

Frequently Asked Questions (FAQs)
For DOE Lab Announcement LAB 19-1902
US-Japan Program for Cooperation in High Energy Physics

Q1: Is there an FOA for research institutions and non-DOE laboratories to which proposals can be submitted for support by the FY 2019 U.S.-Japan Cooperation Program in High Energy Physics?

A1: No. Prior to FY 2018 we followed the “collaboration” model, in which all institutions within a given collaboration submit identical proposals to DOE. In the “collaboration” model each university and lab in a selected collaboration separately receives their funding from DOE. In the alternative “consortium” model, which we adopted in FY 2018, there is one designated lead institution within a consortium (the set of collaborating institutions) that submits a single proposal for the entire consortium. The lead institution is the home of the consortium P.I.; all other institutions have designated Co-Is. Upon selection and authorization, all DOE funds go to the lead institution, following which subawards are made by the lead institution to the other consortium institutions.

In the FY 2019 U.S.-Japan Cooperation Program **only DOE labs may act as lead institutions**. Thus all other institutions must join consortia led by DOE labs in order to receive funding from this program. **Therefore no FOA has been issued for universities or non-DOE laboratories** this year.

The above is discussed in the FY 2019 U.S.-Japan Cooperation Program Lab Announcement, LAB 19-1902, which can be found at the DOE/HEP website under Funding Opportunities.

Q2: How will renewals of currently-funded proposals be processed? Should we submit a new proposal or would a renewal be handled differently?

A2: Proposals may be submitted as renewals if scopes of work continue on the work of awards made from the FY 2018 U.S.-Japan Cooperation Program, although any proposal may be submitted as new. The evaluation and selection processes for renewal and new proposals will be identical.

Q3: Do PIs need to be scientific staff, or can “technical” staff (such as engineers) be Co-Is or lead PIs on proposals to this FOA?

A3: As long as the home institution of the technical staff member allows it, yes. Co-Is should have appropriate scientific and/or technical background to manage the proposed research scope for their institution. Technical competency of the research team to accomplish the proposed scope is one of the merit evaluation criteria that reviewers will assess.