Update from DOE-HEP

Regina Rameika Office of Science - High Energy Physics

> HEPAP Meeting December 5-6, 2024



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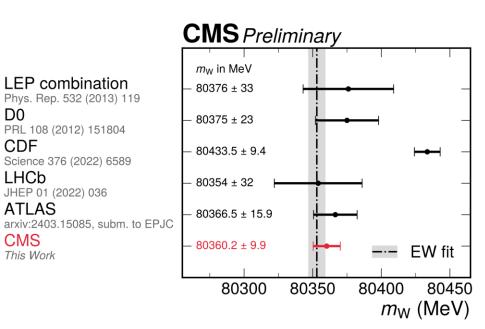
Outline

- Select Program and Project Highlights
- FY24-FY25 Budgets
- International Benchmarking Report



Energy Frontier Program: LHC Physics Highlights

- Excellent performance by the LHC and LHC experiments during calendar year 2024 for Run 3
 - ~124.7 fb⁻¹ of data delivered to ATLAS and CMS
- Over 100 papers released by ATLAS and CMS each in 2024; and >1,300 total papers with collision data thus far by each
- CMS measurement of the W boson mass
 - *m_W* = 80,362.2 ± 9.9 MeV (<u>Press Release</u>, 17 Sept 2024)
 - Use well-understood subset of 13 TeV data: 16.8 fb⁻¹ from the latter part of 2016 run ⇒ focusing on muon channel
 - Measurement compatible with the Standard Model expectations and with other measurements
 - Clear tension with CDF measurement at the Tevatron
- ATLAS and CMS observation of quantum (spin) entanglement of top pair production
 - Inferred from the angle between direction of top decay products \Rightarrow observe spin entanglement larger than 5σ





Observation of quantum entanglement in top-pair production: Nature, 633, pages 542 (2024), CERN press release, 18 September 2024

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HL-LHC Accelerator Upgrade Project

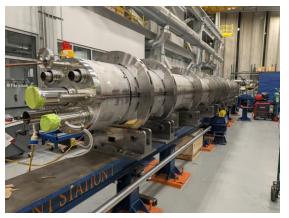




Cryo-Assembly (CA)-01 is at CERN



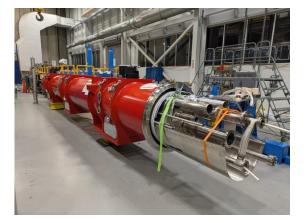
CA-02 prepared for shipping from FNAL.



CA-04 cryomass built at FNAL



CA-05 cryomass built at FNAL



CA-03 in vacuum vessel tested at FNAL





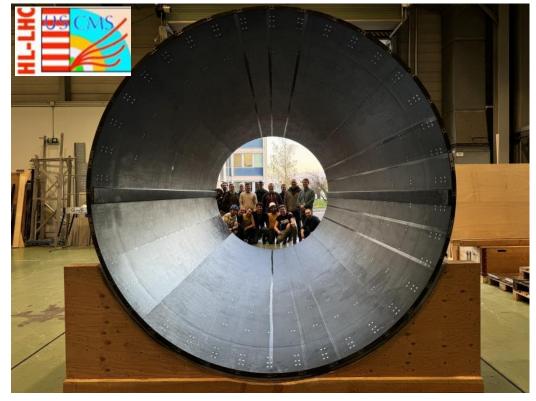
HL-LHC CMS and ATLAS Detector Upgrades

HL-LHC CMS Detector Upgrade

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First US CMS HL-LHC (Phase-II) major upgrade deliverable.

Outer Tracker (BTST) arrived at CERN on November 15, 2024.





HL-LHC ATLAS Detector Upgrade

ATLAS Barrel Detector's carbon fiber cylinder layers nested in the surface cleanroom at CERN.

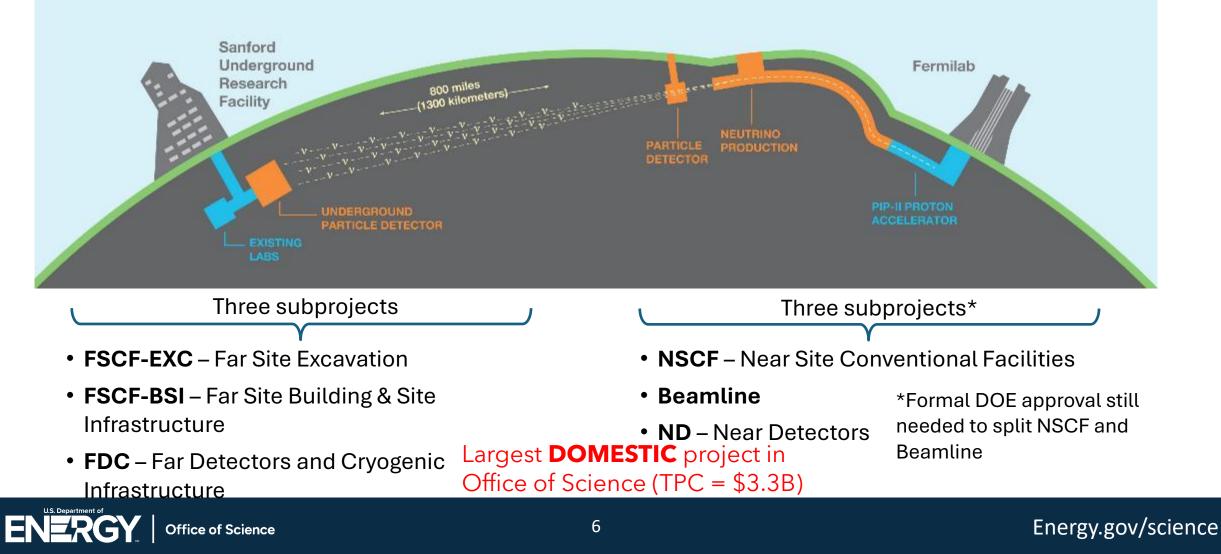


LBNF/DUNE-US Overview

Far Site – SURF in Lead, SD Facility/Infrastructure and Far Detectors

Near Site – FNAL in Batavia, IL

Facility/Infrastructure, Neutrino Beamline, and Near Detectors



LBNF/DUNE-US Far Site Status & Highlights

- FSCF-EXC: Substantially complete! Excavation and concrete work done
- **FSCF-BSI:** Construction in progress
 - Surface work substantially complete
 - Underground work underway
- FDC: Preparing for CD-2/3 in 2025
 - Executing CD-3A & 3B long-lead procurements
 - CD-3C being sought NLT January '25 to sustain momentum through CD-2/3 approval
 - -In-kind contributions are being shored up



Far Detector Cavern – excavation and concrete complete!

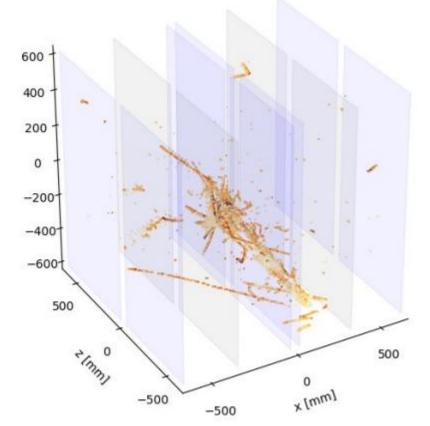


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LBNF/DUNE-US Near Site Status & Highlights

- NSCF: Site prep/wetland remediation complete
 - Preparing for CD-2/3 in 2025
 - Near Site complex construction contracts out for bid
- **Beamline:** CD-3A long lead procurements in progress, assessing readiness for baseline
 - CD-2/3 planned for early 2026
- ND: Optimizing scope to fit in DOE total project cost
 - Key performance parameters being developed
 - Independent external review scheduled for May 2025

Event 20, ID 20 - 2024-07-08 00:20:14 UTC



First candidate neutrino events in the 2x2 prototype for ND LAr

y [mm]

LSSTCam shipped from SLAC to Chile in May. Electro-optical testing shows continued excellent performance.



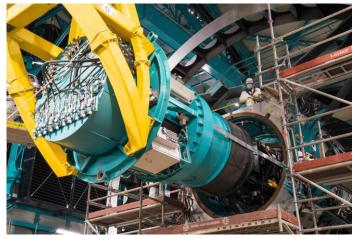






- October: With the ComCam, the NSF-DOE Vera C. Rubin Observatory took first on-sky images and transferred it from Chile to SLAC for processing – first end-to-end test with images.
- LSSTCam will be installed on the Simonyi telescope in February and the survey starts late 2025.
- Observatory operations split ~ 50/50 NSF/DOE. DOE primarily responsible for LSSTCam M&O, US Data Facility at SLAC.

https://www.youtube.com/shorts/qY6YQsjP_7c



ComCam insertion Aug.2024. Credit: Rubin Observatory, NSF, AURA, Hernan Stockebrand

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After 2014, planning began, in coordination with the agencies. The design was revised and developed along the way as it progressed.

<u>2024 May</u>: Due to the need to prioritize the recapitalization of critical Antarctic infrastructure, NSF decided not to move the CMB-S4 project in its current form into the NSF Major Facility Design Stage.

Sept. 2024: DOE/HEP and NSF/MPS charged the CMB-S4 leadership to develop a revised project concept that does not include significant new instrumentation or facilities at the South Pole. The goal is to plan a configuration that is capable of the full set of science goals with reasonable cost, schedule and risk. Set up two study groups:

- Survey the Landscape: Collect and evaluate information regarding the plans and capabilities of CMB experiments that are expected to take data in the next 10 years, and which contribute to the CMB-S4 science goals, to determine how best to use and expand beyond those capabilities.
- 2. <u>Optimize an all-Chile design</u>: Develop the revised design for a Chile-only concept, incorporating the significant design work already done by the CMB-S4 collaboration for both sites, using well-developed scientific, engineering and project planning tools
- Revised concept for the basic design expected by spring 2025
- Agencies will meet regularly with the team along the way to coordinate and monitor progress.



US High Energy Physics in the DOE

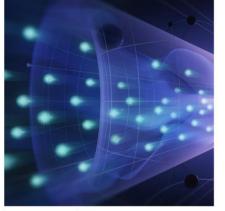
- Planning is guided by the December 2023 P5 Report
- We are truly committed to the concept that HEP must be a global initiative, participating in projects "off-shore" as well as being a reliable host to programs hosted in the U.S.
- We are very constrained by budget realities
- Transition in government may lead to different priorities and/or initiatives



HEPAP P5 report (Dec.2023)

Charge: Develop a 10-year strategic plan for US particle physics, in the context of a 20-year global strategy and two constrained budget scenarios (provided by HEP)

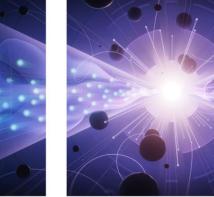
- Low scenario FY2024 President's Budget Request +2% inflation through FY2023
- High scenario Follows FY 2022 Chips & Science Act Authorization, then +3% inflation through FY 2035





Elucidate the Mysteries of Neutrinos

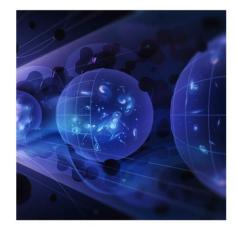
Reveal the Secrets of the Higgs Boson





Search for Direct Evidence of New Particles

Pursue Quantum Imprints of New Phenomena





Determine the Nature of Dark Matter

Understand What Drives Cosmic Evolution

Report w/6 recommendations:

https://www.usparticlephysics.org/2023-p5-report/

HEP first responses – shown at the May 2024 HEPAP

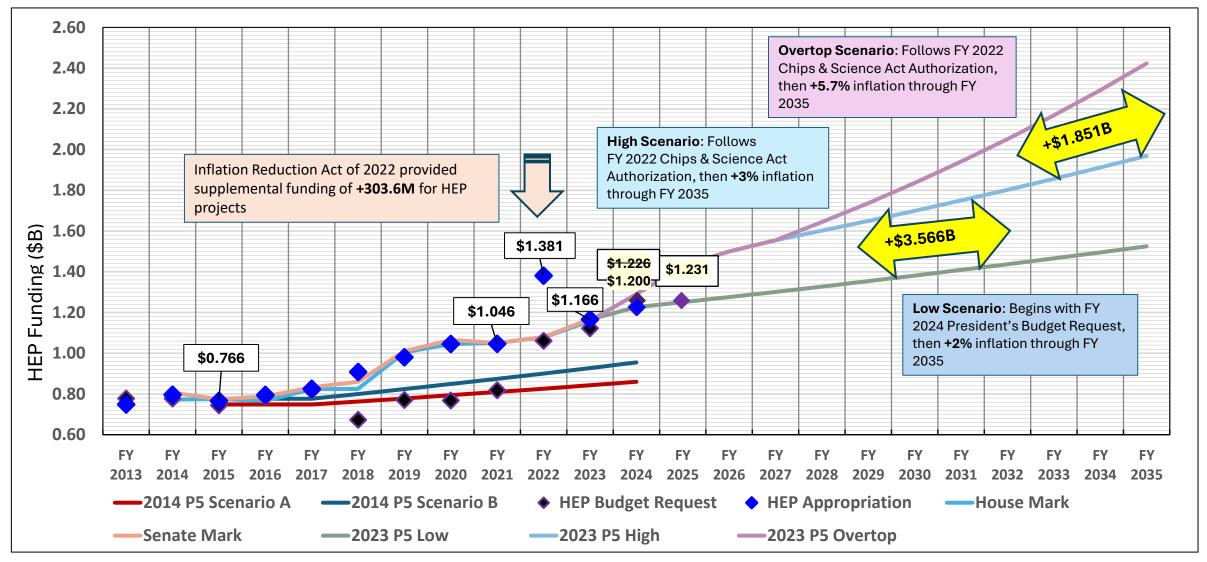
https://science.osti.gov/hep/hepap/Meetings/202405

P5 Resources for Outreach: https://www.usparticlephysics.org/resources/



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HEP Budget History & 2023 P5 Budget Scenarios



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FY 2024 HEP Budget

- Office of Science increased 1.7% from 8.1B in FY 2023 to 8.24B in FY 2024
 - Office of High Energy Physics increased 2.9% (+34M) from 1.166B in FY 2023 to 1.2B in FY 2024
- Congressional direction set LBNF/DUNE and PIP-II at 255M and 125M, which is +\$80M over FY 2023 funding levels
- Additional direction provided floor/ceiling limits for SURF, CMB-S4, ACORN, HL-LHC Upgrade projects, and LBNF/DUNE OPC.
- Congressional directional at the SC level for QIS and AI/ML propagated down to HEP and holds FAIR and RENEW at FY 2023 levels

	FY 2023	FY 2024	FY 2024	FY 2024	FY 2024
	Enacted	Request	House	Senate	Approp
High Energy Physics	868.0	850.3	842.3	850.0	824.0
Construction					
LBNF/DUNE	176.0	251.0	225.0	251.0	251.0
PIP-II	120.0	125.0	125.0	125.0	125.0
Mu2e	2.0				
HEP Total	1,166.0	1,226.3	1,192.3	1,226.0	1,200.0

The agreement provides not less than \$35M for Sanford Underground Research Facility and not less then \$5M for the Accelerator Controls Network Research Operations

High Energy Physics	FY 2023	FY 2024
Research	446,037	424,561
SBIR/STTR	15,867	15,267
Facilities/Ops	349,096	334,972
Projects (excl LIC TEC)	57,000	49,200
Total	868,000	824,000

Funding for HEP Research, MIE Projects and Facility/Experimental Operations decreased 5% from 868M in FY 2023 to 824M in FY 2024.



FY24 HEP FOA/Lab Call Recap

FOA/Lab Call	Status	Release Date	Awards Announced	# HEP Awards	Total HEP Funding (\$M, all yrs)
HEP Comparative Review (via SC Open Call)	Completed	Oct 2023	June 2024	~70	\$111
US-Japan	Completed	Oct 2023	Nov 2024*	18	\$2
EPSCOR Partnerships	Completed	Dec 2023	Aug 2024	3	\$2
Early Career	Completed	Dec 2023	Sep 2024	10	\$14
RENEW	Awaiting announcement	Mar 2024	TBD	TBD	TBD
FAIR	Completed	Mar 2024	Nov 2024	5	\$2
Hardware-Aware AIML	Under review	May 2024	TBD	TBD	TBD
QuanTISED 2.0	Under review	May 2024	TBD	TBD	TBD
Microelectronics	Under review	May 2024	TBD	TBD	TBD

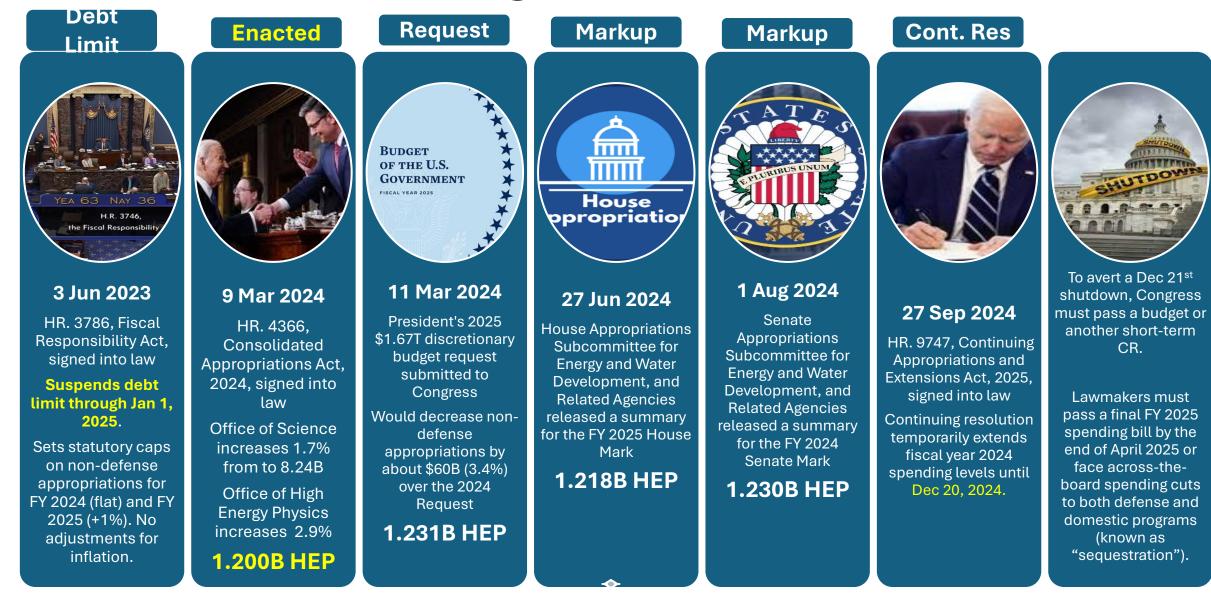
*FY24 US-Japan lab awards decided in Jun 2024 but funding and announcement delayed until early FY25.

FY24 Lessons Learned

- Moving Comparative Review FOA to SC Open Call vehicle helped expedite approval/review process, but reviews still in Q2 FY24 so decision-making work is back-loaded.
 - Comparative Review panels moved into Q1 in FY25
- Extended FY24 Continuing Resolution, challenging budget and additional new approval clearance process did not help with the schedule.
- Large number of special-purpose FOAs/lab calls means PMs are ~continuously busy and work spills over into FY25
- This is a problem SC-wide. Will limit the number of awards with budget periods ending between June/July and Oct/Nov
 - Relatively little impact on HEP most awards have start/end dates in Apr/May.
 - Initial budget period for some New awards may be >12 months to avoid continuations occurring at "crunch time"



Timeline of FY 2025 Budget Headlines



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FY 2025 President's Request Highlights

Research \$395.8M (-\$30.4M, -7.1% from FY 2024 Enacted)

- \$24M increase for AI/ML. \$8M increase for RENEW and FAIR
- \$4M decrease as Accelerate Innovations in Emerging Technologies concludes
- QIS, Microelectronics, Advanced Computing, and Accelerator Science and Technology continue at the FY 2024 Enacted Level
- **\$59.9M decrease** to Core Research. Focus support on high-profile research topics and early research results; key contributions and critical U.S. commitments to experiments & projects; University research & training; other priority cross-cutting initiatives

Facilities Operations \$381.7M (+\$33.2M, +9.5% above FY 2024 Enacted)

- Fermilab Accelerator Complex \$166.9M (+\$25.3M, +17.9% above FY 2024 Enacted): 5,180 hours
- SLAC FACET-II \$17.6M (+\$1.1M, +6.9% above FY 2024 Enacted): 3,120 hours
- U.S. LHC Detector Operations \$57.3M (+\$4.5M, +8.5% above FY 2024 Enacted)
- Vera Rubin Operations \$33M (+\$2.1M, +6.7% above FY 2024 Enacted)
- Sanford Underground Research Facility \$35M (No change from FY 2024 Enacted)

Projects \$453.2M (+\$28.0M, +6.6% above FY 2024 Enacted)

- LBNF/DUNE \$280M (+\$25M, +10% above FY 2024 Enacted to support LBNF/DUNE's five subprojects)
- ACORN \$10M (+\$5M, +100% above FY 2024 Enacted)
- CMB-S4 \$4.5M (level funding from FY 2024 Enacted)
- ATLAS and CMS Detectors \$33.7M (-\$2M, -6% below FY 2024 Enacted): as per the baselined profiles
- PIP-II \$125M (level from FY 2024 Enacted): continue support for baseline profile

FY25 HEP NOFO/Lab Call Plan

FOA/Lab Call	Status	Release Date	Awards Announced	# HEP Awards
HEP Comparative Review (via SC Open Call)	Under Review	Sep 2024	April 2025	TBD
US-Japan	Deferred*	n/a		
EPSCOR Implementation	Under review	Sep 2024	TBD	TBD
Early Career	Awaiting release	TBD	TBD	TBD
RENEW	Depends on FY25 budget	TBD	TBD	TBD
FAIR	As above	TBD	TBD	TBD
AI for HEP Theory and Data Analysis	As above	TBD	TBD	TBD
Accelerator Stewardship	As above	TBD	TBD	TBD

*FY25 US-Japan Lab Call cancelled due to budget constraints. Expect to re-start in FY26.



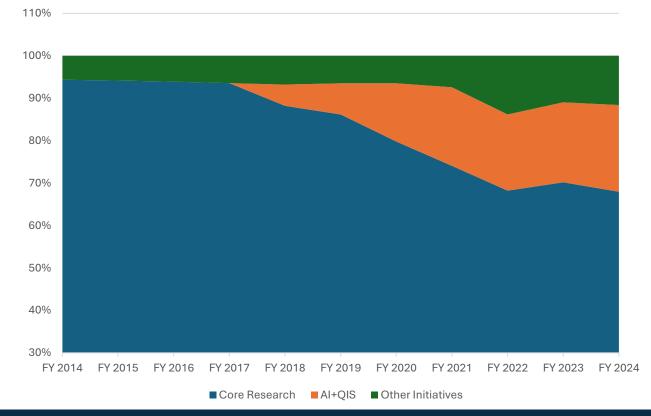
FY25 Outlook

- Large changes to NOFO (formerly FOA) template initiated by OMB (mostly restructuring where sections go, but may confuse some stakeholders)
 - Office Hour/Q&A sessions, PI outreach will be increased
- EPSCOR will cycle to "Implementation" awards (large multi-year, institution building). Pre-applications under review now.
- Early Career call will happen, just a question of when
- Fate of RENEW/FAIR tied to FY25 Budget
- Available funding for AI and Accel Stewardship calls also depends on budget
- No new QIS call (QuantISED 2.0 awards will be multi-year, starting FY25).
- ASTAE/AGILE small projects not happening (yet).



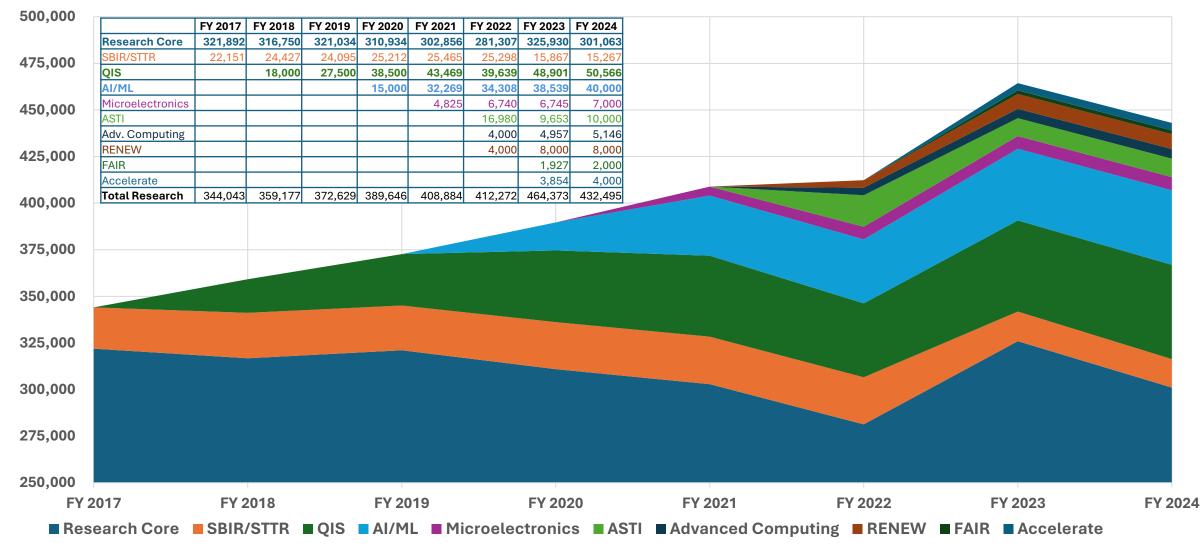
SC Initiatives and Funding

- SC Initiatives provide focus on areas of strategic importance
 - Initiatives do not change the focus of the DOE or HEP mission but emphasize how it can be accomplished with the most impact
- Since 2018, the number of and funding to initiatives has increased
 - In 2017, 93% of DOE HEP's Total Research budget was in Core
 - SBIR/STTR the only non-Core funding
 - In 2024, 69% of DOE HEP's Research budget from appropriation was Core
 - QIS, AI/ML, SBIR/STTR, ASTI, RENEW, Microelectronics, Advanced Computing, Accelerate, FAIR
 - QIS+AI/ML were 20% of total HEP Research
 - All other programs combined were 11%



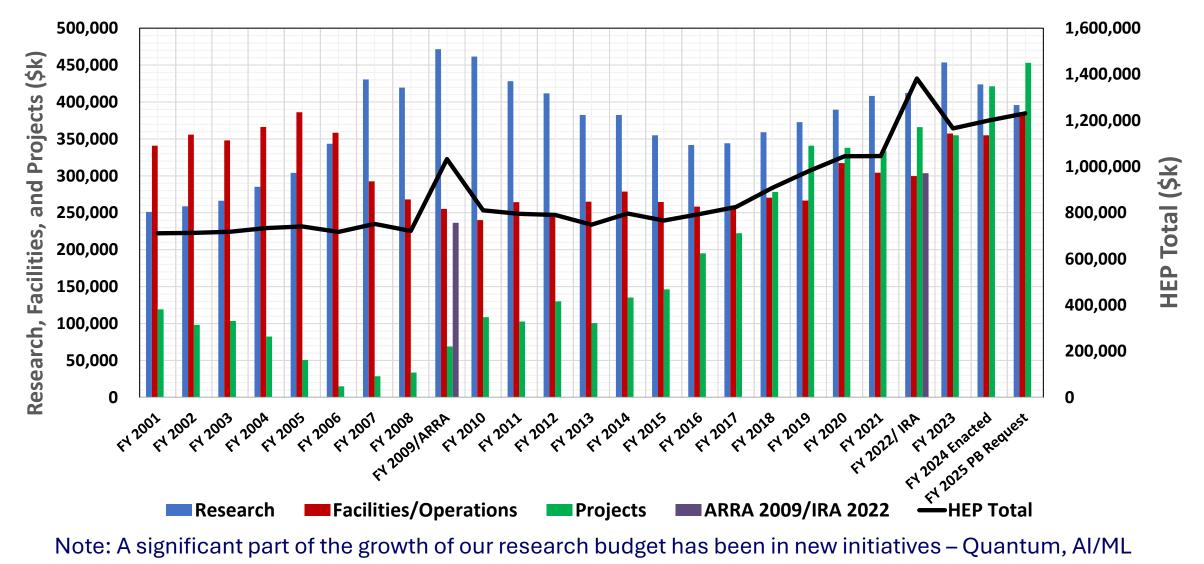
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HEP Research Breakdown (\$k) FY 2017-2024



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HEP Budget (\$K): Research, Facilities & Projects



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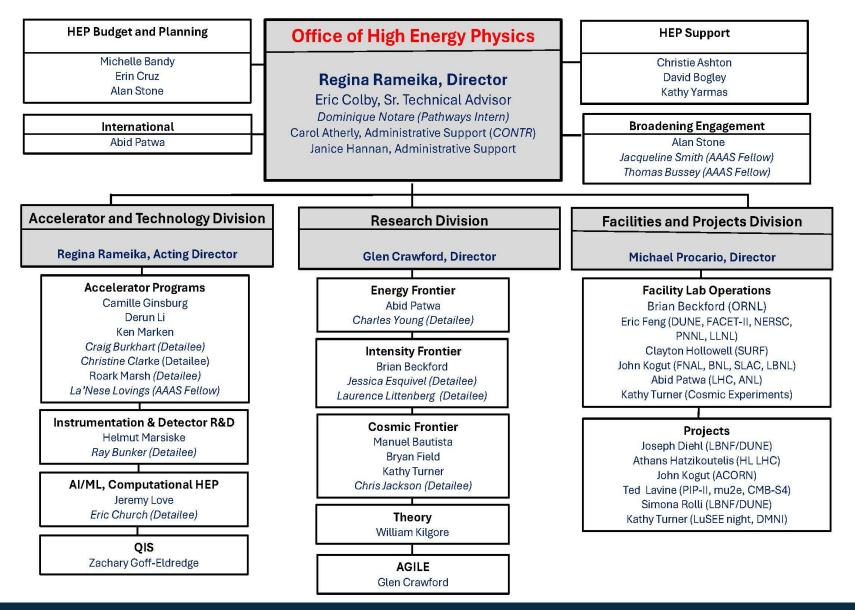
Status on FY 2026

- FY 2026 comes with a unique set of opportunities, challenges, and unknowns
- Opportunities
 - HEP will begin the implementation of 2023 P5 guidance during the FY 2026 Formulation phase of the budget process
- Challenges
 - Transition to a new Administration will come with new political appointees and S&T priorities
 - Communicating 2023 P5 to the 119th Congress. New members: 66 (of 435) in House and 12 (of 50) in Senate
 - The debt ceiling limit authority expires Jan 1, 2025
- Unknowns
 - New Administration will reset the FY 2026 budget process. Anticipate a "skinny" budget with high level overview by early Spring, and a detailed President's Budget Request about 2 months later.
 - Change in leadership in House and Senate may impact the level of support for Basic Research

FY 2024 Budget	Spend the Fiscal Year Budget		
FY 2025 Budget	OMB Review Congressional Budget and Appropriations	Spend the Fiscal Year Budget	
FY 2026 Budget	DOE Internal Planning with OMB and OSTP Guidance	OMB to and Appropriations	Spend the Fiscal Year Budget
	OctNovDecJanFebMarAprMayJunJulAugSepC3C3C3	Oct Nov Dec Jan Feb Mar Apr May Jun Jul Aug Se	p Oct Nov Dec Jan Feb Mar Apr May Jun Jul Aug Sep Calendar Year 2026

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HEP Organization (Sept. 2024)



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New Working Groups in DOE-HEP

- Cosmic Working Group K. Turner, M. Batista, B. Field
- Fermilab Accelerator Group E. Colby, C. Ginsburg, J. Kogut
- Neutrino Group S. Rolli, J. Diehl, A. Hatzikoutelis, E. Feng, B. Beckford, J. Kogut

Developing specific charges -

- Advanced Technologies (in planning) H. Marsiske, J. Love, Z. Goff-Eldredge
- Energy Frontier Group A. Patwa, A. Hatzikoutelis, +TBD
 - LHC, HL-LHC, future energy frontier opportunities

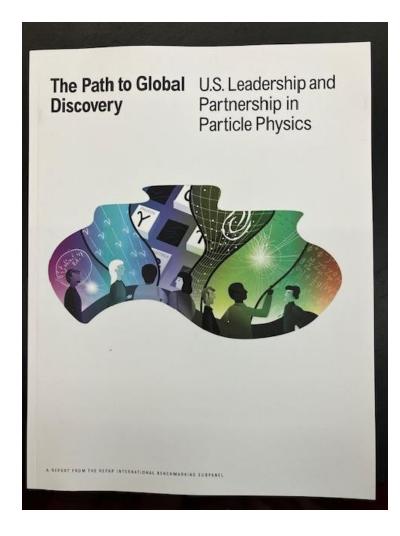
Neutrino Working Group

- A transversal Working group has been established in HEP comprising program managers for the following areas:
 - LBNF/DUNE project- <u>Simona Rolli (Chair)</u>, Joe Diehl, Athans Hatzikoutelis
 - nascent DUNE Operations program Eric Feng
 - Fermilab Detector & Accelerator Operations program John Kogut
 - Research at the Intensity Frontier Brian Beckford
- The working group reports to the HEP AD and "should identify resources, human & operational, active in the neutrino area and associated technology areas, seek optimization strategies with the goal of strengthening the nascent DUNE program and propose solutions to any issues that arise. "
- Members of the group have sent a data call request to labs & universities PIs to provide information about the current person-power efforts devoted to DUNE activities: hardware & software efforts necessary to complete the DUNE construction and to be ready to commission detectors & beamline
- As the DUNE program will grow over the years to become the major domestic and Fermilab activity (similarly to what the Tevatron program was) we also requested information on the ramp up of activities in the next five years to start optimizing the funding and resources toward DUNE this information will be updated every year from now on and used to inform budgetary decisions in the IF Research area and Fermilab Operations.



International Benchmarking Subpanel Report

- HEPAP charged to form a subpanel to conduct an international benchmarking study to evaluate U.S. leadership in particle physics in a global context in February 2022.
- Draft report delivered to HEPAP in October 2023 and approved in November 2023
- There were 7 Key Findings and Recommendations, and numerous sub-findings and recommendations
- A draft report was available to the 2023 P5 Panel
- The report did not address budget issues



Key Finding and Recommendation – Scientific breadth and application

- Finding : Particle physics theory and experiments address deep mysteries of the universe while advancing concepts and technology that are vital to other research fields as well as society at large.
- Recommendation : Strengthen investments to advance particle physics discoveries as well as benefits to other scientific disciplines and society.
- Response : Agree this is our HEP mission



Key Finding and Recommendation – *Scientific breadth and application*

- Finding : The field of particle physics is a vibrant research ecosystem, built by an international network of partnering nations, facilities, experiments, and people. To be a leader, the U.S. must continuously produce scientific results, build facilities and experiments for the future, and advance new ideas and technologies that enable discoveries of tomorrow.
- Recommendation : Maintain a comprehensive program at home and abroad, with a range of experiment scales and strategic balance among construction projects, operations of experiments and facilities, and core research activities, including the development of future facilities.
- Response Agree; we believe that our program is structured to do this



Key Finding and Recommendation – *Collaborating across the globe*

- Finding : Frontier research in particle physics necessitates international collaboration and cooperation. The combined expertise and resources from nations around the world enable discoveries and technological advances impossible to achieve by any single nation. It is the global particle physics program that collectively addresses the burning scientific questions across the breadth of the field.
- Recommendation : Continue support for and actively seek engagement with international collaborations and partnerships of all sizes.
- Response : This is the plan....



Key Finding and Recommendation -Being a partner of choice

- Finding : Success in hosting and participating in international collaborations requires tailored approaches to collaboration governance and project management, host lab environments that are conducive to international research teams, and the ability to make reliable agreements with international partners.
- Recommendation : Implement structures for hosting strong international collaborations, act with timeliness, consistently meet obligations, and facilitate open communication with partners.
- Response : We do our best to do this, but agree there is room for improvement

Key Finding and Recommendation – *Strengthening critical capabilities*

- Finding : It is our state-of-the-art expertise in the tools, technology, and techniques of particle physics that makes the U.S. a soughtafter partner and gives us the ability to impact future experiments at home and abroad.
- Recommendation : Continuously develop critical technologies to maintain and grow U.S. leadership in particle physics at home and abroad.
- Response : Agree this is the SC mission



Key Finding and Recommendation – *Advancing national initiatives*

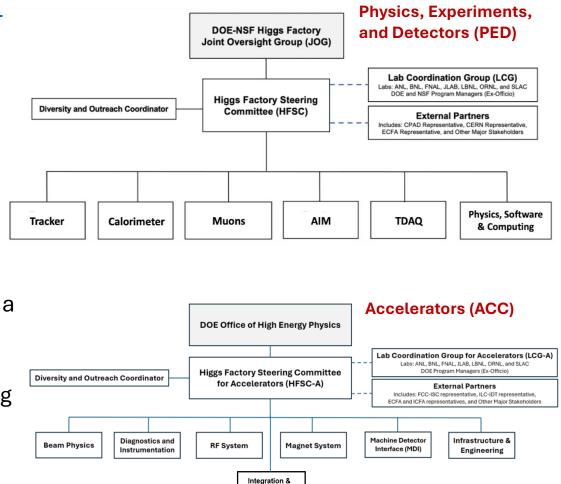
- Finding : The national initiatives in artificial intelligence and machine learning, quantum information science, microelectronics are accelerating new research avenues in particle physics, and particle physics contributions to these initiatives are bringing new ideas and new technologies to a range of disciplines.
- Recommendation : Enhance and leverage the innovative role that particle physics plays in artificial intelligence and machine learning, quantum information science, and microelectronics to advance both particle physics and these national initiatives.
- Response This is what we are attempting to do with the strong support we have been receiving in these areas

Key Finding and Recommendation – *Building a robust workforce*

- Finding : Attracting, inspiring, training, and retaining a diverse workforce is vital to the success of all particle physics endeavors and more broadly to U.S. science and technology. A robust particle physics workforce will both leverage and be representative of the diversity of the nation.
- Recommendation : Explore frontier science using cutting-edge technologies to inspire the public and the next generation of scientists while opening new pathways to diversify the workforce and realize the full potential of the field.
- Response HEP has a strong presence in the area of SC Broadening Engagements

U.S. Higgs Factory Coordination Consortia (HFCC)

- Earlier this year, formed two consortia for the US community to engage and advance the development of a potential future off-shore Higgs factory
 - May 2024: Charge by DOE & NSF to form US HFCC for Physics, Experiments, and Detectors (PED)
 - Aug 2024: Charge by DOE to form US HFCC for Accelerators (ACC)
- Over the last few months, various L2 and L3 subsystem roles filled by experts for each US HFCC PED and ACC
- Other related efforts since the release of 2023 P5 report:
 - Joint US-CERN Statement of Intent for the US to collaborate at a future FCC-ee should the project receive a green-light (*discussed at the last May 2024 HEPAP meeting*)
 - Requested above US HFCC to prepare input to the now ongoing update of European Strategy for Particle Physics
- As P5 report has now been formulated and delivered, above initiatives are part of the agencies' implementation process... more details in a dedicated talk later today



Sustainability

This meeting

- We have reports on :
 - Agreements with CERN and US
 - Strategy and Vision for the Quantum and AI/ML SC Initiatives
 - A&T Division Overview and HEP Comparative Review of the GARD Program
 - Status of European Strategy for Particle Physics
 - US Higgs Factory Steering Activities
 - US Muon Collider Collaboration Activities
 - Test beam facilities
 - CMB Science Reports
 - Committee of Visitors Report on DOE HEP Facilities Division and DOE Response
 - Fermilab