

**DEPARTMENT OF ENERGY
OFFICE OF SCIENCE
HIGH ENERGY PHYSICS**



DARK MATTER NEW INITIATIVES

**DOE NATIONAL LABORATORY PROGRAM ANNOUNCEMENT NUMBER:
LAB 19-2112**

ANNOUNCEMENT TYPE: INITIAL

FOA Issue Date:	April 17, 2019
Submission Deadline for Letters of Intent:	April 30, 2019 at 5 PM Eastern Time (A Letter of Intent is highly encouraged)
Submission Deadline for Applications:	May 30, 2019 at 5 PM Eastern Time

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REGISTRATIONS

A. DOE Office of Science Portfolio Analysis and Management System (PAMS)

The Department of Energy (DOE) Office of Science (SC) performs many functions for DOE national laboratory proposals in the Portfolio Analysis and Management System (PAMS), which is available at <https://pamspublic.science.energy.gov>.

You must register in PAMS to submit a pre-proposal, letter of intent, or DOE national laboratory proposal.

To access PAMS, you may use the Internet Explorer, Firefox, Google Chrome, or Safari browsers.

Notifications sent from the PAMS system will come from the PAMS email address <PAMS.Autoreply@science.doe.gov>. Please make sure your email server/software allows delivery of emails from the PAMS email address to yours.

Registering to PAMS is a two-step process; once you create an individual account, you must associate yourself with (“register to”) your institution. Detailed steps are listed below.

1. CREATE PAMS ACCOUNT:

To register, click the “Create New PAMS Account” link on the website <https://pamspublic.science.energy.gov/>.

- Click the “No, I have never had an account” link and then the “Create Account” button.
- You will be prompted to enter your name and email address, create a username and password, and select a security question and answer. Once you have done this, click the “Save and Continue” button.
- On the next page, enter the required information (at least one phone number and your mailing address) and any optional information you wish to provide (e.g., FAX number, website, mailstop code, additional email addresses or phone numbers, Division/Department). Click the “Create Account” button.
- Read the user agreement and click the “Accept” button to indicate that you understand your responsibilities and agree to comply with the rules of behavior for PAMS.
- PAMS will take you the “Having Trouble Logging In?” page. (Note: If you reviewed for or were listed as PI on a prior submission to SC but have not previously created an account, you may already be linked to an institution in PAMS. If this is the case, PAMS will take you to the PAMS home page.)

2. REGISTER TO YOUR INSTITUTION:

- Click the link labeled “Option 2: I know my institution and I am here to register to the institution.” (Note: If you previously created a PAMS account but did not register to an

institution at that time, you must click the Institutions tab and click the “Register to Institution” link.)

- PAMS will take you to the “Register to Institution” page.
- Type a word or phrase from your institution name in the field labeled, “Institution Name like,” choose the radio button next to the item that best describes your role in the system, and click the “Search” button. A “like” search in PAMS returns results that contain the word or phrase you enter; you need not enter the exact name of the institution, but you should enter a word or phrase contained within the institution name. (Hint: If your institution has an acronym, such as ANL for Argonne National Laboratory or UCLA for the Regents of the University of California, Los Angeles, you may search for the acronym under “Institution Name like.” Many institutions with acronyms are listed in PAMS with their acronyms in parentheses after their names.)
- Find your institution in the list that is returned by the search and click the “Actions” link in the Options column next to the institution name to obtain a dropdown list. Select “Add me to this institution” from the dropdown. PAMS will take you to the “Institutions – List” page.
- If you do not see your institution in the initial search results, you can search again by clicking the “Cancel” button, clicking the Option 2 link, and repeating the search.
- All DOE National Laboratories have established profiles in PAMS, so please keep searching until you find your laboratory.

For help with PAMS, click the “External User Guide” link on the PAMS website, <https://pamspublic.science.energy.gov/>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, Email: sc.pams-helpdesk@science.doe.gov. All submissions and inquiries about this DOE National Laboratory Announcement should reference **LAB 19-2112**.

UPDATES AND REMINDERS

RECOMMENDATION

SC encourages you to register in all systems as soon as possible. You are also encouraged to submit letters of intent and proposals before the deadline.

AVOIDING ERRORS

The following advice is compiled from actual experiences of applicants for SC awards.

- Please ensure that the research narrative is comprised of one and only one Portable Document Format (PDF) file, including all appendices, when it is uploaded.
- When using the PAMS website at <https://pamspublic.science.energy.gov>, please avoid using the back-arrow button in your web browser to navigate.
- Please ensure that the proposal contains no personally identifiable information (PII).
- Please ensure that the budget is calculated using the applicable negotiated indirect cost and fringe benefit rates.

DATA MANAGEMENT PLAN

The Office of Science Statement on Digital Data Management, published at <http://science.energy.gov/funding-opportunities/digital-data-management/>, governs proposals submitted under this Announcement. Compliance is detailed in Section IV of this Announcement.

ACKNOWLEDGMENT OF FEDERAL SUPPORT

SC published guidance about how its support should be acknowledged at <http://science.energy.gov/funding-opportunities/acknowledgements/>.

Section I – DOE NATIONAL LABORATORY OPPORTUNITY DESCRIPTION

General inquiries about this Announcement should be directed to:

Technical/Scientific Program Contact:

Dr. Kathleen Turner
301-903-1759
Kathy.Turner@science.doe.gov

Dr, Karen Byrum
301-903-4115
Karen.Byrum@science.doe.gov

Administrative Contact:

Questions about non-technical matters including program rules may be sent to:
SC_HEPfoa@science.doe.gov

SUMMARY

The DOE SC program in High Energy Physics (HEP) hereby invites proposals for new opportunities to develop the design and execution plans for small projects to carry out dark matter particle searches, making use of DOE laboratory infrastructure and/or technology capabilities. This Program Announcement to the DOE National Laboratories follows the recommendations of the Basic Research Needs (BRN) workshop for Dark Matter New Initiatives, which was held on October 15-18, 2018 [1].

SUPPLEMENTARY INFORMATION

The following program description is offered to provide more in-depth information on scientific and technical areas of interest to the HEP program.

Program Objective

The U.S. particle physics community's current vision for the future is embodied in the Particle Physics Project Prioritization Panel (P5) report [2] that presents a strategy for the next decade centered on five intertwined science drivers, including the search for dark matter particles. This FOA is for new opportunities to develop the design and execution plans for small projects to carry out dark matter particle searches, making use of DOE laboratory infrastructure and/or technology capabilities. The small project should support the dark matter science Priority Research Directions (PRDs) defined in the BRN workshop on Dark Matter New Initiatives [1] and described below.

- **PRD #1: Create and detect dark matter particles and associated forces below the proton mass, leveraging DOE accelerators that produce beams of energetic particles.** The interactions of energetic particles recreate the conditions of dark matter

production in the early Universe. Small experiments using established detector technology can detect dark matter production with sufficient sensitivity to test compelling explanations for the origin of dark matter and explore the nature of its interactions. These experiments draw on the unique capabilities of multiple DOE accelerator facilities (for example, the Continuous Electron Beam Accelerator Facility, Linac Coherent Light Source-II, Spallation Neutron Source, Los Alamos Neutron Science Center and the Fermilab complex) to enable transformative new science without disrupting their existing programs.

- **PRD #2: Detect individual galactic dark matter particles below the proton mass through interactions with advanced, ultrasensitive detectors:** Galactic dark matter passes through the earth undetected every second. Recent advances in particle theory highlight new compelling paradigms for the origin of dark matter and its detection. Revolutionary technological advances now allow us to discover individual dark matter particles ranging from the proton mass to twelve orders of magnitude below, through their interactions with electrons and nuclei in advanced detectors. New small projects leveraging these theoretical and technological advances would be carried out by using DOE laboratories, infrastructure, personnel, and underground facilities, such as the Sanford Underground Research Facility.
- **PRD #3: Detect wave dark matter using innovative technologies with emphasis on resolving a decades old mystery of the physics inside the nucleus, the so-called “QCD axion”:** Recent theoretical advances and developments in quantum sensors finally enable the search for dark matter waves over twenty-two orders of magnitude in the ultralight mass range, previously inaccessible to observation. Discovery of these dark matter waves would provide a glimpse into the earliest moments in the origin of the Universe and the laws of nature at ultrahigh energies, far beyond what can be probed in particle colliders. DOE resources, infrastructure, technology capabilities, and personnel are required to achieve maximum impact.

Authorizations made under this Announcement are to support research in developing plans for small projects that support one of the PRDs in the search for dark matter particles. Funds provided under this Announcement are intended primarily for costs for engineering and technical efforts, apparatus, materials and supplies, management and travel related to the planned efforts. No DOE laboratory scientific staff are to be supported with award funds. Limited university scientific staff may be supported. Activities to carry out the small project fabrication or operation phases are outside the scope of this Announcement.

The new opportunities supported in this Announcement are divided into two separate “Tracks” that respond to the PRDs. Proposals must only address **one** track.

- Track #1 calls for 1 to 2 years of effort to pursue planning activities that culminate in a design report and execution plan for carrying out the small project. Track #1 proposals are limited to 2 years of funding.

- Track #2 calls for 2 to 4 years of effort with the first 1 to 2 years used to pursue near-term technology research and development (R&D) followed by 1 to 2 years to pursue design studies that culminates in a design report and execution plan for carrying out the small project as described in Track #1 above. The near-term technology studies can include technology development, demonstration and risk reduction efforts. The last 1 to 2 years of funding for this track is contingent upon sufficient technology progress. Track #2 proposals are limited to 4 years of funding.

Developing the plans for a small project is the subject of this Announcement. The small project is expected to make use of DOE’s laboratory infrastructure and/or technology capabilities and be scientifically managed by a consortium that includes more than one principal investigator (PI).

The fabrication costs defines the size of a project that will be planned for in an award resulting from this Announcement. The fabrication cost is **not** a form of cost-sharing or institutional commitment in awards under this Announcement. Proposals submitted in response to this Announcement should state the expected fabrication costs of a future small project that will be planned for during awards made under this Announcement. To assist in planning efforts, the following standards apply to the fabrication cost of a future small project:

- It is expected to range between \$2 million and \$15 million
- The request to DOE is expected to be at least three quarters (75%) of the costs.
- It includes the costs of fabrication (including engineering, technical and computing efforts, apparatus, materials and supplies, travel, commissioning and management), but excludes the costs of research or operating the experiment.
- It excludes the value of efforts supported by awards made under this FOA.
- It may include the value of contributions from institutional (non-DOE National Laboratories) resources and from other sponsors (foreign and domestic).

Funds provided from this Announcement are not intended to carry out the fabrication or operations phases of the small project.

Applicants are encouraged to pay attention to the additional requirements, proposal preparation guidelines and merit review criteria provided later in this Announcement.

Universities and other private-sector entities may not submit proposals under this Announcement, but they may submit applications under its companion Funding Opportunity Announcement DE-FOA-0002112 at <https://www.Grants.gov>.

Table Summarizing Categories and Related Guidelines

Proposal Type	Topics	Eligibility	Floor	Ceiling	Duration
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Track 1	Small project development efforts that culminate in a design report and execution plan.	All domestic institutions; Single or multi-institutional proposals; laboratory-led consortium encouraged.	\$500,000	\$2,000,000	1-2 years
Track 2	Technology R&D phase followed by small project development efforts that culminate in a design report and execution plan.	All domestic institutions; multi-institutional proposals only; laboratory-led consortium encouraged.	\$750,000	\$4,000,000	3-4 years (Years 1-2 are for the technology R&D phase.)

Multi Institutional Applications and Sub-awards

- Proposals may be submitted by either a single institution or a multi-institutional consortium.
- All multi-institutional proposals must be submitted as consortium proposals (prime applicant and proposed subawards) via the lead institution. Consortia requirements are described in Section III.A.
- Multi-institutional consortium proposals must include the complete budgets and tasks for each institution involved as well as letters of institutional commitment. The research plan and its associated budget(s) should leave no confusion about which institution will carry out which parts of the research. The lead institution’s budget must include all subaward budgets, including any DOE laboratory subawards.

Limitations of support:

- Scientific consortia are encouraged to include both university and at least one DOE laboratory group.
- No DOE laboratory scientific staff are be supported with award funds. Limited university scientific staff may be supported. Proposed budgets should primarily include costs for engineering and technical efforts, apparatus, materials and supplies, management and travel related to the planned efforts.
- Scientific consortia awarded an exemplar project using the Quantum Information Science Enabled Discovery (QuantISED) for HEP Program Announcement to the DOE National

Laboratories LAB 19-2077 (or its companion FOA DE-FOA-0002077) are not eligible under this Announcement.

The following points of advice to applicants may be helpful:

1. A well-thought-out work plan and its associated budget(s) should leave no confusion about which institution will carry out which parts of the work.
2. This announcement, focused on small project design, does not impose any restrictions on the lead institution. If the design is selected, through a to-be-determined competitive process, to move to the next phase following completion of this activity, DOE expects to assign a DOE laboratory to lead the project execution stage.

Open Science

SC is dedicated to promoting the values of openness in Federally-supported scientific research, including, but not limited to, ensuring that research may be reproduced and that the results of Federally-supported research are made available to other researchers. These objectives may be met through any number of mechanisms including, but not limited to, data access plans, data sharing agreements, the use of archives and repositories, and the use of various licensing schemes.

The use of the phrase “open-source” does not refer to any particular licensing arrangement, but is to be understood as encompassing any arrangement that furthers the objective of openness.

Future Awards

FUTURE SOLICITATIONS

HEP expects that a process to be announced in the future will provide a mechanism for small project designs resulting from this Announcement to apply and be considered for funding to move in to the small project fabrication phase.

HEP expects that this future process will limit eligibility to activities from this Announcement. Only small project designs resulting from this Announcement will be eligible for continued funding into the project phase. Awardees not selected under this future process will not be eligible to apply for renewals of their awards under other Announcement. Small project fabrication will start no earlier than FY 2021.

REPORTING AND PLANNING

Research conducted under this announcement is intended to leave a recipient in position to propose a design and execution plan for a small project that will result in the fabrication of experimental apparatus, followed by experimental operations and the conduct of cutting-edge research to search for dark matter. Applicants and awardees should prepare their activities to ensure an understanding of the following elements, all of which should be incorporated into

annual progress reports and a final technical report. The elements below will also be of utility in future applications for funding to support the subsequent phases.

- Scientific justification and performance requirements
- Scope of work and technology design
- Work breakdown structure
- Budget
- Schedule
- Management organization
- Risks and a risk management plan
- Environmental safety and health issues and associated management and mitigation plans
- Quality assurance plan
- Use of DOE laboratory infrastructure and/or technology capabilities
- Requirements expected to be outside the scope of the small project fabrication and experimental operations (such as agreements, upgrades, additional facilities needed, etc).
- Experimental operations phase, including an estimate of the budget and schedule
- Contributions from international and private sources, and how these will be managed
- Scientific consortium
- Scientific efforts required to carry out the project fabrication and experimental operations

Final technical reports resulting from this announcement must address all of these elements.

References

1. <https://science.energy.gov/hep/community-resources/reports/>
2. Particle Physics Project Prioritization Panel (P5) report
<https://science.energy.gov/hep/hepap/>

Section II – AWARD INFORMATION

A. TYPE OF AWARD INSTRUMENT

DOE anticipates awarding laboratory work authorizations under this DOE National Laboratory Program Announcement.

Any awards made under this Announcement will be subject to the provisions of the contract between DOE and the awardee National Laboratory.

B. ESTIMATED FUNDING

DOE anticipates that the total value of awards made under this Announcement will be between \$10 and \$20 million, with a programmatic total of \$24 million to support awards under this Announcement and its companion FOA (DE-FOA-0002112).

DOE is under no obligation to pay for any costs associated with the preparation or submission of an application. DOE reserves the right to fund, in whole or in part, any, all, or none of the proposals submitted in response to this Announcement.

C. MAXIMUM AND MINIMUM AWARD SIZE

The award size will depend on the number of meritorious proposals and the availability of appropriated funds.

Ceiling

Total authorization values (for all years of the request, and including all subawards) will not exceed:
\$1,500,000 per year

Floor

Total authorization values (for all years of the request, and including all subawards) will not be less than:
\$250,000 per year

D. EXPECTED NUMBER OF AWARDS

The exact number of authorizations will depend on the number of meritorious proposals and the availability of appropriated funds.

E. ANTICIPATED AWARD SIZE

The authorization size will depend on the number of meritorious proposals and the availability of appropriated funds.

F. PERIOD OF PERFORMANCE

The period of performance is anticipated to be up to 4 years, and will depend on the number of meritorious proposals, the results of the merit review, the program policy factors, and the availability of appropriated funds.

Track #1 proposals must not have a period of performance that is longer than 24 months. Track #2 proposals must not have a period of performance that is longer than 48 months.

G. TYPE OF APPLICATION

DOE will accept new DOE National Laboratory Proposals under this DOE National Laboratory Announcement. Please only submit a PAMS lab proposal in response to this Announcement; do not submit a DOE Field Work Proposal (FWP) at this time. SC will request FWPs later from those selected for funding consideration under this Announcement.

Section III – ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS AND TOPICS

This is a DOE National Laboratory-only Announcement. FFRDCs from other Federal agencies are not eligible to submit in response to this Program Announcement.

B. COST SHARING

Cost sharing is not required.

C. ELIGIBLE INDIVIDUALS

Individuals with the skills, knowledge, and resources necessary to carry out the proposed research as a Principal Investigator (PI) are invited to work with their organizations to develop an application for assistance. Individuals from underrepresented groups as well as individuals with disabilities are always encouraged to apply for assistance.

Section IV – APPLICATION AND SUBMISSION INFORMATION

A. ADDRESS TO REQUEST APPLICATION PACKAGE

Application submission instructions are available in this Announcement on the DOE SC Portfolio Analysis and Management System (PAMS) website. Screenshots showing the steps in DOE National Laboratory proposal submission are available in the PAMS External User Guide, accessible by navigating to <https://pamspublic.science.energy.gov> and clicking on the “PAMS External User Guide” link.

Proposals submitted outside of PAMS will not be accepted.

B. LETTER OF INTENT AND PRE-PROPOSAL

1. Letter of Intent

A Letter of Intent (LOI) is strongly encouraged, but not required, and should be submitted by April 30, 2019.

The LOI is to help in planning the review and the selection of potential reviewers for the proposal. For this purpose, the LOI must include the following:

- A cover sheet containing the name and mailing address of the sponsoring institution; the planned title of the research proposal; the name, e-mail address, and telephone number of the PI, additional Senior Investigator(s), and Senior/Key personnel expected to be involved in the planned proposal
- A concise summary of the proposed work, intended topic and impact on the dark matter PRNs and the HEP program
- An estimate of the total intended budget
- A list of the expected participating institutions and Co-PIs for multi-institutional consortium proposals
- The LOI must not exceed 8 pages including all information, with the main summary not to exceed 4 pages.

The absence of a LOI will not negatively affect a thorough evaluation of a responsive proposal submitted in a timely fashion.

The LOI must be submitted electronically through the DOE SC Portfolio Analysis and Management System (PAMS) website <https://pamspublic.science.energy.gov/>. It is important that the LOI be a single file with extension .pdf, .docx, or .doc. The filename must not exceed 50 characters. The PI and anyone submitting on behalf of the PI must register for an account in PAMS before it will be possible to submit a letter of intent. **All PIs and those submitting LOIs on behalf of PIs are encouraged to establish PAMS accounts as soon as possible to avoid submission delays.**

You may use the Internet Explorer, Firefox, Google Chrome, or Safari browsers to access PAMS.

Please see [Registrations, DOE Office of Science Portfolio Analysis and Management System \(PAMS\)](#), above, for instructions about how to register in PAMS.

Submit Your Letter of Intent:

- Create your letter of intent outside the system and save it as a file with extension .docx, .doc, or .pdf. Make a note of the location of the file on your computer so you can browse for it later from within PAMS.
- Log into PAMS and click the Proposals tab. Click the “View / View / Respond to DOE National Laboratory Announcements” link and find the current announcement in the list. Click the “Actions/Views” link in the Options column next to this announcement to obtain a dropdown menu. Select “Submit Letter of Intent” from the dropdown.
- On the Submit Letter of Intent page, select the institution from which you are submitting this LOI from the Institution dropdown. If you are associated with only one institution in the system, there will only be one institution in the dropdown.
- Note that you must select one and only one Principal Investigator (PI) per LOI; to do so, click the “Select PI” button on the far right side of the screen. Find the appropriate PI from the list of all registered users from your institution returned by PAMS. (Hint: You may have to sort, filter, or search through the list if it has multiple pages.) Click the “Actions” link in the Options column next to the appropriate PI to obtain a dropdown menu. From the dropdown, choose “Select PI.”
- If the PI for whom you are submitting does not appear on the list, it means he or she has not yet registered in PAMS. For your convenience, you may have PAMS send an email invitation to the PI to register in PAMS. To do so, click the “Invite PI” link at the top left of the “Select PI” screen. You can enter an optional personal message to the PI in the “Comments” box, and it will be included in the email sent by PAMS to the PI. You must wait until the PI registers before you can submit the LOI. Save the LOI for later work by clicking the “Save” button at the bottom of the screen. It will be stored in “My Letters of Intent” for later editing.
- Enter a title for your letter of intent.
- Select the appropriate technical contact from the Program Manager dropdown.
- To upload the LOI file into PAMS, click the “Attach File” button at the far right side of the screen. Click the “Browse” (or “Choose File” depending on your browser) button to search for your file. You may enter an optional description of the file you are attaching. Click the “Upload” button to upload the file.
- At the bottom of the screen, click the “Submit to DOE” button to save and submit the LOI to DOE.
- Upon submission, the PI will receive an email from the PAMS system <PAMS.Autoreply@science.doe.gov> acknowledging receipt of the LOI.

You are encouraged to register for an account in PAMS at least a week in advance of the LOI submission deadline so that there will be no delays with your submission.

<p>WARNING: The PAMS website at https://pampspublic.science.energy.gov will permit you to edit a previously submitted LOI in the time between your submission and the</p>

deadline. If you choose to edit, doing so will remove your previously submitted version from consideration. If you are still editing at the time of the deadline, you will not have a valid submission. Please pay attention to the deadline.

For help with PAMS, click the “External User Guide” link on the PAMS website, <https://pamspublic.science.energy.gov/>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, email: sc.pams-helpdesk@science.doe.gov All submission and inquiries about this Laboratory Announcement should reference **LAB 19-2112**

2. Pre-proposal

Not applicable

C. CONTENT AND PROPOSAL FORMS

PROPOSAL DUE DATE

[See Section IV, Part E.](#)

Files that are attached to the forms must be PDF files unless otherwise specified in this announcement. Attached PDF files must be plain files consisting of text, numbers, and images without editable fields, signatures, passwords, redactions, or other advanced features available in some PDF-compatible software. Do not attach PDF portfolios.

WARNING: The PAMS website at <https://pamspublic.science.energy.gov> will permit you to edit a previously submitted proposal in the time between your submission and the deadline. If you choose to edit, doing so will remove your previously submitted version from consideration. If you are still editing at the time of the deadline, you will not have a valid submission. Please pay attention to the deadline.

LETTERS

Letters of concurrence of collaboration must be provided by each institution participating in multi institutional consortium proposals and these should be attached in Appendix 7 of the Project Narrative.

Other general letters of support are not necessary and discouraged unless it is critical for review of the proposed work.

RESUBMISSION OF PROPOSALS

Proposals submitted under this Announcement may be withdrawn from consideration by using SC’s PAMS website at <https://pamspublic.science.energy.gov>. Proposals may be withdrawn at any time between when the Laboratory submits the proposal and when DOE makes the proposal available to merit reviewers. Such withdrawals take effect immediately and cannot be reversed.

After a proposal is withdrawn, it may be resubmitted, if this Announcement is still open for the submission of proposals. Such resubmissions will only count as one submission if this Announcement restricts the number of proposals from an applicant.

SC will usually consider the last submission, according to its timestamp, to be the intended version. Please consult with your program manager to resolve any confusion about which version of a proposal should be considered.

IMPROPER CONTENTS OF PROPOSALS

Proposals submitted under this Announcement will be stored in controlled-access systems, but they may be made publicly available if an award is made, and they will be made available to merit reviewers. As such, it is critical that Laboratories follow these guidelines:

- Do not include information subject to any legal restriction on its open distribution, whether classified, export control, or unclassified controlled nuclear information.
- Do not include sensitive and protected personally identifiable information, including social security numbers, birthdates, citizenship, marital status, or home addresses. Pay particular attention to the content of biographical sketches and curriculum vitae.
- Do not include letters of support from Federal officials.
- Do not include letters of support on Federal letterhead. Letters that are not letters of support (such as letters confirming access to sites, facilities, equipment, or data; or letters from cognizant contracting officers) may be on Federal letterhead.
- Clearly mark all proprietary or trade-secret information.

1. Summary of Proposal Contents and Information about PAMS

Each DOE National Laboratory proposal will contain the following sections:

- Budget, entered into PAMS as structured data using the PAMS budget form
- Proposal Summary/Abstract (one page), entered into PAMS as a separate pdf
- Budget justification, entered into PAMS as a separate pdf
- Proposal narrative, combined into a single pdf containing the following information:
 - Proposal Cover Page
 - Table of Contents
 - Proposal Narrative (main technical portion of the proposal, including background/introduction, proposed research and methods, timetable of activities, and responsibilities of key personnel)
 - Appendix 1: Biographical Sketch(es)
 - Appendix 2: Current and Pending Support
 - Appendix 3: Bibliography and References Cited
 - Appendix 4: Facilities and Other Resources
 - Appendix 5: Equipment
 - Appendix 6: Data Management Plan
 - Appendix 7: Other Attachments (optional)

SUBMISSION INSTRUCTIONS

Completed proposals must be submitted into the DOE SC Portfolio Analysis and Management System (PAMS). For help with PAMS, click the “External User Guide” link on the PAMS website, <https://pamspublic.science.energy.gov/>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9:00 AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free number) or (301) 903-9610, Email: sc.pams-helpdesk@science.doe.gov. All submissions and inquiries about this Program Announcement should reference **LAB 19-2112**. Full proposals submitted in response to this Program Announcement must be submitted to PAMS no later than **May 30, 2019, at 5 PM Eastern**.

All PIs and those submitting on behalf of PIs are encouraged to establish PAMS accounts as soon as possible to ensure timely submissions. To register, click “Create New PAMS Account” on the website <https://pamspublic.science.energy.gov/> and follow the instructions for creating an account.

The following information is provided to help with proposal submission. Detailed instructions and screen shots can be found in the user guide. To find the user guide, click the “External User Guide” link on the PAMS home page. Onscreen instructions are available within PAMS.

- Log into PAMS. From the proposals tab, click the “View DOE National Laboratory Announcements” link and find the current announcement in the list. Click the “Actions/Views” link in the Options column next to this Announcement to obtain a dropdown menu. Select “Submit Proposal” from the dropdown.
- Note that you must select one and only one Principal Investigator (PI) per proposal; to do so, click the “Select PI” button on the far right side of the screen. Find the appropriate PI from the list of all registered users from your institution returned by PAMS. (Hint: You may have to sort, filter, or search through the list if it has multiple pages.) Click the “Actions” link in the Options column next to the appropriate PI to obtain a dropdown menu. From the dropdown, choose “Select PI.”
- If the PI for whom you are submitting does not appear on the list, it means he or she has not yet registered in PAMS. For your convenience, you may have PAMS send an email invitation to the PI to register in PAMS. To do so, click the “Invite PI” link at the top left of the “Select PI” screen. You can enter an optional personal message to the PI in the “Comments” box, and it will be included in the email sent by PAMS to the PI. You must wait until the PI registers before you can submit the proposal. Save the proposal for later work by selecting “Save” from the dropdown at the bottom of the screen and then clicking the “Go” button. It will be stored in “My Proposals” for later editing. As a minimum, you must complete all the required fields on the PAMS cover page before you can save the proposal for the first time.
- The cover page, budget, and attachments sections of the lab proposal are required by PAMS before it can be submitted to DOE.
- Complete the sections in PAMS one at a time, starting with the cover page and following the instructions for each section.

- Click the “+View More” link at the top of each section to expand the onscreen instructions. On the budget section, click the “Budget Tab Instructions” link to obtain detailed guidance on completing the budget form.
- Save each section by selecting either “Save” (to stay in the same section) or “Save... and Continue to the Next Section” (to move to the next section) from the dropdown menu at the bottom of the screen, followed by clicking the “Go” button.
- If you save the proposal and navigate away from it, you may return later to edit the proposal by clicking the “View My Existing Proposals” or “My Proposals” links within PAMS.
- You must enter a budget for each annual budget period.
- You must also enter a budget for each proposed sub-award. The sub-award section can be completed using the same steps used for the budget section.
- In the attachments section of the lab proposal, the abstract, the budget justification, and the proposal narrative are required and must be submitted as separate files.
- You must bundle everything other than the budget, abstract, and budget justification into one single PDF file to be attached under “Proposal Attachment.”
- Do not attach anything under “Other Attachments.”
- To upload a file into PAMS, click the “Attach File” button at the far right side of the screen. Click the “Browse” (or “Choose File” depending on your browser) button to search for your file. You may enter an optional description of the file you are attaching. Click the “Upload” button to upload the file.
- Once you have saved all of the sections, the “Submit to DOE” option will appear in the dropdown menu at the bottom of the screen.
- To submit the proposal, select “Submit to DOE” from the dropdown menu and then click the “Go” button.
- Upon submission, the PI will receive an email from the PAMS system <PAMS.Autoreply@science.doe.gov> acknowledging receipt of the proposal.
- The proposal will also appear under My Proposals with a Proposal Status of “Submitted to DOE.”

Please only submit a PAMS lab technical proposal in response to this Announcement; do not submit a DOE Field Work Proposal (FWP) at this time. SC will request FWPs later from those selected for funding consideration under this Announcement.

For help with PAMS, click the “External User Guide” link on the PAMS website, <https://pamspublic.science.energy.gov/>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9:00 AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free number) or (301) 903-9610, Email: sc.pams-helpdesk@science.doe.gov. All submissions and inquiries about this Program Announcement should reference **LAB 19-2112**.

2. Detailed Contents of the Proposal

BUDGET AND BUDGET EXPLANATION

The budget must be submitted into PAMS using the PAMS budget form.

PAMS will calculate the cumulative budget totals for you.

A written justification of each budget item is to follow the budget pages. The budget justification should be placed in a separate, single pdf document and attached on the appropriate screen in PAMS. Further instructions regarding the budget and justification are given below and in the PAMS software.

PROPOSAL SUMMARY/ABSTRACT

The proposal summary/abstract is a summary of the proposed activity suitable for distribution to the public and sufficient to permit potential reviewers to identify conflicts of interest. It must be a self-contained document. Provide the name of the applicant, the proposal title, the PI and the PI's institutional affiliation, any coinvestigators and their institutional affiliations, the objectives of the proposal, a description of the work to be carried out, including methods to be employed, and the potential impact of the work (i.e., benefits, outcomes).

This document must not include any proprietary or sensitive business information as the Department may make it available to the public. The proposal summary/abstract must not exceed 1 page when printed using standard 8.5" by 11" paper with 1" margins (top, bottom, left and right) with font not smaller than 11 point. The one-page proposal summary/abstract should be placed in a separate, single pdf document and attached on the appropriate screen in PAMS.

The abstract may be used to prepare publicly accessible reports about DOE-supported research.

DOE COVER PAGE (PART OF PROPOSAL NARRATIVE)

The following proposal cover page information may be placed on a plain page. No form is required. This cover page will not count in the proposal narrative page limitation.

- The project title
- Applicant/Institution
- Street Address/City/State/Zip
- Postal Address
- Lead PI name, telephone number, email
- Administrative Point of Contact name, telephone number, email
- Funding Opportunity FOA Number: DE-FOA-0002112
- DOE/SC Program Office: **High Energy Physics (HEP)**
- DOE/SC Program Office Technical Contact: **Dr. Kathleen Turner**
- PAMS Letter of Intent
- Research Track and PRD area as identified in Section I of this FOA

PROPOSAL NARRATIVE

The narrative **must not exceed 25 pages** for Track #1 and must not exceed 30 pages for Track #2, including text, charts, graphs, maps, photographs, and other pictorial presentations, when printed using standard 8.5” by 11” paper with 1 inch margins (top, bottom, left, and right). The font must not be smaller than 11 point. Merit reviewers will only consider the number of pages specified in the first sentence of this paragraph. This page limit does not apply to the Cover Page, Budget Page(s), Budget Justification, Institutional Commitments, publications and references, and appendices, each of which may have its own page limit. **Proposals that exceed the page limit may be declined without merit review.**

Do not include any Internet addresses (URLs) that provide supplementary or additional information that constitutes a part of the application. Merit reviewers are not required to access Internet sites; however, Internet publications in a list of references will be treated identically to print publications. See Section VIII, Part D for instructions on how to mark proprietary application information. To attach a Project Narrative, click “Add Attachment.”

The narrative describes the planned effort to develop a design report and execution plan for the small project (Track #1 and #2) and any near term technology R&D (Track #2). It should contain enough background material, including review of the relevant literature, to demonstrate sufficient knowledge of the state of the science. The narrative should contain appropriate scientific and technical information as described in Section I to enable reviewers to assess the merits according to the review criteria provided in Section V.

For proposals under both Track #1 and Track #2, the following elements are to be included in the body of the proposal for applications.

- a. A statement of the science goals and the theoretical context for the experiment, including how it addresses one of the dark matter BRN PRDs.
- b. A description of and advocacy for the chosen experimental method, including the performance requirements needed to achieve the science goals.
- c. A technical description of the experiment that describes how it will meet its performance requirements.
- d. A description of the use of DOE laboratory infrastructure and/or technology capabilities.
- e. A description and justification that this experiment can be carried out by the fabrication and subsequent operations of a small project.
- f. A list of current technical risks, should any exist, along with a plan for their minimization. Less mature detection methods are expected to have larger numbers of technical risks than more mature methods.
- g. A description of the activities required to complete the design report and execution plan to a level suitable for review and consideration to move to the fabrication phase.
- h. A description of the scientific consortium and technical personnel, and their planned activities in support of the work plan.
- i. A description of the budget to develop the small project design report and execution plan, broken into specific tasks.
- j. An estimate of the schedule, with milestones, to carry out the tasks.

For Track #2 proposals, the following elements are additional requirements and should be in a separate section of the proposal titled “Near term technology R&D”:

- a. A clear definition of the near-term technology R&D goals.
- b. A description of the directed near-term technology and R&D activities needed to demonstrate readiness prior to moving to the design phase.
- c. A description of the technology performance and metrics that will be used to evaluate the R&D, including technology choices.
- d. A description of the budget to carry out the near-term technology R&D activities, broken into specific tasks.
- e. A schedule estimate of the specific near-term technology R&D tasks.

CONSORTIUM DETAILS
(PART OF PROJECT NARRATIVE)

Consortium (prime applicant and proposed subawardee) applications must include a table modeled on the following chart providing summary budget information from all participating institutions. Provide the total costs of the budget request in each year for each institution and totals for all rows and columns. The amount for the prime applicant institution should exclude the subawardee budgets so that the “Total Combined Budget” is the sum of all institutions’ budgets listed in the rows above.

Consortium Proposal Information								
	Names	Institution	Year 1 Budget	Year 2 Budget	Year 3 Budget	Year 4 Budget	Year 5 Budget	Total Budget
Lead PI								
Co-PI								
Co-PI								
Co-PI								

Example budget table (\$ in thousands)

Institutional Commitment: Applicants **are strongly encouraged** to provide evidence of their institution’s commitment to the proposed activity. This commitment may take the form of uncompensated effort; the provision of surplus materials, supplies, or equipment; the provision of access to facilities at no or reduced cost; voluntary cost sharing; mentoring, training, or coaching of personnel; the provision of release time for teaching faculty; the provision of scholarship support for students; or other methods of involving the applicant in the proposed activity. Institutional commitment is **not** a form of cost-sharing: It is intended to ensure that the applicant institution is leveraging existing resources and capabilities to further the public benefit of groundbreaking scientific discovery.

APPENDIX 1: BIOGRAPHICAL SKETCH

Provide a biographical sketch for the PI and each senior/key person listed in Section A on the R&R Budget form.

As part of the sketch, provide information that can be used by reviewers to evaluate the PI's potential for leadership within the scientific community. Examples of information of interest are invited and/or public lectures, awards received, scientific program committees, conference or workshop organization, professional society activities, special international or industrial partnerships, reviewing or editorship activities, or other scientific leadership experiences.

The biographical information (curriculum vitae) must include the following items within its page limit:

- **Education and Training:** Undergraduate, graduate and postdoctoral training, provide institution, major/area, degree and year.
- **Research and Professional Experience:** Beginning with the current position list, in chronological order, professional/academic positions with a brief description.
- **Publications:** Provide a list of up to 10 publications most closely related to the proposed project. For each publication, identify the names of all authors (in the same sequence in which they appear in the publication), the article title, book or journal title, volume number, page numbers, year of publication, and website address if available electronically. Patents, copyrights and software systems developed may be provided in addition to or substituted for publications. An abbreviated style such as the Physical Review Letters (PRL) convention for citations (list only the first author) may be used for publications with more than 10 authors.
- **Synergistic Activities:** List no more than 5 professional and scholarly activities related to the effort proposed.

In addition, the biographical sketch must include information to permit DOE to identify individuals who are conflicted with or potentially biased (favorably or unfavorably) against the investigator. Include a section entitled “**Identification of Potential Conflicts of Interest or Bias in Selection of Reviewers**” that will not count in a page limit. Provide the following information in this section:

- **Collaborators and Co-editors:** List in alphabetical order all persons, including their current organizational affiliation, who are, or who have been, collaborators or co-authors with you on a research project, book or book article, report, abstract, or paper during the 48 months preceding the submission of this application. For publications or collaborations with more than 10 authors or participants, only list those individuals in the core group with whom the PI interacted on a regular basis while the research was being done. Also, list any individuals who are currently, or have been, co-editors with you on a special issue of a journal, compendium, or conference proceedings during the 24 months preceding the submission of this application. If there are no collaborators or co-editors to report, state “None.”
- **Graduate and Postdoctoral Advisors and Advisees:** List the names and current organizational affiliations of your graduate advisor(s) and principal postdoctoral sponsor(s). Also, list the names and current organizational affiliations of your graduate students and postdoctoral associates.

Personally Identifiable Information: Do not include sensitive and protected personally identifiable information including social security numbers, birthdates, citizenship, marital status, or home addresses. Do not include information that a merit reviewer should not make use of.

Provide the biographical sketch information as an appendix to your project narrative.

- Do not attach a separate file.
- This appendix will not count in the narrative page limitation.
- The biographical information (curriculum vitae) for each person must not exceed 2 pages when printed on 8.5” by 11” paper with 1 inch margins (top, bottom, left, and right) with font not smaller than 11 point. This page limit does not include the section entitled **“Identification of Potential Conflicts of Interest or Bias in Selection of Reviewers”**.

APPENDIX 2: CURRENT AND PENDING SUPPORT

Provide a list of all current and pending support (both Federal and non-Federal) for the PI and senior/key persons, including subawardees, for ongoing projects and pending proposals. List all sponsored activities or awards requiring a measurable commitment of effort, whether paid or unpaid.

For every activity, list the following items:

- The sponsor of the activity or the source of funding
- The award or other identifying number
- The title of the award or activity
- The total cost or value of the award or activity, including direct and indirect costs. For pending proposals, provide the total amount of requested funding.
- The award period (start date – end date).
- The person-months of effort per year being dedicated to the award or activity
- Briefly describe the research being performed and explicitly identify any overlaps or synergies with the proposed research.

Provide the Current and Pending Support as an appendix to your project narrative. Concurrent submission of a proposal to other organizations for simultaneous consideration will not prejudice its review.

- Do not attach a separate file.
- This appendix will not count in the narrative page limitation.

APPENDIX 3: BIBLIOGRAPHY & REFERENCES CITED

Provide a bibliography of any references cited in the proposal narrative. Each reference must include the names of all authors (in the same sequence in which they appear in the publication), the article and journal title, book title, volume number, page numbers, and year of publication. For research areas where there are routinely more than ten coauthors of archival publications, you may use an abbreviated style such as the Physical Review Letters (PRL) convention for citations (listing only the first author). For example, your paper may be listed as, “A Really Important New Result,” A. Aardvark et. al. (MONGO Collaboration), PRL 999. Include only bibliographic citations. Applicants should be especially careful to follow scholarly practices in providing citations for source materials relied upon when preparing any section of the application. Provide the Bibliography and References Cited information as an appendix to your narrative.

- Do not attach a separate file.

- This appendix will not count in the narrative page limitation.

APPENDIX 4: FACILITIES & OTHER RESOURCES

This information is used to assess the capability of the organizational resources, including subawardee resources, available to perform the effort proposed. Identify the facilities to be used (Laboratory, Animal, Computer, Office, Clinical and Other). If appropriate, indicate their capacities, pertinent capabilities, relative proximity, and extent of availability to the project. Describe only those resources that are directly applicable to the proposed work. Describe other resources available to the project (e.g., machine shop, electronic shop) and the extent to which they would be available to the project. For proposed investigations requiring access to experimental user facilities maintained by institutions other than the applicant, please provide a document from the facility manager confirming that the researchers will have access to the facility. Please provide the Facility and Other Resource information as an appendix to your narrative.

- Do not attach a separate file.
- This appendix will not count in the narrative page limitation.

APPENDIX 5: EQUIPMENT

List major items of equipment already available for this project and, if appropriate identify location and pertinent capabilities. Provide the Equipment information as an appendix to your narrative.

- Do not attach a separate file.
- This appendix will not count in the narrative page limitation.

APPENDIX 6: DATA MANAGEMENT PLAN

Provide a Data Management Plan (DMP) that addresses the following requirements:

1. DMPs should describe whether and how data generated in the course of the proposed research will be shared and preserved. If the plan is not to share and/or preserve certain data, then the plan must explain the basis of the decision (for example, cost/benefit considerations, other parameters of feasibility, scientific appropriateness, or limitations discussed in #4). At a minimum, DMPs must describe how data sharing and preservation will enable validation of results, or how results could be validated if data are not shared or preserved.
2. DMPs should provide a plan for making all research data displayed in publications resulting from the proposed research digitally accessible to the public at the time of publication. This includes data that are displayed in charts, figures, images, etc. In addition, the underlying digital research data used to generate the displayed data should be made as accessible as possible to the public in accordance with the principles stated in the Office of Science Statement on Digital Data Management (<http://science.energy.gov/funding-opportunities/digital-data-management/>). This requirement could be met by including the data as supplementary information to the published article, or through other means. The published article should indicate how these data can be accessed.
3. DMPs should consult and reference available information about data management resources to be used in the course of the proposed research. In particular, DMPs that explicitly or

implicitly commit data management resources at a facility beyond what is conventionally made available to approved users should be accompanied by written approval from that facility. In determining the resources available for data management at SC User Facilities, researchers should consult the published description of data management resources and practices at that facility and reference it in the DMP. Information about other SC facilities can be found in the additional guidance from the sponsoring program.

4. DMPs must protect confidentiality, personal privacy, Personally Identifiable Information, and U.S. national, homeland, and economic security; recognize proprietary interests, business confidential information, and intellectual property rights; avoid significant negative impact on innovation, and U.S. competitiveness; and otherwise be consistent with all applicable laws, regulations, and DOE orders and policies. There is no requirement to share proprietary data.

DMPs will be reviewed as part of the overall SC research proposal merit review process. Applicants are encouraged to consult the SC website for further information and suggestions for how to structure a DMP: <http://science.energy.gov/funding-opportunities/digital-data-management/>

Provide the Data Management Plan information as an appendix to your narrative.

- Do not attach a separate file.
- This appendix will not count in the narrative page limitation.
- This appendix should not exceed 2 pages including charts, graphs, maps, photographs, and other pictorial presentations, when printed using standard 8.5” by 11” paper with 1 inch margins (top, bottom, left, and right).

APPENDIX 7: OTHER ATTACHMENT

If you need to elaborate on your responses to questions 1-6 on the “Other Project Information” document, please provide the Other Attachment information as an appendix to your narrative. Information not easily accessible to a reviewer may be included in this appendix, but do not use this appendix to circumvent the page limitations of the proposal. Reviewers are not required to consider information in this appendix.

- Do not attach a separate file.
- This appendix will not count in the narrative page limitation.

3. Detailed Instructions for the Budget

Budgets are required for the entire project period. A budget form should be completed for each budget period of the award, and a cumulative budget form for the entire project period will be populated by PAMS. A detailed budget justification narrative should be included after the budget pages. The justification should cover labor, domestic travel, equipment, materials and supplies, and anything else that will be covered with project funds.

To edit a section on the budget, click the edit icon () for each section on the page. Remember to save all budget periods before moving on to the next section. You can save the budget periods by selecting “Save All Budget Periods” from the dropdown on the lower right corner of the

PAMS budget entry screen and then clicking the “Go” button. You can also save any data entry page in PAMS using the blue diskette icon () in the floating toolbar on the bottom of the screen.

Section A. Senior/Key Person (Required)

For each Senior/Key Person, enter the appropriate information. List personnel, salary funds, and the number of months that person will be allocated to the project. Also include a written narrative in the budget justification that fully justifies the need for requested personnel.

Section B. Other Personnel

List personnel, salary funds, and the number of months that person will be allocated to the project. Also include a written narrative in the budget justification that fully justifies the need for requested personnel.

Section C. Equipment Description

For the purpose of this budget, equipment is designated as an item of property that has an acquisition cost of \$5,000 or more and an expected service life of more than one year. (Note that this designation applies for proposal budgeting only and differs from the DOE definition of capital equipment.) List each item of equipment separately and justify each in the budget justification section. Allowable items ordinarily will be limited to research equipment and apparatus not already available for the conduct of the work. General-purpose office equipment, such as a personal computer, is not eligible for support unless primarily or exclusively used in the actual conduct of scientific research.

Section D. Travel

In the budget justification, list each trip’s destination, dates, estimated costs including transportation and subsistence, number of staff traveling, the purpose of the travel, and how it relates to the project. Indicate whether travel cost estimates are based upon quotes from travel agencies; upon past experience of similar number of trips to similar travel destinations; or something else (describe). To qualify for support, attendance at meetings or conferences must enhance the investigator's capability to perform the research, plan extensions of it, or disseminate its results.

Section E. Participant/Trainee Support Costs:

If applicable, submit training support costs. Educational projects that intend to support trainees (precollege, college, graduate and post graduate) must list each trainee cost that includes stipend levels and amounts, cost of tuition for each trainee, cost of any travel (provide the same information as needed under the regular travel category), and costs for any related training expenses. Participant costs are those costs associated with conferences, workshops, symposia or institutes and breakout items should indicate the number of participants, cost for each participant, purpose of the conference, dates and places of meetings and any related administrative expenses. In the budget justification, indicate whether trainee cost estimates are based upon past experience of support of similar number of trainees on similar projects; past experience of support of similar number of participants attending similar conferences/workshops/symposia; or something else (describe).

Section F. Other Direct Costs:

Enter Other Direct Costs information for each item listed.

- **Materials and Supplies:** Enter total funds requested for materials and supplies in the appropriate fields. In the budget justification, indicate general categories such as glassware, and chemicals, including an amount for each category (items not identified under “Equipment”). Categories less than \$1,000 are not required to be itemized. In the budget justification, indicate whether cost estimates are based upon past experience of purchase of similar or like items; quotes/catalog prices of similar or like items; or something else (describe).
- **Publication Costs:** Enter the total publication funds requested. The proposal budget may request funds for the costs of documenting, preparing, publishing or otherwise making available to others the findings and products of the work conducted under the award. In the budget justification, include supporting information. In the budget justification, indicate whether cost estimates are based upon past experience of purchase of similar or like items; vendor quotes of similar publication services; or something else (describe).
- **Consultant Services:** Enter total funds requested for all consultant services. In the budget justification, identify each consultant, the services he/she will perform, total number of days, travel costs, and total estimated costs. In the budget justification, indicate whether consultant cost estimate is based upon previous experience/quotes for similar or like services; or something else (describe).
- **ADP/Computer Services:** Enter total funds requested for ADP/Computer Services. The cost of computer services, including computer-based retrieval of scientific, technical and education information may be requested. In the budget justification, include the established computer service rates at the proposing organization if applicable. In the budget justification, indicate whether cost estimates are based upon quotes/past experience of purchase of similar computer services; established computer service rates at the proposing institution; or something else (describe).
- **Subawards/Consortium/Contractual Costs:** Enter total costs for all subawards/consortium organizations and other contractual costs proposed for the project. In the budget justification, justify the details.
- **Equipment or Facility Rental/User Fees:** Enter total funds requested for Equipment or Facility Rental/User Fees. In the budget justification, identify each rental/user fee and justify. In the budget justification, indicate whether cost estimates are based upon past experience with similar or like items; vendor quotes of similar items; or something else (describe).
- **Alterations and Renovations:** Enter total funds requested for Alterations and Renovations.
- **In the budget justification,** itemize by category and justify the costs of alterations and renovations, including repairs, painting, removal or installation of partitions, shielding, or air conditioning. Where applicable, provide the square footage and costs.
- **Other:** Add text to describe any other Direct Costs not requested above. Enter costs associated with “Other” item(s). Use the budget justification to further itemize and justify.

Section G. Direct Costs

This represents Total Direct Costs (Sections A thru F) and will be calculated by PAMS.

Section H. Other Indirect Costs

Enter the Indirect Cost information for each field. Only four general categories of indirect costs are allowed/requested on this form, so please consolidate if needed.

Section I. Total Direct and Indirect Costs

This amount will be calculated by PAMS (Sections G + H)

D. SUBMISSIONS FROM SUCCESSFUL APPLICANTS

If selected for award, DOE reserves the right to request additional or clarifying information.

E. SUBMISSION DATES AND TIMES

1. Letter of Intent Due Date

April 30, 2019, at 5 PM Eastern

A Letter of Intent is highly encouraged.

You are encouraged to submit your Letter of Intent well before the deadline. LOIs may be submitted at any time between the publication of this Announcement and the stated deadline.

2. Pre-proposal Due Date

Not applicable.

3. Proposal Due Date

May 30, 2019, at 5 PM Eastern

You are encouraged to transmit your proposal well before the deadline. Proposals may be submitted at any time between the publication of this Announcement and the stated deadline.

4. Late Submissions

Delays in submitting letters of intent, pre-proposals, and proposals may be unavoidable. DOE has accepted late submissions when applicants have been unable to make timely submissions because of widespread technological disruptions or significant natural disasters. DOE has made accommodations for incapacitating or life-threatening illnesses and for deaths of immediate family members. Other circumstances may or may not justify late submissions. Unacceptable justifications include the following:

- Failure to begin submission process early enough.
- Failure to provide sufficient time to complete the process.
- Failure to understand the submission process.
- Failure to understand the deadlines for submissions.

- Failure to satisfy prerequisite registrations.
- Unavailability of administrative personnel.
- An upper respiratory infection (a “cold”) the week of the deadline.

You are responsible for beginning the submission process in sufficient time to accommodate reasonably foreseeable incidents, contingencies, and disruptions.

Applicants must contact the Program Office/Manager listed in this Funding Opportunity Announcement to discuss the option of a late submission. Contacting the Program Office/Manager after the deadline may reduce the likelihood that a request will be granted.

DOE notes that not all requests for late submission will be approved.

F. FUNDING RESTRICTIONS

Funding for all awards and future budget periods are contingent upon the availability of funds appropriated by Congress and the availability of future-year budget authority.

G. OTHER SUBMISSION AND REGISTRATION REQUIREMENTS

1. Where to Submit

Proposals must be submitted through PAMS to be considered for award.

Please only submit a PAMS lab proposal in response to this Announcement; do not submit a DOE Field Work Proposal (FWP) at this time. SC will request FWPs via the Searchable FWP system later from those selected for funding consideration under this Announcement.

2. Registration Process

ONE-TIME REGISTRATION PROCESS

You must complete the one-time registration process (all steps) before you can submit your first proposal through PAMS. Registration instructions appear in the front matter of this Announcement.

For help with PAMS, click the “External User Guide” link on the PAMS website, <https://pamspublic.science.energy.gov/>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, Email: sc.pams-helpdesk@science.doe.gov. All submission and inquiries about this DOE National Laboratory Program Announcement should reference **LAB 19-2112**.

3. Proposal Receipt Notices

Upon submission, the PI will receive an email from the PAMS system <PAMS.Autoreply@science.doe.gov> acknowledging receipt of the proposal.

4. Viewing Submitted Proposals

Upon submission, the proposal will appear under My Proposals for the PI and the Submitter with a Proposal Status of “Submitted to DOE.”

Section V - APPLICATION REVIEW INFORMATION

A. CRITERIA

1. Initial Review Criteria

Prior to a comprehensive merit evaluation, DOE will perform an initial review to determine that (1) the applicant is eligible for the award; (2) the information required by the Program Announcement has been submitted; (3) all mandatory requirements are satisfied; (4) the proposed project is responsive to the objectives of the Program Announcement, and (5) the proposed project is not duplicative of programmatic work. Proposals that fail to pass the initial review will not be forwarded for merit review and will be eliminated from further consideration.

2. Merit Review Criteria

Proposals will be subjected to scientific merit review (peer review) and will be evaluated against the following criteria, listed in descending order of importance.

- Scientific and/or Technical Merit of the Project;
- Appropriateness of the Proposed Method or Approach;
- Competency of Applicant's Personnel and Adequacy of Proposed Resources; and
- Reasonableness and Appropriateness of the Proposed Budget.

Merit reviewers will be asked to evaluate one additional criterion of equal significance.

- Relevance of the Proposed Research to the HEP Dark Matter New Initiative Objectives

Note that external peer reviewers are selected with regard to both their scientific expertise and the absence of conflict-of-interest issues. Both Federal and non-Federal reviewers may be used, and submission of an proposal constitutes agreement that this is acceptable to the investigator(s) and the submitting institution.

The questions below are provided to the merit reviewers to elaborate the criteria:

SCIENTIFIC AND/OR TECHNICAL MERIT OF THE PROPOSED RESEARCH

- What is the scientific innovation of proposed research?
- What is the likelihood of achieving valuable results?
- How might the results of the proposed work impact the direction, progress, and thinking in relevant scientific fields of research?
- How does the proposed work compare with other efforts in its field, both in terms of scientific and/or technical merit and originality?
- Is the Data Management Plan suitable for the proposed research and to what extent does it support the validation of research results?

APPROPRIATENESS OF THE PROPOSED METHOD OR APPROACH

- How logical and feasible are the research approaches?
- Does the proposed research employ innovative concepts or methods?
- Are the conceptual framework, methods, and analyses well justified, adequately developed, and likely to lead to scientifically valid conclusions?
- Does the applicant recognize significant potential problems and consider alternative strategies?

COMPETENCY OF APPLICANT’S PERSONNEL AND ADEQUACY OF PROPOSED RESOURCES

- What is the past performance and potential of the Principal Investigator (PI)?
- How well qualified is the research team to carry out the proposed research?
- Are the research environment and facilities adequate for performing the research?
- Does the proposed work take advantage of unique facilities and capabilities?

REASONABLENESS AND APPROPRIATENESS OF THE PROPOSED BUDGET

- Are the proposed budget and staffing levels adequate to carry out the proposed research?
- Is the budget reasonable and appropriate for the scope?

RELEVANCE OF THE PROPOSED RESEARCH TO THE HEP DARK MATTER NEW INITIATIVE OBJECTIVES

- Does the small project being developed qualify as having high scientific impact within the context of the dark matter BRN and the identified PRD?
- Does the small project being developed make use of DOE’s Laboratory infrastructure and/or technology capabilities?
- Are the identified the current technical risks complete? Does the feasibility of the plan allow for technical risk reduction? Are increased risk associated with methods incorporating less mature technologies offset by the promise of potentially superior science capabilities?

B. REVIEW AND SELECTION PROCESS

1. Merit Review

Proposals that pass the initial review will be subjected to a formal merit review and will be evaluated based on the criteria above.

2. Program Policy Factors

The Selection Official may consider any of the following program policy factors in making the selection, listed in no order of significance:

- Availability of funds
- Relevance of the proposed activity to SC priorities

- Expertise in HEP and dark matter research and/or technology
- Ensuring an appropriate balance of activities within SC programs
- Performance under current awards
- Relevance to HEP Dark Matter New Initiatives priorities
- Providing career pathways for the next generation of researchers
- Maximizing the use of DOE user facilities
- Ensuring opportunities to investigators not currently supported by DOE
- Quality of international collaboration
- Participation with multi-institutional teams

3. Selection

The Selection Official will consider the findings of the merit review and may consider any of the Program Policy Factors described above.

4. Discussions and Award

The Government may enter into discussions with a selected applicant for any reason deemed necessary. Failure to resolve satisfactorily the issues identified by the Government will preclude award to the applicant.

C. ANTICIPATED NOTICE OF SELECTION AND AWARD DATES

It is expected that awards will be made in Fiscal Year 2019 or 2020.

DOE is interested in seeing activities supported under this FOA begin work by 9/30/2019.

Section VI - AWARD ADMINISTRATION INFORMATION

A. AWARD NOTICES

1. Notice of Selection

Selected Applicants Notification: DOE will notify applicants selected for award. This notice of selection is not an authorization to begin performance.

Non-selected Notification: Organizations whose proposals have not been selected will be advised as promptly as possible. This notice will explain why the proposal was not selected.

2. Notice of Award

A work authorization/contract modification issued by the contracting officer is the authorizing award document.

B. REPORTING

Annual progress reports from the award investigator will be required and will be due 90 days before the end of each budget year.

C. OTHER CONDITIONS

1. Publications

Researchers are expected to publish or otherwise make publicly available the results of the work conducted under any authorization resulting from this Announcement. Publications and other methods of public communication describing any work based on or developed under an authorization resulting from this Announcement must contain an acknowledgment of SC support. The format for such acknowledgments is provided at <https://science.energy.gov/funding-opportunities/acknowledgements/>. The author's copy of any peer-reviewed manuscript accepted for funding must be announced to DOE's Office of Scientific and Technical Information (OSTI) and made publicly available in accordance with the instructions contained in the Reporting Requirements Checklist incorporated in all Assistance Agreements.

2. Environmental, Safety and Health (ES&H) Performance of Work at DOE Facilities

With respect to the performance of any portion of the work under this award which is performed at a DOE-owned or controlled site, the recipient agrees to comply with all state and Federal ES&H regulations, and with all other ES&H requirements of the operator of such site.

Prior to the performance on any work at a DOE-Owned or controlled site, the recipient shall contact the site facility manager for information on DOE and site specific ES&H requirements.

The recipient shall apply this provision to all subawardees at any tier.

3. Federal, State, and Local Requirements

With respect to the performance of any portion of the work under this award, the recipient agrees to comply with all applicable local, state, and Federal ES&H regulations. The recipient shall apply this provision to all sub awardees at any tier.

Section VII - QUESTIONS/AGENCY CONTACTS

A. QUESTIONS

For help with PAMS, click the “External User Guide” link on the PAMS website, <https://pamspublic.science.energy.gov/>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, Email: sc.pams-helpdesk@science.doe.gov. All submission and inquiries about this DOE National Laboratory Program Announcement should reference **LAB 19-2112**.

Please contact the PAMS help desk for technological issues with the PAMS system.

Questions regarding the specific program areas and technical requirements may be directed to the technical contacts listed for each program within the DOE National Laboratory Program Announcement or below.

Please contact the program staff with all questions not directly related to the PAMS system.

B. AGENCY CONTACTS

PAMS Customer Support	855-818-1846 (toll-free) 301-903-9610 sc.pams-helpdesk@science.doe.gov
Program Manager Scientific Contact	Dr. Kathleen Turner 301-903-1759 Kathy.turner@science.doe.gov Dr. Karen Byrum 301-903-4115 Karen.byrum@science.doe.gov

C. DEPARTMENT OF ENERGY, OFFICE OF INSPECTOR GENERAL HOTLINE:

The Office of Inspector General (OIG) maintains a Hotline to facilitate the reporting of allegations of fraud, waste, abuse, or mismanagement in DOE programs or operations. If you wish to report such allegations, you may call, send a letter, or email the OIG Hotline ighotline@hq.doe.gov. Allegations may be reported by DOE employees, DOE contractors, or the general public. OIG contact information is available at <http://energy.gov/ig/services>.

Section VIII - OTHER INFORMATION

A. MODIFICATIONS

Notices of any modifications to this DOE National Laboratory Announcement will be posted on the Grants and Contracts website (<http://science.energy.gov/grants/>).

B. GOVERNMENT RIGHT TO REJECT OR NEGOTIATE

DOE reserves the right, without qualification, to reject any or all proposals received in response to this DOE National Laboratory Announcement and to select any proposal, in whole or in part, as a basis for negotiation and/or award.

C. COMMITMENT OF PUBLIC FUNDS

The Contracting Officer is the only individual who can make awards or commit the Government to the expenditure of public funds. A commitment by other than the Contracting Officer, either explicit or implied, is invalid.

D. PROPRIETARY PROPOSAL INFORMATION

Patentable ideas, trade secrets, proprietary or confidential commercial or financial information, disclosure of which may harm the applicant, should be included in a proposal only when such information is necessary to convey an understanding of the proposed project. The use and disclosure of such data may be restricted, provided the applicant includes the following legend on the first page of the project narrative and specifies the pages of the proposal which are to be restricted:

“The data contained in pages _____ of this proposal have been submitted in confidence and contain trade secrets or proprietary information, and such data shall be used or disclosed only for evaluation purposes.”

To protect such data, each line or paragraph on the pages containing such data must be specifically identified and marked with a legend similar to the following:

“The following contains proprietary information that (name of applicant) requests not be released to persons outside the Government, except for purposes of review and evaluation.”

E. EVALUATION AND ADMINISTRATION BY NON-FEDERAL PERSONNEL

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The applicant, by submitting its proposal, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign a conflict of interest and a certificate of confidentiality prior to reviewing a proposal. Non-Federal personnel conducting administrative activities must sign a non-disclosure

agreement.

F. AVAILABILITY OF FUNDS

Funds are not presently available for this award. The Government's obligation under this award is contingent upon the availability of appropriated funds from which payment for award purposes can be made. No legal liability on the part of the Government for any payment may arise until funds are made available to the Contracting Officer for this award and until the awardee receives notice of such availability, to be confirmed in writing by the Contracting Officer.