	
2.2.1	Implement updated signal basis generation tool chain	Implement and configure complete signal basis generation tool chain with updated utilities for automated basis generation.	
2.2.2	Develop parameterization for crystal description	Define a parameterization of the crystal properties such as impurity profile etc.	
2.3	Evaluate opportunities for direct ML inference of basis signals	Look into techniques that can generate a signal basis without the crystal properties calculation based on data only.	
2.2.2	Complete final code base for open-source distribution.	Assuming success for previous steps, clean up code and package for open-source distribution following LBNL policies.	

## VENUS

### The Electron Cyclotron Resonance (ECR) Ion Source VENUS

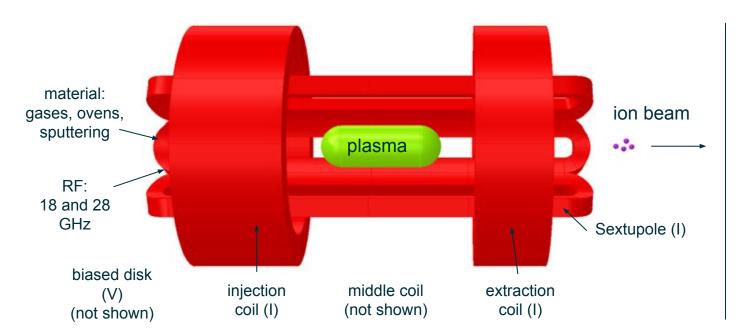


- World's first fully-superconducting ECR ion source designed for 28 GHz operation
- One of the world's two highest-performing ECR ion sources
- Injector for LBNL's 88" Cyclotron
- Prototype ECR ion source for FRIB, where a near-identical copy has been installed, and two more are under construction

### Example beams

high currents high charge states
> 4.7 mA O<sup>6+</sup> high charge states
> 4.7 mA O<sup>6+</sup> out of cyclotron
> 20 mA He<sup>+</sup> > 2.3 GeV!

### **VENUS Primary Control and Diagnostic Parameters**



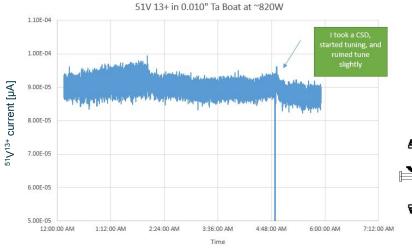
### Diagnostics:

- Faraday cup
- Charge state distributions
- Emittance scanner
- Drain, bias currents
- Cryostat x-ray load

10-20 control parameters

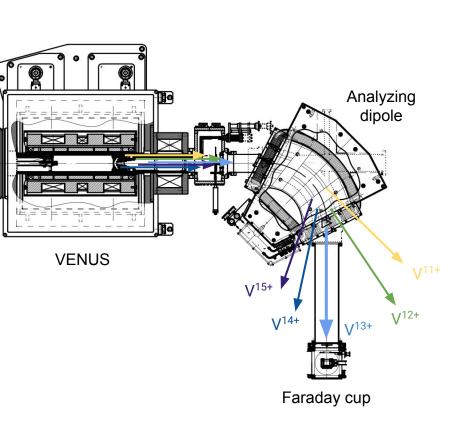
Important: no reliable model exists!

### Beam current as primary diagnostic

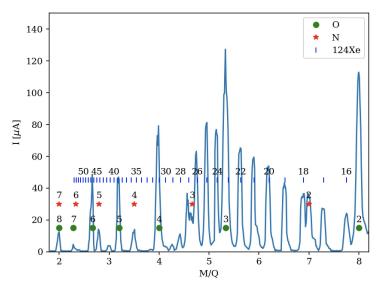


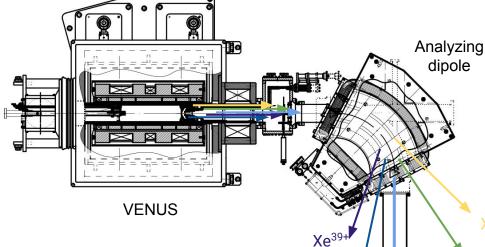
 We have collected source settings and species current over 1200 days of data at ~ 1 Hz frequency

- What's missing:
  - o intent?
  - o was the dipole adjusted to peak species?



### Beam current as primary diagnostic



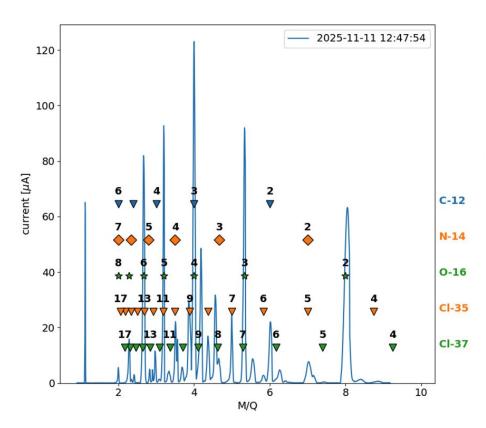


- A better diagnostic: the charge state distribution
- Historically done infrequently as they are slow (2-3 minutes)

Xe<sup>38+</sup>

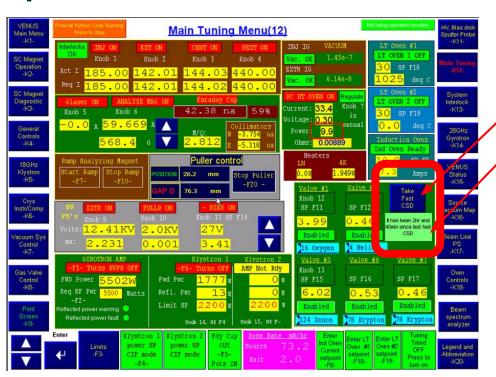
Xe<sup>37+</sup> Xe<sup>36+</sup>

### Faster charge state distributions (CSDs)



 Developed ability to take a charge state distribution in as little as 6 seconds

# Charge state distributions (CSDs) part of normal operation

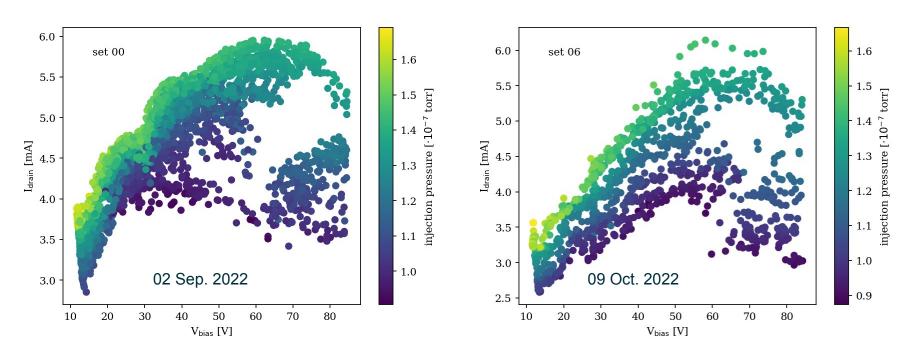


- Added single button operation
- Reminders alert cyclotron operators to perform CSD one hour after previous
- Faraday cup inserted, CSD completed, Faraday cup removed, and beam back on target within 30 seconds
- Good compliance by cyclotron operators: over 2100 CSDs recorded since March 2025
- Better data for machine learning codes!

Modeling VENUS Across Data Sets with

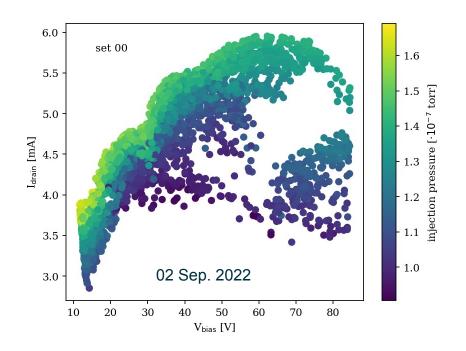
Random Forests and Neural Networks

### **Data collection for Random Forest and Neural Networks**

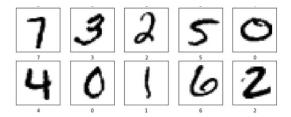


- Encouraging week-to-week similarities!
- Use learning on past to predict future?

### Data collection for Random Forest and Neural Networks



 Visual similarity of data sets encouraging for typical machine learning problems

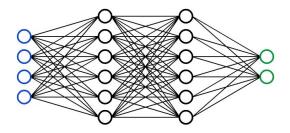


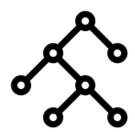
 Solution: apply typical tools (e.g. neural networks and random forest modeling) to new problems

## Two approaches: neural networks and random forests

Work performed by Ezra Apple (undergraduate student researcher)



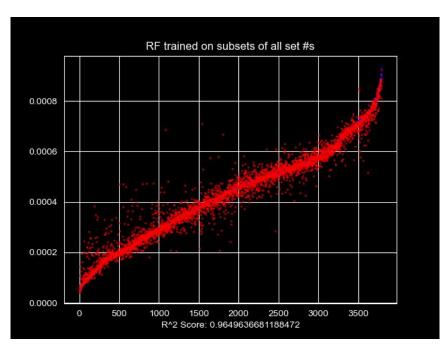


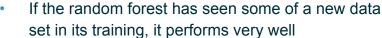


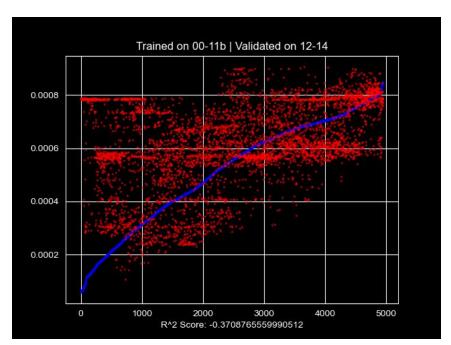
- Apply neural networks: use source settings as the input layer and output beam current measurements as output layer to train hidden layers
- Results:
  - Network trained on a given subset of a weekend's data performed well on that weekend
  - Same network performed poorly on some separated weekends

- Random forest for regression: apply an ensemble method with multiple decision trees, and make predictions using the average output of all trees
- Results:
  - Results similar to neural network (good on one weekend, poor weekend-to-weekend)

### **Example data from Random Forest**

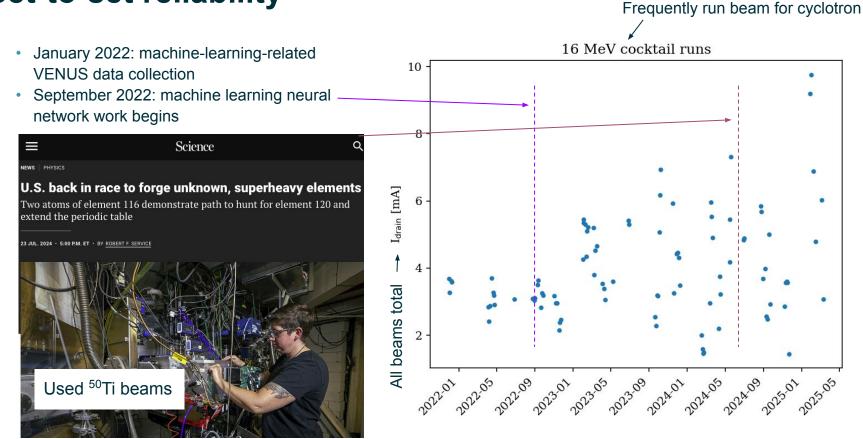






If the data set is brand new, it performs horribly

#### **Set-to-set reliability**

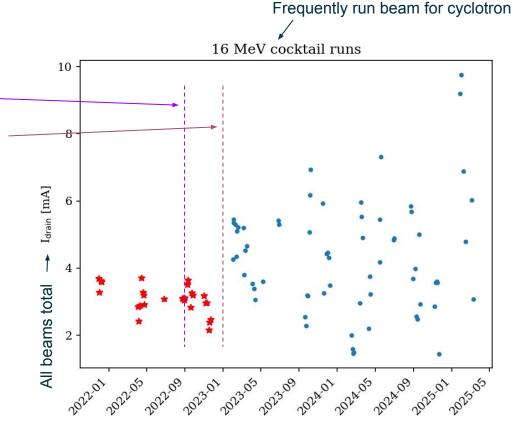


#### **Set-to-set reliability**

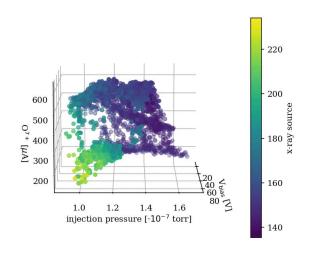
 January 2022: machine-learning-related VENUS data collection

- September 2022: machine learning neural network work begins
- January 2023: long <sup>50</sup>Ti campaigns begin for superheavy research

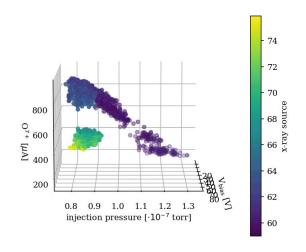
Note: Little-to-no <sup>50</sup>Ti in spectrum!!



#### **Data collection for Random Forest and Neural Networks**

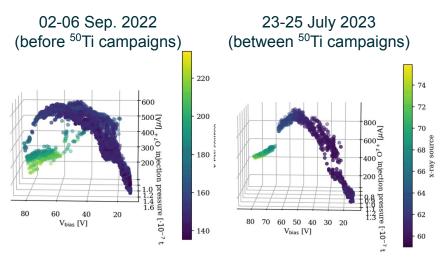


02-06 Sep. 2022 (before <sup>50</sup>Ti campaigns)

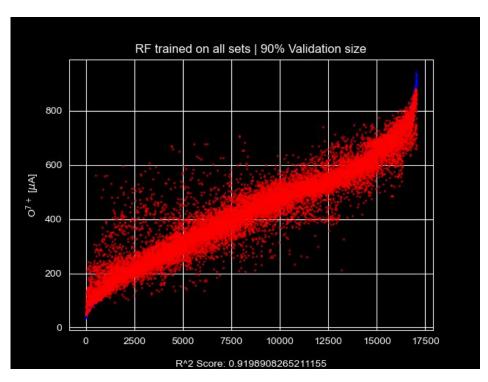


23-25 July 2023 (between <sup>50</sup>Ti campaigns)

### Introducing new data set to random forest

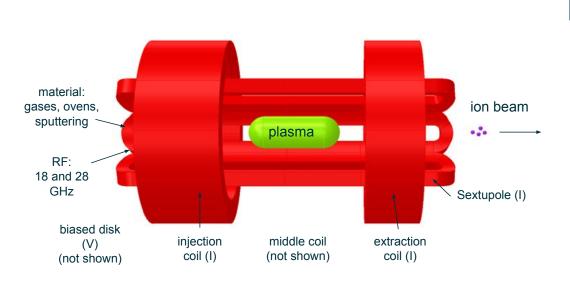


- Hidden variable (<sup>50</sup>Ti), but data is still "similar"
- Training random forest on small subset (10s to 100s of measurements) of new data space produces good predictions



**VENUS** Bayesian Optimization

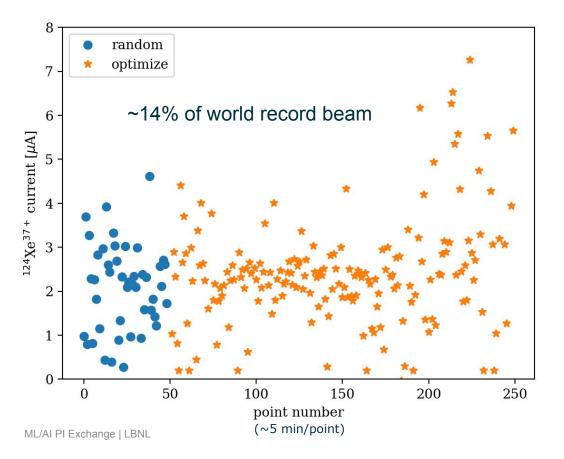
## Machine Learning: Full Bayesian Optimization of <sup>124</sup>Xe<sup>37+</sup>



Parameter	Min	Max
Bias voltage [V]	40	105
Oxygen valve	11.6	12.5
Xenon valve	8.0	13.0
Inj coil [A]	185.6	186.0
Ext coil [A]	136.6	136.8
Mid coil [A]	152.0	152.3
Sext coil [A]	430.3	430.5
18 GHz [kW]	1.4	1.8
28 GHz [kW]	5.2	6.0

- VENUS completely under computer control
- Computer "knows" nothing about VENUS

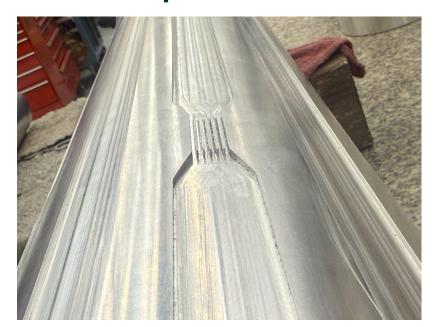
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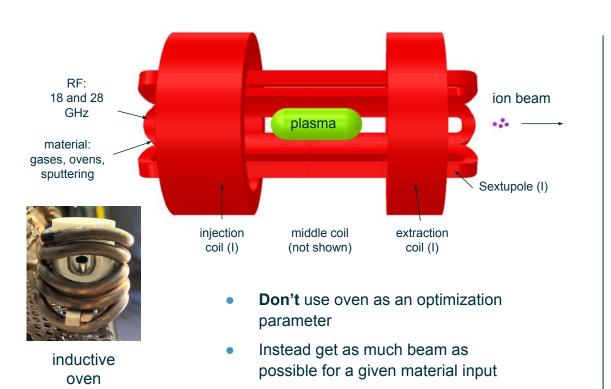
# Machine Learning: will attempt world record optimization with new plasma chamber





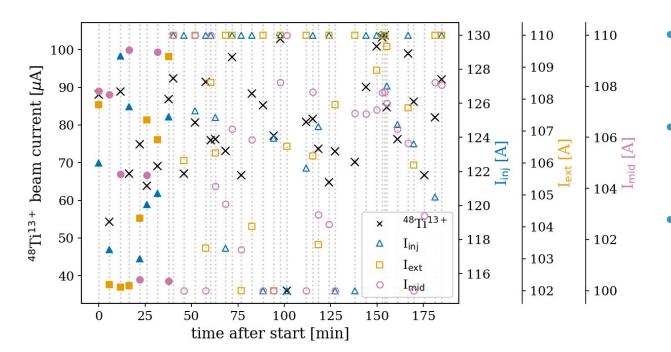
- New plasma chamber with microchannel cooling constructed 2025
- Once installed (2026), will attempt world record beam attempt using Bayesian optimization

## Machine Learning: Bayesian Optimization of <sup>48</sup>Ti<sup>13+</sup>



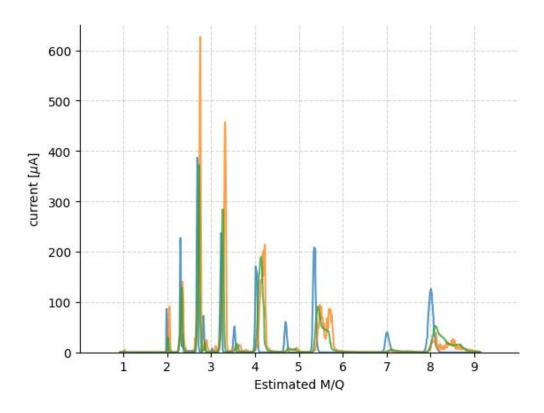
- Bayesian optimization performed well for Ti optimization
- Greater difficulty was pressure control: Ti is a getter metal and large pressure changes were hard to recover from when wildly varying the plasma
- Will employ PID
   (proportional-integral-derivative)
   control for pressure and retry

# Machine Learning: Bayesian optimization of superconducting coils for <sup>48</sup>Ti<sup>13+</sup> production



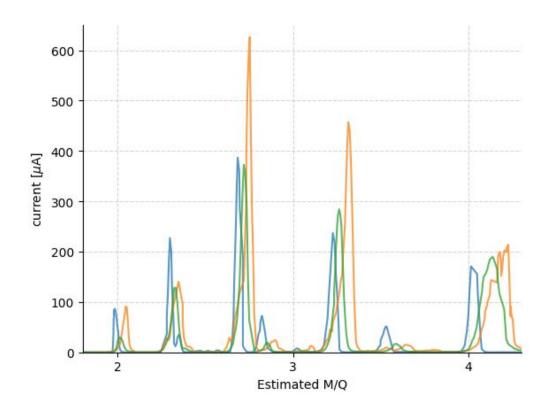
- Superconducting coils are often avoided by human operators as they can take ~5 minutes to settle
- Typical operating fields produced 82 µA, while Bayesian optimizer was able to find solutions as high as 104 µA
- Considerable (tedious) human tuning time saved.

#### **Applying Bayesian optimization to CSDs**

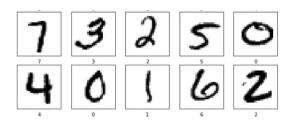


- Peak identification is a tedious human task
- Dipole hysteresis, changes to the plasma potential, and CSD shifts to lower or higher charge state can move the peaks

#### **Applying Bayesian optimization to CSDs**



- Peak identification is a tedious human task
- Dipole hysteresis, changes to the plasma potential, and CSD shifts to lower or higher charge state can move the peaks
- Clear application point for machine learning to identify peaks for the 2000+ current and all future CSDs



#### **CSD Oxygen Peak Identification Results**

**Neural network trained:** Multi-Layer Perceptron (MLP) Classifier

**Training set:** 15100 sets of peaks, 302 identified by hand as oxygen peaks

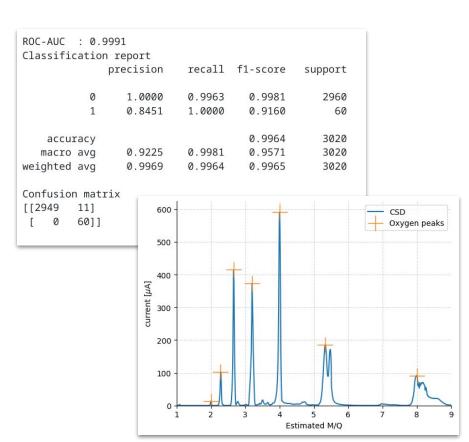
**Testing:** held out 3020 sets (20%) for testing,

#### Results:

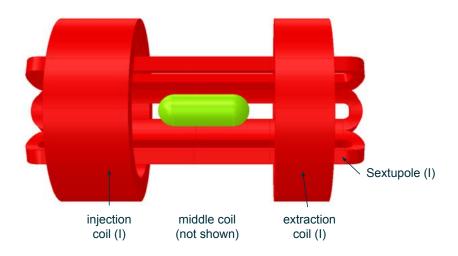
- Model correctly identified all 60 oxygen peaks in the test set (no false negatives)
- 11 false positive

#### **Model parameters:**

- ReLU activation function
- 3 hidden layers (30, 15, 7)

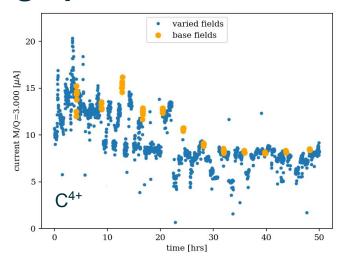


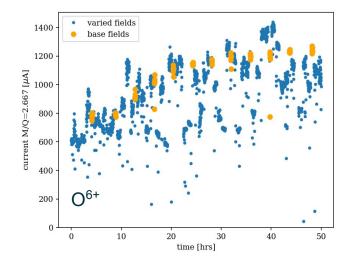
### **Baking optimization**



- Baking, the removal of unwanted particles from the plasma chamber and source to improve performance, can be a days-long process
- This process can be sped up by varying the plasma-confining magnetic fields to have the plasma "clean" — humans typically vary the fields occasionally (if at all)

#### **Baking optimization**



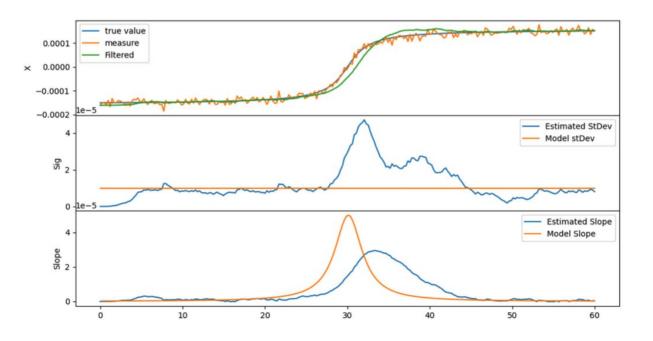


- Currents of unwanted material at "base" coil settings reduce with time
- System baked after ~30 hours

 Currents of desired material asymptotically approach final values until ~30 hours

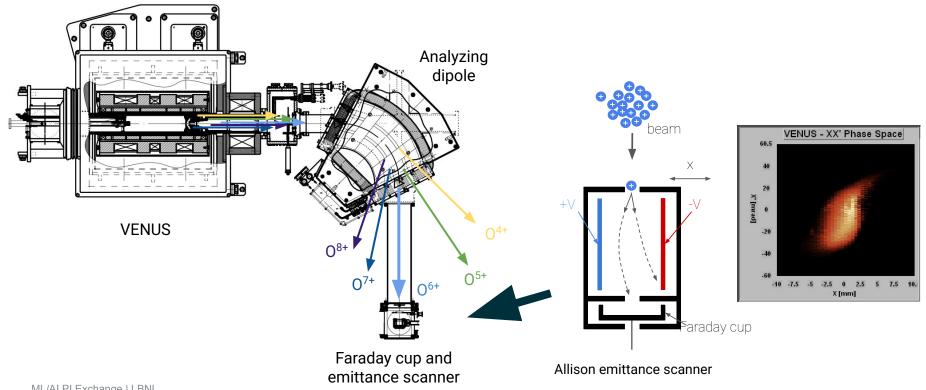
Pro: A faster and better baked source with no human effort! Con: Too small of data set for true machine learning (yet!)

### Kalman filtering for stability and settling

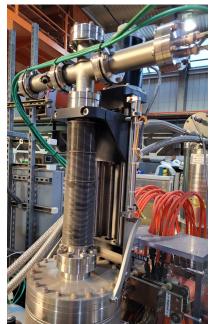


- First Bayesian optimizations used constant wait times after each system change. New values were accepted if determined stable and settled
- 2025: incorporated a multi-parameter Kalman filter applicable to all measured values.
- Slopes (e.g. beam current, vacuum pressure, etc.) can be used to determine if still changing
- Standard deviation (typically 5% relative) is also used as a settling and acceptance parameter

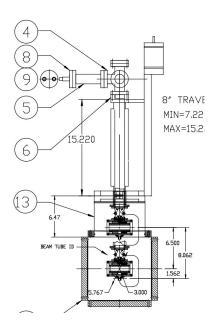
### **Emittance scanning with VENUS**



#### **VENUS** optimization using emittance scans







- Emittance scans rarely performed previously:
  - ~3 minutes to perform
  - finicky Labview program only runs on Windows

#### Successes:

- Control and analysis system ported to Python
- Motor control motion optimized: scans reduced to less than one minute
- Scanner easily controlled and output easily read by optimizer

#### Still to come:

Dedicated optimization run

VENUS vertical emittance scanner

Reinforcement Learning for VENUS

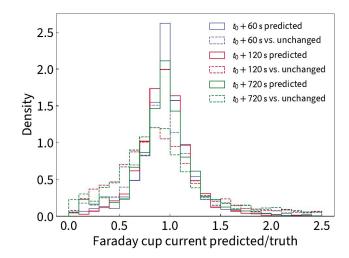
### **VENUS** reinforcement learning updates

Reinforcement learning (RL), using rewards and/or penalties to train decision making, has now been used offline and online

- FY2024: offline RL (computer learning from previous data and then making VENUS tuning decisions) demonstrated
- FY2025: online RL demonstrated using a surrogate model of VENUS

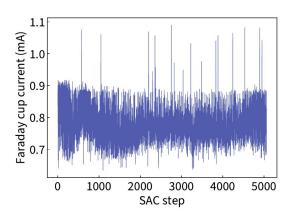
#### VENUS surrogate model:

- built using a recurrent neural network trained on previous VENUS optimization runs.
- based on current source state can predict the source state up to 10 minutes in the future



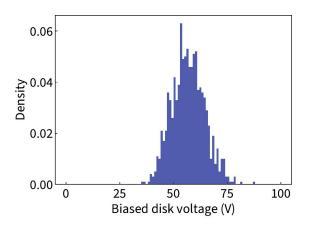
- Probability distribution of the ratio of predicted beam current to measured for 60, 120, and 720 seconds after initialization
- Solid curves are predictions, dashed curves assume no control system changes over time period

#### **VENUS** reinforcement learning updates



Difficulties of online reinforcement learning with surrogate model:

 system prone to over-estimating rewards when extrapolating into operation space outside model training range. This is indicated by the spikes in current



Offline reinforcement learning model of biased disk operation was better at setting voltage to a stable range operating live on VENUS.

Offline reinforcement learning, especially using CSDs, likely holds the most promise for VENUS optimization

VENUS Project Goals and Status

### **VENUS Project Goals and Status**

WBS	Milestone	Description	
1.1	Implement a monitoring code to predict/warn of instabilities	Based on training with recorded data, implement an online stability monitoring program for VENUS.	
1.2	Incorporate emittance scanning into VENUS optimization	Following upgrade of emittance scanner hardware incorporated into optimization as a separate parameter to optimize.	
1.3	Implement ML-driven baking for VENUS	Implement an ML-based program for baking VENUS and benchmark performance against human and automated script.	





# Thank you

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