



Cornell Laboratory for  
Accelerator-based Sciences and  
Education (CLASSE)

# Physics and technology of high-brightness high-power photoinjectors for beam coolers and electron ion colliders

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Cornell University



- Address major outstanding technological and beam physics issues of **critical importance to high intensity sources as required by the EIC**
- The landscape of successfully operating high current photoinjectors is **extremely modest, none of them have demonstrated** parameters as required for EIC (coolers or collider)



- 1 & 2 nC bunch low-emittance operation from DC gun injector  
(done)
- High average current running @ high charge  
(progress made)
- Physics of ion trapping & clearing  
(good progress made)

PHYSICAL REVIEW SPECIAL TOPICS—ACCELERATORS AND BEAMS 18, 083401 (2015)

### Operational experience with nanocoulomb bunch charges in the Cornell photoinjector

Adam Bartnik,<sup>\*</sup> Colwyn Gulliford,<sup>†</sup> Ivan Bazarov, Luca Cultera, and Bruce Dunham  
*CLASSE, Cornell University, Ithaca, New York 14850, USA*  
(Received 3 April 2015; published 19 August 2015)

PHYSICAL REVIEW ACCELERATORS AND BEAMS 19, 034201 (2016)

### Detection and clearing of trapped ions in the high current Cornell photoinjector

S. Full,<sup>\*</sup> A. Bartnik, I. V. Bazarov, J. Dobbins, B. Dunham, and G. H. Hoffstaetter  
*CLASSE, Cornell University, Ithaca, New York 14853, USA*  
(Received 22 July 2015; published 3 March 2016)



- **1) Nano-coulomb bunch high-current generation**
  - Prepare the **new laser system** for beam operation (both pulsed and 100% duty factor) that has sufficient energy per pulse ( $\geq mJ$ ) to generate high charge bunches with appropriate transverse and longitudinal profiles.
  - Generate **1 and 2 nC bunches and characterize the beam emittance** (both transverse and longitudinal) and the bunch length at a reduced repetition rate ( $< kHz$ ).
  - Operate with **50 mA average beam current** for 1 nC bunches.
- **2) Ion effect for high intensity electron beams**
  - Develop a **new simulation tool for ions**, which combines existing fast space charge routines in GPT along with adiabatic-invariant-based simulator for ions.
  - Determine the **equilibrium ion density** both by direct **measurement** and by examining the creation and clearing rates of ions using clearing electrodes.
  - Measure **beam properties both with and without ion mitigation strategies**, specifically clearing electrodes, clearing gaps, and beam shaking, and compare to the simulations.



Summary of expenditures by fiscal year (FY):

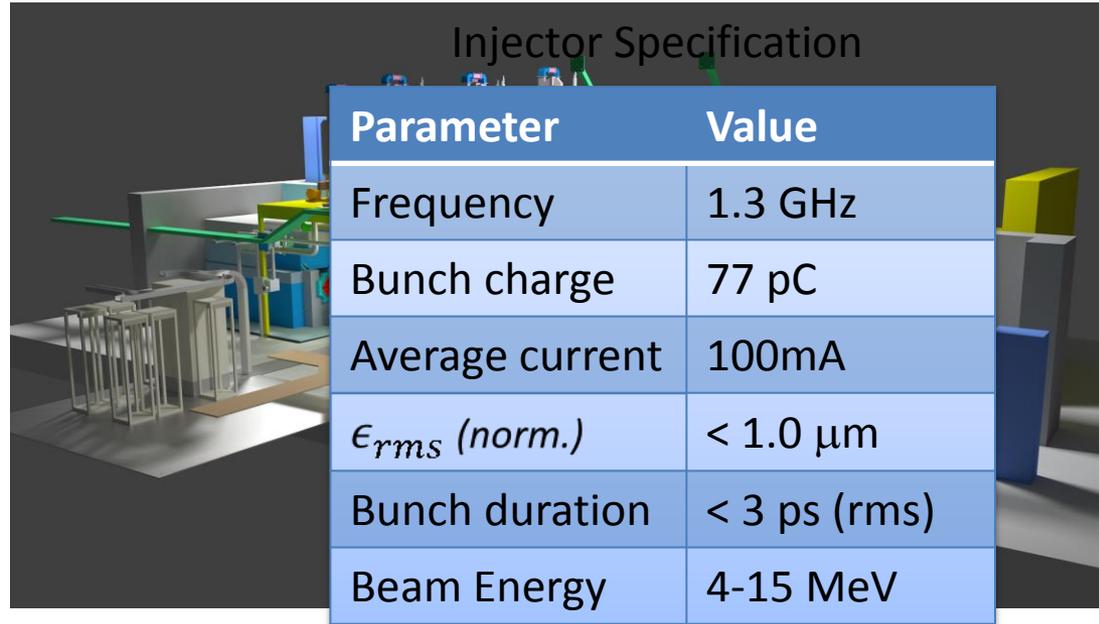
	<b>FY10+ FY11</b>	<b>FY12+FY13</b>	<b>FY14+ FY15</b>	<b>FY16</b>	<b>Totals</b>
a) Funds allocated			170,000	170,000	340,000
b) Actual costs to date			170,000	138,688	308,668



# Original Design

Original design: ERL injector

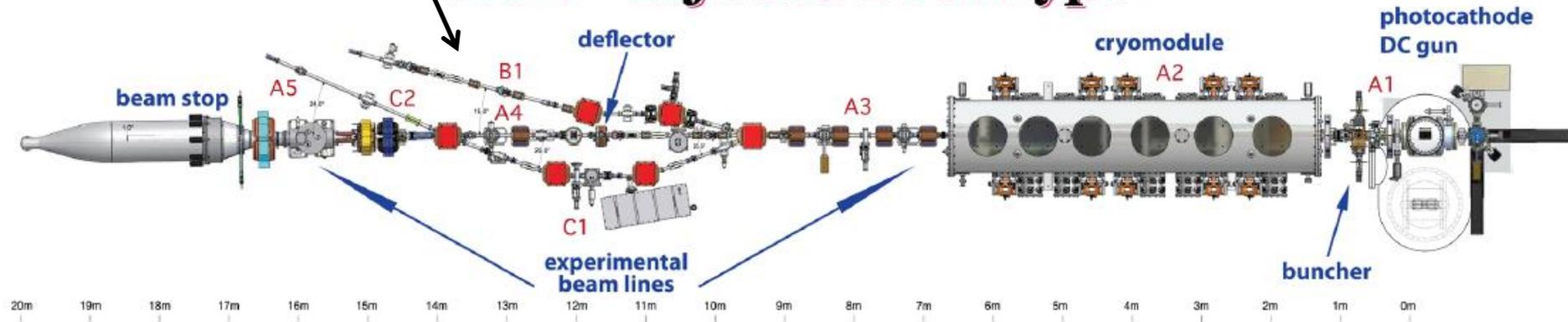
- Moderate charge
- High current
- Note: merger section (B1)



Injector Specification

Parameter	Value
Frequency	1.3 GHz
Bunch charge	77 pC
Average current	100mA
$\epsilon_{rms}$ (norm.)	< 1.0 $\mu\text{m}$
Bunch duration	< 3 ps (rms)
Beam Energy	4-15 MeV

## ERL – Injector Prototype





## Emittance measurements<sup>1</sup> (merger section, 7 MeV)

- 350 kV Gun Voltage
- Met requirements for ERL

Bunch charge	Bunch length	Horz Emit. (100%)	Vert Emit. (100%)
19 pC	2.1 ps	0.33 $\mu\text{m}$	0.20 $\mu\text{m}$
77 pC	3.0 ps	0.69 $\mu\text{m}$	0.40 $\mu\text{m}$

Asymmetry Due to the Merger?

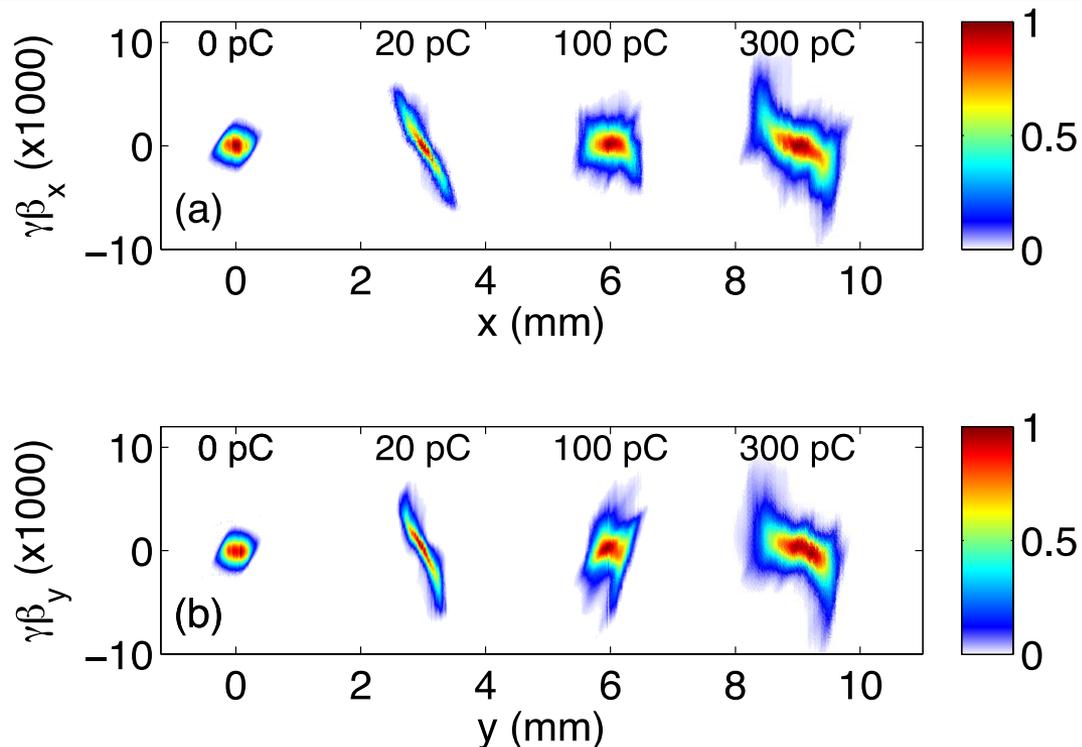
## High current operation<sup>2,3</sup> (straight section, 4 MeV)

- Up to 75 mA peak, reliable operation at 65 mA
- > 60 hour cathode lifetime at 65 mA (NaKSb)
- 250 kV Gun Voltage

<sup>1</sup> Gulliford et al., Phys. Rev. ST Accel. Beams **16**, 073401 – 2013

<sup>2</sup> Cultrera et al., Appl. Phys. Lett. **103**, 103504 (2013)

<sup>3</sup> Dunham et al., Appl. Phys. Lett. **102**, 034105 (2013)



- Met spec at 20/100/300 pC charges
- Same SRF settings for all charges

Q (pC)	$I_{\text{peak}}$ Target (A)	$I_{\text{peak}}$ (A)	$\epsilon_n$ Target (95%, $\mu\text{m}$ )	$\epsilon_n$ (95%, $\mu\text{m}$ )	$\epsilon_{n,\text{th}}/\epsilon_n$
20	5	5	0.25	H: 0.18, V: 0.19	58%
100	10	11.5	0.40	H: 0.32, V: 0.30	80%
300	30	32	0.60	H: 0.62, V: 0.60	70%

C. Gulliford, Appl. Phys. Lett. 106 (2015) 094101

\*These measurements were supported by SLAC



Can the injector provide similar performance in parameter ranges appropriate for the **EIC**?

- Much higher bunch charges (up to **2 nC**)
- More relaxed emittance requirements
- No requirement for short bunch length
- High current (up to **50 mA @ 50 MHz** repetition rate, 1 nC)
  - Requires running off center on cathode



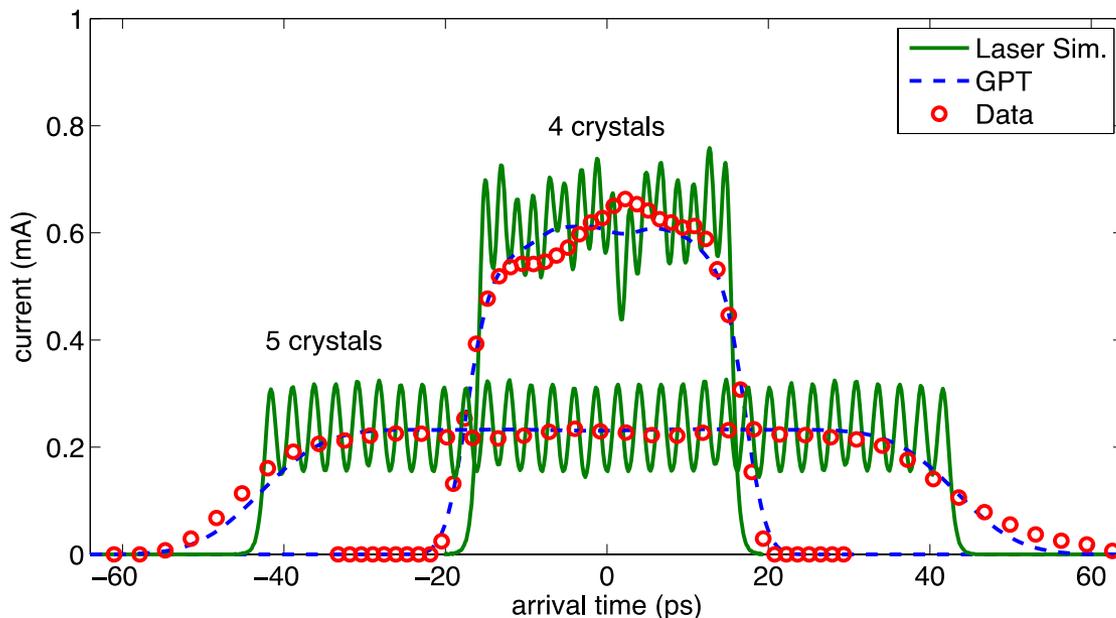
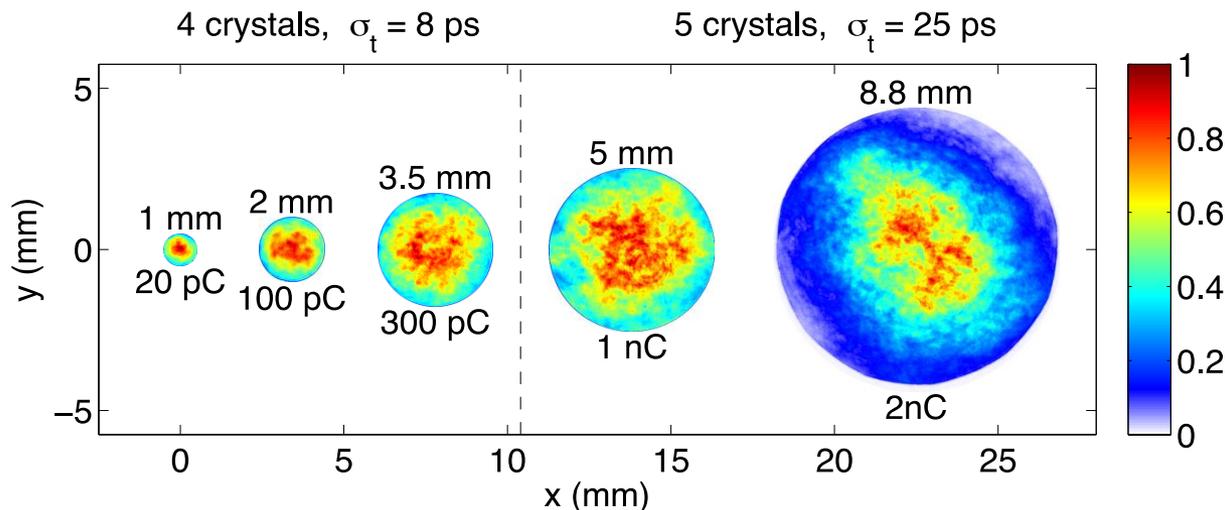
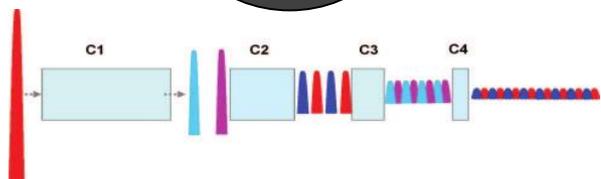
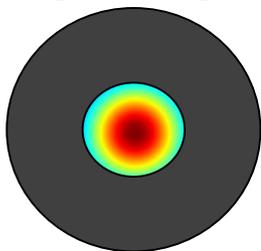
# Nano-coulomb bunch high-current generation



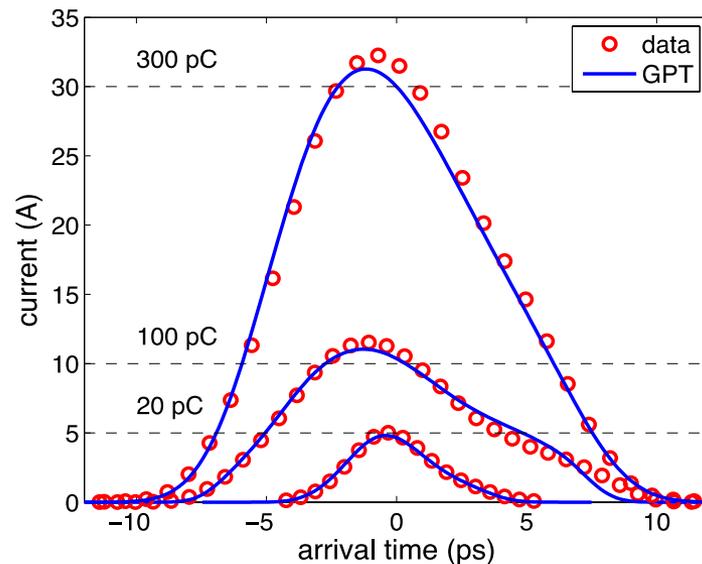
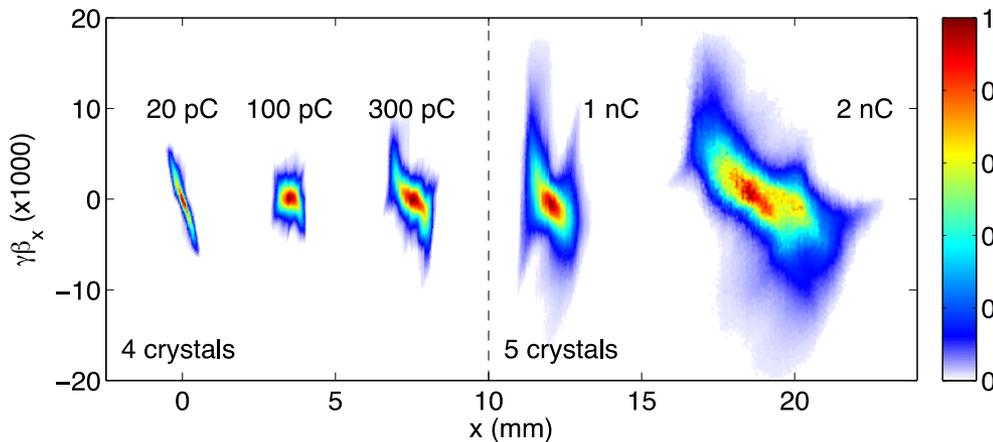
# Laser Characterization

Laser beam size scales as  $\sqrt{Q}$

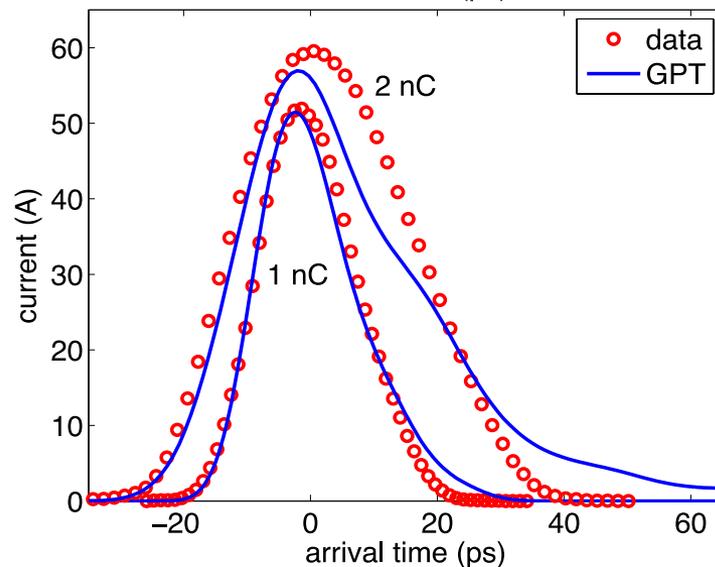
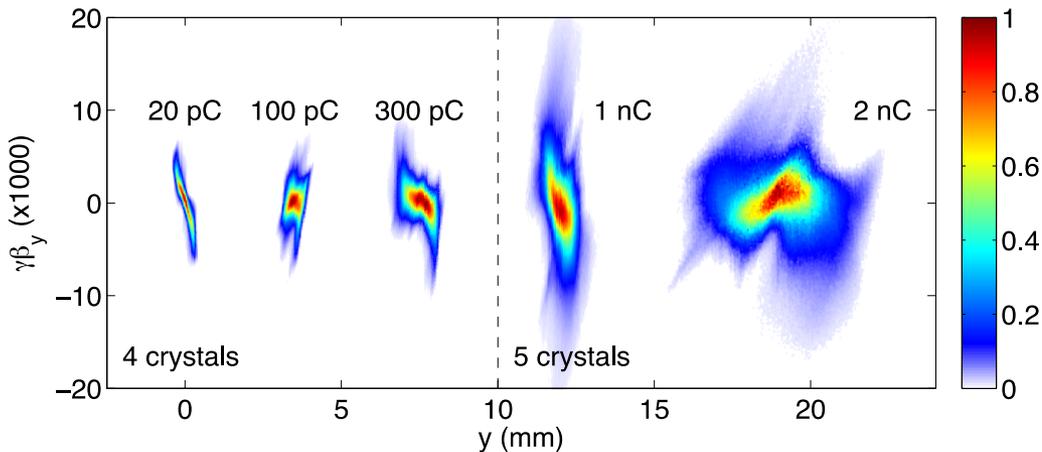
- Need **D > 6 mm** for 1nC
- Lengthen pulse:
  - 8 ps -> **25 ps**
- Optimizer chooses D = 5 mm
- For 2 nC, D = 7 mm
- In practice, need D = 8.8 mm to get enough charge



1 nC: 1.6  $\mu\text{m}$ , 2 nC: 4.4  $\mu\text{m}$  (95%)



1 nC: 1.6  $\mu\text{m}$ , 2 nC: 4.0  $\mu\text{m}$  (95%)



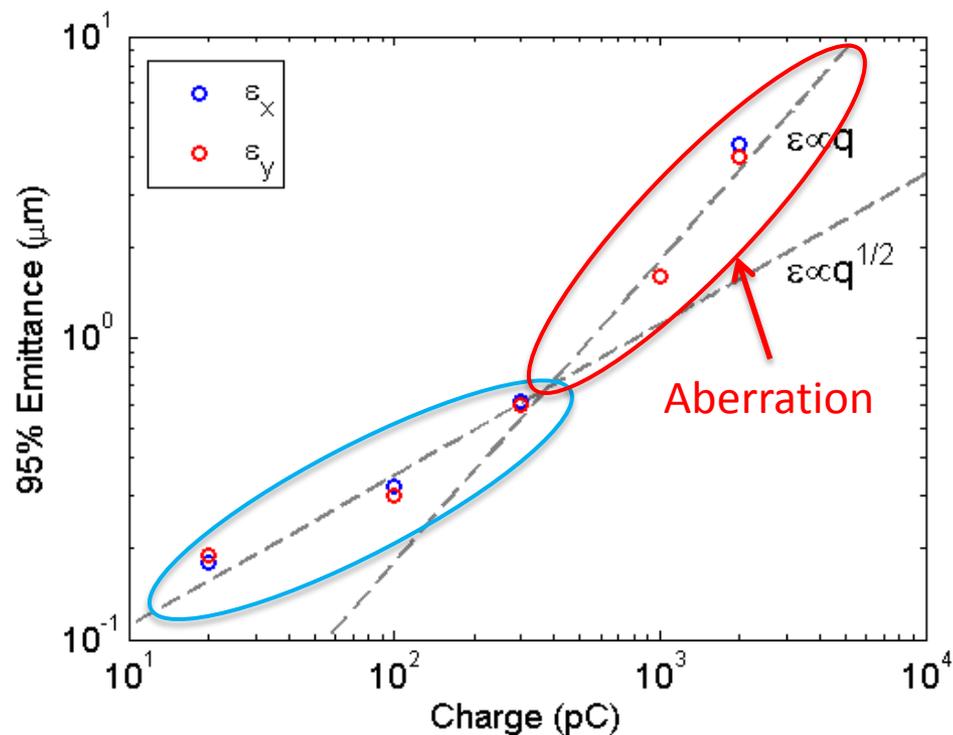
A. Bartnik et al., PRSTAB 18 (2015) 083401



# Emittance Summary

Trend becomes more linear around 300 pC...

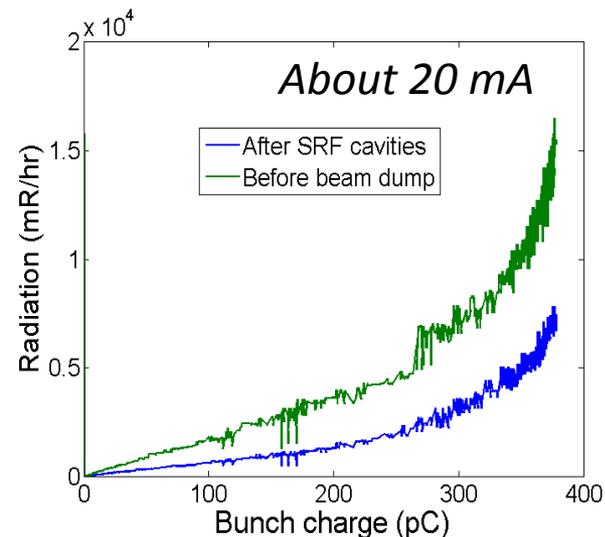
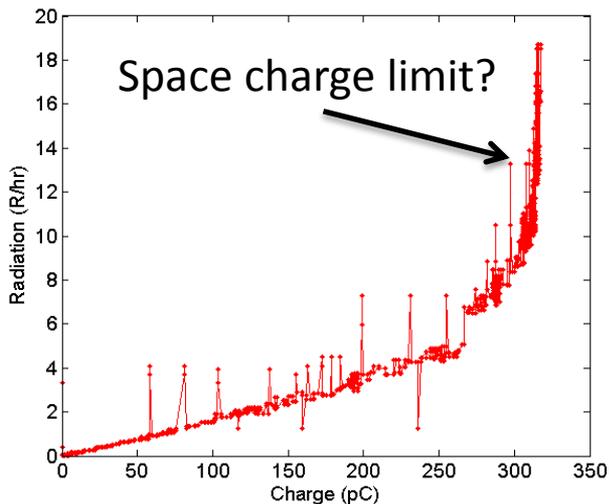
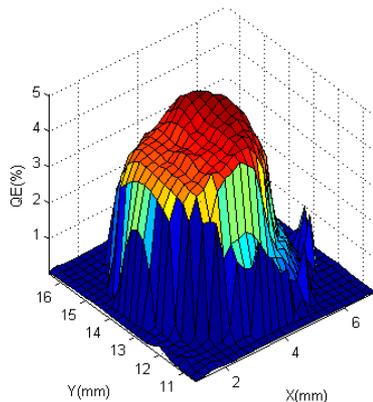
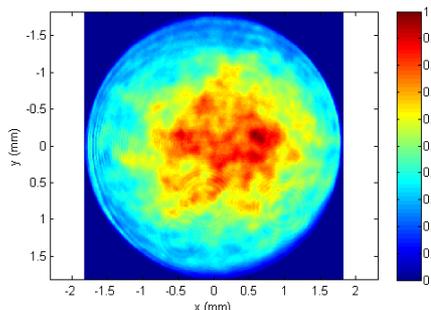
Q (pC)	Peak current (A)	Emittance (95%, $\mu\text{m}$ )
20	5	H: 0.18 V: 0.19
100	11.5	H: 0.32 V: 0.30
300	32	H: 0.62 V: 0.60
1000	50	H: 1.6 V: 1.6
2000	56	H: 4.4 V: 4.0



# High current operation

- Would like a 5 mm diameter active area cathode, offset from gun center
- 1st off-center cathode available had a roughly 3.5 mm active area
- See dramatic increase in radiation around 320 pC
  - Made laser size bigger: same problem at 375 pC
- In simulation, begin to lose particles at cathode around 400 pC

Laser profile during high current



## Cathode used for High Current Operation

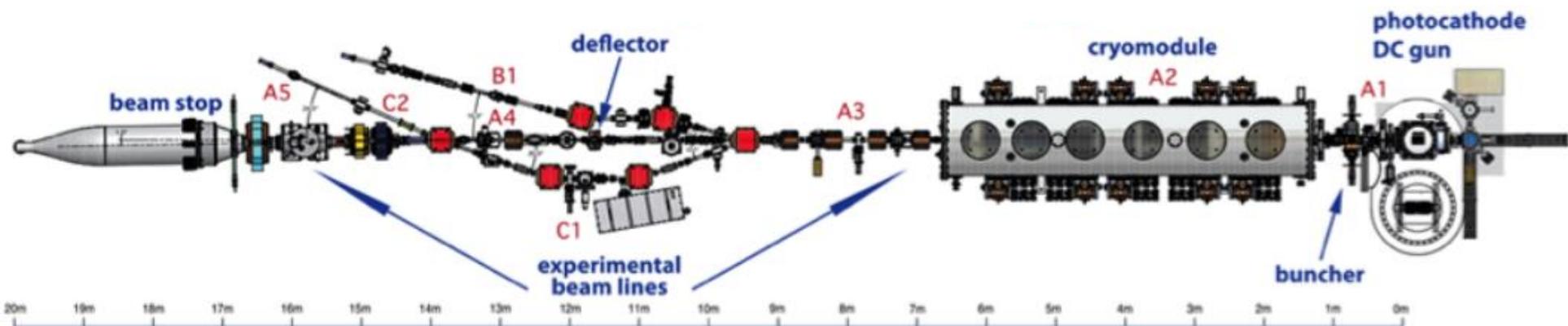
- Active area offset  $\sim 5$  mm from gun center
- Active area width  $\sim 3$  mm diameter



# CU Injector Status

Since the last meeting...

- Disassembled our injector
- Sent SRF cryomodule across campus for maintenance
- Made a gun-only beamline for high current reliability tests
- Disassembled that beamline
- Brought the cryomodule back
- Rebuilt the full injector in a new location with another DC gun
- Fixed the HV power supply that got broken
- Injector re-commissioning



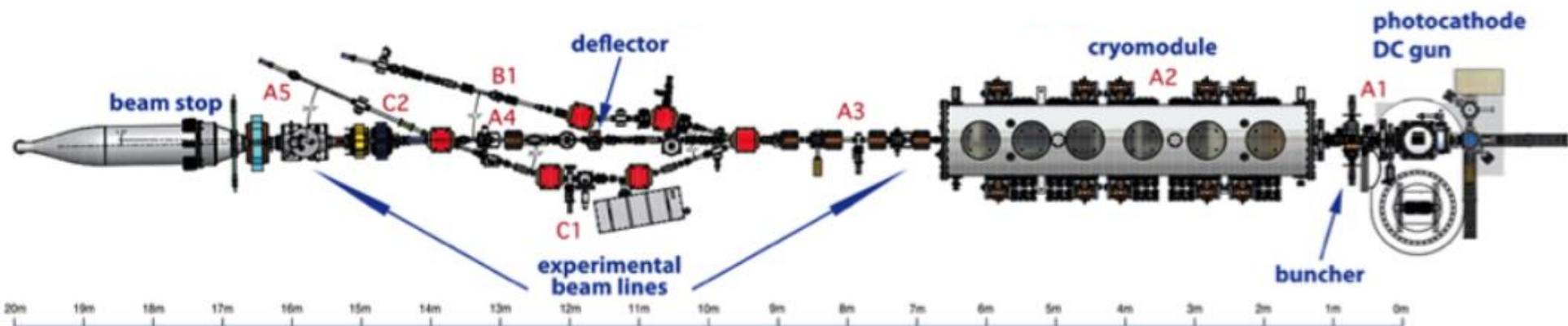


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Used a few  
cathodes here...





# Why we had to move?

## The Cornell-BNL FFAG-ERL Test Accelerator

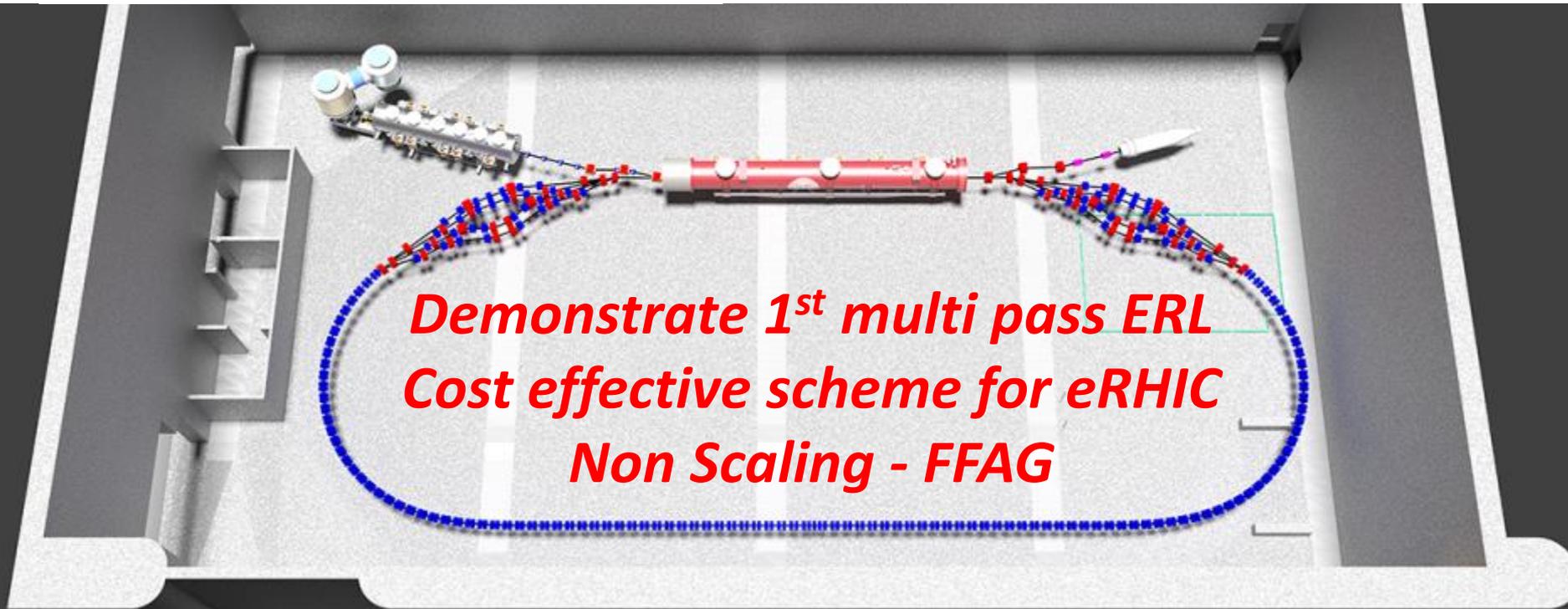
White Paper

Ivan Bazarov, John Dobbins, Bruce Dunham, Georg Hoffstaetter,  
Christopher Mayes, Ritchie Patterson, David Sagan

*Cornell University, Ithaca NY*

Ilan Ben-Zvi, Scott Berg, Michael Blaskiewicz, Stephen Brooks,  
Kevin Brown, Wolfram Fischer, Yue Hao, Wuzheng Meng,  
François Méot, Michiko Minty, Stephen Peggs, Vadim Ptitsin,  
Thomas Roser, Peter Thieberger, Dejan Trbojevic, Nick Tsoupas.

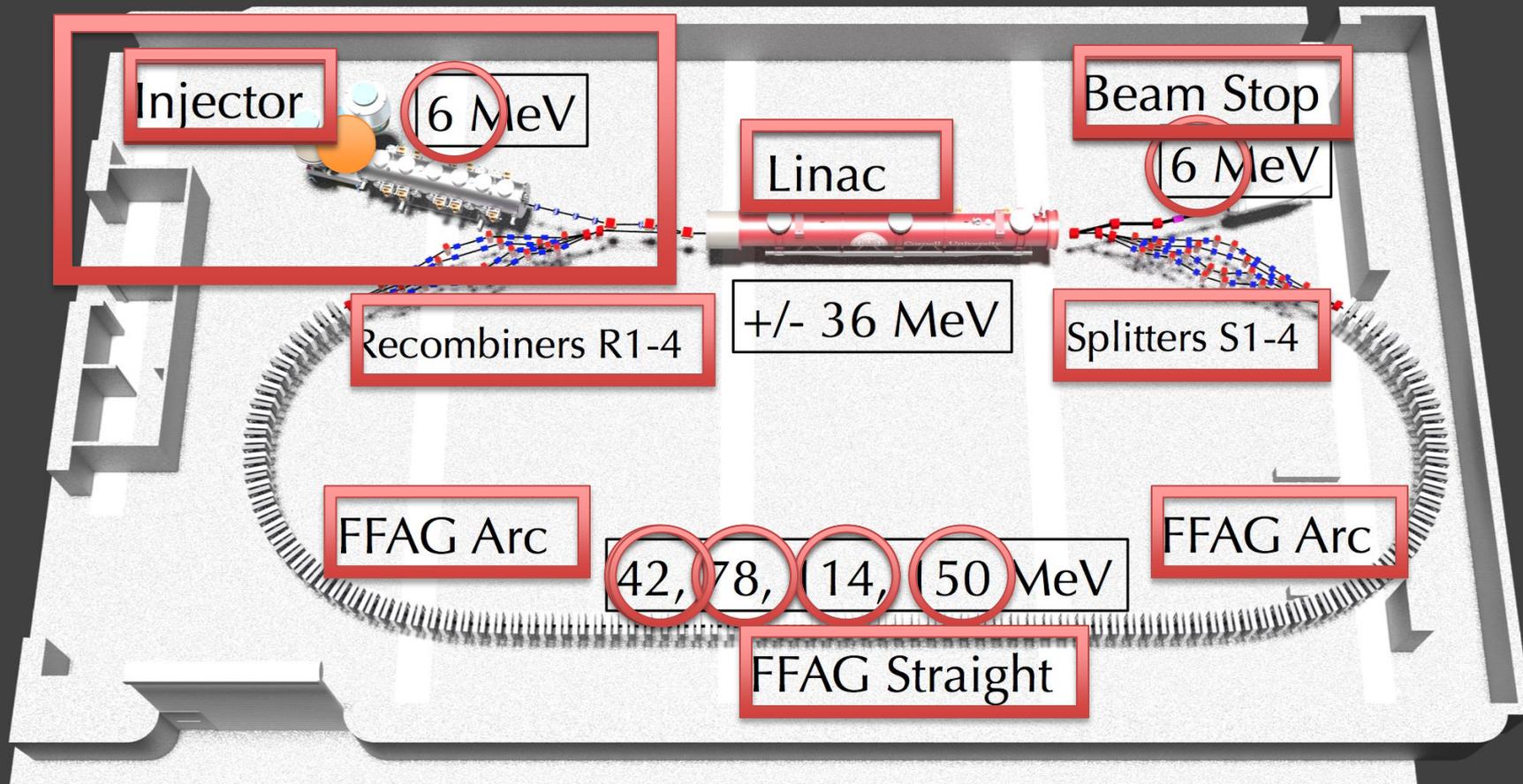
*Brookhaven National Laboratory, Upton NY*



**Demonstrate 1<sup>st</sup> multi pass ERL**  
**Cost effective scheme for eRHIC**  
**Non Scaling - FFAG**

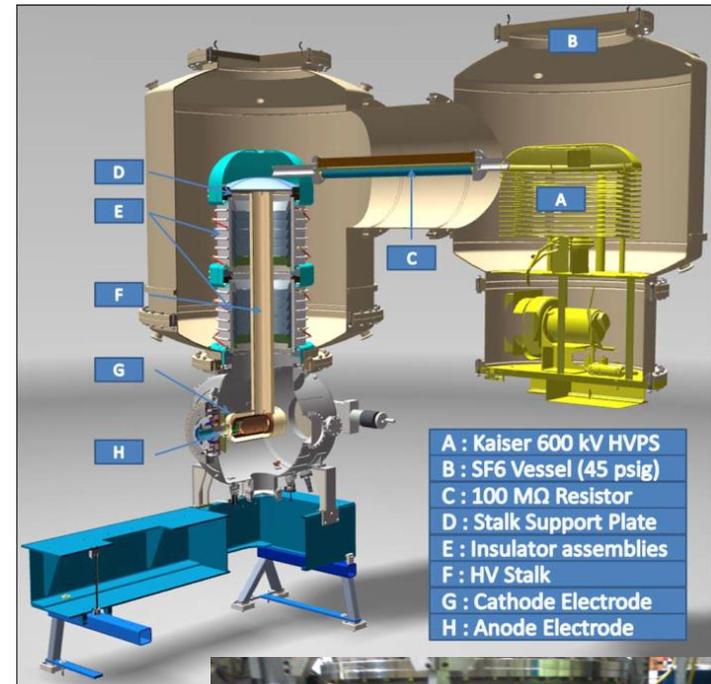
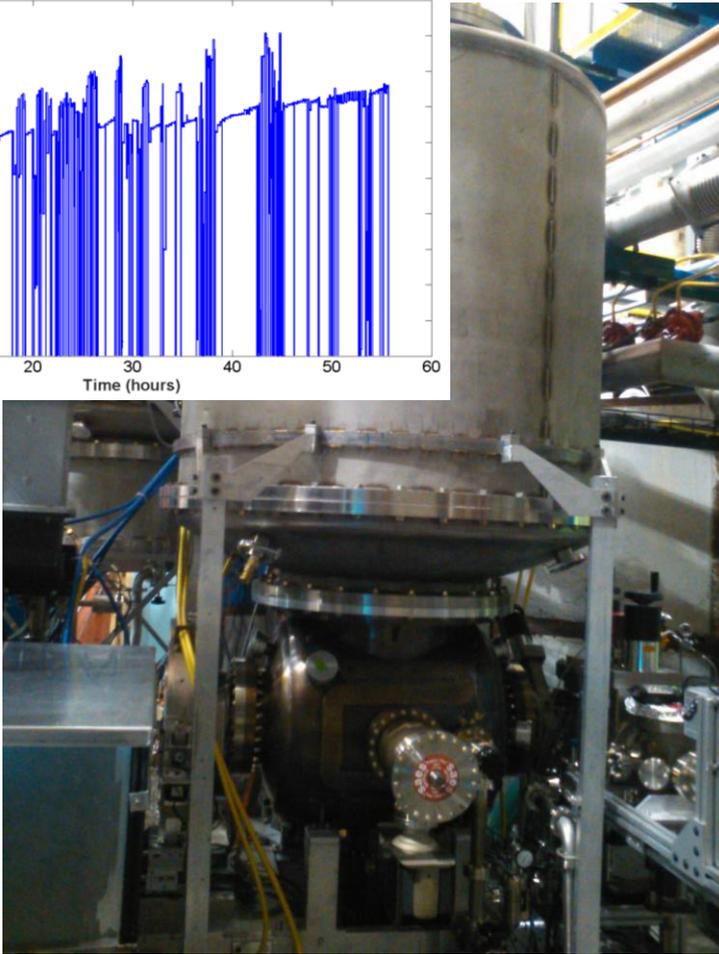
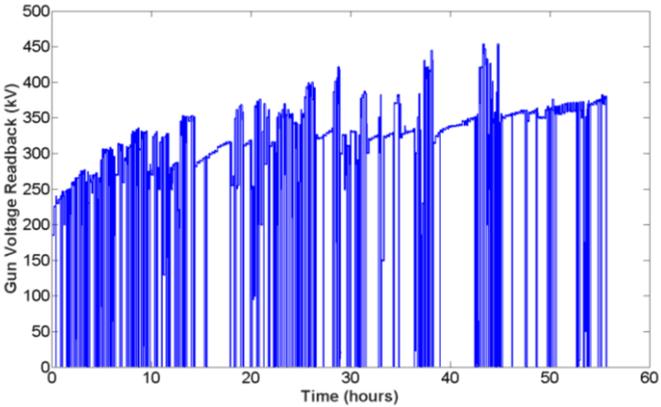


# CBETA 4 pass ERL FFAG





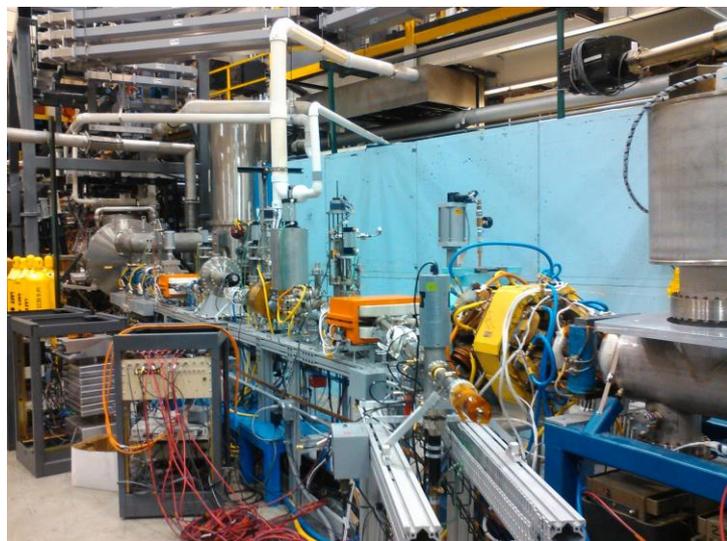
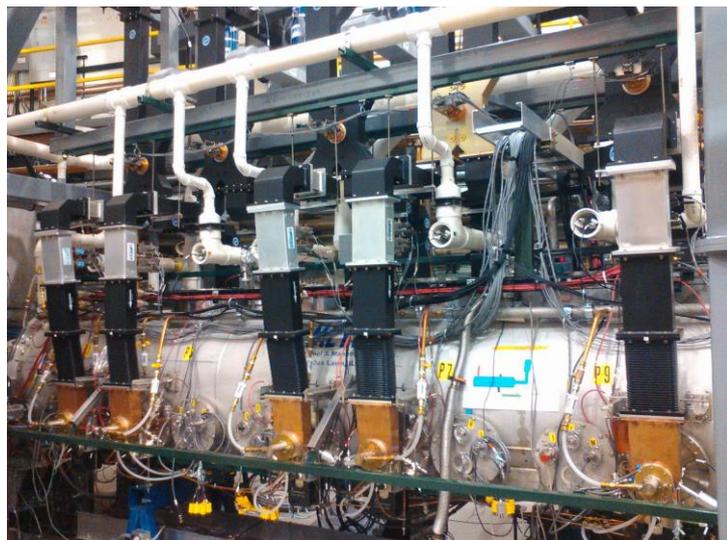
# New DC gun



- Segmented ceramic insulator => Higher voltage
- **Biased anode => Longer cathode lifetime**



# Injector after the move





# EIC Cathode Challenges

Challenge	Comment	Status
Lifetime ~10,000 C	50 mA (done but NOT 1 nC @ 50 MHz!!)	<b>Not yet done</b>
QE > 1%	50 mA @ 1% => QE $\approx$ 10 W of laser power	<b>Solved</b>
Localized, offset active area	Roughly = laser size, reduces halo	<b>Solved</b>
QE spatially flat		<b>Solved</b>
Response time < 1 ps	Long tails will be lost in RF	<b>Solved</b>

**Not yet proven at high charge per bunch !!**

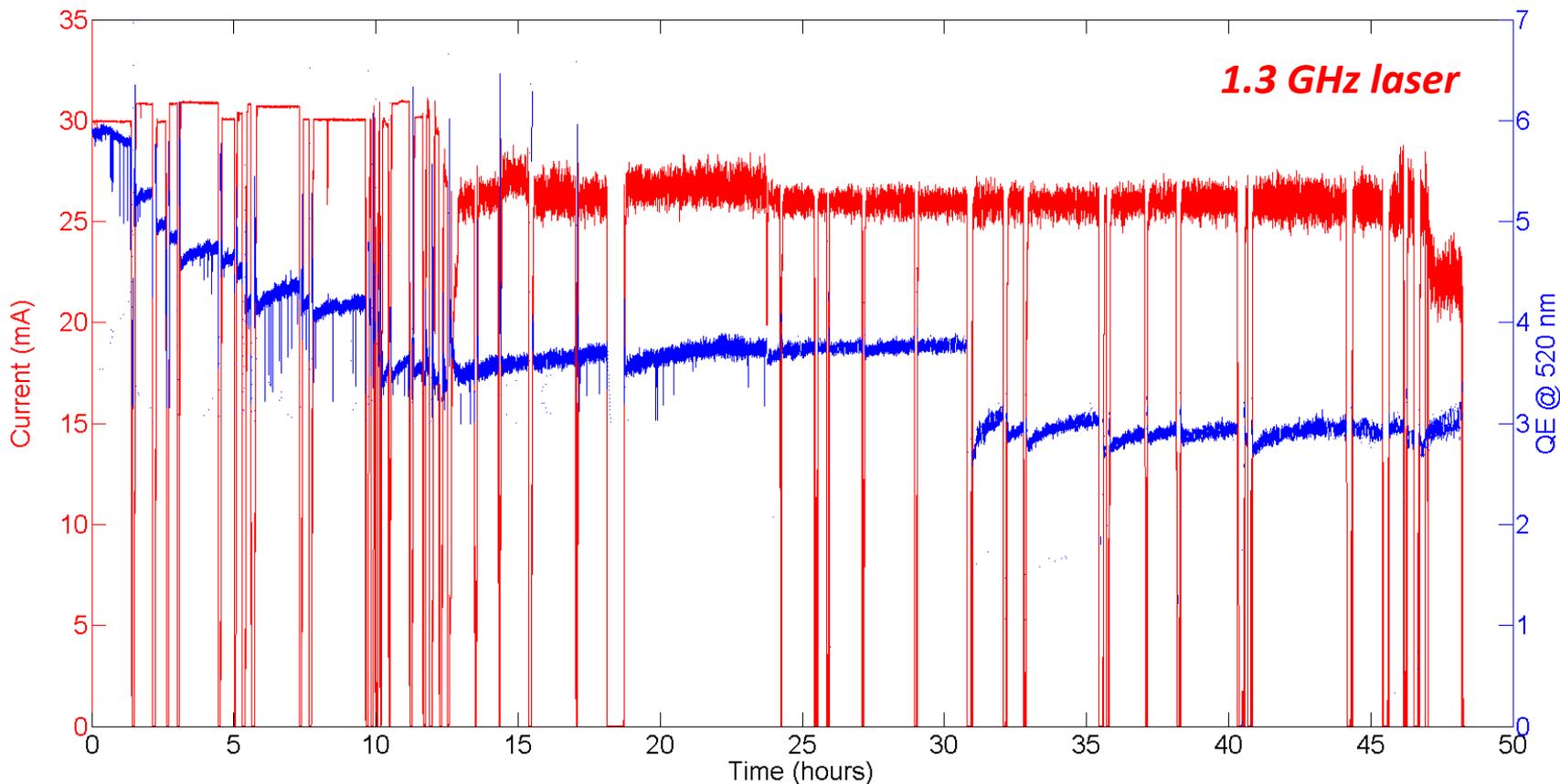
**The biggest remaining challenge for high current machines is not ruining the cathode that is provided.**



# Offset cathode over 2 days

The cathode experienced many machine trips, roughly 1-2/hour

- Most were SRF Cavity “coupler arc”
- QE decreases a little bit after each trip



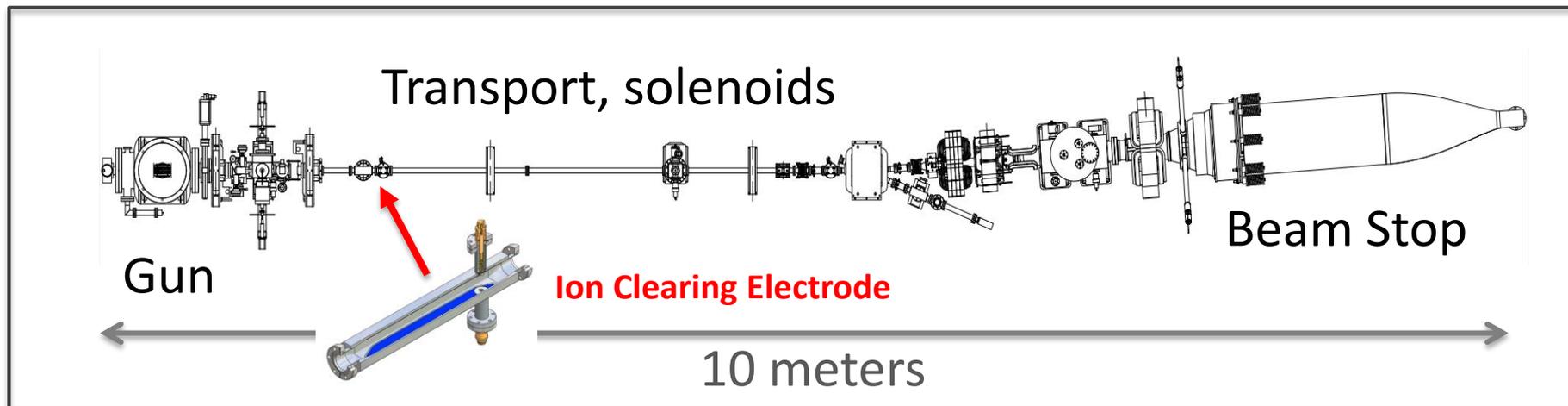


# Gun Test Beamline

During maintenance on our SRF booster linac, we constructed a simplified “gun test” beamline.

- 350 kV
- 1.3 GHz @ 15 pC = 20 mA

**Expectation: No SRF = No trips**

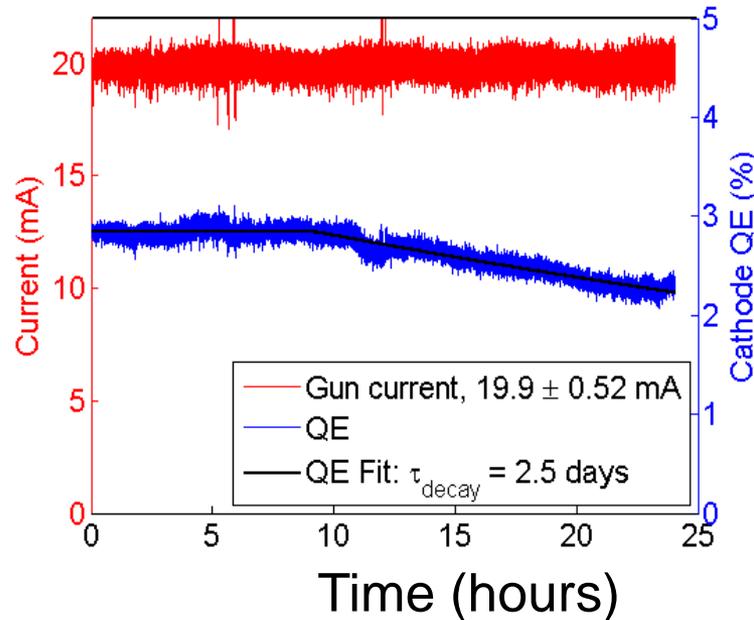
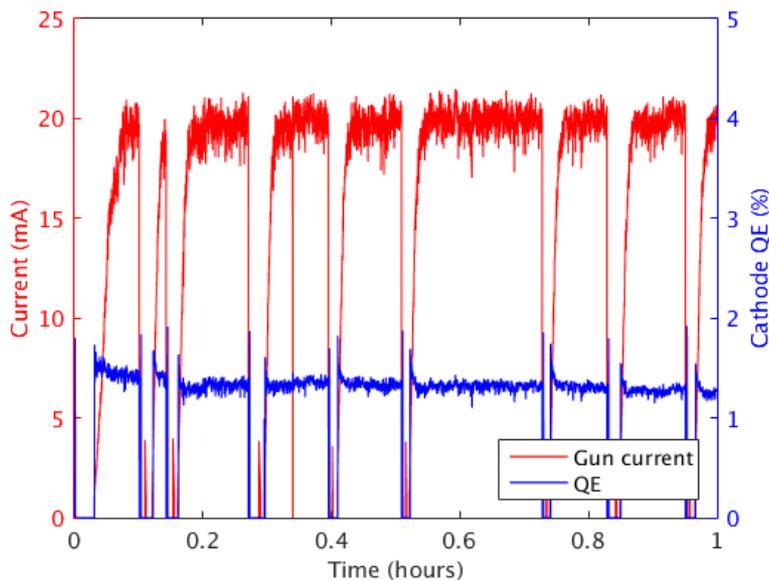




# Gun Test Beamline

Surprise! The machine tripped **10x more often** with only the gun.

- Upon further inspection, an error was discovered in our previous reasoning— it was possibly always the gun.

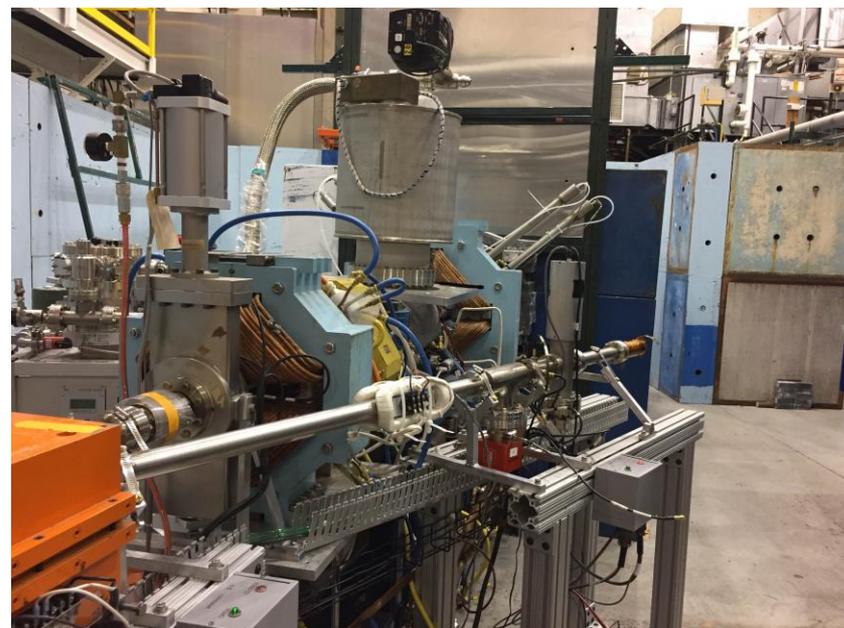
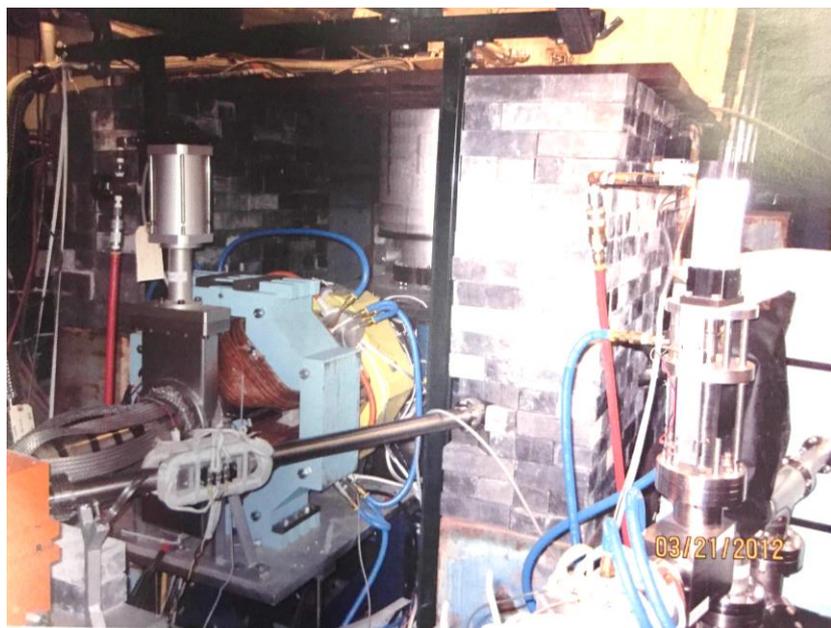


**With ion clearing electrode  
24 hours at 20 mA with no trips!!**



# Road towards 50 mA?

- **As of today** injector is ready to go
- Ramping up the current at mA levels
- **More radiation shielding** around the dump is likely to be needed to further increase current levels



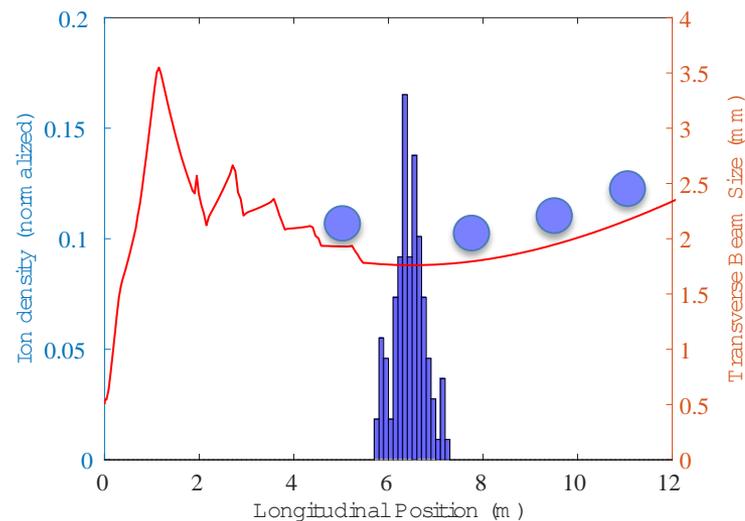
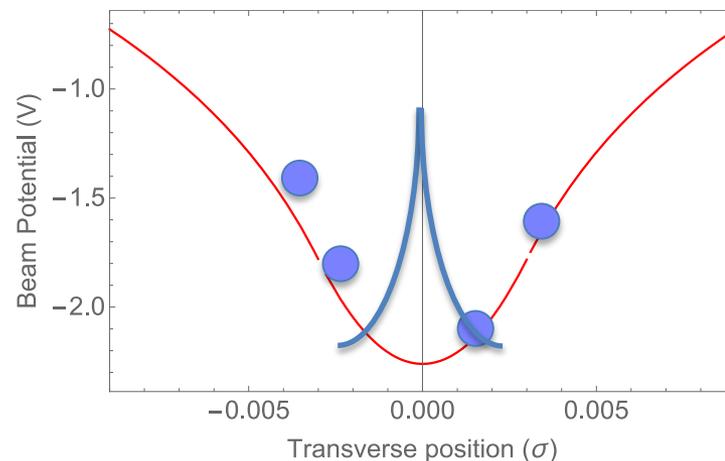


# Ion effect for high intensity electron beams



# What is ion trapping?

- Electron beam ionizes residual gas via collision ionization
- Ions get trapped inside the negative potential well of the beam.
- Oscillate transversely with a characteristic frequency
- Accumulate sharply in center of beam
- Also drift longitudinally towards beam potential minima



# EIC Ion trapping conditions

## Two primary trapping conditions:

- 1) Trapped ion mass number
  - Found by considering focusing in bunches and drifting outward between bunches

Number of electrons per bunch  
 $1nC$   
 50 MHz  
 $\sim 2\text{ mm}$

Distance between bunches

$$A_{ion} \geq \frac{n_e r_p}{4(\sigma_x + \sigma_y)\sigma_y} \Delta L_g = 3.6e-4 \ll 1 !!$$



- 2) Ion oscillation frequency
  - Oscillation of ion in beam's potential
  - Trapping occurs if  $\omega_{ions} \ll f_{beam}$
  - Experimentally verified formula

$$\omega_{ions} = \sqrt{\frac{2r_p c}{e} \frac{I_{beam}}{A_{ion} \sigma_{beam}^2}}$$

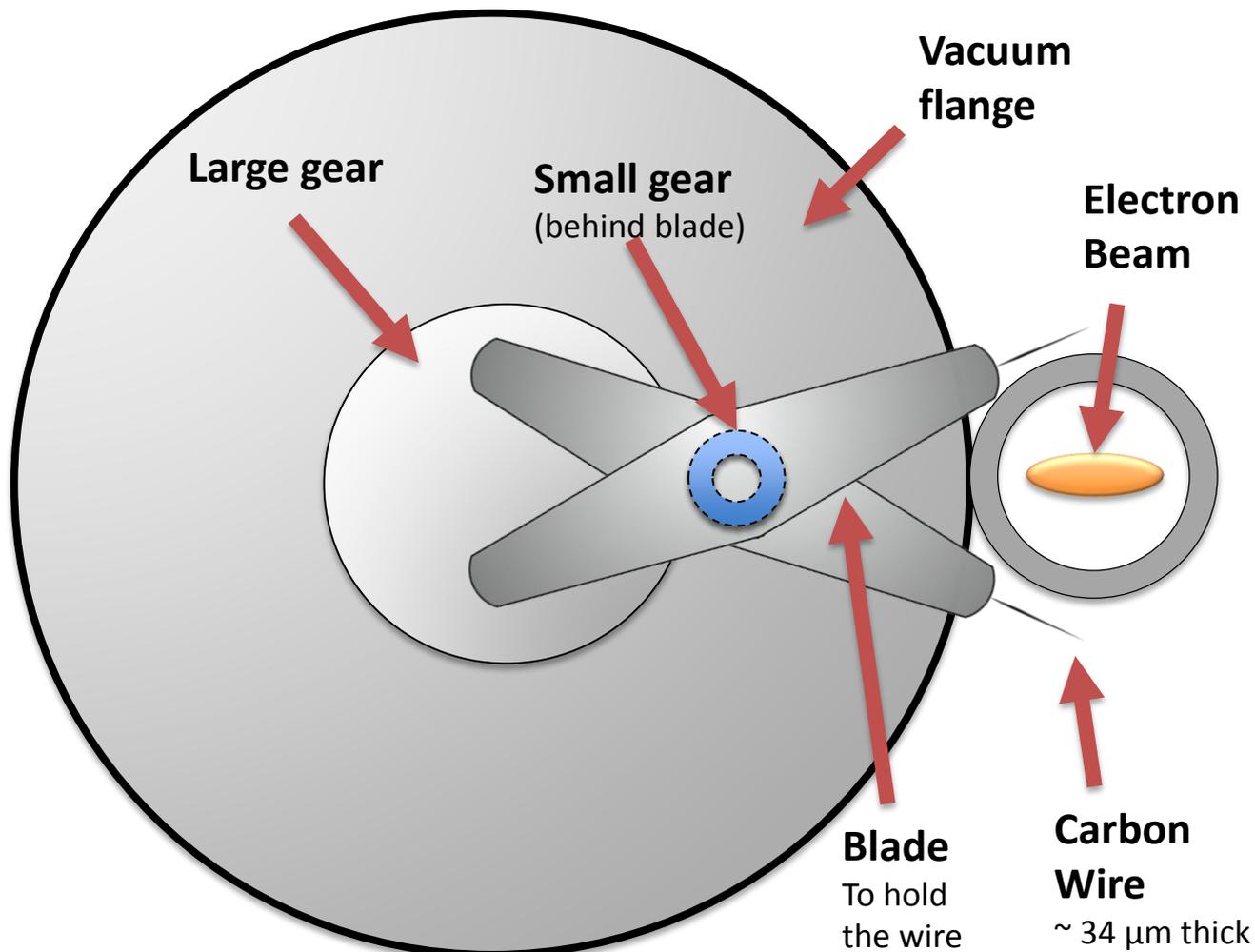
Avg. Current	Transverse Beam size	Ion oscillation frequency	Beam repetition rate
50 mA	$\sim 2\text{ mm}$	$\sim 125\text{ kHz (N}_2)$	50 MHz



- We're one of the first to explore this regime experimentally
  - Ions have been studied extensively using simulations, but experimental data is rare.
- We can't measure beam directly
  - Interceptive diagnostics melt above 1 mA
  - No synchrotron/diffraction radiation (low energy linac)
  - New fast wire scanner wasn't available
- We look for ions instead
- **We used 3 diagnostics**
  - **BPM + spectrum analyzer**
  - **Ion clearing electrode + picoammeter**
  - **Radiation monitors**

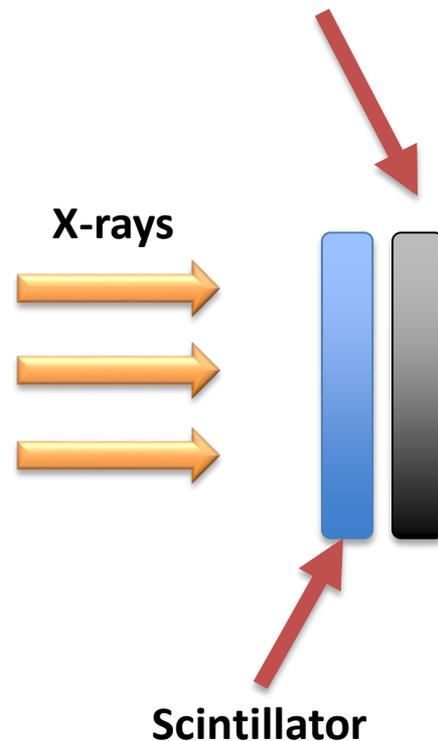


## Operating principle



## Detection

Photomultiplier  
Sensor

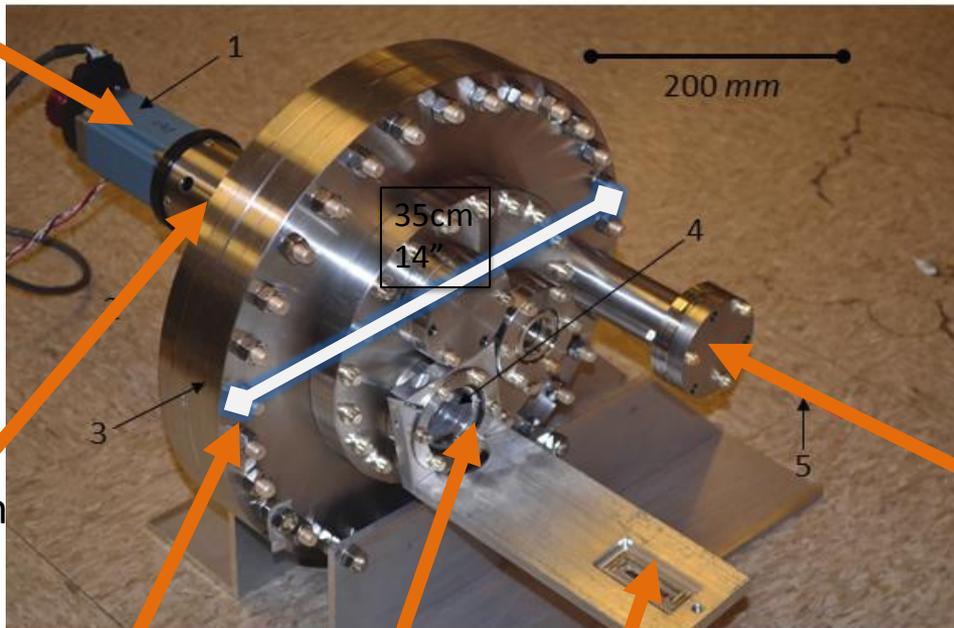




# Flying Wire

Stepper motor

Ferrofluidic Rotary feedthrough

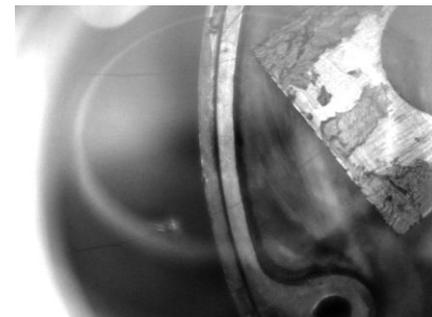
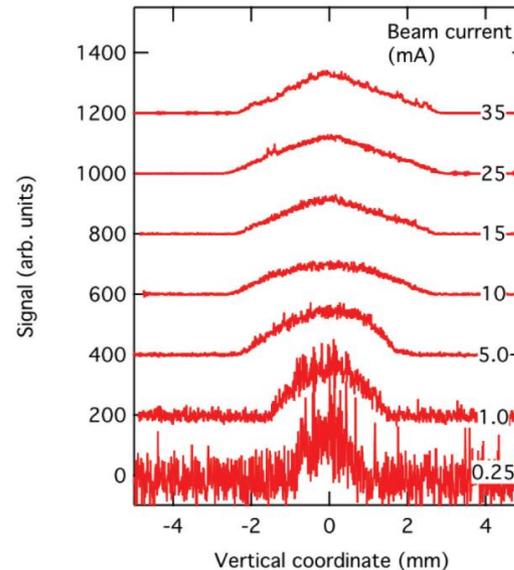


Vacuum flanges

Viewports

Camera mount

Beam pipe

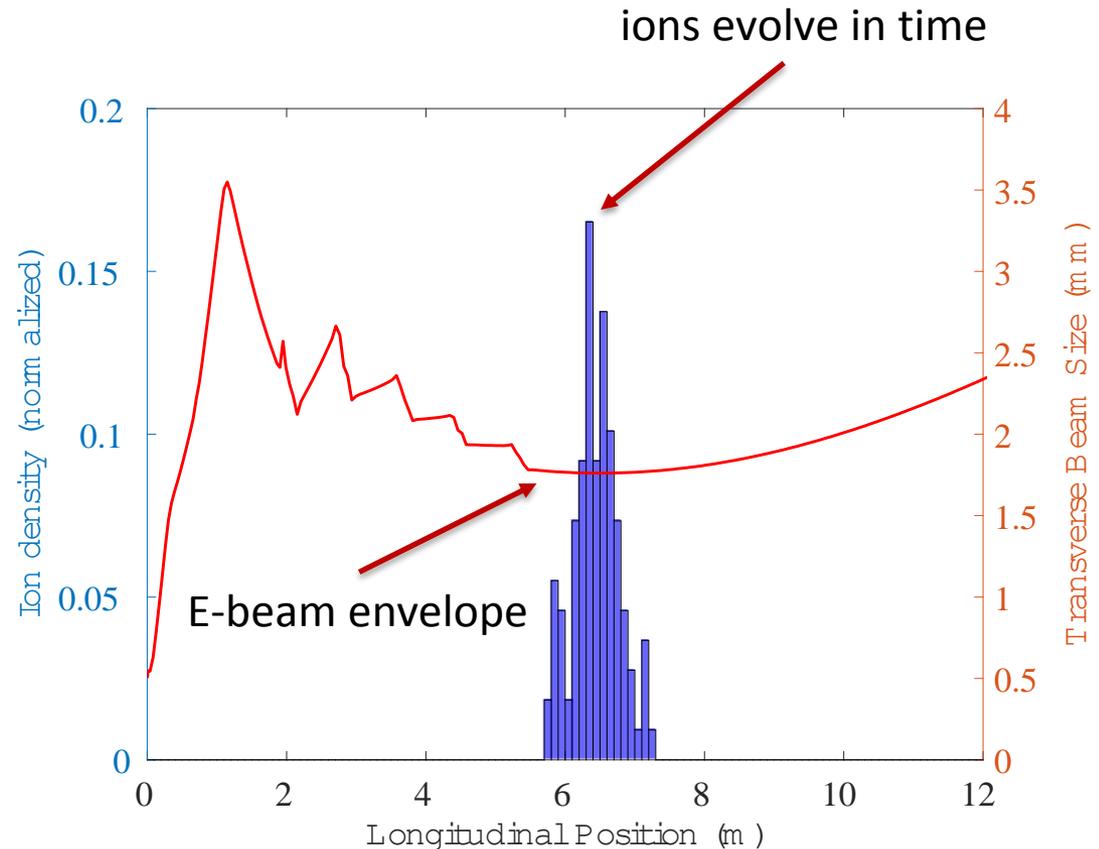


- The wire kept breaking, **problem now solved**
- Poor tensile strength of carbon wires



# Simulation results

- Developed ion-electron tracking simulation software.
- It showed that ions drift longitudinally, and accumulate at beam size minima, as expected.
- Useful for deciding locations of clearing electrodes.



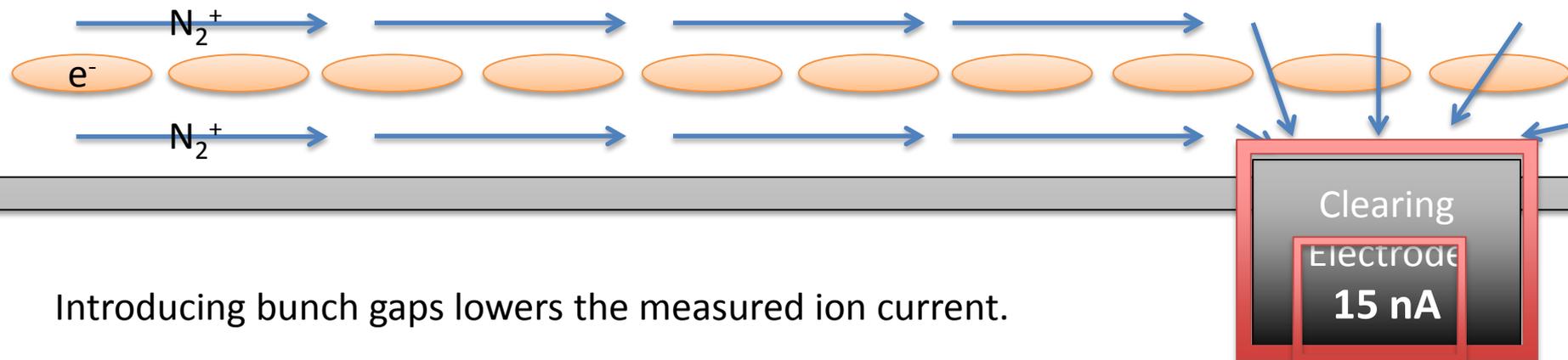


# Clearing electrode setup

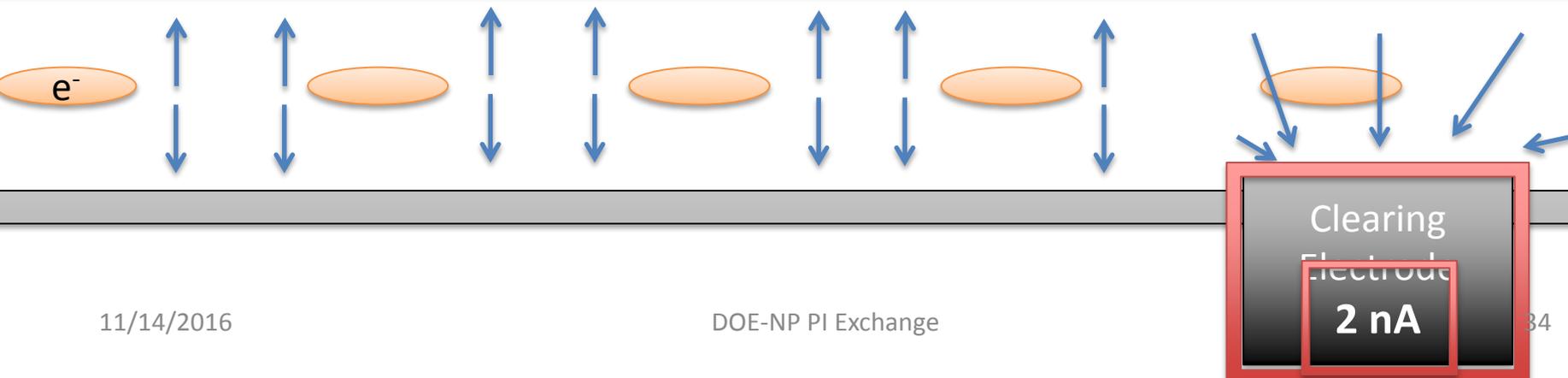
## Clearing electrode and Bunch gap measurements

During CW operation, ions remain trapped, drift towards and are measured by the clearing electrode.

Beam pipe



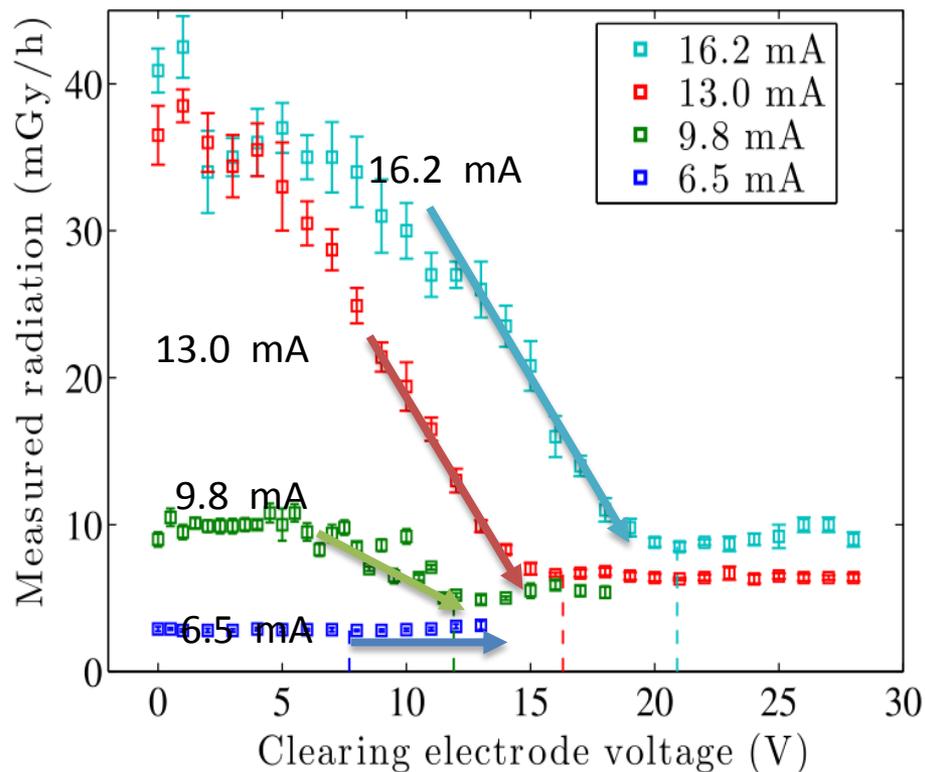
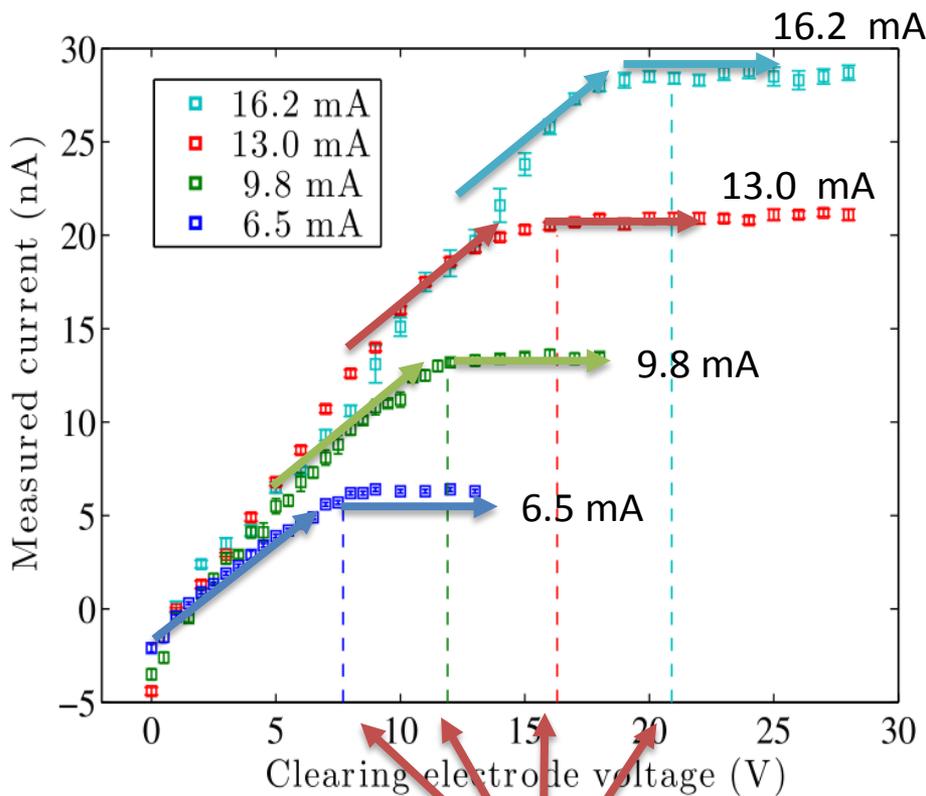
Introducing bunch gaps lowers the measured ion current.





# Clearing electrode tests

## Measured ion current striking the clearing electrode



$$V_{electrode} \geq \frac{I}{2\pi\epsilon_0 C} \frac{d}{\sigma_b}$$

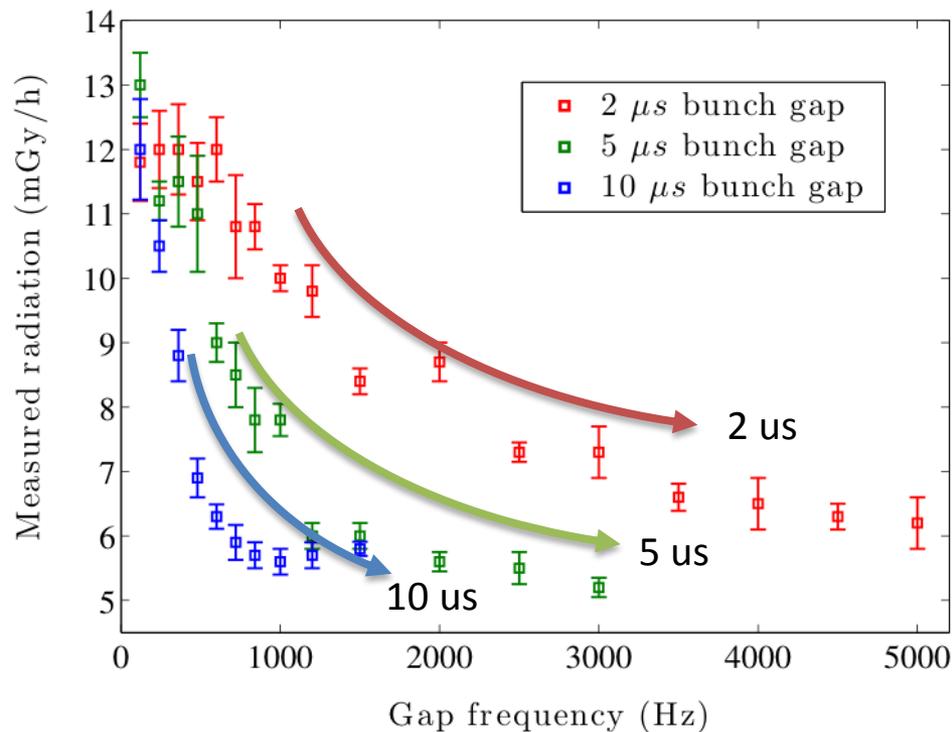
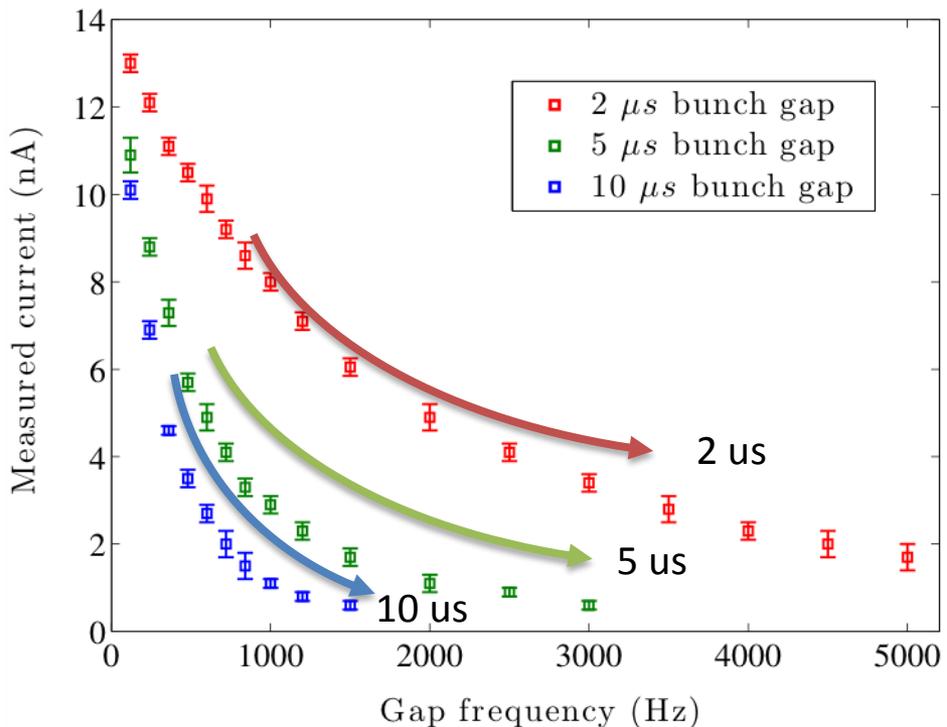
← Clearing electrode separation

← Transverse beam size



# Bunch gap measurements

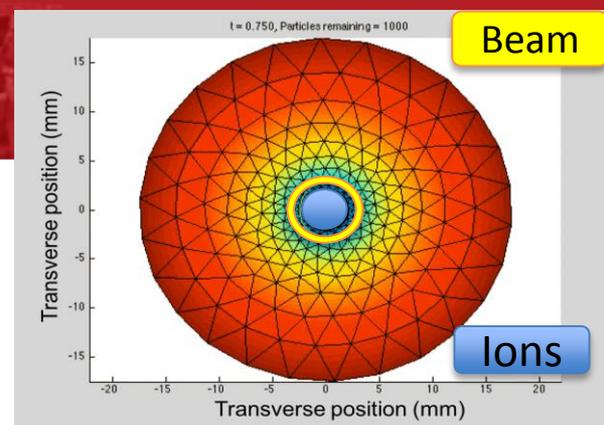
Beam current held fixed at 10 mA



Confirms that the ions are being cleared by the gaps, and not just the clearing electrode



# Beam shaking

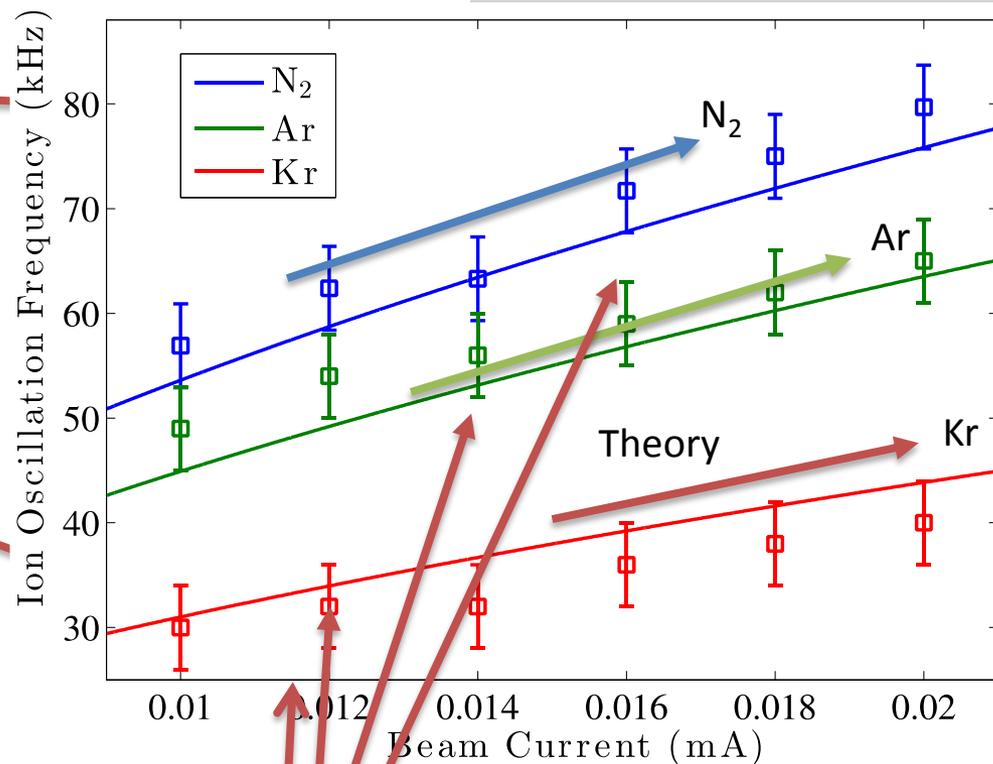


Shaking at the resonance frequency results in a reduction of background radiation.

After leaking gas, our radiation readings increased.

When we sinusoidally shake the beam with the clearing electrode at the ion oscillation frequency, the radiation levels drop significantly.

This was a known mitigation scheme in the 1980's at CERN's antiproton accumulator.

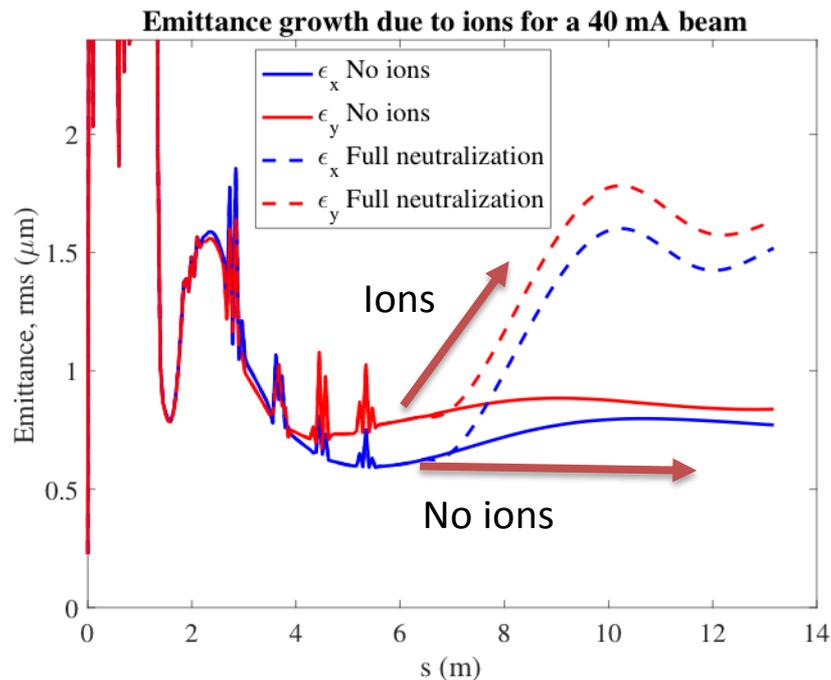
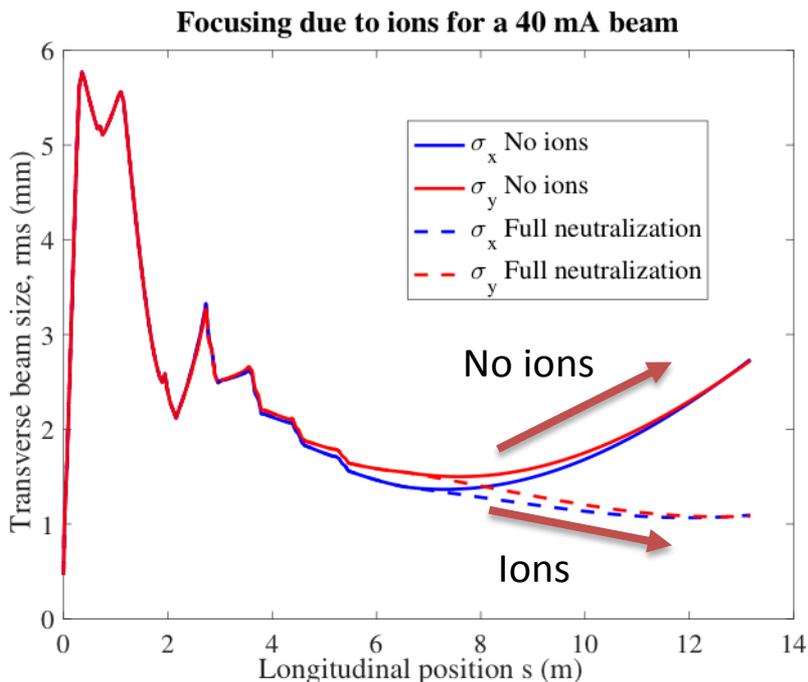


$$\omega_{ions} = \sqrt{\frac{2r_p c}{e} \frac{I_{beam}}{A_{ion} \sigma_{beam}^2}}$$



# Ion effects on beam

- Assume ion column is a very long charge distribution throughout the accelerator, with a Gaussian transverse distribution
- Ions act like a lens, leading to (non-linear) focusing
- In theory, can compensate, but in practice cannot be corrected with linear optics – so let's get rid of them
- Reducing ionization fraction to  $\sim 1\%$  eliminates focusing





# Conclusions

- **1) Nano-coulomb bunch high-current generation**
  - Prepare the **new laser system** for beam operation (both pulsed and 100% duty factor) that has sufficient energy per pulse ( $\geq$ mJ) to generate high charge bunches with appropriate transverse and longitudinal profiles.
  - Generate **1 and 2 nC bunches and characterize the beam emittance** (both transverse and longitudinal) and the bunch length at a reduced repetition rate (<kHz).
  - Operate with **50 mA average beam current** for 1 nC bunches.
- **2) Ion effect for high intensity electron beams**
  - Develop a **new simulation tool for ions**, which combines existing fast space charge routines in GPT along with adiabatic-invariant-based simulator for ions.
  - Determine the **equilibrium ion density** both by direct **measurement** and by examining the creation and clearing rates of ions using clearing electrodes.
  - Measure **beam properties both with and without ion mitigation strategies**, specifically clearing electrodes, clearing gaps, and beam shaking, and compare to the simulations.



# Thanks to Cornell High-Brightness Beam Group

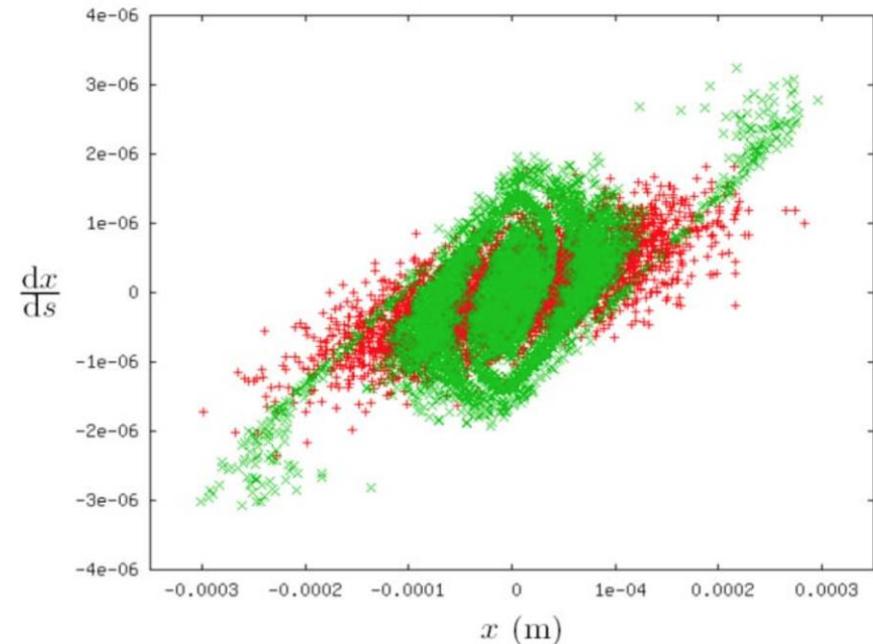


Thank you for the attention !

Ion clearing methods are **required** for stable beam operation above 20 mA.

- Without clearing methods, the machine will trip every 15 – 75 mins.
- Ions can also cause:
  - Emittance growth
  - Beam halo/losses
  - Incoherent tune shifts/spread
  - Betatron phase errors (ion focusing)
  - Charge neutralization (e.g. operational drifts/machine safety)
  - Beam instabilities (ex. Fast Ion Instability)

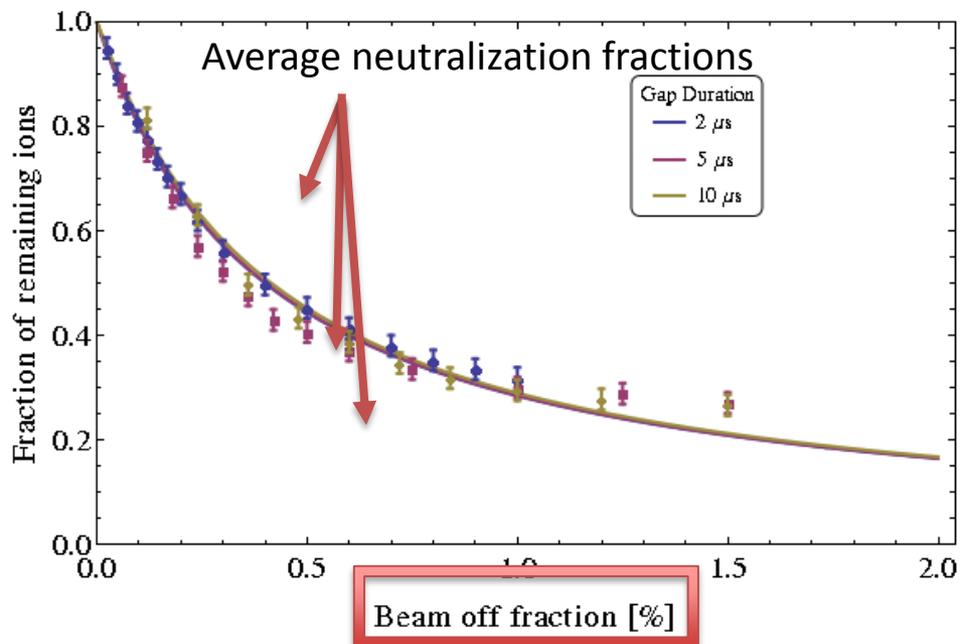
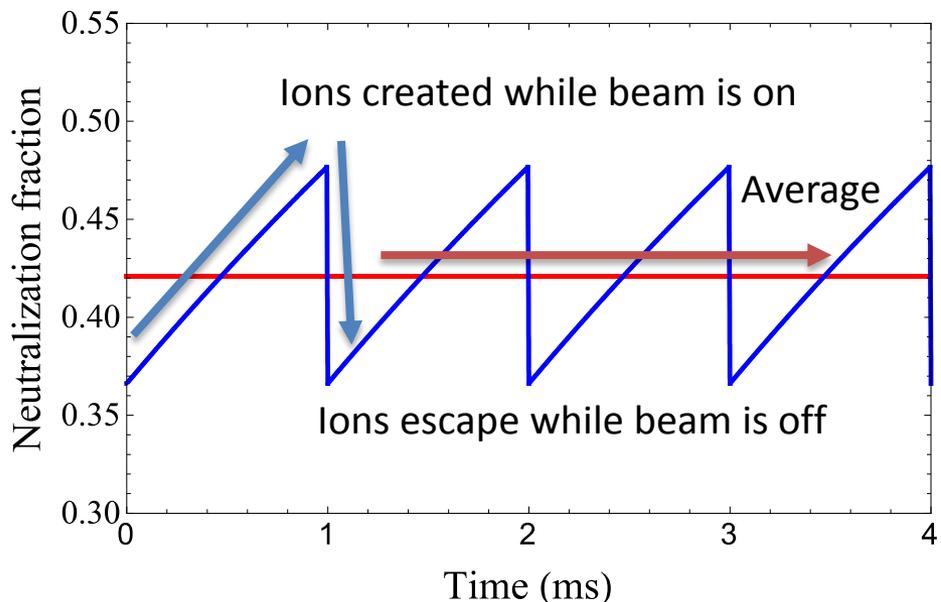
G.H. Hoffstaetter, C. Spethmann, Phys. Rev. ST AB, Volume 11, 014001 (2008)



Simulated Phase space in  
Cornell ERL design  
Green – After traversing 200 m  
ion field  
Red – Normal operation



# Measurement analysis



Our model: The ion density...

- 1) Increases via collision ionization while the beam is on.
- 2) Decays exponentially during the bunch gaps.
- 3) We measured the average neutralization fraction.

$$f_{avg} = \frac{1}{1 + \left(\frac{\tau_1}{\tau_2}\right)\left(\frac{T_2}{T_1}\right)}$$

Amount of clearing depends only on total time beam is turned off.

Flexibility!