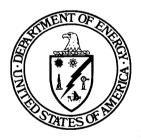
# **April 1995**

# Materials Sciences Programs Fiscal Year 1994



U.S. Department of Energy Office of Energy Research Office of Basic Energy Sciences Division of Materials Sciences Germantown Building 19901 Germantown Road Germantown, MD 20874-1290

# FOREWORD

The Division of Materials Sciences is located within the Department of Energy (DOE) in the Office of Basic Energy Sciences which is under the Office of Energy Research. The Director of the Office of Energy Research is appointed by the President and confirmed by the Senate. The Director of the Office of Energy Research is responsible for oversight of, and providing advice to, the Secretary of Energy on the Department's research portfolio and on the management of all of the Laboratories that it owns, except for those that are designated as having a primary role in nuclear weaponry.

The Division of Materials Sciences is responsible for basic research and research facilities in strategic materials science topics of critical importance to the mission of the Department and its Strategic Plan. Other programmatic divisions under the Office of Basic Energy Sciences are Chemical Sciences, Engineering and Geosciences, and Energy Biosciences; information for them is contained on page 165.

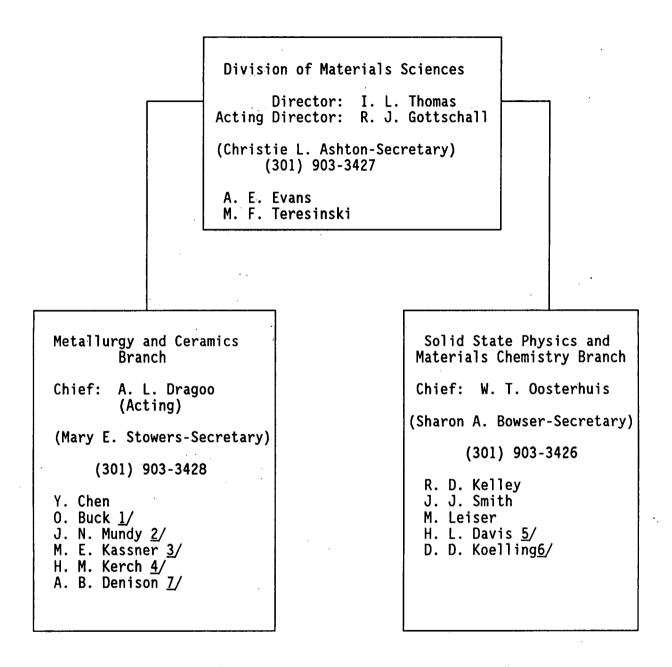
Materials Science is an enabling technology. The performance parameters, economics, environmental acceptability and safety of all energy generation, conversion, transmission and conservation technologies are limited by the properties and behavior of materials. The Materials Sciences programs develop scientific understanding of the synergistic relationship amongst the synthesis, processing, structure, properties, behavior, performance and other characteristics of materials. Emphasis is placed on the development of the capability to discover technologically, economically, and environmentally desirable new materials and processes, and the instruments and national user facilities necessary for achieving such progress. Materials Sciences sub-fields include physical metallurgy, ceramics, polymers, solid state and condensed matter physics, materials chemistry, surface science and related disciplines where the emphasis is on the science of materials.

This report includes program descriptions for 458 research programs including 216 at 14 DOE National Laboratories, 242 research grants (233 of which are at universities), and 9 Small Business Innovation Research Grants. Five cross-cutting indices located at the rear of this book identify all 458 programs according to principal investigator(s), materials, techniques, phenomena, and environment. Other contents include Identification of our Staff structure and expertise on pages II-III; a bibliographical listing of 45 scientific workshop, topical, descriptive, Research Assistance Task Force and research facilities reports on select topics that identify materials science research needs and opportunities on pages iv - viii; a descriptive introduction on page ix; a descriptive summary of the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials is on pages 101-106; and a descriptive summary and access information on 14 national research user facilities including synchrotron light sources, neutron beam sources, electron beam microcharacterization instruments, materials preparation, surface modification, and combustion research is on pages 108-135.

ŧ

Iran L. Thomas, Director Division of Materials Sciences Office of Basic Energy Sciences

# OFFICE OF BASIC ENERGY SCIENCES Division of Materials Sciences



1/ On quarter-time assignment from Ames Laboratory
 2/ On assignment from Argonne National Laboratory
 3/ On quarter-time assignment from Oregon State University
 4/ Interngovernmental Personnel Act Assignee from Johns Hopkins University
 5/ On quarter-time assignment from Oak Ridge National Laboratory
 6/ On assignment from Argonne National Laboratory
 7/ On assignment from Idaho National Engineering Laboratory

ii

#### DIVISION OF MATERIALS SCIENCES Iran L. Thomas, Director, ER-13 Robert J. Gottschall, Acting Director, ER-13

#### Environment, Safety and Health, ER-13

Albert E. Evans Michael F. Teresinski

#### Metallurgy and Ceramics Branch, ER-131

Name

Robert J. Gottschall

Alan L. Dragoo

Otto Buck

John N. Mundy

Yok Chen

Michael E. Kassner

Helen M. Kerch

Arthur B. Denison

#### Solid State Physics and Materials Chemistry Branch, ER-132

Name

William T. Oosterhuls

Richard D. Kelley

Jerry J. Smith

#### Manfred Leiser

Harold L. Davis

Dale D. Koelling

#### E-Mall Address:

firstname.lastname@mailgw.er.doe.gov

For Example: Alan.Dragoo@mailgw.er.doe.gov

Regular Mail and Federal Express:

Division of Materials Sciences, ER-\_\_ Germantown Building U.S. Department of Energy 19901 Germantown Road Germantown, Maryland 20874-1290

#### Program Area

Electron Beam Microcharacterization Facilities

Ceramics

Mechanical Behavior, NDE

Physical Behavior, Irradiation Effects

Physical Behavior, Irradiation Effects

Mechanical Behavior

Microstructure, Processing

Magnetic Materials, Condensed Matter Physics

#### Program Area

Neutron and X-ray Facilities

Materiais Chemistry, Polymers, Surface Science

Solid State Physics, Surface Science

Solid State Theory

Solid State and Surface Theory, Advanced Computation for Materials

Solid State Physics, Solid State Theory, Magnetism, Advanced Computation for Materials

#### Fax Number:

#### 301/903-9513

# WORKSHOP AND REPORT REFERENCES

The Materials Sciences program has sponsored various workshops, topical and descriptive reports and co-sponsored Research Assistance Task Forces on select topics over the past 15 years. The contributions to them come from scientists drawn from universities, national laboratories, and industry, and represent a diverse mixture as well as a balance of sub-disciplines within materials science. It is our intention to make the proceedings of these activities publicly available through publication in open literature scientific journals, bulletins, or other archival forms. Many of these publications identify the authors perceptions of emerging or existing generic materials science research needs and opportunities. Their primary purpose is to stimulate creative thinking and new ideas by scientists within their respective topical fields. None of these is intended to be all inclusive or to encompass with thoroughness any given topic, and none of them represents Department of Energy (DOE) policy or opinion. No pretense is made to have covered every topic of interest in this listing, and the fact that there is no publication corresponding to a particular materials science topic does not, of itself, carry any implication whatsoever with respect to DOE interest or lack thereof.

"Basic Research Needs for Vehicles of the Future." The proceedings of this Basic Energy Sciences and National Science Foundation sponsored workshop, which was held on 5-7 January 1995 are to be published.

"Surface Modification and Processing as Applied to Electrochemical Energy Storage and Conversion Devices." (Workshop jointly sponsored with DOE Office of Transportation Technologies, 22-24 February 1995).

"Electrical Breakdown of Insulating Ceramics in a High-Radiation Field," Y. Chen, F. W. Clinnard, B. D. Evans, E. H. Farnum, R. H. French, R. Gonzalez, J. J. O'Dwyer, F. W. Wiffen, X. F. Zong, Journal of Nuclear Materials, <u>217</u>, 1994, pp. 32-47.

"Modelling of Fundamental Phenomena in Welds," being publication by the Institute of Physics Publishing Bristol UK, Thomas Zacharia, J. M. Vitek, J. A. Goldak (Carlton Univ.), T. A. DebRoy (Penn. St.), M. Ratpaz (Ecole Polytechnique), H. K. D. H. Bhadeshia (Cambridge Univ.) Volume 3, 1995, Modelling and Simul. Mater. Sci. Eng.

"Materials Research at Selected Japanese Laboratories," A. L. Dragoo, DOE/ER-0610T, February 1994.

"Needed: Verified Models to Predict the Fracture of Weldments," D. W. Keefer, W. G. Reuter, J. A. Johnson, and S. A. David, Welding Journal, <u>72</u>, 9, 1993, pp 73-79

"Grain Boundary and Interface Phenomena in the High-Temperature Plasticity of Solids," M. E. Kassner and T. G. Langdon, editors, Materials Science and Engineering, <u>166</u>, pp 1-246, 1993 (23 paper dedicated issue) "Photovoltaic Materials: Innovations and Fundamental Research Opportunities," Alex Zunger, editor, Journal of Electronic Materials, <u>22</u>, 1, 1993, pp. 1-72 (8 paper dedicated issue)

"Summary Report: Computational Issues in the Mechanical Behavior of Metals and Intermetallics," M. I. Baskes, R. G. Hoagland, A. Needleman, Mat. Sci. and Eng. A159 (1992), pp 1-34

"Deformation and Fracture of Intermetallics," M. H. Yoo, S. L. Sass, C. L. Fu, M. J. Mills, D. M. Dimiduk, E. P. George, Acta Metallurgica et Materialia, <u>41</u>, 4, pp 987-1002, 1993

"LI/SOCL Battery Technology: Problems and Solutions," S. Szpak, P. A. Mosier-Boss, and J. J. Smith, Trends in Electrochemistry, <u>1</u>, 117 (1992).

"Research Opportunities on Cluster and Cluster-Assembled Materials - A Department of Energy, Council on Materials Science Panel Report," R. W. Siegel, L. E. Brus, et al., J. Mater. Res., <u>4</u>, 3, (1989), 704-736

"Fundamental Issues in Heteroepitaxy - A Department of Energy Council on Materials Science Panel Report," P. S. Peercy, et al., J. Mater. Res., <u>5</u>, 4, (1990), 852-894

\*Proceedings of the Workshop on First-Order Displacive Phase Transformations,\* L. E. Tanner, M. Wuttig, et al., Mat. Sci. and Eng. A, <u>127</u>, 2, (1990), 137-270

"Interpenetrating Phase Composites," D. R. Clarke, J. Amer. Ceramic Soc, <u>75</u>, 4 (1992) 739-759

"Hydrogen Interaction with Defects in Crystalline Solids," S. M. Myers, et al., Rev. of Modern Physics, <u>64</u> (2), April 1992, 559-617

"Proceedings of the Oak Ridge National Laboratory/Brookhaven National Laboratory Workshop on Neutron Scattering Instrumentation at High-Flux Reactors," J. D. Axe and J. B. Hayter, (1989), ORNL Report CONF-8906311

"Proceedings of the First Meeting of the International Group on Research Reactors," C. D. West, (1990), ORNL Report CONF-9002100

"Research Needs and Opportunities in Highly Conducting Electroceramics," W. J. Weber, H. L. Tuller, T. O. Mason, and A. N. Cormack, Materials Science and Engineering, <u>B18</u>, 1993, pp 52-71

\*Radiation Effects on Materials in High Radiation Environments - A Workshop Summary," W. J. Weber, L. K. Mansur, F. W. Clinard, Jr., and D. M. Parkin, J. Nuclear Materials, <u>184</u>, (1991), 1-21 "Welding Science: Needs and Future Directions," D. W. Keefer, S. A. David and H. B. Smartt, and K. Spence, Journal of Metals, <u>44</u>, 9, 1992, 6-7

"Organic Superconductivity," (International Workshop), V. Z. Kresin and W. A. Little (eds), Plenum Press, New York, 1990, (jointly sponsored with Office of Naval Research)

"Surface, Interface, and Thin-Film Magnetism," L. M. Falicov, D. T. Pierce, et al., J. Materials Research, <u>5</u>, 6, (1990), 1299-1340

"Research Needs and Opportunities in Magnetic Materials," G. Thomas, Materials Science and Engineering, <u>B105</u>, 3, (1990), 409-412

"Basic Research in Superconductor, Ceramic and Semiconductor Sciences at Selected Japanese Laboratories," R. J. Gottschall, DOE/ER-0410, (1989), (jointly sponsored with Office of Naval Research, U.S. Department of Commerce, and the U.S. Congress Office of Technology and Assessment)<sup>a,b,c</sup>

"Mechanisms and Physics of Crack Growth: Application of Life Prediction," R. B. Thompson, R. O. Ritchie, J. L. Bassani and R. H. Jones, et al., Materials Science and Engineering, <u>A103</u>, (1988), 1-207

"Materials Sciences in the Department of Energy," I. L. Thomas, MRS Bulletin, January 1988, 11-12

"Basic Research in Ceramic and Semiconductor Science at Selected Japanese Laboratories," R. J. Gottschall, DOE/ER-0314, (1987)<sup>a,b</sup>

"Molecular Monolayers and Films," J. D. Swalen, et al., Langmuir 3, (1987), 932-950

"Micromechanisms of Fracture," V. Vitek, et al., Materials Science and Engineering, <u>94</u>, (1987), 5-69

"Bonding and Adhesion at Interfaces," J. R. Smith, et al., Materials Science and Engineering <u>83</u>, (1986), 175-238

"Overview of DOE Ceramics Research in Basic Energy Sciences and Nonengine Energy Technology Programs," R. J. Gottschall, Ceramic Bulletin <u>64</u>, (1985), 1090-1095

"Coatings and Surface Modifications," R. L. Schwoebel, et al., Materials Science and Engineering, <u>70</u>, (1985), 5-87

"Novel Methods for Materials Synthesis," L. R. Testardi, T. D. Coyle, et al., (1984)<sup>a</sup>

"Theory and Computer Simulation of Materials Structures and Imperfections," A. B. Kunz, et al., (1984)<sup>a</sup>

\_Workshop and Report References

"Materials Preparation and Characterization Capabilities," DOE/CONF-821120, February (1983)<sup>a,b</sup>

"Critical and Strategic Materials," R. J. Gottschall, et al., (1983)<sup>a,b</sup>

"High Pressure Science and Technology," G. A. Samara, et al., (1982)<sup>a</sup>

"Scientific Needs of the Technology of Nuclear Waste Containment," D. Turnbull, et al., (1982)<sup>a</sup>

"Basic Research Needs and Opportunities on Interfaces in Solar Materials," A. W. Czanderna, R. J. Gottschall, et al., Materials Science and Engineering, <u>53</u>, (1982), 1-162

"The Effects of Irradiation on the Structure and Properties of Materials," C. Peter Flynn, et al., (1981)<sup>a</sup>

"Condensed Matter Theory and the Role and Support of Computation," J. D. Joannopoulos, A. N. Berkner, et al., (1981)<sup>a</sup>

"Research Opportunities in New Energy-Related Materials," J. L. Warren, T. W. Geballe, et al., Materials Science and Engineering, <u>50</u>, (1981), 48-198

"Aqueous Corrosion Problems in Energy Systems," D. D. Macdonald, et al., Materials Science and Engineering, <u>50</u>, (1981), 18-42

"High Temperature Corrosion in Energy Systems," R. A. Rapp, et al., Materials Science and Engineering, <u>50</u>, (1981), 1-17

"Basic Research Needs on High Temperature Ceramics for Energy Applications," H. K. Bowen, et al., Materials Science and Engineering, 44, (1980), 1-56

#### Description of Research Facilities, Plans, and Associated Programs

"Using Federal X-ray, Electron, and Neutron Facilities," S. Spooner, Journal of Metals, <u>44</u>, 10, 1992, 72-76 and <u>44</u>, 11, 1992, 67

"Scientific User Facilities, A National Resource"

"Special Instrumentation Research Opportunities for Fundamental Ceramic Science at DOE," R. J. Gottschall, Ceramic Bulletin, <u>67</u>, (1988), 1333-1339

- <sup>a</sup> Available in limited quantities from the Division of Materials Sciences by calling (301) 903-3426, -3427, or -3428
- <sup>b</sup> Available from National Technical Information Service, U.S. Department of Commerce, Springsfield, VA 22161
- <sup>c</sup> Available from Pro Books, Inc., P.O. Box 193, 5 Smith Street, Rockport, MA 01966 (phone: 800-783-9590 or 508-546-9590)

# INTRODUCTION

The purpose of this report is to provide a convenient compilation and index of the DOE Materials Sciences Division programs. This compilation is primarily intended for use by administrators, managers, and scientists to help coordinate research.

The report is divided into eight sections. Section A contains all Laboratory projects, Section B has all contract research projects, Section C has projects funded under the Small Business Innovation Research Program, Section D describes the Center of Excellence for the Synthesis and Processing of Advanced Materials and E has information on major user facilities. F describes other user facilities, G as a summary of funding levels and H has indices characterizing research projects.

The FY 1994 funding level, title, personnel, budget activity number (e.g., 01-2) and key words and phrases accompany the project number. The first two digits of the budget number refer to either Metallurgy and Ceramics (01), Solid State Physics (02), Materials Chemistry (03), or Facility Operations (04). The budget numbers carry the following titles:

- 01-1 Structure of Materials
- 01-2 Mechanical Properties
- 01-3 Physical Properties
- 01-4 Radiation Effects
- 01-5 Engineering Materials

- 02-1 Neutron Scattering
- 02-2 Experimental Research
- 02-3 Theoretical Research
- 02-4 Particle-Solid Interactions
- 02-5 Engineering Physics

04-1 - Facility Operation

- 03-1 Synthesis & Chemical Structure
- 03-2 Polymer & Engineering Chemistry
- 03-3 High Temperature & Surface Chemistry

For more detailed information call (301) 903-3428 for the Metallurgy and Ceramics topics; (301) 903-3426 for the Solid State Physics and Materials Chemistry topics.

Sections E and F contain information on special DOE centers that are operated for collaborative research with outside participation. Section G summarizes the total funding level. In Section H provides cross-cutting references are to the project numbers appearing in Sections A, B, and C and are grouped by (1) investigators, (2) materials, (3) techniques, (4) phenomena, and (5) environment.

It is impossible to include in this report all the technical data available for the program in the succinct form of this Summary. To obtain more detailed information about a given research project, please contact directly the investigators listed.

Preparation of this FY 1994 summary report was coordinated by Iran L. Thomas. The effort required time by every member of the Division. Much of the work was done by Christie Ashton.

# TABLE OF CONTENTS

## SECTION A - LABORATORIES

## Page

Ames Laboratory1Argonne National Laboratory5Brookhaven National Laboratory10Idaho National Engineering Laboratory14Illinois, (Frederick Seitz Materials Research Laboratory) University of14Lawrence Berkeley Laboratory20Lawrence Livermore National Laboratory27Los Alamos National Laboratory29National Renewable Energy Laboratory (Formally SERI)32Oak Ridge Institute for Science and Technology32Oak Ridge National Laboratory33Pacific Northwest Laboratory39Sandia National Laboratories, Albuquerque41Sandia National Laboratories, Livermore44	

## SECTION B - GRANT RESEARCH

Alabama, University of	
Alfred University	
Arizona State University	<b>1</b> 7
Arizona, University of	18
Boeing Company	18
Boston University	18
Brandeis University	19
Bringham Young University	19
Brown University	
California at Davis, University of	Ю
California at Irvine, University of	50
California at Los Angeles, University of	51
California at San Diego, University of	51
California at Santa Barbara, University of 5	
California at Santa Cruz, University of	
California Institute of Technology 5	

•	
Case Western Reserve University	
Chicago, University of	
Cincinnati, University of	
City University of New York at Lehman College	
City University of New York at City College	
Clark Atlantic University	
Clemson University	
Colorado School of Mines	
Colorado State University	
Columbia University	
Connecticut, University of	
Dartmouth College	
Delaware, University of	60
Fisk University	60
Florida State University	60
Florida, University of	61
Georgia Institute of Technology	
Georgia Tech Research Corporation	61
Georgia, University of	62
Harvard University	62
Houston, University of	63
Howard University	
IBM	
Indiana University	64
Johns Hopkins University	
Lehigh University	
Louisiana State University	
Maine, University of	
Massachusetts Institute of Technology	
Miami (Ohio) University	
Michigan State University	
Michigan Technological University	
Michigan, University of	
Minnesota, University of	
Missouri at Columbia, University of	
Missouri at Kansas City, University of	
Missouri at Rolla, University of	
Montana State University	
Nebraska, University of	
Nevada, University of	
New Hampshire, University of	
New Mexico, University of	
North Carolina State University	
North Carolina, University of	
North Dakota, University of	
North Texas, University of	

Northeastern University
Northwestern University
Notre Dame, University of
Ohio State University
Ohio University
Oklahoma State University
Old Dominion University
Oregon State University
Oregon, University of
Pennsylvania State University
Pennsylvania, University of
Pittsburgh, University of
Polytechnic University
Princeton University
Purdue University
Rensselaer Polytechnic Institute
Rhode Island, University of
Rice University
Rockwell International
Rutgers State University of New Jersey
Southern Carolina State University
Southern California, University of
Southern University
Southwest Research Institute
Stanford University
State University of New York at Buffalo
State University of New York at Stony Brook
Tennesse, University of
Utah, University of
Virginia Commonwealth University
Virginia State University
Virginia, University of
Washington State University
Washington University
Washington, University of
Wisconsin at Madison, University of
Wisconsin at Milwaukee, University of
Worcester Foundation for Experimental Biology

## SECTION C - SMALL BUSINESS INNOVATION RESEARCH

																		•	•		
Advanced Fuel Research, Inc	 	 	 	 •	 	•	 		 							••	4	•	96		
Biotrace, Inc.	 	 	 	 •	 ••		 	• •	 	• •	• •			. :	•	•••		• '	96		
Containerless Research, Inc	 	 • •	 	 •	 		 		 			• •					•.	•	<b>97</b> :		
Nanoptics, Inc.	 	 	 	 •	 		 		 									. '	<b>97</b> ·	•.	•
Radiation Monitoring Devices																					
X-ray Analytics, Ltd.																					
X-ray Instrumentation Associates.	 	 	 	 •	 	. :	 		 	• •	•							• .	98		
X-ray Optical Systems	 	 ••	 		 		 		 				•		•				99	•	
• • •																	٠.		•		

## SECTION D - DOE CENTER OF EXCELLENCE

Overview	101
Conventional and Superplastic Metal Forming 1	103
Materials Joining	103
Nanoscale Materials for Energy Applications 1	104
Tailored Microstructures in Hard Magnets	104
Microstructural Engineering with Polymers 1	105
Processing for Surface Hardness 1	105
Mechanically Reliable Surface Oxides for High-Temp. Corrosion Resistance 1	106

## SECTION E - MAJOR USER FACILITIES

. . \*

Intense Pulsed Neutron Source (ANL)	108
High Flux Beam Reactor (BNL)	
Natonal Synchrotron Light Source (BNL)	112
Los Alamos Neutron Scattering Center (LANL)	114
High Flux Isotope Reactor (ORNL)	116
Stanford Synchrotron Radiation Laboratory (Stanford Univ.)	118
Advanced Light Source	120

## SECTION F - OTHER USER FACILITIES

Materials Preparation Center (Ames)	123
Electron Microscopy Center for Materials Research (ANL)	
Center for Microanalysis Materials (Univ. of Illinois, Frederick Seitz MRL)	
National Center for Electron Microscopy (LBL)	130
Shared Research Equipment Program (ORNL)	
Surface Modification and Characterization Research Center (ORNL)	
National Combustion Research Facility - Materials Program (SNL/L)	136

Page

## SECTION G - FUNDING LEVELS

Region of the Country	139
Discipline	
University, DOE Laboratory, and Industry	140
DOE Laboratory and Contract and Grant Research	140

## SECTION H - INDEX

## Page

Investigators										•											 •																				142
Materials																																									
Techniques									• •	•			•.				• •	•			 •					• •	•					•									151
Phenomena																																									
Environment																																									
Major Faciliti																																									
Divisions of th	ne	01	ffic	)e	; 0	)f i	Bc	JSÌ	С	Er	ле	erg	JY	S	Cie	ər	)Ċ	es	;.	·	 •	•	• •	•	•	• •	•	• •	•	•	• •	•	٠	•	• •	•	•	• •	٠	•	165

# SECTION A

Laboratories

The information in this section was provided by the Laboratories. Most projects are of a continuing nature. However, some projects were concluded and others initiated this fiscal year.

#### AMES LABORATORY **Iowa State University** Ames, IA 50011

Robert B. Thompson - (515) 294-9649 Fax: (515) 294-4456

#### Metalluray and Ceramics - 01 -

O. Buck - (515) 294-4446 Fax: (515) 294-8727

#### 1. CONTROLLED MICROSTRUCTURES I.E. Anderson (515) 294-8252

01-1 \$297,000

Studies to improve the performance of materials by achieving enhanced control of composition and microstructure during processing that involves diffusion and deformation with emphasis on powder-based materials. Perform hot isostatic pressing (HIP) experiments on high pressure gas atomized (HPGA) auasicrystalline Al-Cu-Fe powder to develop fabrication process for monolithic and composite forms of this novel material. Investigate consolidation by HIP and vacuum sintering of unique Al powders that contain high supersaturations of reactive gas resulting from gas atomization reaction synthesis (GARS) processing in the HPGA to form refractory dispersold reinforced composites. Study consolidation of intermetallic powders to produce bulk shapes with technologically useful levels of toughness and strength.

#### 2. SOLIDIFICATION MICROSTRUCTURES R. K. Trivedi, L. S. Chumbley, R. W. McCallum (515) 294-5869 01-1 \$651,000

Studies of solidification processes and their applications to technologically important materials. Theoretical modelling of microstructural evolution and correlation between microstructures and processing conditions. Rapid solidification processing by the laser treatment of materials and by highly undercooled fine droplets. Development of microstructure/processing maps. Study of interface kinetics and the effect of crystalline anisotropy on the microstructure evolution. Dissociation/recombination phenomena In Intermetallic compounds exposed to inductively coupled plasma. Solidification processing of (Dy,Tb)Fe<sub>2</sub> magnetostrictive alloys, Nd-Fe-B permanent magnet materials, and intermetallic compounds, and analysis of their magnetic and mechanical properties.

#### 3. MECHANICAL BEHAVIOR OF MATERIALS

O, Buck, B, Biner, J. Kameda, O. Unal (515) 294-4446 01-2 \$524,000

Studies of the effects of environment and stress on the mechanical properties and corrosion of ultra-high temperature materials. High-temperature-induced Intergranular cracking in NI base alloys. Description of three dimensional arrays of defects and relationship of arrangement to ductility and CREEP. Correlation between defect structure and nondestructive measurement. Effects of post-irradiation annealing on mechanical properties.

#### 4. MARTENSITIC PHASE TRANSFORMATIONS

C. T. Chan, B. N. Harmon, K. M. Ho (515) 294-7712 01-2 \$118,000

First principles calculations of electronic structure and total energies to study the order parameters, transformation paths, activation energies, and basic physics leading to analysis and control of the transformation. Detailed study of anharmonic couplings and their manifestation in phonon spectra proceeding the transformation. Modelling pseudoelastic and thermoelastic behaviors of shape-memory alloys. Investigation of twin formation and its affects on ductility in hcp metals. Application of molecular dynamics using realistic interatomic potentials. Study of prototypical systems: Na, NIII. NiAl, Ba, Zr. etc.

#### 5. RARE-EARTH AND RELATED MATERIALS

K. A. Gschneidner, Jr. (515) 294-7931 01-3 \$303,000

Study the behavior of rare earth materials in the extreme regime of low temperatures (down to 0.5 K) and high magnetic fields (up to 10T). This includes heat capacity, magnetic properties, electrical resistivity measurements. Examine the systematics of phase formation, or the variation of physical properties to understand various physical phenomena, such as bonding, alloy theory, structure of materials. Exploitation of materials with large magnetocaloric effects for refrigeration materials.

#### 6. ADVANCED MATERIALS AND PROCESSES

I. E. Anderson, L. L. Jones, T. A. Lograsso, D. J. Sordelet, R. K. Trivedi (515) 294-8252 01-5 \$682,000

Development of advanced processes for preparing special metals. Development of new melting procedures for preparing metal matrix composites. New thermite reduction process for preparing rareearth-iron alloys and for producing permanent magnet and magnetostrictive alloys. Processing of stoichiometric and non-stoichiometric materials by an inductively coupled plasma. Electrotransport and zone melting for maximum purification of rare-earth

and refractory metals. Processing of single crystals of congruent melting and peritectic materials by levitation zone melting, free-standing vertical zone melting, Bridgman, Czochralski and strain-anneal recrystallization. High pressure gas atomization for production of fine powders of metals and mixed metal oxides. Specialized coatings by plasma-arc spraying. Above research supports directly the Materials Preparation Center described in the Section-Collaborative Research Centers.

#### 7. NDE MEASUREMENT TECHNIQUES

O. Buck, D. C. Jiles, R. B. Thompson (515) 294-4446 01-5 \$229,000

Techniques to measure failure-related material properties to improve understanding of failure mechanisms and inspection reliability. Ultrasonic measurement of internal stresses, texture, and porosity. Ultrasonic scattering and harmonic generation studies of fatigue cracks to provide information about crack tip shielding and its influence on crack growth rate and detectability. Acoustic microscopy techniques for high resolution studies of microstructure and defects. Effects of fatigue damage, stress and microstructure on magnetic properties, particularly Bloch wall motion.

# 8. SCIENTIFIC AND TECHNOLOGICAL INFORMATION EXCHANGE

L. L. Jones, T. A. Lograsso, S. Mitra (515) 294-5236 01-5 \$168,000

Dissemination of information to the scientific and industrial communities. Publication of  $High-T_c$ Update for rapid dissemination of up-to-date information on high-temperature superconductivity research. Operation of Materials Referral System and Hotline to accumulate information from all known National Laboratory sources regarding the preparation and characterization of materials and to make this information available to the scientific community.

#### 9. FUNDAMENTALS OF PROCESSING OF BULK HIGH-T SUPERCONDUCTORS

R. W. McCallum, J. R. Clem, D. K. Finnemore, D. C. Johnson, M. J. Kramer

(515) 294-4736 01-5 \$723,000

Investigation of the role of microstructure in the bulk superconducting properties of high- $T_c$ oxides. Control of microstructure using information obtained from phase diagram studies. Phase diagram dependence on rare-earth and oxygen partial pressure. Interaction of materials with CO<sub>2</sub>. Study of fine grained dense polycrystalline materials. Effects of processing induced defects on the bulk superconducting properties. Thermal and quantum fluctuations of vortices.

#### Solid State Physics - 02 -

B. N. Harmon - (515) 294-7712 Fax: (515) 294-7712

#### **10. NEUTRON SCATTERING**

C. Stassis, A. Goldman, D. Vaknin, J. Zarestky (515) 294-4224 02-1 \$414,000

Study of the magnetic properties of hightemperature superconductors and related compounds by polarized and unpolarized neutron scattering techniques (La<sub>2</sub>CuO<sub>4</sub>, LaNiO<sub>4</sub>, La<sub>2-x</sub>Sr<sub>x</sub>CuO<sub>4</sub>, La<sub>2-x</sub>Sr<sub>x</sub>NiO<sub>4</sub>). Experimental investigation of the lattice dynamics of metals and alloys undergoing martensitic transformations (bcc La, Cu-Al-Be, Cu-Al-Ni, Cu-Zn-Al); study of the Verwey transition in magnetite. Electronic structure and phonon spectra of mixed valence compounds (CePd<sub>3</sub>, <sub>y</sub>Ce). Lattice dynamics of quasicrystals. Study of organized films on aqueous and solid surfaces by neutron and X-ray reflectivity techniques. Study of magnetism and superconductivity in the RENi<sub>2</sub>C<sub>2</sub>B systems.

#### **11. NEW MATERIALS AND PHASES**

F. Borsa, D. C. Johnston, L. Miller, C. A. Swenson, D. R. Torgeson (515) 294-5435 02-2 \$530,000

Synthesis and characterization of new high-T<sub>e</sub> superconductors and related oxides. Study of the physical properties of these new materials, such as phase equilibria and high temperature behavior. Properties of new phases including magnetic susceptibility, transport properties, heat capacity, crystallographic phase transformations, coexistence and/or competition of superconductivity and magnetic order. Modelling and analysis of the data using appropriate theories. High pressure equations of state of new materials, elementary solids (ternary compounds and alloys, and alkaline earth metals), low temperature expansivity and heat capacity of materials (Lu) containing hydrogen. Applications of NMR to high-T<sub>c</sub> superconductors, phase transitions, and hydrogen embrittlement of refractory metals and alloys. NMR studies of martensitic phase transformations, superionic conductors, and quasicrystals.

#### 12. MAGNETO OPTIC MATERIAL P. Canfield, A. I. Goldman, K. A. Gschneidner, B. N. Harmon, D. W. Lynch, C. Stassis, S. Zollner (515) 294-7712 02-2 \$450,000

Synthesis and detailed characterization of new maanetic materials. Investigation of the correlation, spin-orbit, and exchange interactions leading to novel or large magneto-optical properties. Development and use of circular magnetic X-ray dichroism as a new tool for studving local magnetic properties. Theoretical modelling, first principles calculations, and predictions in close collaboration with the experimental effort.

#### **13. SUPERCONDUCTIVITY**

D. K. Finnemore, J. E. Ostenson 02-2 \$190,000 (515) 294-3455

Preparation, characterization, and study of the fundamental properties of copper oxide superconductors; search for new superconducting materials; current transfer and the proximity effect near superconductor normal metal interfaces, studies of single quantized vortices for use in microprocessors and logic devices; development of superconducting composites for large scale magnets. Fundamental studies of superconductivity in metal-metal composites, use of Josephson junctions to study flux pinning of isolated vortices, development of materials with very low pinning, development of superconducting composites suitable for large scale magnets in the 8 to 16 Tesla range. practical studies to improve wire fabrication techniques, development of magnetic shielding devices.

#### 14. X-RAY DIFFRACTION PHYSICS

A. Goldman (515) 294-3585

02-2 \$245,000

X-ray measurements on Icosahedral Phase alloys, high-T<sub>c</sub> ceramic superconductors, magnetic structures and phase transitions, and solids at high pressure. Magnetic X-ray scattering and spectroscopy. Study of magnetism and superconductivity in the RENI<sub>2</sub>C<sub>2</sub>B systems. Development of beamlines at APS.

#### 15. OPTICAL SPECTROSCOPIC, AND SURFACE **PROPERTIES OF SOLIDS** D. W. Lynch, C. G. Olson, M. Tringides, S. Zollmer (515) 294-3476

Electron photoemission, inverse photoemission, and optical properties (transmission. reflection, ellipsometry) of solids in the visible. vacuum ultraviolet and soft X-ray region using synchrotron radiation; low energy electron diffraction, scanning tunnelling microscopy. Ce and Ce-compounds (e.g., CeSn.) heavy Fermion systems, e.g., UPt,, copper-oxide-based superconductors. O on W. Epitaxial growth on metal and semiconductor surfaces, surface diffusion, ultrathin film morphology, LEED (Low Energy Electron Diffraction), RHEED (Reflection, High Energy Electron Diffraction), STM (Scanning Tunneling Microscopy) are used for structural characterization and time dependent measurements. Ultrafast laser studies of electron spin dynamics in magnetic materials.

02-2 \$690,000

## **16. SEMICONDUCTOR PHYSICS**

J. JHIHUK	
(515) 294-8706	02-2 \$240,000

(i) Fabrication and electronic and structural dynamics studies of hydrogenated amorphous Si-based thin films and devices, using UV-Vis-NIR-IR absorption spectroscopies, photoconductivity, SAXS, and SIMS. (II) Processing and studies of fullerenes, using luminescence and optically-detected magnetic resonance spectroscopies. (iii) Fabrication and characterization of thin diamond and porous Si films and devices.

#### **17. SUPERCONDUCTIVITY THEORY**

J. R. Clem, V. Kogan (515) 294-4223 02-3 \$200,000

Electrodynamic behavior of the high-temperature copper-oxide superconductors, especially while carrying electrical currents in magnetic fields. Anisotropy of critical fields, internal magnetic field distributions, and magnetization. Granularity effects using Josephson-coupled-grain models. Flux pinning, critical currents, thermally activated flux flow, noise, ac and high-frequency losses. Surface, interface, grain-boundary, proximity effects, and vortex fluctuations.

#### 18. OPTICAL AND SURFACE PHYSICS THEORY

R. Fuchs, C. T. Chan, K.-M. Ho (515) 294-1960 02-3 \$140,000

Optical properties of metals, semiconductors, and insulators, studies of surfaces, thin films, layered systems, small particles, and powders. Differential surface reflectance spectroscopy. Photonic band structure. Electron energy-loss spectroscopy of inhomogenous systems. First principles calculation of lattice relaxation, reconstruction and phonons at single crystal surfaces (AI, Au, W, Mo, Ag and Au on Si). Chemisorption. Determination of growth modes via first principles calculations.

#### **19. ELECTRONIC AND MAGNETIC PROPERTIES**

B. N. Harmon, C. T. Chan, K.-M. Ho, M. Luban, C. Soukoulis (515) 294-7712 02-3 \$470,000

Theoretical studies of bulk and lattice dynamical properties of materials using first principles total energy calculations. Magnetic properties of new high-T<sub>c</sub> superconductors. Anharmonic Interaction, lattice instabilities, phase transformations, electron-phonon interaction, and superconductivity. Equations of state (pressure and temperature). Hydrogen-metal interactions. Electron localization in guasi-periodic and disordered materials. Magnetism in spin glasses and ternary compounds. Electronic structure of rare-earth compounds and transition metal sulfides and hydrides. Theory of amorphous semiconductors, and nuclear magnetic ordering in metals. Localization of light in dielectrics, photonics. Theoretical modelling of quantum dot nanostructures and Bloch oscillations. Buckyballs.

#### Materials Chemistry - 03 -

P. A. Thiel - (515) 294-8985 Fax: (515) 294-4709

#### 20. SYNTHESIS AND CHEMICAL STRUCTURE

J. D. Corbett, J. W. Anderegg, H. F. Franzen, R. A. Jacobson, R. E. McCarley (515) 294-3086 03-1 \$750,000

Synthesis and structure of and bonding in polar intermetallic systems. Interstitial derivatives of intermetallic phases - the systematic variation of electronic, conduction, and magnetic properties and corrosion resistance. Influence of common impurities (O, N, H) on stability of intermetallic compounds. Homoatomic clusters of main-group metals in condensed phases; electronic regularities. Zinti phases and criteria. Synthesis, bonding,

structure and properties of new ternary oxide phases containing heavy transition elements, especially metal-metal bonded structures stable at high temperatures. Low temperature routes to new metal oxide, sulfide, and nitride compounds. Correlation of structure and bonding with d-electron count and physical properties. Electronic band structure calculations. Study of refractory metal-rich binary and ternary sulfides and oxides by both experimental and theoretical techniques to understand the relationships among crystal structure, chemical bonding, and electronic structure as they affect high-temperature stability, phase equilibria, and order-disorder transitions. Development of diffraction techniques for single crystal and non-single crystal specimens, techniques for pulsed-neutron and synchrotron radiation facilities, and use of Patterson superposition methods. Experimental methods: X-ray and electron diffraction, X-ray and UV photoelectron spectroscopy, resistivity and magnetic susceptibility measurements, computer automated mass-loss-mass-spectrometry for high-temperature vaporation reactions.

21. POLYMER AND ENGINEERING CHEMISTRY T. J. Barton, M. Akinc, S. Ijadi-Maghsoodi (515) 294-2770 03-2 \$484,000

Synthesis of highly-strained, unsaturated, organometallic rings for ring-opening polymerizations. Study of controlled thermal decomposition of preceramic polymers. Development of thermal and photo-chemical routes to transient compounds containing silicon multiple bonds as route to preceramic materials. Design and synthesis of polymers containing alternating silicon and unsaturated carbon units. Such polymers are evaluated as ceramic precursors, as electrical conductors, and as nonlinear optical materials. Synthesis and characterization of ceramic powders including oxides, sulfides and carbides. Characterization and processing of novel intermetallics for high-temperature structural applications. Design and processing of ceramic matrix composites.

#### 22. HIGH TEMPERATURE AND SURFACE CHEMISTRY

P. A. Thiel, K. G. Bo	ilkerikar, SL. Chang,
D. C. Johnson	_
(515) 294-8985	03-3 \$443,000

Study of lubrication phenomena: decomposition pathways and products of fluorinated ethers at surfaces. Mechanisms of oxidation of metals; formation of thin, metastable oxide overlayers. Chemistry of electrode reactions, including electrocatalysis, electorchemical incineration, and corrosion reactions. Characterization of electrocatalytic materials by modulated hydrodynamic voltammetry. Reactivity of oxidized and doped electrode surfaces, including characterization of oxygen mobility and defect density at such electrodes. Equilibrium and dynamic properties of adsorbed films. Surface chemistry of nucleation and flocculation applied to ceramic processing. Techniques used include Low Energy Electron Diffraction (LEED), Auger electron spectroscopy, Electron Energy Loss Spectroscopy (EELS), temperature programmed desorption, electron-stimulated desorption, ring-disk and modulated hydrodynamic voltammetry.

#### ARGONNE NATIONAL LABORATORY 9700 South Cass Avenue Argonne, IL 60439

F. Y. Fradin - (708) 252-3504 Fax: (708) 252-6720

#### Metallurgy and Ceramics - 01 -

Bob D. Duniap - (708) 252-4925 Fax: (708) 252-4798

# 23. ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

M. A. Kirk, C. W. Allen (708) 252-4998 01-1 \$1,384,000

Development and use of high-voltage and high-spatial resolution analytical microscopy for materials research. Operation and development of the Center's 1.2 MeV High-Voltage Electron Microscope-Tandem Facility with in-situ capability for direct observation of ion-solid interactions. The HVEM is currently being utilized for research programs in advanced materials, mechanical properties, irradiation effects, oxidation and hydrogenation effects. HVEM specimen stages are available for heating (1300 K), cooling (10 K), straining, resistivity and gaseous environments. Ion-beam interface with 650 kV ion accelerator and 2 MV tandem accelerator available for in-situ implantations and irradiations. Approximately 50 percent of HVEM usage is by non-ANL scientists on research proposals approved by the Steering Committee for the Center that meets every six months. A state-of-the-art, medium-voltage, ultra-high vacuum, field-emission gun, Analytical Electron Microscope is being installed. Its design is directed toward the attainment of the highest microanalytical resolution and sensitivity. Fundamental studies of electron-solid

Interactions and microcharacterization of materials, using TEM, STEM, HREM, CBED, XEDS, and EELS are conducted at present on conventional transmission electron microscopes (JEOL 4000 EXII, JEOL 100CX, Phillps EM420, and Philips CM30).

#### 24. INTERFACES IN ADVANCED CERAMICS

D. Wolf, J. A. Eastr	nan, Z. Li, K. L. Merkle,
S. R. Philipot	
(708) 252-5205	01-3 \$1,469,000

Coordinated experimental and theoretical program focused on the synthesis, characterization and properties of advanced ceramics (used, for example, as electronic-packaging materials, in structural applications, in advanced batteries and fuel cells, as ceramic-coating materials or high-T. materials) and ceramic composites (such as structural ceramics, SiC/SI<sub>3</sub>N<sub>4</sub>), with particular emphasis on atomic-level experimental characterization techniques and atomistic simulations. Fundamental issues of relevance to the processing and mechanical performance of advanced ceramics to are being addressed. Among these are, for example, (a) the relationship between geometry, atomic structure and interface chemistry (including non-stolchiometry and interfacial phases) as a function of processing conditions, (b) the role of amorphous phases in these materials and (c) mechanical properties, with particular emphasis on the role of dislocations in geometrically constrained (e.g., small-grained) ceramics. The program draws heavily on three major ANL facilities, the Electron Microscopy Center (HREM, AEM) and, in the near future, the Advanced Photon Source, as well as Argonne's expertise in massively parallel computing architectures.

#### **25. IRRADIATION AND KINETIC EFFECTS**

L. E. Rehn, D. E. Alexander, R. C. Birtcher, S. K. Chan, N. Q. Lam, P. R. Okamoto, N. J. Zaluzec (708) 252-5021 01-4 \$1,916,000

Investigations of mechanisms leading to the formation of defect aggregates, precipitates, and other inhomogeneous distributions of atoms in solids during irradiation. Studies of neutron and gamma irradiation effects on alloy microstructure and embrittlement. Irradiation performance of advanced nuclear fuels. Effects of amorphization on dimensional stability. Radiation-induced segregation to internal and external defect sinks. Radiation-enhanced diffusion. Inert-gases in solids. Surface layer modification of alloys by ion implantation, ion-beam mixing, and sputtering. Ion channeling and flux-pinning in High-Te materials. Insitu studies of ion and electron bombardment in the High-Voltage Electron Microscope. Neutron and dual-beam lon irradiation. Computer modelling of Irradiation-Induced microstructural changes.

Ion-beam analysis. Radiation sources include HVEM-2MV Tandem facility (electrons and ions), 650kV ion accelerator, and IPNS.

#### 26. CERAMIC MATERIALS DEVELOPMENT

K. E. Gray, K. C. Goretta, D. J. Miller, A. P. Paulikas, B. W. Veal Jr. (708) 252-5525 01-5 \$776,000

This program studies oxide ceramic materials, with the primary emphases on high-T<sub>c</sub> superconductors and coatings. Synergistic efforts incorporating synthesis, characterization, fabrication are coupled to a wide range of fundamental electronic and structural properties. Materials engineering issues that limit performance and processing flexibility are also studied. The properties of ceramic protective coatings for use in high-temperature corrosive environments (e.g., for high-temperature gas turbines) are studied. The single thallium layer HTS compounds are studied for use in powder-in-tube and coatings, because of their superior flux pinning.

#### Solid State Physics - 02 -

Bob D. Dunlap - (708) 252-4925 Fax: (708) 252-4798

#### 27. NEUTRON AND X-RAY SCATTERING

J. D. Jorgensen, G. P. Felcher, R. Kleb, R. Osborn, D. L. Price (708) 252-5513 02-1 \$1,481,000

Exploitation of neutron and X-ray scattering techniques in the study of the properties of condensed matter. Instrument development and interactions with university and industrial users at IPNS. Investigations of the structure and defects of intermetallic and oxide superconductors, structure and dynamics of chalcogenide and oxide glasses, ilquid alloys and molten salts, surface magnetism, polymer interfaces, distributions with deep inelastic scattering, and fast ion transport in solids.

#### 28. MAGNETIC FILMS

S. D. Bader, E. E. Fullerton, M. Grimsditch (708) 252-4960 02-2 \$907,000

Research on the growth and physical properties of novel ultra-thin, epitaxial films, metallic sandwiches, superlattices, and multilayers. Thin-film and surface-science preparation techniques include molecular beam epitaxy, and sputtering. Monolayer growth phenomena and interfacial structure characterization methods include electron (RHEED and LEED) and X-ray diffraction. Electronic properties studied via electron spectroscopies (photoemission and Auger), band-structure theory, and low-temperature transport, magnetic and magneto-optic Kerr effect measurements. Elastic, magnetic and vibrational properties using Brillouin and Raman scattering, and spin polarized photoemission.

#### **29. TAILORED PERMANENT MAGNETS**

S. D. Bader, E. E. Fullerton (708) 252-4960 02-2 \$245,000

This new program involves exploration for new and improved permanent magnets with high energy products. The approach is to utilize thin-film deposition techniques for fabrication; and magnetometry and electron microscopy for magnetic and structural characterizations. respectively. Attempts are underway to grow the recently discovered Sm-Fe-N ternarles via sputtering, and Nd-Fe-B via molecular beam epitaxy. Efforts will also be taken to interleave hard and soft ferromagnets on the nanometer-scale in order to test the new concepts of "exchange hardening" permanent magnets. This should reduce rare-earth content, and, therefore, improve corrosion resistance and lower materials costs. Ultimately, revolutionary advances are possible technologically with new permanent magnets for energy applications.

#### **30. SUPERCONDUCTIVITY AND MAGNETISM**

G. W. Crabtree, W. K. Kwok, D. J. Miller, L. C. Smedskjaer, V. Vinokur, U. Welp (708) 252-5509 02-2 \$1,002,000

Experimental and theoretical investigations of the magnetic and superconducting properties of materials. Strong emphasis is being placed on studies of high-T<sub>c</sub> oxide superconductors, especially on the physics of vortices in the mixed state. Other programs include studies of the electronic properties of organic superconductors, heavy fermion, and other narrow-band materials containing rare-earth and actinide elements. Experimental techniques include the de Haas-van Alphen effect, transport and magnetic measurements, electron tunneling, materials preparation and characterization.

#### **31. PHOTON SCIENCE AT SYNCHROTRONS**

P. A. Montano, M. Beno, J. C. Campuzano, G. S. Knapp, M. Ramanathan, H. You (708) 252-6239 02-2 \$589,000

X-ray scattering techniques, glancing incidence fluorescence and X-ray absorption spectroscopy has been used to characterize the structure and composition profile of multilayers. X-ray scattering has been utilized to characterize in-situ the growth mode of metallic thin on difference substrates. X-ray

Laboratories

standing waves is being used to investigate the first stages in the growth of metals on semiconductor substrates. Angle resolved photoemission has been employed to measure the electronic structure of high-temperature superconductors. A new beamline for energy dispersive X-ray absorption measurements was constructed and is being utilized for the study of transition metal magnetic alloys. X-ray absorption technique was used to study the structure of photoexcited states in molecules and crystals. A new technique was developed for rapid X-ray powder diffraction measurements.

#### 32. CERAMIC EPITAXY FILMS AND COMPOSITES

D. Wolf, H. L. Chang, C. Foster (708) 252-5205 02-2 \$393,000

Experimental research program on the processing, characterization, and property determination of single-crystal epitaxial ceramic films and lavered composites prepared by metal-organic chemical vapor deposition (MOCVD) techniques. The main objectives are two fold, namely (a) to enhance our fundamental understanding of the processing-structure-property relationship of thin ceramic films and multilayers synthesized by MOCVD and (b) to measure tensor properties In single-crystalline films, thus elucidating the performance of these materials. In the past, devices using these materials have been made almost exclusively in polycrystalline form, Our main emphasis is on electro-ceramic materials. such as TiO<sub>2</sub>, SnO<sub>2</sub>, PbTiO<sub>3</sub>, SrTiO<sub>3</sub>, BaTiO<sub>3</sub>, PbZrO<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, and LITaO<sub>3</sub>. Properties of interest involve their dielectric, plezoelectric, electro-optic, acousto-optic and elastic behavior.

#### 32A. CONDENSED MATTER THEORY

A. A. Abrikosov, R. A. Klemm, M. Norman, M. Randena, N. Trivedi (708) 252-5482 02-3 \$1,164,000

Theory of superconductivity; electronic band structure, many-body effects; properties of lowdimensional systems. Physical properties of layered superconductors including anisotropic energy gap. Vortex lattice. Superconductivity in heavy-fermion and high-T<sub>c</sub> materials. Reentrant superconductivity in strong magnetic fields. Organic superconductors. Contributions of fluctuations. Superconductorinsulator transition. Normal State Anomalies in high-T<sub>c</sub> Supeconductors. Strongly correlated systems. Quantum Monte Carlo mehtods. Anderson localization in guasi 1- and 2-dimensional metals.

#### **33. EMERGING MATERIALS**

K. E. Gray, D. G. Hinks, R. T. Kampwirth, D. J. Miller (708) 252-5525 02-5 \$497,000

This program includes materials engineering research and fundamental studies of new materials with a primary emphasis presently on superconductors. Sample fabrication includes single crystals and film depositions. Microcharacterizations, including electron microscopy and in-situ X-ray probes, are used as crucial links between physical properties and synthesis/processing. Studies seek to identify intrinsic potential of important new materials and the effects of extrinsic defects.

#### Materials Chemistry - 03 -

Bob D. Dunlap - (708) 252-4924 Fax: (708) 252-4798

#### 34. CHEMICAL AND ELECTRONIC STRUCTURE

J. M. Williams, K. D. Carlson, U. Geiser, A. M. Kini, J. S. Schlueter, H. H. Wang

(708) 252-3464	03-1	\$1,311,000

New materials synthesis and characterization focusing on synthetic organic metals and superconductors based on BEDT-TTF (bis-ethylenedithiotetrathio-fulvalene), the fullerenes ( $C_{\infty}$ ), and various newly-synthesized organic electron-donor and electron-acceptor molecules. Development of structure-property relationships coupled with electrical and superconducting properties measurements. Development of improved crystal growth techniques. Phase transition and crystal structure studies as a function of temperature (10-300 K) by use of the IPNS-single crystal diffratometer and a low-temperature (10 K) single crystal X-ray diffraction instrument.

#### 35. INTERFACIAL MATERIALS CHEMISTRY

V. A. Maroni, L. A. Curtiss, L. Iton, S. A. Johnson, A. R. Krauss

**(708) 252-4547** 03-2 \$407,000

Basic research on interfacial phenomena is being carried out in two forefront scientific fields of materials science: (1) molecular sieve materials and their application in heterogeneous catalysis and (2) novel techniques for the preparation and characterization of high-critical-temperature ( $T_c$ ) superconductors in thin-film form. The role of organic template molecules in the crystallization mechanisms of aluminosilicate zeolites. The application of modified zeolites and metallaluminophosphate materials as catalysts in hydrocarbon oxidation

Laboratories

reactions. Use of molecular sieve materials as matrices for the generation of interacrystalline particles and polymers, constrained in size and dimensionality. Computer simulations of framework and adsorbate molecular dynamics, as well as ab Initio molecular orbital calculations of chemical properties of zeolite catalysts and template effects in microporous structure development. Production and characterization of multicomponent thin films and layered structures by computer-controlled sequential deposition with in-situ annealing and oxidation processes as part of an integrated fabrication cycle. Use of ozone atomic oxygen, and oxygen ion beam modification to produce high-temperature superconductor (HTSC) films with little or no high-temperature annealing. Atomic layer-by-layer fabrication of BI based HTSCs. Production of superiattice structures for electronic applications and thin-film optoelectronic devices. Basic surface studies of as-grown superconducting thin films; basic studies of growth processes for multicomponent thin films

#### 36. AQUEOUS CORROSION

V. A. Maroni, L. A. Curtiss, C. A. Melendres, Z. Nagy, R. M. Yonco (708) 252-4547 03-2 \$585,000

Basic research aimed at elucidating fundamental aspects of Interfacial phenomena that occur under conditions of temperature and pressure (300°C and 10 MPa) relevant to light water fission reactors, environments. Investigations of the mechanisms responsible for passivation on iron, copper, and nickel-based alloys and for crack and pit propagation in these same alloys. Studies of the details that connect surface adsorption, electron transfer, and electrolyte chemistry with passive film structure using a combination of in-situ surface sensitive spectroscopic methods and transient electrochemical techniques. In-situ of characterization of metal/solution interfaces using laser Raman, photoelectrochemical and X-ray methods. Investigations of the key features of the Interfacial chemistry associated with passivation processes (including charge transfer kinetics and film-growth dynamics) using pulsed galvanostatic, potentiostatic, dc polarization, and ac impedance. Theoretical/computational simulations of solid/liquid Interface phenomena through the application of molecular dynamics methods in combination with ab Initio molecular orbital theory.

# 37. THERMODYNAMICS OF ORDERED AND METASTABLE MATERIALS

M.-L. Saboungl, L. A. Curtiss (708) 252-4341 03-2 \$335,000

Experimental and theoretical investigations of Important thermodynamic and structural properties of ordered and associated solutions and amorphous (metastable) materials. Thermodynamic and structural measurements (e.g., emf, vapor pressure, neutron diffraction) are combined with theoretical calculations (e.g., molecular dynamics, statistical mechanics) to determine the fundamental characteristics of ordered and associated solutions (e.g., chloroaluminates, ionic alloys, slicates). Other techniques such as visible/uv spectroscopy small angle neutron scattering, and inelastic neutron scattering are used to obtain data relating to valence states, ordering and clustering of atoms and ions in solution. The extension of theories and concepts for pyrometallurgy is explored.

# 38. PARTICLE AND PHOTON INTERACTIONS WITH SURFACES

D. M. Gruen, W. F. Calaway, A. R. Krauss, G. J. Lamich, M. J. Pellin (708) 252-3513 03-3 \$957,000

Development of multiphoton resonance ionization methods combined with energy and angle refocusing time-of-flight mass spectroscopy for ultrasensitive detection of sputtered species. Application of this technique to studies of (1) fundamental problems in surface science (depth of origin of sputtered species; sputtering of metal clusters; adsorbate structures; strong metal support interactions; mechanisms of oxidation; surface segregation), (2) electron- and photon-induced desorption cross sections and mechanisms for neutral species with particular reference to desorption by synchrotron radiation, (3) trace analysis for selected systems of special significance such as impurities in semiconductors, (4) fundamental studies of planetary materials including isotopic anomalies. Surface composition, structure and radiation-enhanced segregation in strongly segregating alloy systems using recoil sputtering, ion-scattering, SIMS, Auger, XPS, UPS, and LEED techniques. Preparation of controlled stoichiometry high-temperature superconducting films and fabrication of layered thin-film structures by sequential sputtering of elementary targets. Ion scattering and implantation and surface modification.

# 39. MOLECULAR IDENTIFICATION FOR SURFACE ANALYSIS

D. M. Gruen, K. R. Lykke, M. J. Pellin (708) 252-3513 03-3 \$393,000

Surface analysis of the molecular composition of complex solids using Fourier transform ion cyclotron resonance spectroscopy coupled with resonant and "soft" laser ionization methods. The solid surfaces to be investigated include conducting polymers, plastics, and other high molecular weight materials. One aspect of the study involves the diffusion and fate of additives such as plasticizers and UV stabilizers in polymers. Another aspect includes the characterization of fullerene ( $C_{co}$ )-type compounds.

#### Facility Operations - 04 -

# 40. APS USER TECHNICAL AND ADMINISTRATIVE INTERFACE

S. Barr, S. Davey, G. K. Shenoy (708) 252-5537 04-1 \$1,300,000

The user technical and administrative interface will provide the point of contact between the APS and the APS users during the design, construction, and operation of users' experimental beamlines. This program will provide for the integration of user technical and administrative requirements with APS Experimental Facilities Division activities and for the oversight and support during development of these beamlines.

#### 41. APS ACCELERATOR R&D

M. Borland, E. Crosble, R. Damm, G. Decker, H. Friedsam, J. Galayda, G. Goeppner, R. Kustom,

A. Lumpkin, G. Mavrogenes, D. McGhee, F. Mills, S. Milton, J. Noonan, S. Sharma, L. Teng,

M. White

(708) 252-7796 04-1 \$4,600,000

This research supports construction of the Advanced Photon Source, a 7-GeV storage ring complex capable of facilitating wide ranges (1-100 KeV) of X-ray tunability of Insertion devices and operating with 34 insertion device beamlines. Accelerator component prototypes are developed to evaluate and refine performance characteristics of the accelerator and storage ring systems. Theoretical methods are developed and applied to predict accelerator physics performance parameters. Facility Title II design activities began in FY 1990, construction was initiated in FY 1990 and completion Is scheduled for FY 1997.

#### 42. INTENSE PULSED NEUTRON SOURCE PROGRAM

B. S. Brown, F. R. Brumwell, J. M. Carpenter, W. D. Ruzicka

#### (708) 252-4999 04-1 \$6,769,000

Operation and development of IPNS, a pulsed spallation neutron source for condensed matter research with neutron scattering techniques. The facility is equipped with 12 instruments which are regularly scheduled for users and 1 instrument under construction. The facility has been run since 1981 as a national facility in which experiments are selected on the basis of scientific merit by a nationally constituted Program Committee. Approximately 250 experiments, involving about 150 outside visitors from universities and other institutions are performed annually. Industrial Research on a proprietary basis, which allows the company to retain full patent rights. has been initiated with a number of companies (e.g., DuPont, Texaco, Ailled-Signal, IBM, General Electric, Amoco, British Petroleum America) and is encouraged. Relevant Argonne research programs appear under the neutron activities of the Materials Science Division of Argonne National Laboratory.

#### 43. APS COMMISSIONING AND START-UP

Y. Cho, J. Galayda, G. Shenoy (708) 252-6616 04-1 \$11,478,000

To establish a smooth transition between the construction phase and the operations phase, operations groups have been established and will grow in size until they take full responsibility for operations, maintenance, and troubleshooting of all systems. Maximum use will be made of computerized documentation and document control procedures to assure repeatable, safe operations. A unified approach will be developed to create and control command sequences defining operation, associated documentation, routine maintenance. record keeping, and system troubleshooting. Beam stability is one of the prime measures of performance of APS. Three systems are proposed to detect three principal causes of instability in the orbit of the stored positron beam. The undulators and wigglers of APS produce the X-ray beams and are also capable of disturbing the beam stability if not adjusted correctly. The APS staff will preview and test, among other things, the performance limits of state-of-the-art undulators. Operation of the APS relies on a long lifetime for the stored beam which depends critically on vacuum conditions. Vacuum systems and procedures will be optimized to achieve desired performance.

Laboratories

#### 44. ASD R&D IN SUPPORT OF OPERATIONS

E. Crosble, R. Damm, J. Galayda, M. Knott, R. Kustom, A. Lumpkin, G. Mavrogenes, L. Teng, M. White (708) 252-7796 04-1 \$8,219,000

To further develop the operations of the APS, R&D support is needed to optimize accelerator systems, controls and X-ray source capabilities. These studies will examine the operating characteristics of APS systems with the goal of improving them. Activities include accelerator physics studies of the linacs. PAR. synchrotron storage ring, and transport lines to increase injected currents, increase circulating current, and improve beam lifetime and stability. There is also an effort towards developing new diagnostic devices and control techniques to support accelerator physics activities and to improve integrated performance of the circulating positron beam, insertion devices, and X-ray beamlines. New storage ring operating techniques are studied and devices will be developed with the goal of enhancing the ability to use the facility for synchrotron radiation research.

#### 45. APS BEAMLINE AND INSERTION DEVICE R&D

E. Gluskin, T. Kuzay, D. M. Mills, G. K. Shenoy (708) 252-5537 04-1 \$10,466,000

Experimental Facilities R&D supports the construction of various APS components such as the insertion devices, mechanical components of the beamline front ends and transport, X-ray optics, detectors, and synchrotron instruments. This R&D, including the construction and testing of prototypes, is needed to assure that the detailed designs meet or exceed the desired performance goals of the APS construction project and to assure that the APS user community can perform their research.

#### 46. XFD R&D IN SUPPORT OF OPERATIONS

E. Gluskin, T. Kuzay, D. M. Mills, G. K. Shenoy (708) 252-5537 04-1 \$1,400,000

To prepare in advance for the operational phase of the APS facility, R&D needs have been identified that have direct bearing on the success of APS user programs. R&D items are based on user collaboration proposals, while others support the beamline instrumentation. In order to enhance dissemination of the best beamline designs to the users, a Desian Exchange has been established. This exchange maintains all the updated design drawings of the user beamlines from the conceptual stage to the as-built stage. Furthermore, these CAD drawings and corresponding specifications and descriptions are available to all the users on communication links. There is an additional effort to design, develop and test software to operate all the beamlines and experimental instruments so as to enhance performance and safety of operation. In addition,

Insertion device diagnostics will be carried out using a positron beam from the linac.

#### BROOKHAVEN NATIONAL LABORATORY Upton, NY 11973

John D. Axe - (516) 282-3821 Fax: (516) 282-5888

#### Metallurgy and Ceramics - 01 -

Kelvin Lynn - (516) 282-3501 Fax: (516) 282-4071

#### 47. FIRST PRINCIPLES THEORY OF HIGH AND LOW TEMPERATURE PHASES

J. W. Davenport, P. Allen (SUNY-Stony Brook), R. E. Watson, M. Winert (516) 282-3789 01-1 \$427,000

Molecular dynamics simulations using first principles techniques as well as empirical potentials. Applications to metals including complex crystalline structures liquids and amorphous materials. Calculations of melting and temperature dependent phase diagrams. Electronic structure.

#### 48. SUBMICROSCOPIC DEFECTS IN LAYERED MATERIALS B. Nielsen, V. J. Ghosh

**(516) 282-3**525 01-1 \$214,000

The main goal of this program is to elucidate the role played by submicroscopic defects in determining the properties (mainly physical properties) of layered structures. This also includes studies of the mechanisms by which defects are incorporated into layered materials, as well as defect evolution and migration during processing. The layered structures are formed by deposition, thermal growth of thin layers, or by Ion implantation. The technique used primarily to detect defects is depth-resolved positron annihilation spectroscopy. To complement this work other techniques are also used. Theoretical studies or computer simulations are done whenever they are necessary to extract defect information or to get a better understanding of the mechanisms involved in defect generation, evolution, or migration.

#### 49. MECHANISMS OF METAL-ENVIRONMENT INTERACTIONS

H. S. Isaacs, A. J. Davenport (516) 282-4516 01-2 \$557.000

Studies of the properties, formation, and breakdown of passive and anodically grown oxide films on metals and alloys. Surface morphology and atomic structure using atomic force and tunneling microscopy. Concentrations and valency of

\_Laboratories

elements in surface oxides using X-ray absorption. Studies of the role of corrosion-inhibitors and the mobility of inhibiting anions under existing high electric fields. Kinetics of the early stages of formation of oxide films. Breakdown of oxide films followed by localized corrosion. Propagation of voltage transients along metal surfaces. Dissolution kinetics of metals in highly concentrated electrolytes simulating localized corrosion. Structure of the electrolytes, salt film formation, and electromigration.

#### **50. SUPERCONDUCTING MATERIALS**

M. Suenaga, R. Budhani, Z.-X. Cai, D. O. Welch, Y. Zhu

(516) 282-3517 01-3 \$1,085,000

Fundamental properties of high critical temperature and critical field superconductors, mechanical properties, theoretical models of interatomic forces, lattice defects, and diffusion kinetics in superconducting oxides, studies by electron microscopy of lattice defects in superconducting compounds, flux pinning, properties of composite superconductors.

51. BASIC MATERIALS SCIENCE OF HIGH T<sub>c</sub> CONDUCTOR FABRICATION M. Suenaga, Q. LI, Y. Zhu

(516) 282-3518 01-5 \$575,000

The purpose of this program is to perform basic studies of problems which are associated with the fabrication of conductors for magnets and transmission of power utilizing high- $T_c$ superconductors. The main focus of this program currently is on characterization of microstructural and electromagnetic properties of grain boundaries in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub> and Bi<sub>2</sub>Sr<sub>2</sub>Ca<sub>2</sub>Cu<sub>3</sub>O<sub>8</sub> in order to gain increased understanding of the nature of the coupling. A second aspect of the program is the development of fabrication techniques for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub> and Bi<sub>2</sub>Sr<sub>2</sub>Ca<sub>2</sub>Cu<sub>3</sub>O<sub>8</sub> to strengthen the coupling of the boundaries by various methods.

#### Solid State Physics - 02 -

Jim W. Davenport - (516) 282-3789 Fax: (516) 282-2739

#### 52. MAGNETIC AND STRUCTURAL PHASE TRANSITIONS

J. D. Axe, J. M. Tranquada, K. Hirota, G. Shirane, A. Zheluder

(516) 282-3821 02-1 \$1,066,000

The principal objective of this program is the fundamental study of phase transitions and magnetism by elastic and inelastic neutron scattering. At present, a concentrated effort directed towards the characterization and understanding of the high-temperature superconductors complements work on a wide-range of other condensed matter systems. Within the area of phase transitions, measurements of both structural rearrangements and dynamical fluctuations in order parameters are applied to martensitic alloys as well as to the copper-oxide superconductors. Antiferromagnetic correlations are proving to be especially important in the copper-oxide perovskite systems and other highly correlated electron systems such as nicklates. The unique attributes of the neutron are exploited in both the static and dynamical studies of critical phenomena in magnetic materials. The primary interest is in the study of collective magnetic excitations and short-range correlations in a wide variety of magnetic materials. Recent activity involves substitutionally disordered or frustrated magnetic materials, spin glasses, and low-dimensional systems. The facilities at the High Flux Beam Reactor (HFBR) are operated as a Participating Research Team and are available to the outside scientific community.

#### 53. ELEMENTARY EXCITATIONS AND NEW TECHNIQUES

J. D. Axe, L. Passel	I, S. M. Shapiro, B. Sternlieb,
P. Wochner	
(516) 282-3821	02-1 \$1,300,000

This program is directed to the study of elementary excitations in condensed matter and to the development of new neutron scattering techniques to further these investigations. Currently, experimental interest focuses on excitations in heavy fermion, mixed valent and paramagnetic systems, spin glasses, magnetic and intermetallic alloys, and the combined use of X-rays and neutrons to study the structure and interactions in solids. The objective in all these experiments is to obtain a better understanding of the fundamental interactions which determine the unique properties of these systems. In the area of instrumentation the U.S.-Japan Collaborative Research Program has begun to put increased emphasis on high pressure techniques, improved monochromators and 2-D neutron detectors. These activities will be focused around a newly developed optical bench at the HFBR. Part of the effort in new instrument development will be done in collaboration with Oak Ridge National Laboratory and will be useful for developing instruments at the Advanced Neutron Source and other next generation neutron facilities.

#### 54. STRUCTURAL CHARACTERIZATION OF MATERIALS USING POWDER DIFFRACTION TECHNIQUES D. E. Cox, Q. Zhu

(516) 282-3818 02-2 \$262,000

Application of synchrotron X-ray and neutron powder diffraction techniques to structural analysis of materials, including mixed metal oxides, zeolites, high-T<sub>c</sub> superconductors and fullerenes. Phase transition studies at high and low temperatures, including magnetic ordering. High pressure studies in diamond—anvil cells by synchrotron X-ray diffraction techniques with monochromatic radiation. Development of instrumentation and software for powder diffraction analysis. Preparation and characterization of bulk samples of inorganic materials, especially high-T<sub>c</sub> metal oxide superconductors, including T<sub>c</sub> measurements. *Ab initio* structure determination from powder data. Application of X-ray anomalous scattering to probe cation distribution and selective oxidation states.

#### 55. EXPERIMENTAL RESEARCH - X-RAY SCATTERING

L. D. Glbbs, J. P. Hill, O. Magnussen, B. Ocko, T. Thurston, G. Watson (516) 282-4608 02-2 \$1,174,000

The objective of this program is to exploit the techniques of synchrotron X-ray scattering to study the structural, electronic, and magnetic properties of condensed matter systems. The X-ray scattering group, as part of three participating research teams, operates and maintains three X-ray beamlines at the National Synchrotron Light Source (X22A, X22B, and X22C) and is involved in the development and use of two new insertion device beamlines (X21 and X25). Particular emphasis is placed on investigations of surface and interfacial phenomena and on the structure and magnetic spectroscopy of magnetically ordered crystals. Current examples of projects include: (1) the study of metal surface phase transformations in UHV, (2) the study of electrochemically driven surface reconstructions at metal/electrolyte interfaces, (3) the study of fluctuations at liquid surfaces and interfaces, (4) X-ray magnetic scattering studies of bulk and thinfilm rare-earths, transition elements, and actinides, and (5) inelastic X-ray scattering studies of plasmons In light metals.

#### 56. LOW ENERGY - PARTICLE INVESTIGATIONS OF SOLIDS

K. G. Lynn, P. Asoka-kumar, P. Simpson, C. Szeles (516) 282-3710 02-2 \$934,000

A new Initiative using Ac-cross-correlational technique to understand the fundamental mechanism responsible for the 1/f noise was started. Perfect and imperfect solids, solid and ilquid interfaces, and their surfaces are investigated by using variable energy positron (.1 eV - 3 MeV) coupled with standard surface analysis tools (Auger Electron Spectroscopy, thermal electric effect spectroscopy, thermally stimulated currents). These methods include two-dimensional angular correlation of annihilation radiation (2D-ACAR), positron induced Auger Electron Spectroscopy, positron channeling, positron work functions, and positronium formation. Systems that are being studied include metal-metal, oxide-semiconductor, and metal-semiconductor Interfaces. Bulk 2D-ACAR measurements and Doppler broadening measurements are being performed on various systems including high-temperature superconductors and some metallic alloys. Defect formation in semiconductors by ion implantation and their kinetics are studied. The hydrogen interaction with the interface trap centers is studied. The early stages of pitting corrosion in aluminum have been studied. Fundamental studies involving positron-atom scattering, single quantum annihilation, and positron channeling at MeV energies are investigated.

#### **57. THEORETICAL RESEARCH**

J. W. Davenport, P. Bak, G. Castilla, V. J. Emery, M. Pacuski, P. Thomas, R. E. Watson, M. Weinhert (516) 282-3789 02-3 \$834,000

Solid state theory including self-organized criticality, nonlinear systems, theory of superconductivity in oxides, and many body effects. Theory of alloys including heats of formation, using local density functional theory. Electronic structure of metallic surfaces. Applications to X-ray and neutron scattering, and to photoemission.

#### 58. SURFACE PHYSICS RESEARCH

M. Strongin, D. J. Huang, P. D. Johnson, Y. Liu (516) 282-3763 02-5 \$920,000

Various surface sensitive techniques are used to study the physical and chemical properties of surfaces and thin films. These techniques include Low Energy Electron Diffraction (LEED), Auger Electron Spectroscopy, Low Energy Ion Scattering (LEIS), Photoemission, Inverse Photoemission, and Spin Polarized Photoemission. The major part of the program is supported by beamlines at the NSLS. These include both conventional monochromators and the more advanced spherical grating monochromators used on the undulator sources. The latter devices are dedicated to the spin polarized photoemission components of the program. Ongoing research includes: (a) photoemission and inverse photoemission studies of the electronic structure of metal overlayers, clean metal surfaces, and adsorbate covered surfaces; (b) studies of surface magnetism in thin films and the effect of adsorption on surface magnetism; (c) catalytic and electronic properties of metal overlayers; (d) surface metallurgy and surface compounds; and (e) studies of charge transfer and metastable species formed in low temperature reactions; and (f) formation of surface coating using cryogenic techniques and synchrotron radiation.

#### Materials Chemistry - 03 -

#### 59. NEUTRON SCATTERING - SYNTHESIS AND STRUCTURE J. Z. Larese (516) 282-4349

03-1 \$461,000

A variety of neutron scattering techniques are employed to study phase transitions and critical phenomena of atomic and molecular films adsorbed on surfaces. Primary emphasis is focused on the structure and dynamics of hydrocarbon and rare aas films adsorbed on graphite and magnesium oxide surfaces. Other areas of study include the imaging of Rayleigh-Benard convection in liquid helium mixtures, the synthesis of high-quality single-crystal materials with unique physical properties, and molecular dynamics simulations of surface films. This effort is also responsible for the operation of a multiuse neutron beam port through a participating research team. A medium resolution, 15-detector powder diffractometer, a high-resolution two-dimensional area detector, and a triple-axis diffractometer are available for use by the outside scientific community.

#### 60. SYNTHESIS AND STRUCTURES OF NEW CONDUCTING POLYMERS

J. McBreen (516) 282-4513 03-2 \$475,000

Development of a fundamental understanding of ionically and electronically conducting polymers and development of techniques for tailoring the materials with highly specific electrical and optical properties. Research consists of the synthesis of new conducting polymers and the exploration of their physical and chemical properties with a number of spectroscopic techniques, including electrochemistry, X-ray absorption spectroscopy, X-ray diffraction, positron annihilation, Fourier transform infrared spectroscopy, Raman spectroscopy and electrical resistivity measurements. The materials of interest are linear polyethers, polysiloxanes, polypyrroles and polythiophenes. The materials are chemically modified by the covalent attachment of electrically active side groups or by introducing polar plasticizers on anion complexing agents. A second category of materials consists of organo-disulfide redox polymers. This is a collaborative program between Brookhaven National Laboratory, Polytechnic University, and Power Conversion, Inc.

#### Facility Operations - 04

#### 61. HIGH FLUX BEAM REACTOR -

- **OPERATIONS**
- L. Junker, J. Barkwill, W. Brynda, J. Carelli,
- J. Detweiler, S. Golden, A. Queirolo, R. Reyer,
- D. C. Rorer, P. Tichler
- (516) 282-4416 04-1 \$21,819,000

Operation of the High Flux Beam Reactor, including routine operation and maintenance of the reactor, procurement of the fuel, training of operators, operation and maintenance of a liquid hydrogen moderated cold neutron source, irradiation of samples for activation analysis, isotope production, positron source production, and radiation damage studies. Technical assistance provided for experimental users, especially with regard to radiation shielding and safety review of proposed experiments. Additionally, planning and engineering assistance provided for projects for upgrading the reactor.

#### 62. NATIONAL SYNCHROTRON LIGHT SOURCE -**OPERATIONS AND DEVELOPMENT**

D. B. McWhan, N. Fewell, J. Hastings, R. Heese, J. Keane, R. Klaffky, S. Kramer, S. Krinsky, W. Thomlinson (516) 282-3927 04-1 \$16,311,000

This program supports the operation of the National Synchrotron Light Source, which is a large user facility devoted to the production and utilization of synchrotron radiation, and its supports the development of electron based radiation sources and of new applications of this radiation in the physical and biological sciences. The NSLS operates two electron storage rings and the associated injection system composed of a linear accelerator and a booster synchrotron, and it operates an extensive user program built around facility and participating research team photon beamlines on the vacuum ultraviolet (VUV), and X-ray storage rings. As this is the first facility in the U.S. that was designed expressly for the use of synchrotron radiation, there are extensive development programs to improve the stability, reliability, and lifetime of electron beams and to develop new insertion devices which give even brighter photon beams. Equally important are programs to develop new beamline Instrumentation Including beamline optics, monochromators, and detectors which will permit users to take full advantage of the unique research capabilities offered by the NSLS. The PRTs continue to invest heavily in the facility, and the program seeks to keep the facility at the forefront to justify this

investment. Two conceptual design reports have been submitted: one for a beamline and machine upgrade, and the other for a fourth-generation source (the Deep UltraViolet Free Electron Laser).

#### IDAHO NATIONAL ENGINEERING LABORATORY Idaho Falls, ID 83415

Robert Wright - (208) 526-6127 Fax: (208) 526-0263

Metallurgy and Ceramics - 01 -

#### 63. STRESS DISTRIBUTION IN GRADED MICROSTRUCTURES B. H. Rabin (208) 526-0058 01-5 \$218,000

Develop fundamental understanding of the effects of microstructure, processing conditions, and specimen geometry on the thermomechanical behavior of graded materials for the purpose of mitigating the property mismatch at dissimilar material interfaces. Fabrication of two-phase coatinas and bulk materials with controlled microstructural gradients and varying geometries by ion-beam assisted deposition (IBAD) and powder metallurgy techniques. Focus on model materials systems in which significant property mismatch exists between components, e.g., AL,O,/NI. Mapping of residual stresses by high spatial resolution X-ray and neutron diffraction methods. Comparison of experimental results with predictions from elastic-plastic finite element method (FEM) modelling of stress distributions. Use of FEM models to design gradient material microstructures to meet application requirements.

#### 64. ROLE OF IMPURITIES IN MICROSTRUCTURAL EVOLUTION OF RAPIDLY SOLIDIFIED MATERIAL R. N. Wright

(208) 526-6127 01-5 \$132,000

Examination of phenomena associated with the interaction of low levels of impurities with quenched-in defects in rapidly solidified metals. Interactions studies in simple systems to determine fundamental mechanisms. Initial studies of high-purity aluminum and aluminum doped with ppm levels of lead or indium containing ion-implanted helium have shown accelerated helium bubble growth when liquid precipitates are attached to bubbles. Rapidly quenched, high-purity aluminum and dilute aluminum alloys containing substitutional elements with different vacancy binding energies, as well as carbon as an interstitial impurity, have been examined. Experimental techniques include positron annihilation and TEM. The transformation from a dendritic as-solidified structure to equiaxed grains during isothermal annealing is being studied in detail for a Ag-2% AI alloy.

UNIVERSITY OF ILLINOIS FREDERICK SEITZ MRL 104 S. Goodwin Avenue Urbana, IL 61801

Howard Birnbaum - (217) 333-1370 Fax: (217) 244-2278

#### Metallurgy and Ceramics - 01 -

#### **65. TRANSPORT PROCESSES IN LOCALIZED CORROSION** R. C. Alkire (217) 333-3640 01-1 \$216,071

Corrosion of passivating systems. Transport, reaction, and convective diffusion at localized corrosion sites. Initiation at Inclusions; corrosion plt growth; corrosion of cracks in static and dynamically loaded systems; corrosion inhibition.

## 66. DEFECTS, DIFFUSION, AND NON-EQUILIBRIUM PROCESSING OF MATERIALS

(217) 333-4302	01-1 \$238,911	

Ion beam studies of interfaces and diffusion; Rutherford backscattering studies of ion beam effects in solids; crystalline and amorphous transitions; formal properties of nanophase metals and alloys; radiation damage due to ion beams. Development of nanophase ceramics and studies of their physical and mechanical properties. Transport properties and structures of nanophase ceramics are being studied.

#### 67. DEVELOPMENT OF X-RAY SYNCHROTRON

INSTRUMENTS	
.H.K.Birnbaum	•.
(217) 333-1370	01-1 \$436,500

Design, development and fabrication of X-ray beamline equipment for the UniCat sector at the Advanced Photon Source located at Argonne National Laboratory, Program is interactive with Oak Ridge National Laboratory, National Institute of Science and Technology, and UOP Corporation.

#### 68. MOLECULAR SPECTROSCOPY OF THE SOUD-LIQUID INTERFACE

P. W. Bohn (217) 333-0676 01-1 \$82,949

In-situ molecular spectroscopic probes used to study the structural chemistry of corrosion inhibitors on metal and metal-oxide surfaces. Raman spectroscopy of the liquid-solid interface will be used to determine absorbate-substrate binding and linear dichroism to probe the supermolecular structure and molecular orientation. Correlation with the solution chemistry and corrosion response will be made.

#### 69. CENTER FOR MICROANALYSIS OF MATERIALS

J. A. Eades, H. Farrell (217) 333-8396 01-1 \$0

Chemical, physical and structural characterization of materials. Surface and bulk microanalysis. Electron microscopy, X-ray diffraction, Auger spectroscopy, SIMS and other techniques. Collaborative research programs.

#### 70. MICROANALYSIS OF DEFECTS AND INTERFACES J. A. Eades

(217) 333-8396 01-1 \$131,699

Defects, interfaces, segregation are studied by cathodoluminescence and X-ray microanalysis in the transmission electron microscope and by Rutherford backscattering and channeling. Surface convergent-beam diffraction is developed as an analytical technique. An environmental cell for transmission electron microscopy is under construction.

#### 71. ATOMISTICS OF GROWTH AND TRANSPORT AT METAL AND SEMICONDUCTOR INTERFACES

G. Ehrlich

(217) 333-6448 01-1 \$139,642

Atomic processes important in the growth of crystals and thin films are being characterized on the atomic level using field ion microscopic methods. The diffusivity of single metal atoms will be explored on different planes of the same crystal, as well as on different substrates, in order to establish the importance of structure and chemistry in affecting atomic transport and incorporation.

#### 72. ATOMIC RESOLUTION ELECTROCHEMISTRY OF CORROSION AND DEPOSITION PROCESSES

A. A. Gewirth (217) 333-8329 01-1 \$143,805

Scanning Tunneling Microscopy and Atomic Force Microscopy is applied to understanding the atomic processes of corrosion and deposition in electrochemical environments.

## 73. TRANSMISSION ELECTRON MICROSCOPY OF SURFACES AND INTERFACES

J. IVI. GIDSOIT	
(217) 333-2997	01-1 \$194,862

Elucidation of surface and interface structure using quantitative transmission electron microscopy. TEM studies of surface reactions and in-situ epitaxial growth using image formation using surface related diffracted intensities. Quantitative atomic resolution microscopy is being applied to interface structure and chemistry.

#### 74. CRYSTAL GROWTH AND PHYSICAL PROPERTIES OF METASTABLE SEMICONDUCTING, CERAMIC AND METALLIC ALLOYS

J. E. Greene		
(217) 333-0747	01-1	\$342,455

Mechanisms and kinetics of crystal growth. Metastable single crystal alloys for solar and optical applications. Ion-beam sputtering, molecular-beam epitaxy, laser heating and low-energy ion bombardment methods applied to III-V based compounds and III-IV-V2 chalcopyrite systems.

#### 75. CHEMICAL BONDING AT HETEROGENEOUS INTERFACES

R. T. Haasch		
(217) 244-2972	01-1	\$12,653

Studies of chemical bonding at interfaces using surface analytical methods. X-ray photoelectron by ion bombardment and heating. Development of software for deconvoluting photoelectron scattering from photoelectron spectra.

## 76. STRUCTURE AND STABILITY OF SMALL METAL CLUSTERS

J. M. Mochel		
(217) 333-4292	01-1	\$44,620

Experimental study of small metallic clusters of interest for their catalytic properties. Use of scanning transmission electron microscopy to determine their crystal structure and stability.

#### 77. SURFACE AND INTERFACE X-RAY DIFFRACTION I. K. Robinson

(217) 244-2949 01-1 \$117,269

Use of X-ray scattering methods to study the structure and chemistry of surfaces. Development of methods to study the structure of surfaces during MBE growth and during corrosion. Studies of the solid-liquid interface.

#### 78. TIME RESOLVED, NONLINEAR, AND NOVEL OPTICAL SPECTROSCOPY OF MATERIALS D. R. Wake

(217) 333-8876 01-1 \$73,693

Development of optical spectroscopies to study the electronic and optical properties of materials. Electronic structure of superconductors studied using resonant Raman spectroscopy to determine the phonon modes.

#### 79. ORGANIZATION OF THE SINGLE-CRYSTAL SOLID-LIQUID INTERFACE: ENERGIES, STRUCTURES AND ELECTRONIC SYNERGISM A. Wieckowski (217) 333-7943 01-1 \$130,099

Structure and properties of the solid-liquid interface. Atomic level studies of the structure/energy characteristics of adsorbates in electrochemical systems. Electrocatalysis.

#### 80. MICROSTRUCTURE EVOLUTION, INTERFACES AND PROPERTIES IN STRUCTURED CERAMIC COMPOSITES A. Zangvil (217) 333-6829 01-1 \$194,311

Microstructure and microchemistry of SiC with covalent additives, such as AIN, BN, and BeO; solid solution formation in SiC based systems; effect of processing variables and additives on polytypism and microchemistry. Interfaces and toughening mechanisms in SiC- and mullite-matrix composites. Application of microanalytic methods to analysis of the structure and microchemistry of ceramic high-T<sub>c</sub> superconductors.

#### 81. SOLUTE EFFECTS ON MECHANICAL PROPERTIES OF GRAIN BOUNDARIES

H. K. Birnbaum, I. Robertson (217) 333-1370 01-2 \$193,026

Hydrogen effects on deformation and fracture; effects of hydrogen on dislocation mobilities; theoretical model of hydrogen embrittlement; interaction of dislocations with grain boundaries; solute effects on the response of grain boundaries to stress.

#### 82. APPLICATION OF SURFACE CHEMISTRY TECHNIQUES TO UNDERSTANDING HETEROEPITAXY H. Farrell

(217) 333-0386 01-2 \$65,133

Quantification of bulk concentration analysis using surface sensitive techniques, e.g., Auger Electron Spectroscopy and/or X-ray Photoelectron Spectroscopy, for depth profiling when differential sputtering induces surface segregation. Application of surface analysis methods to study the initial stages of heteroepitaxy at polar interfaces. Studies of structure and chemistry in the submonolayer region.

#### 83. COUNCIL ON MATERIALS SCIENCE

C. P. Hynn	
(217) 333-1370	01-2 \$54,646

Study and analysis of current and proposed basic research programs on materials and assessment of their relevance to problems of energy utilization. Consideration of national facilities needs. Convening of panel studies on selected topics.

## 84. CHEMISTRY OF NEW TRANSITION METAL CERAMIC COMPOUNDS SYNTHESIZED BY MOCVD

G. 5. GIOlami	
(217) 333-2729	01-2 \$85,300

Synthesis of thin film ceramics by chemical vapor deposition method. Studies of the chemistry of precursor compounds at solid surfaces. Preparation of transition metal carbides, borides, and nitrides using MOCVD methods. Characterization of the microstructures, chemistry, electronic structure, physical properties of the films using a variety of methods. Use of MOCVD methods to develop high-T<sub>c</sub> superconductor films.

#### 85. HIGH TEMPERATURE MECHANICAL BEHAVIOR OF CERAMICS D. F. Socie

(217) 333-763	0 01-2	\$89,482

Behavior of engineering materials subjected top complex loading involving high temperatures, multiaxial state of stress, and time dependent state of stress. Macroscopic damage models are being developed on the basis of microscopic studies of defects accumulated in the materials. Hightemperature mechanical properties of ceramics under uniaxial, multiaxial, and fatigue conditions.

#### 86. MICROSTRUCTURE BASED CONTINUUM MODELLING OF THE MECHANICAL BEHAVIOR OF MATERIALS P. Sofronis (217) 333-2636 01-2 \$67,724

Theoretical modelling of mechanical properties such as hydrogen interactions with dislocations, hightemperature creep of nanophase materials, and sintering of ceramic compacts. Development of algorithms for describing mechanical behavior including time dependence and mass flow.

#### 87. SUBCRITICAL CRACK GROWTH IN STRUCTURAL CERAMICS

J. F. Stubbins (217) 333-6474 01-2 \$54,902

Micromechanisms of failure at elevated temperatures under creep, fatigue and aggressive environmental conditions. Role of oxide films on crack initiation and propagation. Microstructural examination of regions in front of cracks and of the dislocation structures are related to micromechanics of failure. Crack propagation kinetics in ceramics at high temperatures and in aggressive atmospheres. Subcritical crack growth in ceramics.

#### 88. STRUCTURE AND KINETICS OF ORDERING TRANSFORMATIONS IN METAL ALLOYS AND SILICIDE THIN FILMS H. Chen

(217) 333-7636 01-3 \$145,762

Investigation of the kinetics and mechanisms of thermally induced structural transformation in amorphous silicate glasses and crystalline silicide thin films. Emphasis is placed on the devitrification behavior and silicide layer growth kinetics and interface characterization using X-ray diffraction techniques in an in-situ manner.

#### 89. MATERIALS CHEMISTRY OF OXIDES CERAMICS; FIELD RESPONSIVE ORGANIC INCLUSION COMPLEXES W. F. Klemperer (217) 333-2995 01-3 \$180.825

Low-temperature synthesis of oxide gels and glasses using a step-wise approach. Polynuclear molecular building-blocks are first assembled and then polymerized into solid materials using sol-gel methods. Silicate cage, ring, and chain alkoxides and their polymerization reactions are studied using multinuclear NMR spectroscopic and gas chromatographic techniques.

# 90. SYNTHESIS AND PROPERTIES OF ELECTRICAL CERAMICS

D. A. Payne (217) 333-293

••	i ayno		
")	333-2937	01-3	\$252,096

Synthesis, powder preparation, crystal growth, forming methods, materials characterization and property measurements on electrical and structural ceramics. Sol-gel processing of thermal barriers and mechanical coatings. Chemical, electrical, and mechanical boundary conditions in polarizable deformable solids, twin and domain structures, ferroelasticity and crack propagation. Amorphous ferroelectrics. Synthesis methods and properties of high-T, superconductors.

#### 91. ATOMIC SCALE MECHANISMS OF VAPOR PHASE CRYSTAL GROWTH

A. ROCKOII	
(217) 333-0417	01-3 \$158,675

Theoretical studies of the atomic scale processes which determine the surface structures of crystals during vapor phase growth. Monte Carlo Imulations of the crystal surfaces including structure and reconstruction of planes with low indices as well as those with high indices. Experimental determination of the surface structure during MBE crystal growth using LEED and RHEED oscillations.

#### 92. MAGNETIC BEHAVIOR OF NANOPHASE MATERIALS M. B. Salamon (217) 333-6186 01-3 \$100,039

Experimental and theoretical studies of the magnetic properties of nanophase metals and mixtures of metals. Interfacial effects of magnetic particles embedded in non-magnetic matrices. Investigation of spin waves, quantum tunneling of the macroscopic magnetization of particles and macroscopic quantum coherence effects.

#### 93. PROCESSING OF MONODISPERSE CERAMIC POWDERS C. Zukoski (217) 333-7379 01-3 \$192.405

Low temperature processing of ceramics including precipitation of monodisperse oxide powders, rheology of monodisperse powders and mixtures,

and studies of forces in colloidal suspensions, for the purpose of forming low flaw density, high performance ceramics.

#### 94. MICROSCOPIC PROCESSES IN IRRADIATED CRYSTALS

R. S. Averback, C. P. Flynn (217) 333-4302 01-4 \$181,489

Fundamental processes of Irradiation induced defects in crystalline solids. Use of high resolution analytical methods such as TEM, SIMS, RBS, to explore the atomic processes at the size scale of the defect events. Thermal spike behavior, radiation induced diffusion, radiation sputtering and sink behavior are being studied. Experimental efforts are complemented by molecular dynamic computer simulations.

# 95. RADIATION EFFECTS IN METALS AND

JEINICONDUCIONS			
I. M. Robertson			
(217) 333-6776	01-4	\$136,511	,

Investigations of vacancy dislocation loop formation and displacement cascades in Fe, NI, Cu with irradiations and high voltage electron microscopy (at ANL) at 10 K to 800 K; and of amorphous zones produced in SI, GaAs, and GaP by heavy ion irradiation.

#### Solid State Physics - 02 -

#### 96. SYNTHESIS AND CHARACTERIZATION OF ORGANOMETALLIC LIQUID CRYSTAL POLYMERS T. L. Brown

(217) 244-1176 02-2 \$43,851

Synthetic routes to liquid crystal polymers containing transition metal organometallic functional groups are being explored. These groups are chosen to have special chromophoric, electric or magnetic properties.

# 97. MICROSCOPIC MECHANISMS OF CRYSTAL GROWTH D. Cahili (217) 333-6753 02-2 \$57,376

Development and use of microanalytic tools to study vapor phase crystal growth. Use of STM imaging combined with low energy ion energy transfer to surface atoms to study the mechanisms of growth of pure elements and alloys. Study of the effects of surface chemistry on the incorporation of adatoms into the crystal structure.

#### 98. ELECTRONIC PROPERTIES OF SEMICONDUCTOR SURFACES AND INTERFACES

T.-C. Chiana

(217)	333-2593	02-2	\$185,648

Synchrotron radiation photoemission studies of electronic properties and growth behaviors of semiconductor surfaces and interfaces prepared insitu by molecular beam epitaxy; properties and atomic structure of alloy surfaces. XPS studies of the band structure of high-T<sub>c</sub> superconductors.

#### 99. OPTICAL AND MAGNETO-OPTICAL STUDIES OF THE ELECTRONIC STRUCTURE OF SOLIDS S. L. COODER

(017) 000 0500	
(217) 333-2589	02-2 \$28,788

Application of Fourier-transform photoluminescence, reflectivity, and ellipsometry to study the effects of impurities and dimensionality on the electronic structure of dilute magnetic semiconductor epilayers and heterostructures. Spin-flip Raman, Brillouin scattering, and Faraday rotation methods will be used to study the magnetic phase diagram of epilayers and heterostructures.

# 100. GROWTH AND PROPERTIES OF NOVEL MBE MATERIALS C. P. Flynn (217) 244-6297 02-2 \$158,293

Determination of the mechanisms of epitaxial growth of metals and oxides. Development of a predictive framework for understanding the growth of metastable and stable structures accessible by MBE methods. Growth of multilayer systems of interest for technological applications.

## 101. THEORY OF SOLIDS, SURFACES AND HETEROSTRUCTURES

(217) 333-422	02-2	\$86,016

Theoretical studies of the properties of materials using ab initio calculations in a unified manner. Development of technique applied to known materials and extension of these methods to new materials. Focus on problems involving many bodied. correlations of electrons such as high-T<sub>c</sub> superconductors, surfaces, heterostructures, and interfaces.

#### 102. SEMICONDUCTOR/INSULATOR STRUCTURES H. Morkoc

(217) 333-0722 02-2 \$121,522

Development of novel techniques of crystal growth based on MBE, Gas Beam, and MOCVD methods. Application of methods to growth of controlled interfaces and multilayers involving semiconductors and insulators. Understanding the electronic and optical properties of these structures.

#### 103. DESIGN AND SYNTHESIS OF NEW ORGANOMETALLIC MATERIALS

 T. B. Rauchfuss

 (217) 333-7355
 02-2 \$106,221

A research program for the synthesis of organometallic polymers. The program emphasizes fundamental synthetic chemistry as it applies to the design of monomers suited for polymerization. Solids containing dynamic metal-metal bonds, i.e., mobile charge density waves. Synthesis of metal clusters containing reactive ester groups will be developed for the applications to organometallic polyesters. The reactivity inherent in main group vortices of metal clusters will be used to generate clusters-of-clusters. Synthetic studies will focus on charge transfer salts containing organometallic donors and acceptors.

#### 104. MICROSCOPIC THEORIES OF THE STRUCTURE AND PHASE TRANSITIONS OF POLYMERIC MATERIALS K. S. Schweizer

(217) 333-6440 02-2 \$38,532

Development of novel molecular scale statistical mechanical theories of the equilibrium properties of polymers. Applications to the structural, thermodynamic, and phase transition behavlor of polymer blends, copolymers, and melts. Development of a chemically realistic predictive theory of behavlor as a design tool for synthetic chemists.

#### 105. PROPERTIES OF CRYSTALLINE AND LIQUID CONDENSED GASES

R. O. Simmons (217) 333-4170 02-2 \$190,100

Measurement and theory of momentum density in bcc, hcp, and liquid helium, pulsed neutron scattering, phase transitions, and structure determination in solid hydrogen by neutron diffraction, isotopic phase separation in solid helium, thermal and isotopic defects in helium crystals, auantum effects in diffusion.

#### 106. NUCLEAR MAGNETIC RESONANCE IN SOLIDS

C. P. Slichter

(217) 333-3834 02-2 \$229,101

Investigations of layered materials and one dimensional conductors with charge density waves, of Group VIII metal-alumina catalysts, and of spin glasses using nuclear magnetic resonance methods. Use of resonance methods to study the role of Cu and O in high-T<sub>c</sub> superconductivity.

# 107. ELECTRO-ACTIVE AND NONLINEAR OPTICAL POLYMERS S. I. Stupp (217) 333-4436 02-2 \$163,577

Synthesis and physical property determination of self ordering chiral polymers that order in response to external fields. Fields of interest are electric, stress and flow, and optical responses. Properties of interest in these polymers are ferroelectricity, ferromagnetism, and nonlinear optical properties.

#### 108. METALLOPORPHYRINS AS FIELD RESPONSIVE MATERIALS K. S. Suslick (217) 333-2794 02-2 \$57,076

The synthesis and characterization of porphyrinic materials with ferroelectric and nonlinear optical properties are being studied. Metalloporphyrin polymers, linked by direct metal-porphyrin chains via lanthanide metals or bridging, non-symmetric bifunctional ligands are being developed. Asymmetric assemblies with large molecular species having large dipole moments are being studied.

#### 109. CARRIER TRANSPORT IN QUANTUM WELLS -PICOSECOND IMAGING

J. P. Wolfe		
(217) 333-2374	02-2	\$103,625

Development of picosecond imaging techniques applied to measure the lateral transport of photoexcited carriers in semiconductor quantum wells. Optical-pulse-probe methods and spatial imaging techniques applied to GaAs/AlGaAs multilayers. Energy distribution of photoexcited carriers measured with high resolution luminescence imaging methods used to study the scattering processes of carriers and surfaces, interfaces, impurities, and phonons.

#### Materials Chemistry - 03 -

110. HIGH PRESSURE STUDIES OF MOLECULAR AND ELECTRONIC PHENOMENA H. G. Drickamer (217) 333-0025 03-1 \$180,877

Studies of the pressure tuning of electronic energy levels with emphasis on optical absorption measurements including absorption edges, metal cluster compounds and charge transfer phenomena, as well as semiconductor-metal interfaces.

#### 111. MECHNISTIC AND SYNTHETIC STUDIES IN CHEMICAL VAPOR DEPOSITION

R. G. Nuzzo (217) 244-0809 03-1 \$172,126

In-situ surface analysis techniques are directed towards understanding the atomic mechanisms of chemical vapor deposition growth of surface films and surface modified structures. Reactive gas-solid interactions studied with XPS, EELS, LEED, and other surface methods.

#### 112. OPTICAL SPECTROSCOPY OF SURFACE PROCESSES IN THIN-FILM DEPOSITION

E. G. Seebauer (217) 333-4402 03-3 \$105,997

Surface chemistry during the deposition of GaAs films using LEED, temperature programmed desorption, photoreflection and surface second harmonic generation. The chemistry of the adsorption process and surface diffusion are being probed.

#### LAWRENCE BERKELEY LABORATORY 1 Cyclotron Road Berkeley, CA 94720

Daniel S. Chemla - (510) 486-4999 Fax: (510) 486-7768

#### Metallurgy and Ceramics - 01 -

#### 113. NATIONAL CENTER FOR ELECTRON MICROSCOPY U. Dahmen

(510) 486-4627 01-1 \$1,977,000

Organization and operation of a national, user-oriented resource for transmission electron microscopy. Maintenance, development, and application of specialized instrumentation including an Atomic Resolution Microscope 1.6A point-to-point (ARM) for ultrahigh-resolution imaging a 1.5-MeV High Voltage Electron Microscope (HVEM) with capabilities for dynamic in situ observations, analytical electron microscopes for microchemical analysis, and support facilities for specimen preparation, computer image analysis, simulation, and processing.

#### 114. CRYSTALLOGRAPHY OF MICROSTRUCTURES U. Dahmen (510) 486-4627 01-1 \$230,000

investigation of fundamental features underlying the evolution of microstructures in solids by application of crystallographic techniques to the analysis of

topology and defects in crystalline materials. Crystallographic relationships of precursor or parent phases and their use in analysis of defect structures and synthesis of new and unique microstructures with defect configurations reflecting composite symmetries. Electron microscopy investigation of the structure and distribution of defects such as inclusions, grain boundaries, domain walls and dislocations. Detailed characterization of the atomic structure of interfaces by conventional, in-situ and atomic resolution microscopy in tandem with computer image simulations.

#### 115. ALLOY PHASE STABILITY

D. de Fontaine (510) 486-8177 01-1 \$145,000

Calculate temperature - composition phase diagrams from first principles. Combine existing electronic band structure and total energy computational procedures with the cluster variation method (CVM) to calculate phase equilibria without empirical parameters. Phenomena of current interest are the oxygen ordering in high-temperature superconductors and the prediction of long-period superstructures and anti-phase boundaries in fcc ordered substitutional alloys. Comparison with experiment is made using transmission electron microscopy and X-ray diffraction.

#### 116. STRUCTURE AND PROPERTIES OF TRANSFORMATION INTERFACES

R. Gronsky (510) 486-5674 01-1 \$145,000

Relationship between atomic structure of homophase or heterophase boundaries and their properties, with attention to the solid state reactions that they either initiate, catalyze or propagate. Atomic resolution imaging, spatially-resolved diffraction, and spatially-resolved spectroscopy for location and identity of atomic species. Electron microscopy. Computer simulation of microstructural development and characterization methodologies for enhanced interpretation of results. Object-oriented code development. Engineering of new materials through control of atomic structure.

# I17. THIN FILM STRUCTURES AND COATINGS K. Krishnan (510) 486-4614 01-1 \$135,000

The goals of this research are the synthesis and characterization of atomically-engineered thin films

with novel magnetic, optical, and electrical properties. Focus is on magnetic ultrathin multilayers and films and low-pressure deposition of dlamond. Fundamental investigations of new phenomena as well as the development, control, and optimization of microstructures to achieve enhanced properties will be stressed. In addition to synthesis and property measurement, development of nanoscale spectroscopic, imaging and diffraction methods at the appropriate level of resolution, with either electron or photon probes, will be critical to the success of these investigations and hence will be an integral part of these research projects. Of current interest in this program are the synthesis and understanding of ultrathin magnetic nanostructures with novel anisotropy, coupling and transport behavior, evolution and control of microstructures to optimize these properties, electronic structure changes associated with magnetic and chemical transitions in binary transition metal alloys and the electron emissivity of diamond thin films.

#### 118. CAM HIGH PERFORMANCE METALS PROGRAM

J. W. Morris, Jr., R. O. Ritchle, G. Thomas (510) 486-6482 01-1 \$723,000

This CAM program focuses on advanced metallic materials of Interest to American Industry. It includes fundamental research on microstructure and mechanical behavior and specific investigations of interesting metallic systems. It is organized in three projects: (1) Mechanical Behavior, which addresses the mechanisms of creep, fatigue and fracture, friction, and wear. (2) Advanced Metals, which concentrates on the understanding and use of functional instabilities in the understanding and development of modern alloys, such as eutectic alloys for low-temperature bonding, controlled elongation alloys for formability, and electromagnetic field effects, and (3) Hard Magnets, which attempts to predict magnetic properties based on microstructural parameters such as grain size, phase distribution and texture, and design processing schemes to achieve superior microstructure and properties.

#### 119. CAM CERAMIC SCIENCE PROGRAM

L. C. DeJonghe, R. Cannon, B. Dalgleish, A. Giaeser, R. Ritchie, G. Thomas, A. Tomsia (510) 486-6138 01-3 \$1,416,000

The CAM Ceramic Science Program has three linked objectives: the development of predictive, quantitative theories of densification and microstructure development in heterogeneous powder compacts, the application of these theories to produce advanced structural ceramics with improved performance beyond 1900 K, and the evaluation of the mechanical properties of these ceramics, at temperatures above 1700 K. It develops model experiments that facilitate investigation of fundamental aspects of microstructural development and processing, and their application of model ceramic systems. It develops models and means for initial powder compact structural control including the production and use of coated powders; it examines the microstructural evolution and control during densification in relation to interface properties; it produces particulate ceramic composites based on SiC, and it tests mechanical properties of such ceramics in particular high-temperature creep and fatigue; it characterizes micro- and nano-chemistry and structure in relation to high-temperature mechanical and environmental performance.

#### 119A. CAM ELECTRONIC MATERIALS PROGRAM

E. Haller, E. Bourret, Z. Lillental, W. Walukiewicz,				
J. Washburn, E. Weber, K. M. Yu				
(510) 486-5294	01-03 \$1,127,000			

Research in this program focuses on an Improved understanding of the materials science of artificially structured semiconductor and semiconductor-metal systems: Basic studies concentrate on the relationships between synthesis and processing conditions and the properties of semiconductor materials, as modified by the resulting structural and electronic imperfections. Growth of compound semiconductors by metalorganic epitaxies is combined with detail studies of structural and electronic properties of thin films and interfaces. Extensive transmission electron microscopy investigations of the nature and origin of defects at interfaces and within epitaxial layers closely correlated with electrical measurements on the same specimens provide feedback to the crystal grwoth synthesis and processing work at Berkeley and at other National Laboratories. Optical spectroscoples ranging from the near UV to the far infrared region of the electromagnetic spectrum, electron paramagnetic resonance spectroscopy and electrical transport measurements give the complementary electronic properties. Theoretical and experimental work on the effects of atomic scale diffusion and the differences between solid solubility limits of dopants and the maximum concentration of free carriers is pursued. Novel types of processing methods including annealing under large hydrostatic pressures and with tunable synchrotron radiation, to increase the electrically active fraction of dopants, are explored. Progress in this area is applicable to the design of advanced photovoltaic energy conversion devices and of a large variety of sensors used in energy conversion processes.

## Solid State Physics - 02 -

## 120. QUANTUM SIZE EFFECTS IN SEMICONDUCTOR NANOSTRUCTURES

D. S. Chemla (510) 486-4999 02-2 \$191,000

The objective of this program is to explore the physical properties of low dimensionality materials, i.e., material systems whose sizes are intermediate between that of atoms/molecules and that of bulk solids. Because of quantum mechanical size effects, the properties of such systems are size and shape dependent and neither like those of atoms or those of macroscopic solids. They open new avenues for unprecedented experiments testing the limits of our understanding of condensed matter physics (see for example DOE Council on Materials Science Panel Report, J. Mater. Res. Vol. 4 No 3,704, 1989). The research emphasizes the study of the nature and dynamics of electronic collective excitations in ultra-thin, quasi-2D layers, as well as the effects of dimensionality on the light-matter interaction. Unique spectroscopic tools able to combine picosecond-temporal resolution with nanometer-spatial resolution and milivolt-energy resolution are developed. Recent work has focused on the dynamics of the instantaneous frequency and amplitude of coherent light scattering from quasi-2D excitons, and on the dimensionality dependence of the thermalization of electron-hole plasmas. The program is extended to the further confinement of electronic states, into 1D and 0D, by application of high magnetic fields.

#### 121. SUPERCONDUCTIVITY, SUPERCONDUCTING DEVICES, AND 1/F NOISE J. Clarke

(510) 642-3069 02-2 \$197,000

DC Superconducting Quantum Interference Devices (SQUIDs) have been developed and used in a wide variety of applications, including geophysical measurements, noise thermometry in the millikelvin temperature range, and the measurement of electrical noise. An ultralow-noise SQUID spectrometer is used to detect nuclear magnetic and nuclear auadrupole resonance in molecular solids at frequencies below 1 MHz. Origins of low frequency magnetic noise, mechanisms of flux pinning and dissipation, and distribution of flux pinning energies in high transition temperature superconductors are investigated. Novel experiments to study one-electron and single-Cooper pair effects in submicron junctions at millikelvin temperatures, including Coulomb blockade, resonant tunneling and effects of microwaves, are in progress.

#### 122. SURFACE, INTERFACE, AND NANOSTRUCTURE STUDIES USING SYNCHROTRON RADIATION IN COMBINATION WITH OTHER PROBES C. S. Fadley (510) 486-5774 02-2 \$388,000

We have developed new synchrotron-radiationbased methods for studying solid surfaces, interfaces, and nonostructures and applied these methods together with other techniques such as scanning tunneling microscopy to systems of fundamental and technological interest. A principal interest is photoelectron spectroscopy, diffraction, and holography with ultrahigh resolutions in energy and angle. During the current year, new equipment permitting for the first time combining photoelectron diffraction and scanning tunneling microscopy was completed, and this system was used to study the arowth of epitaxial magnetic oxides ( $F_e O_x$  on Pt (111)) and magnetic metals (Gd on W(110)). A photoelectron spectrometer/diffractometer with advanced capabilities for use at the Advanced Light Source also was completed, and first experiments begun with it. This system will provide the highest resolutions (1:104) and intensities available at the ALS over a broad range of energies from 5 eV to 1500 eV. Parallel theoretical work in photoelectron diffraction and holography involved generalizing our computer codes so as to permit analyzing spin-polarized photoelectron diffraction, normal circular dichroism, and magnetic circular dichroism. These theoretical studies include evaluating different methods for holographically determining the atomic positions and short-range magnetic order near surfaces, and the successful analysis of some of the first experimental data on dichroism in photoelectron angular distributions.

#### 123. FAR-INFRARED SPECTROSCOPY P. L. Richards (510) 486-3027 02-2 \$180,000

Improvements in infrared technology are making possible increases in the sensitivity of many types of infrared and millimeter wave measurements. In this project, improved types of infrared sources, spectrometers, and detectors are being developed. Also, improved infrared techniques are being used to

do experiments in areas of fundamental and applied infrared physics where their impact is expected to be large. Infrared experiments in progress include: measurements of the far-infrared absorptivity of the new high- $T_c$  superconductors, and measurements of the heat capacity of monolayers of adsorbates on metal films. Improvements in infrared technology include: development of thin-film high- $T_c$ 

superconducting bolometers for detecting X-ray, infrared, and microwave radiation, and development of Iow-T<sub>c</sub> superconducting thin-film quasiparticle detectors and mixers for near-millimeter wavelengths.

# 124. STUDIES OF THE METAL/SOLUTION INTERFACE WITH X-RAYS

P. N. Ross

(510) 486-6226 02-2 \$175,000

Development of a new method to determine the insitu structure at metal/solution interfaces using total reflection of X-rays from metal surfaces at glancing incidence and analysis of Bragg reflection parallel and perpendicular to the reflecting plane to obtain complete structural characterization of the interfacial region. Initial experiments directed towards the study of the electrolytic reconstruction of metal surfaces and the understanding of solvated ion-metal interaction that causes this phenomenon (related to the more familiar reconstruction of the (100) faces of Au. Pt. and Ir in UHV). Recent experiments include determining lattice expansion accompanying hydrogen atom adsorption (from solution) on Pt, Ir and Pd surfaces, the 2D structure of halide ions on Pt, and the 2D structure of metals in the first stages of electrodeposition. Future experiments planned for the Advanced Light Source, where the unique high brightness of this source is very advantageous for the glancing incidence geometry in these experiments.

# .125. FEMTOSECOND DYNAMICS IN CONDENSED

MATTER C. V. Shank (510) 486-6557 02-2 \$264,000

The goal of this research program is to further the basic understanding of ultrafast dynamic processes in condensed matter. Research efforts are directed in two areas: development of new femtosecond optical pulse generation and measurement techniques, and application of these techniques to investigate ultrafast phenomena in condensed matter and novel material systems. In the course of this work we have developed measurement techniques which allow us to resolve rapid events with the unprecedented time resolution of a few femtoseconds. The generation and compression of femtosecond pulses has been extended to cover the entire visible spectrum from 400 to 800 nm, providing the capability to investigate a large variety of important materials. Recent work has focused on ultrafast electron-hole dynamics in highly confined semiconductor structures (CdSe nanocrystals). Experimental results show clear evidence of coherent vibrational oscillations which modulate the dynamic dephasing of the optically excited electron-hole pairs on a 10 fs time scale. We have developed a novel three-pulse photon echo technique which allows us to separate the vibrational dynamics from

the polarization dephasing process. This technique will have important applications for studying femtosecond processes in a variety of material systems. Three-pulse photon echo measurements in CdSe indicate that electronic dephasing occurs on a 100 fs time scale at 15 K, with significant contributions from an acoustic phonon heatbath. Contributions from acoustic phonons dominate the dephasing at room temperature. Furthermore, we observe a strong correlation between the particle size and the electron-phonon coupling strength. This is a direct result of the quantum confinement. Three-pulse photon echo techniques are being applied to studies of electronic dephasing of oxazine molecules in solution. This will provide a foundation for studying solvent-solute interactions. Results indicate a clear dependence of the dephasing rate on the solvent alcohol chain length. In addition, we are applying femtosecond techniques to study electronic and vibrational dynamics in C60. Relaxation processes in this material exhibit highly non-exponential behavior resulting from exciton-exciton annihilation process. We also observe coherent vibrational oscillations corresponding to breathing and pinching modes of the C<sub>m</sub> molecule. These studies of ultrafast processes In condensed matter will provide new information about the fundamental properties of materials. This knowledge will be useful for evaluating novel materials for future energy applications.

## 126. EXPERIMENTAL SOLID-STATE PHYSICS AND QUANTUM ELECTRONICS

T. R. Shen	
(510) 486-4856	02-2 \$206,000

Development of linear and nonlinear optical methods for material studies and applications of these methods to probe properties of gases, liquids, and solids. Theoretical and experimental investigation of various aspects of laser interaction with matter are pursued. New nonlinear optical techniques are applied to the studies of surfaces and interfaces.

## 127. SURFACE THEORY

M. Van Hove (510) 486-6160 02-2 \$58,000

This project develops theoretical methods for the analysis of surfaces and interfaces, in particular for structure determination by various electron scattering, diffraction and tunneling techniques. The project operates in particularly close collaboration with experimental programs at LBL. Many of the theoretical methods developed in this project are of particular importance for a host of experimental techniques that will be employed by users of LBL's Advanced Light Source. The project also manages a

database of solved surface structures. The Surface Structure Database (SSD) is marketed world-wide to provide the detailed atomic-scale structures of surfaces determined from experiment.

## 128. TIME-RESOLVED SPECTROSCOPIES IN SOLIDS P. Y. Yu

(510) 486-8087 02-2 \$126,000

The main objective of this project is to utilize picosecond and subpicosecond laser sources to study the ultrafast relaxation processes that occur in semiconductors. The processes under investigation include electron-phonon interactions, trapping of defects, phonon-phonon interactions, and electron-electron interactions. The experiments involve exciting dense electron-hole plasmas in bulk or microstructures of semiconductors and monitoring the time evolution of the electron and phonon distribution functions by Raman scattering and photoluminescence. Another area of investigation involves the study of properties of solids under high pressure.

#### 129. QUANTUM THEORY OF MATERIALS

M. L. Cohen, L. M. Falicov, S. G. Louie (510) 486-4753 02-3 \$374,000

Research to further basic understanding of the physical properties of materials and materials systems such as surfaces and interfaces. Emphasis on carrying out quantum-mechanical calculations on realistic systems so that a microscopic understanding may be obtained from first principles. Model systems are also examined, and new theoretical techniques are developed. Studies include bulk materials, high-T, superconductors, fullerides, surface and chemisorbed systems, interfaces, materials under high pressure, clusters, and defects in solids. Close collaboration with experimentalists is maintained comparisons with experiment showing that the calculations are accurate and of predictive power. Bulk materials research is focused on: electronic, magnetic, structural, and vibrational properties; crystal-structure determination; solid-solid phase transformations at high pressure; and defect properties. Surface and interface research focused on atomic, electronic, and magnetic structures. Superconductivity research is focused on mechanisms for high transition temperature and possibilities of superconductivity at high pressures.

#### 130. CENTER FOR X-RAY OPTICS D. Attwood

(510) 486-4463

02-4 \$1,932,000

The Center for X-ray Optics has made considerable progress in setting up core programs to address national needs in the technical areas of efficient and high-precision transport, focusing and spectroscopic analysis of electromagnetic radiation in the X-ray and ultraviolet regions of the spectrum and the utilization of these sub-systems in high flux applications. Progress in the physical, chemical, and life sciences is enhanced by the broad availability of such applications based on new optical components that can provide high spectral and spatial resolution, with high throughput efficiency. Specific technical projects include fabrication of diffractive structures of improved resolution and efficiency and interference coatings on curved substrates; investigation of additional material combinations for improved performance in specified spectral ranges; and the construction of various advanced imaging techniques based on these components. Demonstration projects are being performed with collaborators from industry, universities, and other national laboratories to illustrate new scientific capabilities based on these new technologies. Particular attention is given to demonstrations at present- and next-generation synchrotron facilities of high spatial/high spectral resolution studies in the areas of thin films, surfaces, and material interfaces.

#### 131. CAM HIGH-T<sub>c</sub> SUPERCONDUCTIVITY PROGRAM A. Zettil, J. Clarke, N. E. Phillips, P. Richards (510) 642-4939 02-5 \$575.000

Studies in three areas: basic science, thin films and their applications, and electron microscopy. Basic science activities are directed at developing an understanding of the known high-T, materials in the expectation that it will lead to other materials with superior properties. It includes theoretical work, the synthesis of new materials, growth of single crystals, and the measurement of physical properties (including magnetic susceptibility, transport properties, specific heat, isotope effects, mechanical properties, nonlinear electrodynamics, microwave absorption, terahertz spectroscopy, electron tunneling, and infrared absorption). Theoretical studies include first principles calculations and model-based interpretations of measured properties. Thin films and applications research includes fabrication and processing. Investigation of physical and electrical properties, development of thin-film devices, including SQUIDS and other applications of Josephson devices, and bolometric radiation sensors. The electron microscopy research features atomic resolution imaging of cations, which enables defects, grain boundary structure, interface epitaxy, and composition to be analyzed and related to synthesis conditions and to physical properties. Fullerene materials are also synthesized and explored by electron microscopy and transport measurements and theory. The program benefits from collaborations with M. L. Cohen, U. Dahmen, L. Falicov, D. de Fontaine, R. Gronsky, E. Haller, C. Jeffries, L. DeJonghe, V. Kresin, S. G. Louie,

D. Olander, A. Partis, J. Reimer, M. Rubin, R. Russo,

G. Thomas, J. Washburn, and P. Y. Yu

#### Materials Chemistry - 03 -

## 132. LOW-TEMPERATURE PROPERTIES OF MATERIALS N. E. Phillips (510) 486-4855 03-1 \$148,000

Measurements of low-temperature properties of materials, particularly superconductors, to contribute to the general understanding of materials properties and structure-property relations. The emphasis is on specific heat measurements (5 mK to 130 K; pressures to 20 kbar; magnetic fields to 10 T), but the electrical resistivity and magnetic susceptibility are also measured in cases of interest. Current investigations are mainly on high-T<sub>c</sub> oxide superconductors and heavy-fermion compounds.

#### 133. CAM BIOMOLECULAR MATERIALS PROGRAM

M. D. Alper, D. Charych, J. F. Kirsch, D. E. Koshland, Jr., P. G. Schultz, R. Stevens, C.-H. Wong (510) 486-6581 03-2 \$556,000

The goal of this research is the use of natural biological processes and molecular or variants of them in the synthesis of new materials. One component focuses on the use of natural, engineered and "created" enzymes to synthesize new materials. The unique stereochemical control exerted by enzymes and their ability to catalyze reactions at low temperature will allow the synthesis of materials with structures and therefore properties that cannot be achieved using conventional synthetic routes. Efforts are focused on the design of reaction conditions for the enzymatic synthesis of polymeric materials; engineering of enzyme structure and activity to allow the binding and polymerization of novel monomers; generation of catalytic antibodies for materials synthesis; characterization and processing of the polymer products of these reactions and understanding the structure/function relationships of this new class of materials. Work is also progressing on the synthesis of organic thin films which mimic the biological membrane to alter interfacial and surface properties, and to fabricate sensor devices. Thin-film sensor have been developed to detect influenza virus, botulism and cholera toxins. Work is beginning on adapting to the synthesis of new material the strategies employed by living organisms to design and synthesize materials with specific desired properties for their needs. \*Funded jointly with the Division of Energy Biosciences, (DOE). Energy Biosciences supports the more biological aspects of the program, Materials Sciences supports the aspects focused on materials.

134. CAM POLYMERS AND COMPOSITES PROGRAMM. M. Denn, A. Bell, A. Chakraborty, D. Gin,<br/>S. Muller, B. Novak, J. Reimer, D. Theodorou<br/>(510) 486-017603-2 \$601,000

Development and synthesis of high performance polymeric materials. Currently the program consists of two projects: anisotropic polymeric materiais, polymer/substrate interactions. Both are focused on the prediction and control of microstructure during the processing of polymeric materials. The first (M. M. Denn) looks primarily at liquid crystal polymers, using rheology, NMR, thermal analysis, and structural theory to elucidate how orientation and stress develop during shaping. The way in which the multi-phasic nature of the polymer melt affects macroscopic orientation and orientation rates is of particular concern. The second project (D. Theordorou) emphasizes the theory of polymer conformation and stress state near a solid interface as a means of defining the influence of surface interactions on bulk orientation and stress, and hence on properties and adhesion. Polymer synthesis and the development of computational methods for predicting structure development and the onset of dynamical instabilities are integral components of both project areas.

## 135. "NEW INITIATIVE" ATOMIC LEVEL STUDIES OF TRIBOLOGICAL PROPERTIES OF SURFACES AND LUBRICANTS M. Salmeron (510) 486-6230 03-2 \$466,000

The purpose of this program is to understand the basic physical and chemical processes that govern the tribological properties of surfaces (adhesion, friction, and wear) and to determine the role of surface films of lubricants in modifying these tribological properties. The atomic structure of surfaces and the mechanical properties of adhesion and friction at point contacts are studied with the Scanning Tunneling Microscopy (STM) and the Atomic Force Microscope (AFM). These techniques allow the study of the substrate atomic structure and that of the adsorbate before and after contact. A Surface Force Apparatus (SFA) is used in combination with Second Harmonic and Sum Frequency Generation to study the conformation (orientation) and vibrational properties of monomolecular films in-situ, during compressive and shear stresses. Studies employ simple model lubricants including atomic adsorbates (O, C, S, etc.), simple organic molecules, and long chain hydrocarbons (alkyisilanes, perfluorinated hydrocarbons) that can form self-assembled monolayers covalently bonded to various surfaces.

. .

## 136. SEMICONDUCTOR THIN FILMS USING NANOCRYSTAL PRECURSORS

P. Allvisatos (510) 643-7371 03-3

03-3 \$136,000

Methods have been developed to prepare monodisperse, high quality, nanometer size crystallites of many common semiconductors. We are investigating the phase diagram of these nanocrystals. We find that they meth at lower temperatures than the bulk solid, and that they transform to denser phases at higher pressures than the bulk. These nanocrystals can be bound to metal surfaces using self-assembled monolayers. We are investigating the use of these surface-bound nanocrystals as low temperature precursors to thin films.

#### 137. GROWTH MECHANISMS AT HETEROINTERFACES D. Loretto, C. A. Lucas (510) 486-6171 03-3 \$340,000

Study of growth mechanisms, structure and phase transitions in thin-film heterostructures where there is a large change in electronic structure across the interface. Determination of relationship between growth mechanism and electronic and atomic structure by application of transmission electron microscopy, synchrotron X-ray diffraction, electron diffraction and X-ray photoelectron spectroscopy to thin films grown by molecular beam epitaxy. Emphasis on combining information from In-situ and ex-situ studies. Synthesis of novel thin-film structures of potential interest for technological applications.

# 138. NUCLEAR MAGNETIC RESONANCE

A. Pines

(510) 486-6097 03-3 \$776,000

The Nuclear Magnetic Resonance (NMR) program has two complementary directions. The first is the development of new concepts and techniques in NMR in order to extend its applicability to a wide range of problems and materials. Such an undertaking involves the development of new theoretical approaches and experimental methods. Some developments currently underway in this direction are iterative and multiple-pulse sequences, geometric quantum phase, multiple-quantum NMR, zero-field and SQUID-NMR, double-rotation NMR of quadrupolar nuclei, NMR imaging of density and flow, optical pumping and surface-enhanced NMR. The second direction involves the application of novel NMR methods and instrumentation to materials research. The developments above are being used, for example, to study clusters and nanostructures, conductor oxides, silicates, zeolites, aluminophsphates, catalysts, liquid crystals, polymers,

icosahedral materials, and glasses.

## 139. CAM SURFACE SCIENCE AND CATALYSIS PROGRAM

G. A. Somorjal, M. B. Salmeron, Y. R. Shen, M. A. Van Hove (510) 486-4831 03-3 \$1,173,000

The Surface Science and Catalysis program emphasizes atomic level surface characterization and the relationship between macroscopic chemical and mechanical properties and properties on the molecular scale. The Surface instrumentation development is an important part of the project. The Surface Science effort includes studies of atomic scale surface structure of solids and adsorbed monolayers; the chemical (bonding reactivity) and mechanical (adhesion, friction, lubrication) properties are investigated. Hard coatings, oxide films and oxide-metal, metal-metal, and metal-polymer interfaces are prepared by vapor, plasma or sputter deposition. Catalysis research is focused on correlating macroscopic catalytic properties of microporous crystalline materials and model sinale crystal surfaces with their atomic surface structure, chemical bonding, and composition. The catalytic materials investigated include transition metals, zeolites and other oxides, sulfides and carbides. The roles of additives that are surface structure or bonding modifiers are explored. Catalyzed reactions of interest include selective hydrocarbon conversion to produce clean fuels, nitrogen oxide reduction, hydrogenation and methanol synthesis. The Scanning Tunneling Microscopy (STM) and related techniques (AFM, SFA), digital low energy electron diffraction (LEED) and nonlinear laser optics (SFG and SHG) are the focus of surface instrumentation development. The Surface Instrumentation project develops new experimental techniques for the atomic and molecular scale characterization of surfaces. These include the scanning tunneling and atomic force microscopes (STM, AFM), nonlinear optical techniques of sum frequency and second harmonic generation (SFG, SHG), and surface crystallography by LEED.

## 140. SYNTHESIS OF NOVEL SOLIDS

A. M. Stacy (510) 642-3450 03-3 \$150,000

Research on new synthetic procedures for the preparation of advanced materials with potentially useful electronic and/or magnetic properties. Current research is focused in three project areas: 1) Precipitation of oxide superconductors from ionic liquids; 2) Preparation and characterization of new layered niobium oxide superconductors; and 3) Investigation of cooperative interactions in rareearth transition metal phosphides. The structure and properties of the materials that are synthesized are determined in order to correlate synthesis and properties, as well as structure and properties.

## 141. STIMULATED DESORPTION OF HALOGENS J. A. Yarmoff

(909) 787-5336 03-3 \$49,000

The interaction of radiation with surfaces is employing desorption Induced via electronic transitions (DIET) techniques, which monitor the lons produced by core-level excitation. Of particular interest are the types of chemical systems that are important in the processing of semiconductor devices. Synchrotron radiation-based techniques, e.g., soft X-ray photoelectron spectroscopy (SXPS) and photon stimulated desorption (PSD), are performed at the National Synchrotron Light Source, Brookhaven National Laboratory, and at MAX-LAB in Lund, Sweden. In addition, at the University of California, Riverside, studies of surface damage induced via electron stimulated desorption (DSD) are performed. A number of halogen-semiconductor systems have been investigated, including XeF<sub>2</sub>/Si, XeF<sub>2</sub>/GaAs, Cl<sub>2</sub>/GaAs, l<sub>2</sub>/SI, and l<sub>2</sub>/GaAs. From this work, a model of the halogen etching process of semiconductor surfaces, based on the electronic structure of the near-surface region, has been developed. DIET studies of the CaF<sub>2</sub>/Si(111) interface have provided information on the formation of F-center defects in ionic solids.

## Facility Operations - 04 -

Brian Kincald - (510) 486-4810 Fax: (510) 486-4960

## 142. 1-2 GEV SYNCHROTRON LIGHT SOURCE R&D B. M. Kincaid (510) 486-4810 04-1 \$22,075,000

The Advanced Light Source (ALS) at the Lawrence Berkeley Laboratory (LBL) is delivering X-rays of unprecedented brilliance to a range of users from industry, academia, and government laboratories. The construction of this project was completed in March 1993. First light was seen in October 1993, and new beamlines have been installed at a steady pace since then. Three undulator beamlines are now operational and three bend-magnet beamlines. Here are a few highlights from recent research. (1) The technique of soft X-ray fluorescence spectroscopy has been elevated to a powerful method for the Investigation of the electronic structure of buried interfaces and other systems of Importance to the microelectronics industry, (2) Photoemission measurements have been performed on exceedingly small samples of radioactive curlum. This opens up the possibility of looking at trace quantities of toxin or radioactive samples without need for elaborate protection safeguards. We foresee applications to nuclear

nonproliferation and environmental remediation. (3) An IBM group has successfully demonstrated its first X-ray "spectromicroscope" at the ALS. The ability to perform X-ray spectroscopy on tiny features such as those on semiconductor microchips and magnetic disk-drives for high-density information storage is expected to be the mainstream new activity at the ALS. In addition to research activities, the ALS has a vigorous outreach program to local industry. Intent to perform experiments has been expressed in intel, Chevron and Charles Evans & Associates. IBM already has a strong presence.

## LAWRENCE LIVERMORE NATIONAL LABORATORY P. O. Box 808 Livermore, CA 94550

Jeff Wadsworth - (510) 423-2184 Fax: (510) 423-7040

Metallurgy and Ceramics - 01 -

## 143. SYSTEMATICS OF PHASE TRANSFORMATIONS IN METALLIC ALLOYS L. Tanner (510) 423-2653 01-1 \$202,000

Investigations of the systematics of solid-to-solid phase transformations in metailic alloys. Thermal and/or mechanical treatments are being used to transform one crystalline phase to another. Characterization of microstructures by optical and conventional and high-resolution transmission electron microscopy, as well as X-ray and electron diffraction. Correlation of results with current thermodynamic and kinetic models for diffusional (replacive) and non-diffusional (displacive) transformations. Theoretical modeling of alloy phase stability and phase transformation modes are being carried out using a combination of quantum mechanics and statistical mechanics methods.

#### 144. EFFECT OF IMPURITIES, FLAWS AND INCLUSIONS ON ADHESION AND BONDING AT INTERNAL INTERFACES

W. E. King, G. Campbell, S. M. Foiles, A. Gonis, E. Sowa

(510) 423-6547 01-2 \$454,000

Experimental and theoretical investigations of the effects of impurities, flaws and inclusions on adhesion and bonding at internal interfaces. Specifically, structure and properties of grain boundaries in Nb and Mo. *Ab initio* electronic structure calculations using the real-space multiple-scattering theory. Interface structure calculations using the embedded atom method and model generalized pseudo-potential theory. Bicrystals for experimental studies fabricated using ultra high vacuum diffusion bonding. Determination of interface atomic structure using quantitative high resolution electron microscopy. Property measurements include grain boundary energy and grain boundary diffusion.

## 145. ROLES OF INTERFACES AND INTERPHASES ON SUPERPLASTICITY IN CERAMICS

T. G. Nieh (510) 423-9802 01-5 \$197,000

Research program focused on developing a basic understanding of the effects of interfacial chemistry, structure, and the presence of different phases, in particular thin films, on the sliding properties of an interface. Fabrication of metallic and ceramic bicrystais with controlled orientations and interfaces using the LLNL diffusion bonding machine. Characterization of interfacial cohesion, structure, and other mechanical properties. Effects of liquid film to be studied using quartz bicrystal interleaved with a  $B_2O_3$  layer. Theoretical approach to incorporate *ab initio* total energy methods and molecular dynamics simulations.

## Solid State Physics - 02 -

## 146. SCIENCE OF THIN FILMS AND CLUSTERS

L. L Chase, A. V. Hamza, J. G. Tobin (510) 422-6151 02-2 \$349,000

The electronic and geometric structures of surfaces, interfaces and ultrathin films constructed from nanocrystalline clusters are investigated. A combination of unique synthesis methods and powerful characterization techniques are used to study nanoscale properties, such as quantum confinement, and to address issues like grain boundary effects and structure-property relationships in nanophase systems. Characterization methods include photoelectron spectroscopies, EXAFS, X-ray

diffraction, scanning tunneling and force microscopy, TEM, and small angle electron scattering. The evolution of properties as a function of particle size from the nanoscopic to macroscopic scale will be used to develop a strategy for the preparation and utilization of novel assemblies of clusters. In other investigations, the effects of energy-selective, nonthermal, electronic excitation of substrate or coating material on overlayer growth and morphology are explored. Optical and synchrotron sources are used to excite valence, core, and surface states, and surface analytical techniques are employed to characterize the resulting changes in coating or surface layer properties. A basic understanding of the mechanisms whereby overlayer growth can be controlled or modified by selective nonthermal excitation is sought. Materials and processes studied include oxidation of SI and other semiconductors, deposition of insulating or semiconducting thin films, and ion-implanted layers, and "buckyballs."

## 147. OPTICAL MATERIALS RESEARCH S. A. Payne

## (510) 423-0570 02-2 \$233,000

Linear and nonlinear optical properties of optical materials are investigated including behavior at high laser intensities and during ultrashort pulses of light. Properties measured and modeled include absorption and emission spectra and cross sections, lifetimes of optical excitations, and nonlinear transmission and propagation effects. Coherence properties of optical excitation are investigated with subplicosecond time resolution. Spectroscopic properties of laser ions in crystals and glasses are investigated using linear and nonlinear spectroscopic techniques. In support of this work new optical materials are prepared and characterized.

## Materials Chemistry - 03 -

#### 148. GROWTH AND FORMATION OF ADVANCED \* HETEROINTERFACES L. J. Terminello

(510) 423-7956 03-2 \$485,000

Microscopic investigation of solid heterointerfacial growth and formation. Experimental determination of evolution of the atomic geometry and electronic structure during initial stages of interface formation. Combines holographic, utilizes synchrotron-based probes of in-situ prepared materials. Utilizes photoelectron holography, X-ray standing wave, valence-band and core-level photoemission, and near-edge photoabsorption to investigate heterojunction. Theoretical modeling using *ab initio* molecular dynamic simulation from self consistent interatomic forces.

## LOS ALAMOS NATIONAL LABORATORY P. O. Box 1663 Los Alamos, NM 87545

Don M. Parkin - (505) 667-9243 Fax: (505) 665-2992

## Metallurgy and Ceramics - 01 -

#### 149. UNIFIED THEORY OF EVOLVING MICROSTRUCTURES

R. Lesar, E. A. Holm, A. D. Rollett, D. J. Srolovitz (505) 665-0420 01-1 \$252,000

Fundamental theory and modeling of microstructural evolution, combining materials modeling techniques to bridge length scales from the atomistic to the microstructural. Atomistic simulations are being used to examine grain-boundary mobility, dislocation interactions with grain boundaries, etc. Dislocation-dynamics simulations will be used to examine the role of dislocation microstructural evolution in the presence of moving grain boundaries. Information from the atomistic and dislocation dynamics are being incorporated into more accurate, three-dimensional, Potts model simulations of grain growth, recrystallization, and other dynamic phenomena. Application of the modeling is being applied to aluminum and other materials for which there is data on dislocation dynamics, annealing of dislocation structures, dynamic recrystallization, etc.

## 150. NEUTRON IRRADIATION INDUCED METASTABLE STRUCTURES

K. E. Sickafus, M. Nastasi (505) 665-3457 01-4 \$687,000

Irradiation phenomena and damage microstructures resulting from neutron irradiation of ceramics and intermetallic compounds. Investigation of cascade damage events in model materials, complemented by physical property measurements and ion irradiation tests, where the latter can elucidate neutron damage effects. Computer simulation is used to assist in understanding the nature of damage events.

#### 151. STRUCTURAL CERAMICS: INTERFACIAL EFFECTS AND VERY HIGH-TEMPERATURE MECHANICAL BEHAVIOR

T. E. Mitchell, W. R. Blumenthal, A. L. Graham, J. J. Petrovic, D. S. Phillips, A. F. Voter (505) 667-0938 01-5 \$817,000

Our goal is to investigate the mechanical behavior of advanced structural ceramic materials. This presently involves two research programs. The first is associated with deformation and fracture studies of single crystals of oxide and non-oxide ceramics at very high temperatures. The second involves fundamental investigations of the nature and properties of interfaces important to structural ceramic composite systems. Modeling efforts are associated with both programs. Materials currently being studied include YAG, SI, N4, SIC, and MoSI,. Our emphasis is on the mechanical behavior of structural ceramics, including composites, at very high temperatures. The fundamental nature of interfaces and their role in determining mechanical behavior is an important aspect of the research. Investigations being pursued on the deformation behavior of single crystals of SI<sub>3</sub>N<sub>4</sub>, MoSI<sub>2</sub>, and YAG will be extended to perovskites such as LaAIO, spinels such as Mg, CRO,, and other complex oxides and silicides. We will establish melting fabrication facilities for the growth of such crystals and also for eutectic systems. Modeling aspects will emphasize fracture and plasticity effects and atomistic simulations of defects such as dislocations in the very high-temperature ceramics, with interatomic potentials developed for these materials which will allow atomistic calculations of features such as dislocation core structures.

## 152. METASTABLE PHASES AND MICROSTRUCTURES R. B. Schwarz, T. E. Mitchell

(505) 667-8454 01-5 \$220,000

Fundamental research on the theory, synthesis, microstructures, and properties of materials with metastable phases. The research includes: (a) the synthesis of amorphous alloys by mechanical alloying and interdiffusion; (b) the study of phase equilibria and transformation kinetics in solid-state transformations; (c) the characterization of microstructures at atomic level of resolution developed during solid-state transformations; (d) the relationship between microstructures and properties in metastable and transformed materials; (e) the application to material properties such as mechanical strength, magnetic behavior, catalysis, and superconductivity; and (f) the study of the microstructure, twin morphology, and dislocation structure in high-T<sub>c</sub> pervoskites and its relation to transport properties.

## 153. MECHANICAL PROPERTIES

M. G. Stout, U. F. Kocks (505) 667-4665 01-5 \$448,000

Response of metals to multilaxial loading and large strains, yield surfaces, multiaxial stress-strain relationships, stress path changes, Bauschinger effects. Characteristics of mechanisms controlling the large strain deformation of aluminum, nickel, iron, copper, brass, tantalum, zirconium, and titanium. Sub-structural and textural evolution with strain, strain state, and strain rate. Predictions of texture evolution using crystal plasticity and strain-rate sensitivity. Kinetics of plastic flow at room and elevated temperatures. Phenomenlogy and mechanisms of dynamic and static recrystallization. Alumina/niobium interface fracture. Measurements of mixed mode fracture energies of homogeneous materials and interfaces between material couples. Fractographic and analytic analysis of interface fracture.

#### Solid State Physics - 02 -

## 154. CONDENSED MATTER RESEARCH WITH THE LANSCE FACILITY R. Pynn

(505) 667-6069 02-1 \$1,865,000

Research in condensed-matter science using the pulsed spallation neutron source (LANSCE) at Los Alamos National Laboratory. Topics of current interest include the structure of polymers, polymer blends, colloids and other macromolecular systems in the bulk and at surfaces and interfaces, the vibration spectra of organometallics, atomic arrangements of high-temperature superconductors, actinides and metal hydrides, crystallography at high pressures, texture and preferred orientation in metallurgical and geological samples, the structure of magnetic multilayers, and residual stress in engineering components. Extensive collaborations are in place with researchers working on other programs at Los Alamos, as well as with staff at various outside institutions. These interactions cover a broad range of applications of neutron scattering to materials science, chemical physics, crystallography and structural biology.

#### **155. INTEGRATED MODELING OF NOVEL MATERIALS**

K. S. Bedell, A. R. Bishop, A. F. Voter (505) 667-6491 02-2 \$553,000

This is a core program in condensed matter and materials theory aimed at extending the theory base available for modeling novel electronic and structural materials. Such an integrated theory base is essential to the challenges of controlling and utilizing the unusual properties of such materials for applications in device and other technologies. A combination of techniques are represented, drawn from solid state and many body physics and quantum chemistry, including state-of-the-art analytical and numerical approaches. This theoretical technology base is used to develop new techniques and to couple them with integrated synthesis-characterization-modelling programs at Los Alamos and elsewhere. The modeling is almed at both the basic electronic structure of strongly correlated materials, and the development of interatomic potentials for directionally bonded materials.

## 156. PHOTOELECTRON SPECTROSCOPY OF TRANSURANICS UTILIZING A TUNABLE ULTRAVIOLET LABORATORY LIGHT SOURCE

A. J. Arko, R. J. Bartlett, J. J. Joyce, D. D. Koelling, J. Lawrence, M. Norman, P. Riseborough (505) 665-0758 02-5 \$465,000

Photoelectron spectroscopy, with photons from the new laser-plasma tunable light source, for exploring the electronic structure of the 5f electrons in the actinide series; including an investigation of the localization-delocalization mechanism for f-electrons. The transition to localized f-states for the actinides will be microscopically probed and correlated with parameters such as Coulomb correlation energy, band width, hybridization strength, dispersion, anisotropy, and lifetimes; which are readily obtained from photoemission data. Emphasis will be placed on heavy Fermion compounds forming the boundary between localized and band states. The ultraviolet laboratory light source has tunability in the VUV range (30 eV to 200 eV) allowing full use of the powerful resonance photoemission technique to separate out the 5f as well as other orbital features in the spectra. The unique time structure of the laser pulses allows the utilization of pump and probe experiments to study empty 5f states just above the Fermi energy and fully complement the standard photoemission investigation of filled states.

## 157. HIGH-TEMPERATURE SUPERCONDUCTIVITY AND CORRELATED ELECTRON MATERIALS

Z. Fisk, P. C. Hammel, R. H. Heffner, J. L. Smith, J. D. Thompson (606) 677-6416 02-5 \$830,000

Effort focuses on developing a fundamental understanding of correlated electron materials by investigating the interplay among structural, magnetic and electronic properties of high-Tc and heavy-fermion compounds in addition to other related narrow-band materials exhibiting valence and spin fluctuations and unconventional magnetism

and superconductivity. A broad range of experimental techniques is used in these studies, including resistivity, magnetic susceptibility, specific heat, nuclear magnetic resonance, neutron diffraction and scattering, muon spin rotation, X-ray absorption fine structure, ultrasound, thermal expansion, Mossbauer spectroscopy, chemical analysis, and new materials synthesis. Many of the measurements are made at extremes of very high pressures, high magnetic fields, and very low temperatures. The approach taken to understanding electronic correlations in f-electron systems and applying this knowledge to the more complicated and technologically important d-electron materials provides a broad perspective on the physics of these materials.

#### 158. THERMAL PHYSICS

G. W. Swift, R. E. Ecke (505) 665-0640 02-5 \$275,000

Experimental investigations of pattern formation and nonlinear dynamics in fluid systems: thermal convection involving nonlinear traveling waves, spatial and dynamic scaling, pattern dynamics; ilquid-solid dissolution, mass transfer, turbulence and solid morphology. Experimental and theoretical studies of novel engines: acoustic engines (both heat pumps and prime movers) using liquids and gases; acoustic turbulence; sterling engines using liquids and superfluids: regenerators, heat exchangers, mechanicals, seals.

## Materials Chemistry - 03 -

## **159. LOW-DIMENSIONAL MIXED-VALENCE SOLIDS** B. I. Swanson, A. R. Bishop (505) 667-5814 03-2 \$315,000

This is a theoretical and experimental effort to characterize the model low-dimensional mixed-valence solids as they are tuned, with pressure and chemistry, from a charge-density-wave (CDW) ground state towards a valence delocalized state. The systems of interest are comprised of alternating transition metal complexes and bridging groups that form linear chains with strong electron-electron and electron-phonon coupling down the chain axis. The ground and local gap states (polarons, bipolarons, excitons, and kinks) are characterized using structural, spectroscopic and transport measurements and this information is correlated with theoretical predictions. The theoretical effort includes quantum chemistry, band structure, and many-body methods to span from the isolated transition metal complexes to the extended Interactions present in the solid state.

## Facility Operations - 04 -

Roger Pynn - (505) 665-1488 Fax: (505) 665-2676

## 160. LANSCE OPERATIONS SUPPORT, SPECTROMETER DEVELOPMENT, AND USER SUPPORT R. Pynn (505) 667-6069 04-1 \$2,052,000

Neutron beams for condensed matter research at LANSCE are produced when a pulsed, 800 MeV beam of protons Impinges on a tungsten target. The proton beam is accelerated to 800 MeV by the Los Alamos Meson Physics Facility (LAMPF) linac and its time-structure is tailored by a Photon Storage Ring (PSR) whose operation is partially supported by the Office of Basic Energy Sciences. Most of the neutrons produced by proton spallation in the LANSCE tungsten target have too high an energy to be useful for condensed matter research. To produce neutron beams of suitable energies, four moderators-three using chilled water and one using liquid hydrogen - surround the target assembly. The intense neutron beams produced by the LANSCE target-moderator assembly provides higher Instantaneous data rates than have ever been experienced before at a similar installation. To facilitate the acquisition of neutron scattering data at such an intense source, a new generation of ultra-fast, computer-based modules has been developed using the international standard FASTBUS framework. Suitable neutron scattering spectrometers make optimum use of the source characteristics provided by the PSR and the advanced target-moderator system. The spectrometers at LANSCE are used by researchers from aovernment laboratories, academia, and industry. Such a national user program requires LANSCE support personnel to assist in the operation of spectrometers and to familiarize users with the safe operation of the facility. A scientific coordination and llaison office has been established with the responsibility for dissemination of information about LANSCE and coordination of the user program.

## NATIONAL RENEWABLE ENERGY LABORATORY 1617 Cole Boulevard Golden, CO 80401

Robert A. Stokes - (303) 231-7625 Fax: (303) 231-1997

## Metallurgy and Ceramics - 01 -

Satan K. Deb - (303) 384-6405 Fax: (303) 231-1271

161. GROWTH AND PROPERTIES OF NOVEL ORDERED II-VI AND III-V SEMICONDUCTOR ALLOYS A. Mascarenhas, J. Olson, A. Zunger

(303) 384-6608 01-1 \$500,000

The primary focus of this project is a combined experimental-theoretical effort aimed at understanding spontaneous long-range order in isovalent III-V/III-V and II-VI/II-VI semiconductor alloys. It includes (i) MOCVD growth of III-V alloys such as GaP/InP, AIP/GaP, AIP/InP, AIAs/InAs, and GaAs/GaP, (ii) MBE growth of II-VI alloys such as ZnTe/MnTe, ZnTe/CdTe, and ZnSe/ZnTe (Professor J. Furdyna, Notre Dame), (iii) Raman, modulation reflectance photoluminescence and reflectance difference spectroscopy studies of ordering in the above systems, and (iv) first-principles theoretical studies of surface-induced, epitaxially-induced and bulk ordering in these systems, as well as prediction of optical consequences of ordering (polarization, band gap narrowing, crystal field splitting).

## Solid State Physics - 02 -

#### 162. SEMICONDUCTOR THEORY A. Zunger (303) 231-1172 02-3 \$208.000

First-principles band structure, total energy, and statistical mechanical (cluster variation and Monte-Carlo) methods are used to predict electronic and structural properties of bulk and epitaxial semiconductors superlattices, surfaces, alloys and nanostructures emphasizing chemical trends and properties of new, energy-related materials. Current work includes: (1) prediction of optical and dielectric properties of semiconductor quantum dots, wires, and films; (2) electronic structure of "random superlattices"; (3) first-principles prediction of alloy thermodynamic quantities (e.g., phase-diagrams) for bulk A<sub>2</sub>B<sub>1-x</sub>C semiconductor alloys including order/disorder transitions, miscibility gaps, and ordered stolchlometric compounds. These methods are also applied to metallic cases, e.g., NIV, PdV, CuPd; (4) calculation of valence band offsets in II-VI, III-V, and I-II-VI semiconductors; (5) prediction of properties of unusual ternary materials, e.g., ordered vacancy compounds  $A^{II}B_2^{III}C_4^{IV}$  (e.g.,  $Cdin_2Se_4$ ), (6) order-disorder transitions in ternary chalcopyrites (e.g., CuinSe, and magnetic semiconductors (e.g., MnS, MnSe, MnTe); (7) Surface reconstruction calculations for III-V semiconductors and alloys. Theoretical tools include: (a) the total energy non-local pseudopotential method, (b) the all-electron Mixed Basis Potential Variation band structure method, (c) the total energy full-potential linearized augmented plane wave (LAPW) method, (d) the cluster variation approach to the Ising program, applied to binary and pseudobinary phase diagrams, and (e) Monte-Carlo and simulated-annealing calculations of Ising models derived from first-principles.

## OAK RIDGE INSTITUTE FOR SCIENCE AND EDUCATION Oak Ridge, TN 37831

Robert Wiesehuegel - (615) 576-3383 Fax: (615) 576-0202

## Metallurgy and Ceramics - 01 -

## 163. SHARED RESEARCH EQUIPMENT PROGRAM N. D. Evans, E. A. Kenik (615) 576-4427 01-1 \$144,000

Microanalysis facilities within the Metals and Ceramics Division of Oak Ridge National Laboratory (ORNL) are available for collaborations in materials science between researchers at universities, industries, or other government laboratories and ORNL staff members. Facilities are available for state-of-the-art analytical transmission electron microscopy, scanning electron microscopy, atom probe/field ion microscopy, Irradiation studies, ion beam treatments, nuclear microanalysis, and mechanical properties measurements at high spatial

resolution. Analytical electron microscopy capabilities include energy dispersive X-ray spectroscopy (EDXS), parallel-detection electron energy loss spectroscopy (PEELS), post-column energy filtering, and convergent beam electron diffraction (CBED). High resolution electron microscopy, low temperature (100 K), high temperature (1500 K), in-situ deformation, and video recording facilities are available. Surface analysis facilities include three Auger electron spectroscopy (AES) systems and three (0.4, 2.5, and 4.0 MeV) Van de Graaff accelerators for radiation effects studies and ion beam modification treatments. A mechanical properties microprobe with high lateral (0.3 µm) and depth (0.16 nm) resolution, can characterize elastic/plastic behavior in thin films, layers, interfaces, and other sub-micron features at either ambient or elevated (300°C) temperatures. An atomic force microscope is available and equipped with an optical-based position-sensing system to obtain accurate quantitative measurements. This AFM can operate in either the repulsive or attractive mode.

#### OAK RIDGE NATIONAL LABORATORY P. O. Box 2008 Oak Ridge, TN 37831-6117

Bill R. Appleton - (615) 574-4321 Fax: (615) 574-0323

.

## Metallurgy and Ceramics - 01 -

Linda L. Horton - (615) 574-5081 Fax: (615) 574-7659

#### 164. MICROSCOPY AND MICROANALYSIS

K. B. Alexander, J. Bentley, E. A. Kenik, M. K. Miller, W. C. Oliver (615) 574-0631 01-1 \$1,155,000

Development and application of analytical electron microscopy (AEM), atom-probe field-lon microscopy (APFIM), and mechanical properties microprobes (MPM) to determine the microstructure, microchemistry and mechanical properties of materials at high spatial resolution. Maintenance of SHARE User facilities and collaborative research with non-ORNL users. Equilibrium and radiation-induced segregation at grain boundaries and Interfaces by APFIM/AEM, correlation of GB structure and segregation. Applications of advanced EDS, EELS, energy-filtered imaging, and reflection electron microscopy techniques. APFIM characterization of intermetallics, spinodals, early stages of phase transformations, and irradiated pressure vessel steels. AEM of structural ceramics, thin-film ceramics, oxide scale, intermetallics.

## 165. THEORETICAL STUDIES OF METALS AND ALLOYS W. H. Butler, C. L. Fu, G. S. Painter, G. M. Stocks (615) 574-4845 01-1 \$777.000

Use of density functional theory and other techniques to calculate the properties of materials. Development of new techniques for calculating properties of materials. Use of KKR-CPA to calculate such properties of alloys as phase diagrams, thermodynamic properties, magnetic properties, lattice constants, short-range order parameters, electrical and thermal resistivities. Use of high-speed band theory (FLAPW, pseudopotential, LMTO, QKKR/LKKR) to calculate total energies of metals and Intermetallic compounds. Calculation of the elastic constants, and the energetics of planar and point defects of metals and intermetallic alloys, and the use of these quantities to understand their mechanical properties. Theory of electronic, magnetic, and transport properties of layered materiais. Use of density functional theory and LCAO method to calculate the properties of clusters of atoms. Application of cluster calculations to materials problems such as trace element effects on metallic cohesion.

#### 166. RADIATION EFFECTS

L. K. Mansur, K. Farrell, E. H. Lee, M. B. Lewis, R. E. Stroller (615) 574-4797 01-4 \$1.558,000

Theoretical and experimental research on defects and microstructures produced by neutron irradiation, by ion beam treatment and by related processes. Principles for design of improved materials. Neutron damage in metals and alloys irradiated in HFIR and other reactors. Effect of alloying additions; effect of type of irradiation energy spectrum, and damage rate; radiation-induced embrittlement, creep and swelling; phase stability under irradiation; relationships between ion and neutron damage; effect of helium and other impurities on microstructure and microcomposition; theory of microstructural evolution based on defect reactions; electrical property changes in insulators caused by displacement and ionizations. Studies using multiple simultaneous ion beams. Ion beam modification of surface mechanical and physical properties of metallic, polymeric and ceramic materials; new materials by ion beam processing.

# 167. MICROSTRUCTURAL DESIGN OF STRUCTURAL CERAMICS

P. F. Becher, K. B. Alexander, C.-H. Hsueh (615) 574-5157 01-5 \$973,000

Experimental and theoretical approaches are being developed to provide new insights into mechanisms which improve the toughness, strength, and elevated temperature mechanical performance of ceramics with companion studies in ceramic processing to control densification and resultant microstructure and composition in such toughened systems. These micro- and (macro-) scopic characteristics are directly related to phenomena that are controlled during powder synthesis, powder processing, and densification. These are directly coupled with studies of the role of microstructure, composition, and defects in the mechanical behavior of ceramics and descriptions of toughening-strengthening and creep mechanisms. A primary consideration of these studies is to provide the fundamental basis for the design and fabrication of advanced ceramics and ceramic composites for elevated temperatures.

#### 168. FUNDAMENTALS OF WELDING AND JOINING S. A. David, J. M. Vitek, T. Zacharla (615) 574-4804 01-5 \$531,000

Correlation between solidification parameters and weld microstructure, distribution, and stability of microphases, microstructure of laser-produced welds, single crystal welds, hot cracking, modeling of transport and solidification phenomena in welds, structure-property correlations, austenitic stainless steels, low alloy steels, aluminum alloys, electron beam welding, and university collaborations.

#### 169. HIGH TEMPERATURE ALLOY DESIGN

C. T. Liu, E. P. George, J. A. Horton, C. G. McKamey, J. H. Schneibel, M. H. Yoo (615) 574-4459 01-5 \$1,205,000

Design of ordered Intermetallic alloys based on Ni<sub>2</sub>Al FeAI, NIAI, FeCo, Nd<sub>2</sub>Fe<sub>14</sub>B, and other aluminides (e.g., TiAl.). Study of the effect of alloy stoichiometry on structure and properties of grain boundaries, nature and effects of point defects, and microalloying and grain-boundary segregation. Study of superlattice dislocation structure. solid-solution hardening, mechanistic modeling of anomalous temperature dependence of yield stress, impact resistance and crack growth, and deformation and fracture behavior of aluminides in controlled environments at ambient and elevated temperatures. Study of superplastic behavior. arain-boundary cavitation, and theoretical modeling of creep behavior of Ni<sub>4</sub>Al alloys. Study of the effect of electron structure and atomic bonding on both intergranular and transgranular fracture (e.g., cleavage). Experimental work on structure and properties of aluminide materials prepared by conventional methods and innovative processing techniques. Establishment of correlation between mechanical properties, microstructural features, and defect structures in aluminides. Study of processing parameters on reaction kinetics and microstructural evolution of aluminides processed by reaction synthesis (combustion synthesis).

## Solid State Physics - 02 -

Jim B. Roberto - (615) 574-6151 Fax: (615) 574-4143

#### 170. STRUCTURES OF ANISOTROPIC COLLOIDAL MATERIALS J. B. Hayter, W. A. Hamilton (615) 576-9300 02-1 \$436,000

Small-angle neutron scattering and neutron reflectometry studies of colloidal systems. Objectives of this research are to understand the role of anisotropies imposed by geometry, shearing flow, or external fields on the structure and dynamics of liquid-phase collodial dispersions. Major goals are to determine how anisotropic features in such systems are preserved or modified in processing to form nanoscale materials and how tuning of this behavior may be directed toward the control of the properties of final structures. In collaboration with L. Magid, the University of Tennessee, and R. Pynn, LANL.

## 171. INTERATOMIC INTERACTIONS IN CONDENSED SYSTEMS

H. A. Mook, J. W. Cable, J. Fernandez-Baca, R. M. Nicklow, H. G. Smith, M. Vethiraj (615) 574-5234 02-1 \$856,000

Inelastic neutron scattering studies of phonons, magnons, and single-particle excitations in condensed matter, elastic and inelastic scattering of polarized and unpolarized neutrons by magnetic materials, lattice dynamics, and magnetic excitations in high-temperature, phase transitions, nuclear spin ordering, momentum distributions in quantum fluids. New research directions will include more emphasis on materials properties under extreme environments of high pressures, high temperatures, or ultralow temperatures.

## 172. STRUCTURE AND DYNAMICS OF ENERGY-RELATED MATERIALS

H. A. Mook, R. M. Nicklow, S. Spooner, G. D. Wignall, M. Yethiraj (615) 574-5234 02-1 \$1,200,000

Elastic, inelastic, and small-angle scattering of neutrons by superconductors and metal hydrides, phase transitions, heavy fermion superconductors, high-T<sub>c</sub> superconductors and reentrant superconductors, small-angle neutron scattering from ferrofluids, polymers and polymer blends, metal alloys, liquid crystals and biological systems, kinetics of first-order phase transitions. Residual stress determinations of ceramic and metal components.

## 173. PROPERTIES OF ADVANCED CERAMICS

J. B. Bates, N. J. Du	dney,
G. R. Gruzalski, D. C	C. Lubben, F. A. Modine
(615) 574-6280	02-2 \$456,000

Physical and chemical properties of advanced ceramics including single-phase thin-film, layered, and surface-modified structures prepared by novel techniques. Materials investigated include, thin films of amorphous and crystalline metal oxide, and oxynitride lithium intercalation compounds and oxynitride Ionic conductors. Films prepared by magnetron sputtering, ion beam sputtering, and evaporation. Studies include ion and electron transport in thin-film electrolytes, electrodes, and electrode-electrolyte interfaces; electrical, dielectric, and optical properties of thin-film materials. Techniques include impedance spectroscopy, transient signal analysis, Raman scattering, infrared reflectance-absorption, optical spectroscopy, and scanning electron microscopy.

## 174. MATERIALS FOR HIGH-POWER RECHARGEABLE SOLID STATE LITHIUM BATTERIES

J. B. Bates, N. J. Dudney, D. C. Lubben,

F. A. Modine

(615) 574-6280 02-2 \$443,000

Synthesis and processing of thin and thick films of lithium intercalation cathode materials. Present emphasis on the spinel phase of lithium manganese oxide, LiMn<sub>2</sub>O<sub>4</sub>. Methods for thin-film deposition Include rf magnetron sputtering and electron beam evaporation. Ion beam irradiation during evaporation investigated as a means to achieve crystalline films at lower substrate temperatures. Single-phase and composite thick films are fabricated by spray and spin coating techniques. Films are characterized by X-ray diffraction, infrared and optical spectroscopy, Rutherford backscattering, electron microscopy, and impedance spectroscopy. Cathodes are also investigated in solid state lithlum cells. Constant current cycling of the cells is used to evaluate the performance of the cathode films.

## 175. SYNTHESIS AND PROPERTIES OF NOVEL MATERIALS

L. A. Boatner, M. M. Abraham,

B. C. Chakoumakos, L. Gea, J. O. Ramey,

B. C. Sales

(615) 574-5492 02-2 \$1,039,000

Synthesis and characterization of advanced materials including single crystal growth and the development of new crystal growth techniques; development of new materials through the application of enriched stable isotopes; investigations of the physical, chemical, and thermal properties of novel materials using the techniques of thermal analysis, X-ray diffraction, Mossbauer spectroscopy, ion implantation and RBS ion channeling, optical absorption, high-performance liquid chromatography, EPR, and X-ray or neutron scattering; application of materials science techniques to the resolution of basic research problems; preparation and characterization of high-T\_ superconducting oxides; synthesis and structural characterization of phosphate glasses; development and characterization of advanced ceramics and textured materials; solid state epitaxial regrowth; growth of perovskite-structure oxides, high-temperature materials (MgO, CaO, Y<sub>2</sub>O<sub>2</sub>), refractory metal single crystals (Nb, Ta, V), fast-ion conductors, actinide-doped single crystals, stainless steels, rapid solidification and solidification microstructures: new scintillator and thermophospher materials; photonic materials; and new fiber optic. materials.

#### 176. PHYSICAL PROPERTIES OF SUPERCONDUCTORS

D. K. Christen, R. Feenstra, H. R. Kerchner, C. E. Klabunde, M. N. Kunchur, J. R. Thompson (615) 574-6269 02-2 \$488,000

Physical properties of superconductors, particularly high-T, materials, in various thin-film, single-crystal, melt proposed, magnetically aligned sintered, and composite forms. Configurations of thin films include epitaxial single-, multilayer, and superlattices. Irradiation of thin films and single crystals with energetic particles for the systematic introduction of flux pinning defect structures. Studies of flux pinning, defect arrays. Related investigations include fundamental superconducting properties such as upper and lower critical fields, magnetic penetration depths, and superconducting coherence length. Techniques and facilities include electrical transport by dc and pulsed current, with variable orientation of applied magnetic fields to 8 T; dc magnetization using a SQUID-based instrument with 7-T capability; vibrating sample magnetometry to 9 T; and ac susceptibility in superimposed dc fields to 5 T.

#### 177. X-RAY RESEARCH USING SYNCHROTRON RADIATION

G. E. Ice, C. J. Sparks, Jr., E. D. Specht (615) 574-6996 02-2 \$443,000

Research focuses on the use of synchrotron radiation as a probe for the study of metal alloys, ceramics, and Interfaces, emphasizing the ability to select a particular X-ray energy from the synchrotron radiation spectrum to highlight atomic arrangements of specific elements. Thus, the atomic arrangements among the various elements forming the materials can be unraveled and related to the materials' physical and chemical properties. The task includes operation of an X-ray beamline on the National Synchrotron Light Source at Brookhaven National Laboratory. Staff are also involved in the design and construction of an X-ray beamline on the Advanced Photon Source. Important materials' problems under study include: (1) effects of short-range order among atoms on mechanical, chemical and magnetic behavior and on radiation swelling; (2) effects of atomic displacements, caused by bonding and size difference, on energetics of phase stability and materials properties; (3) studies of site substitution on alloying and other defects associated with nonstoichiometry in long-range ordered alloys which affect ductility, ordering temperature and phase stability, and (4) role of atomic-scale structure and chemistry of interfaces in controlling heteropitaxy.

# 178. SEMICONDUCTOR PHYSICS, THIN FILMS, AND PHOTOVOLTAIC MATERIALS

D. H. Lowndes, G. Eres, D. B. Geohegan, G. E. Jellison, D. P. Norton (615) 574-6306 02-2 \$995,000

Time-resolved ellipsometric measurements, time-resolved measurements of

pulsed-laser-generated plasmas, pulsed supersonic molecular beam deposition, modulated lavered structures, superlattices, fabrication of superconducting and semiconducting thin films by pulsed laser ablation, laser-induced recrystallization of amorphous layers, pulsed-laser bonding of metals to ceramics, thermal and laser annealing of lattice damage in semiconductors, fabrication of solar cells. by laser, thick-film and thin-film techniques, effects of point defects and impurities on electrical and optical properties of elemental and compound semiconductors, electrical, optical (including infrared and luminescence spectroscopy), scanning tunneling microscopy, transmission electron microscopy, X-ray scattering, secondary ion mass spectrometry, and Rutherford ion backscattering measurements, dopant concentration profiles, deep-level transient spectroscopy, and absolute quantum efficiency measurements.

#### 179. ATOMISTIC MECHANISMS IN INTERFACE SCIENCE-DIRECT IMAGING AND THEORETICAL MODELING

S. J. Pennycook, K. Chen, M. F. Chisholm, D. E. Jesson, T. Kaplan, A. J. McGibbon, M. M. McGibbon (615) 574-5504 02-2 \$446,000

Direct imaging of atomic structure and chemistry of interfaces by high-resolution Z-constrast scanning transmission electron microscopy, static and dynamic *ab initio* pseudopotential calculations of interface structures and atomistic mechanisms of epitaxial growth, molecular beam epitaxial growth of semiconductors, evolution of surface morphology, strain relaxation, dislocation nucleation, role of surfactants on growth, kinetic ordering, grain boundarles in ceramics and high-temperature superconductors, atomic resolution chemical analysis by electron energy loss spectroscopy, segregation to dislocations, hole concentration mapping in high-temperature superconductors, correlation of microstructure to transport properties, and metal/ceramic interfaces.

## **180. BULK SHIELDING REACTOR SHUTDOWN**

R. L. Stover, R. D. Childs (615) 574-8544 02-2 \$507,000

This proposal is to provide funds for surveillance, maintenance and shutdown of the BSR. Although the reactor core is defueled, there are 73 fuel assemblies stored in the reactor pool. Shutdown of the reactor requires removal of the fuel and other hazardous materials prior to transfer to the Environmental Restoration Program (ERP). Until transfer occurs, surveillance and maintenance are required to meet ES&H requirements, protect the fuel, and keep the facility and systems structurally sound.

#### 181. SMALL-ANGLE X-RAY SCATTERING G. D. Wignall, J. S. Lin, S. Spooner (615) 574-5237 02-2 \$182,000

Small-angle X-ray scattering of metals, metallic glasses, precipitates, alloys, ceramics, polymers, surfactants, fractal structures in polymers and oxide sols, domain structures in composites, dynamic deformation studies of polymers, time-slicing studies of phase transformation. Facilities are available to users at no charge for research published in the open literature or under contract for proprietary research.

#### **182. THEORY OF CONDENSED MATTER**

J. F. Cooke, H. L. Davis, T. Kaplan, S. H. Liu, G. D. Mahan, M. E. Mostoller, M. T. Robinson, R. F. Wood (615) 574-5787 02-3 \$886,000

Theory of nonequilibrium solidification in semiconductors, lattice vibrations in metals and alloys, lattice dynamics and potential energy calculations of ionic crystals, computer simulation of radiation damage, sputtering, molecular dynamics and total energy studies of surfaces and interfaces, development of LEED theory and interpretation of LEED data, surface vibrations and relaxation, electronic structure of metal surfaces, magnetism in transition metals and local moment systems, neutron scattering at high energies, electronic properties of mixed-valent and heavy fermion systems, high-temperature superconductivity, diffusion and elastic vibrations of fractal systems studies of thermoelectric and varisitor-related phenomena, computer modeling of the laser ablation technique, and *ab initio* calculations of the dynamic properties of metallic systems.

#### 183. STRUCTURAL PROPERTIES OF MATERIALS - X-RAY DIFFRACTION

B. C. Larson, J. D.	Budal, J. Z. Tischler
(615) 574-5506	02-4 \$404,000

Microstructure and properties of defects in solids, synchrotron X-ray scattering, time-resolved X-ray scattering, X-ray diffuse scattering, Mossbauer scattering spectroscopy, X-ray topography, Ion irradiation induced defect clusters in metals, pulsed-laser-induced metting and crystal growth, defects associated with laser and thermal processing of pure and ion-implanted semiconductors, crystal structure of MBE-grown semiconductor alloys, microstructural characterization of high-temperature superconductors, calculation of diffuse scattering from dislocation loops and solute precipitates, energy-resolved X-ray scattering, quasi-elastic scattering, phase transformations, theory of scattering of X-rays from defects in solids.

#### **184. ELECTRON MICROSCOPY OF MATERIALS**

S. J. Pennycook, N. D. Browning, M. F. Chisholm, D. E. Jesson (615) 574-5504 02-4 \$672,000

Atomic resolution scanning transmission electron microscopy and electron energy loss spectroscopy; growth and relaxation phenomena in epitaxial thin films; interface structure/property relations in semiconductors and superconductors; morphological stability; molecular beam epitaxial growth; ion implantation; solid-phase recrystallization; segregation phenomena; theory of elastic, inelastic, and diffuse scattering of electrons from crystals and defects; Z-contrast image simulation.

## 185. INVESTIGATIONS OF SUPERCONDUCTORS WITH HIGH CRITICAL TEMPERATURES

D. K. Christen, J. Brynestad, J. D. Budai, B. C. Chakoumakos, H. R. Kerchner (615) 574-6269 02-5 \$528,000

Studies of superconducting materials with high transition temperatures. Synthesis, characterization, and analysis of thin films, thin-film heterostructures, new substrate materials, single crystals and meit-processed bulk materials, and high-current conductors and composite structures. Magnetic and electrical transport properties, microstructural characterization by electron microscopy. Collaborative research with scientists at IBM Watson Research Center, General Electric Research, AT&T Bell Laboratories, American Superconductor Corporation, Intermagnetics General Corporation, The University of Tennessee, and other U.S. universities.

## 186. SURFACE MODIFICATION AND CHARACTERIZATION FACILITY AND RESEARCH CENTER

D. B. Poker, J. M. Williams, S. P. Withrow (615) 576-8827 02-5 \$1,350,000

The SMAC Collaborative Research Center provides facilities for materials alteration and characterization in a UHV environment. Methods which can be used for alteration include ion Implantation, ion beam mixing, and low-energy ion deposition using ions and energies that span the range from 30 eV to ~5 MeV in-situ characterization methods include Rutherford backscattering, ion channeling, low-energy nuclear reaction analysis, and surface analysis techniques. The facility supports research in the Ion Beam Analysis and ion implantation Program and research carried out by other ORNL divisions. These facilities are available to scientists from industrial laboratories, universities, other national laboratories, and foreign institutions for collaborative research projects.

## 187. ION BEAM ANALYSIS AND ION IMPLANTATION

C. W. White, T. E. I	Haynes, O. W. Holland,
R. A. Zuhr	
(615) 574-6295	02-5 \$810.000

Studies of ion Implantation damage and annealing in a variety of crystalline materials (semiconductors, metals, superconductors, insulators, etc.), formation of unique morphologles such as burled amorphous or insulating layers by high dose ion implantation, formation of nanocrystals in a wide variety of substrates by ion implantation the use of high-energy Ion beams to reduce the temperature of various thermally activated processes such as damage removal, alloying, and phase transformations; formation of burled compounds, studies of dose and dose rate dependence of damage accumulation during irradiation, characterization of superconducting thin films; fundamental studies of ion beam mixing in metal/semiconductor, metal/metal, and metal/insulator systems, applications of ion beam mixing and ion implantation to corrosion/catalysis studies, to reduction of friction and wear of metal surfaces, to changes in mechanical and optical properties of ceramics and insulators, to the formation of nonlinear optical materials and to reduction of corrosive wear of surgical alloys; studies of Ion channeling phenomena; direct ion beam deposition (IBD) of

isotopically pure thin films, epitaxial layers, and layered structures on metal and semiconductor substrates using decelerated, mass-analyzed ion beams.

#### 188. SURFACE PHYSICS

D. M. Zehner, A. F. Baddorf, H. L. Davis, J. F. Wendelken (615) 574-6291 02-5 \$906.000

Studies of crystallographic and electronic structure of clean and adsorbate-covered metallic, intermetallic compound, carbide, and semiconductor surfaces, combined techniques of low-energy electron diffraction (LEED), photoelectron spectroscopy (PES) using synchrotron radiation, scanning tunneling microscopy (STM), and computer simulations for surface crystallography studies with emphasis on surfaces which either reconstruct or have interplanar spacings different from those of the bulk, LEED, Auger Electron Spectroscopy (AES) and X-ray photoelectron spectroscopy (XPS) studies of both clean and adsorbate-covered surfaces; determination of effects of intrinsic and extrinsic surface defects on surface properties and surface and thin-film growth morphology using high-resolution LEED and STM, vibronic structure of surfaces and adsorbates examined by high-resolution electron energy loss spectroscopy (EELS), examination of surface electronic and aeometric structures with respect to solid state aspects of heterogeneous catalysis.

## Materials Chemistry - 03 -

M. L. Poutsma - (615) 574-5028 Fax: (615) 576-5235

### 189. CHEMISTRY OF ADVANCED INORGANIC MATERIALS

D. B. Beach, C. E. Bamberger, L. Maya, C. E. Vallet (615) 574-5024 03-1 \$1,000,000

Synthesis of solid-state Inorganic materials using non-traditional method of synthesis, including aerosol pyrolysis, sol-gel, reactive sputtering, metal organic chemical vapor deposition (MOCVD) and gas-solid pseudomorphic reactions. These methods overcome the limitations of solid-solid diffusion and thus produce materials at reduced time and temperature when compared to traditional solid-state preparations. Materials currently being synthesized include high dielectric constant lead lathanium titanate insulators using sol-gel and MOCVD techniques. These materials have applications in semiconductor memory and decoupling capacitors. High-T<sub>c</sub> superconductors are synthesized using aerosol

pyrolysis, sol-gel techniques and novel techniques for the incorporation of volatile elements such as thallium and mercury. In addition to superconductor synthesis, barrier layers for superconductors using sol-gel techniques, required for the commercial application of superconductors on polycrystalline metal substrates, are being synthesized. Nanocomposites of metals in an insulating matrix are being synthesized using reactive sputtering. These materials have applications in electrooptics, capacitors, and for magnetic storage. Metal filaments and metal carbide and nitride whiskers are synthesisized utilizing gas-solid pseudomorphic reactions. (A pseudomorphic reaction maintains the morphology of the precursor while converting, for example, from a metal oxide to a metal carbid or nitride). These materials may be of use in reinforcing ceramics or may be useful as high surface area substrates for ceramic superconductors. Analytical techniques include atomic force microscopy (AFM), scanning tunneling microscopy (STM), Rutherford backscattering spectroscopy, (RBS), and a variety of electrical and magnetic measurement techniques.

#### 190. STRUCTURE AND DYNAMICS OF ADVANCED POLYMERIC MATERIALS B. K. Annis, A. Habenschuss, D. W. Noid, D. O. Computer D. Man deallach

B. G. Sumpter, B. Wunderlich (615) 574-6018 03-2 \$924,000

Characterization of polymers and composites at the molecular level by small-angle and wide-angle neutron and X-ray scattering, thermal and mechanical analysis, atomic force microscopy, NMR spectroscopy, and statistical mechanical calculations. Structural relationships between crystalline, partially ordered, and amorphous regions. Simulation of polymer chain dynamics in large-scale molecular dynamics calculations. Improvement of the basic understanding of local molecular structure, the packing of chains in semicrystalline polymers, and the dynamics of materials ranging from oriented fibers to isotropic materials. Materials studied include high-performance crystalline fibers and composites, liquid crystalline, and plastic crystalline mesophases. Development of methods of predicting polymer properties resulting from various processing methods.

## 191. NUCLEATION, GROWTH, AND TRANSPORT PHENOMENA IN HOMOGENEOUS PRECIPITATION M. T. Harris, O. A. Basaran, C. H. Byers (615) 574-1275 03-2 \$274,000

Fundamental laser light-scattering spectroscopic studies are conducted on and a theoretical framework is developed for liquid-phase homogeneous nucleation and growth of pure component and composite monodisperse metal oxide particles which are precursor materials in ultra fine processing for the production of a new generation of ceramic materials. The focus of the program entails investigation of metal alkoxide/metal salt reactions and reactants-solvent interactions (i.e., short-range bonding) which affect the characteristics of the particles formed. Methods and instrument development (including alternative methods for metal oxide powder synthesis, optical spectroscopic measurements, iow angle-light scattering spectrometer design, flow through SAXS, dispersion stabilization, and NMR spectroscopy mathematical analysis) are important features of this research.

## 192. THERMODYNAMICS AND KINETICS OF ENERGY-RELATED MATERIALS

 T. B. Lindemer

 (615) 574-6850
 03-2 \$295,000

The objective here is the determination and chemical thermodynamic modeling of nonstoichlometry, phase equilibria, and other thermochemical data for energy-related ceramic systems. Our new adaptation of solid-solution thermodynamics is used to represent the chemical thermodynamic interrelationship of temperature, oxygen partial pressure, and nonstolchiometry in oxide compounds having extensively variable oxygen-to-metal ratios. Presently, these interrelationships are being measured and modeled for superconducting oxides in the (Y, lanthanide) barlum-copper-oxygen systems. These efforts are providing a heretofore unavailable description of these oxides.

#### 193. BLENDS OF MACROMOLECULES WITH NANOPHASE SEPARATION

G. D. Wignall, J. G. Curro (SNL/A), K. S. Schweizer (Univ. of ILL) (615) 574-5237 03-2 \$391,000

Development of a scientific basis for the molecular design of polymer blends in order to optimize physical and end-use properties. Prediction of molten blend structure, miscibility, phase diagrams and other thermodynamic properties from integral equation theories. Testing of theoretical predictions by neutron and X-ray scattering. Focus on multicomponent polymer systems where mixing occurs on molecular length scales in contrast to conventional composites and filled polymers.

## PACIFIC NORTHWEST LABORATORY P. O. Box 999 Richland, WA 99352

Gary L. McVay - (509) 375-3762 Fax: (509) 375-2186

Metallurgy and Ceramics - 01 -

#### 194. MICROSTRUCTURAL MODIFICATION IN CERAMIC PROCESSING USING INORGANIC POLYMER DISPERSANTS

G. J. Exarhos, I. A. Aksay (Princeton Univ.), W. D. Samuels, L.-Q. Wang (509) 375-2440 01-1 \$364,000

Fundamental studies of particle compaction phenomena in colloidal dispersions and synthesis of inorganic polymer ceramic molecular composites. Localized particle-polymer-solvent interactions probed by means of in-situ molecular spectroscopic measurements. Integration of spectroscopic data with particle compaction measurements allows evaluation of packing efficiency and correlation to chemical functionality of tailored inorganic polymer dispersants. Response of bound polymer in the greenbody to temperature during sintering is a principal area of active research. Improvement in mechanical properties of the fired ceramic is correlated with vold density and distribution which evolves during processing and with the generation of interfacial phases formed by incorporation of the inorganic polymer with the ceramic matrix. Interfacial chemical bonding in polymer-ceramic composites is characterized spectroscopically and correlated with physical properties.

## 195. INTERFACIAL DYNAMICS DURING HETEROGENEOUS DEFORMATION

S. M. Bruemmer, C. H. Hamilton (Wash. St.), C. H. Henager, Jr., J. S. Vetrano (509) 376-0636 01-2 \$243,000

The purpose of this research is to elucidate mechanisms controlling heterogeneous, interfacial deformation processes though a combination of high-resolution measurement and atomistic modeling techniques. Emphasis is placed on characterizing, modifying, and simulating dynamic events occurring at grain boundary and particle-matrix interfaces. Specific interfacial processes such as dislocation emission and accommodation, boundary migration, sliding, diffusion, solute segregation, and cavitation will be isolated and evaluated. Initial research focuses on the interfacial dynamics limiting the superplastic deformation of fine-grained metallic materials. Synergistic effects of stress, strain, strain rate, and temperature on grain boundary composition, dislocation activity, and properties are being examined in controlled purity alloys. Fundamental relationships and understanding will be established to give mechanistic insight into empirical continuum equations of interfacial deformation processes.

#### 196. FUNDAMENTAL STUDIES OF STRESS CORROSION AND CORROSION FATIGUE MECHANISMS

R. H. Jones, C. H. Henager Jr., E. P. Simonen, C. F. Windisch, Jr. (509) 376-4276 01-2 \$412,000

Investigations of the mechanisms controlling intergranular and transgranular stress corrosion and corrosion fatigue cracking of iron, iron-chromium nickel, nickel-based alloys, and ceramic matrix composites in gaseous and aqueous environments. Relationships between interfacial and grainboundary chemistry, hydrogen embrittlement, and intergranular stress corrosion cracking investigated with surface analytical tools, electrochemical polarization, straining electrode tests, subcritical crack growth tests, and crack-tip and fracture surface analysis. Modeling of the electrochemical conditions at the tip of a growing crack and evaluation of the electrochemical behavior of sulfur and phosphorus in the grain boundaries of nickel and Iron. Differential, reversed dc potential drop analysis of stress corrosion initiation and cracking processes. Effect of surface chemistry on gas phase adsorption and aqueous corrosion using transient electrochemical analysis.

## 197. CHEMISTRY AND PHYSICS OF CERAMIC SURFACES B. C. Bunker, K. F. Ferris

(509) 375-5969 01-3 \$388,000

Study of the chemistry and physics of specific crystalline oxide bonding configurations with an emphasis on the properties of defects. Colloid chemistry, surface science, and theoretical methods are coupled to generate a comprehensive understanding of oxide surface chemistry. Model surfaces of metal oxides are created by cleavage of single crystals. Hydration/solvation, ion adsorption, acid/base chemistry, and site stabilities/reconstruction of these model surfaces are investigated. Surfaces are characterized using electron and vibrational spectroscopies; electron diffraction; scanning tunneling microscopy; electron, photon, and thermal desorption methods; and microcalorimetry. Molecular modelling activities emphasize ab initio electronic structure and molecular dynamics approaches, and include the development of methodologies for large-scale assemblies.

## 198. IRRADIATION-ASSISTED STRESS CORROSION CRACKING

S. M. Bruemmer, J. L. Brimhall, E. P. Simonen (509) 376-0636 01-4 \$495,000

The mechanisms controlling irradiation-assisted stress corrosion cracking under neutron and charged-particle irradiation are evaluated through a combination of experiments and modeling. Research includes examination of radiation effects on grain boundary composition, matrix and interfacial deformation processes, crack-tip phenomena, and material electrochemical behavior. Radiation-induced grain boundary segregation is measured and modeled as a function of material and irradiation parameters. Specific grain boundary compositions and matrix microstructures are simulated by thermomechanical treatments, and their influence on corrosion and stress corrosion assessed by tests in low- and high-temperature aqueous environments. Crack-tip models are being evolved so that radiation effects on local material microstructure, microchemistry, deformation and electrochemistry can be assessed in relation to crack propagation mechanisms.

## 199. IRRADIATION EFFECTS IN CERAMICS

W. J. Weber, N. J.	Hess	
(509) 375-2299	01-4	\$263,000

Multidisciplinary research on the production, nature, and accumulation of Irradiation-Induced defects, microstructures, and solid-state transformations in ceramics. Irradiations with neutrons, ions, and electrons to study point defect production and associated effects from both single displacement events and high-energy displacement cascades. Develop understanding of structural stability and irradiation-induced amorphization in ceramics. Computer simulations of defect production, stability, and migration. The investigations utilize X-ray and neutron diffraction, electron microscopy, EXAFS, laser spectroscopies, ion-beam techniques, and electrical property measurements to characterize the defects, microstructures, and transformations introduced by irradiation in simple and complex oxides, carbides, and nitrides. Work includes the development of techniques for in-situ characterization during neutron and ion-beam irradiations.

## Solid State Physics - 02 -

## 200. THIN FILM OPTICAL MATERIALS

G. J. Exarhos, K. F. Ferris, N. J. Hess (509) 375-2440 02-2 \$224,000

Theoretical and experimental investigations of chemical bonding and microstructure which control the linear and nonlinear optical behavior and phase stability of thin-film dielectrics. Refinement of composite media approaches to model the complex dielectric constant of wide band-gap materials relies on experimental measurements of film molecular structure and microstructure. Phase composition and stability, stoichiometry, strain heterogeneity, and vold density of deposited films, which are evaluated from laser spectroscopic measurements, EXAFS, electron microscopy, and atomic force microscopy, are Integrated into these models. Ellipsometry and optical transmission/reflection measurements on both free and supported films are used to determine complex refractive indices; the nonlinear response is investigated by means of harmonic mixing methods. Materials studied include oxides, nitrides, garnets, and inorganic polymers.

## Materials Chemistry - 03 -

## 201. CERAMIC COMPOSITE SYNTHESIS UTILIZING BIOLOGICAL PROCESSES

P. C. Rieke, B. C. Bunker, A. A. Campbell, G. E. Fryxell, G. L. Graff, A. H. Heuer, J. Liu (509) 375-2833 03-1 \$588,000

Processing routes have been developed to make ceramic thin films or composites via controlled nucleation and growth from aqueous solutions onto functionalized interfaces. The techniques, called biomimetic processing, stimulate nucleation and growth on substrates by using functional groups that mimic the behavior of biomineralization proteins. This program has demonstrated that high-quality ceramic films can be grown on plastics and other materials at temperatures below 100°C. Conformal coatings with unique oriented and/or nanocrystalline microstructures can be produced. The current emphasis of the program is to establish mechanisms for the surface nucleation and growth processes controlling biomimetic depositions using studies on self-assembling monolayers, Langmuir-Blodgett films, and colloidal particles as substrates.

## SANDIA NATIONAL LABORATORIES-ALBUQUERQUE P. 0. Box 5800 Albuquerque, NM 87185

George A. Samara - (505) 844-6653 Fax: (505) 844-4045

Metallurgy and Ceramics - 01 -

202. PHYSICS AND CHEMISTRY OF CERAMICS A. J. Hard, R. A. Assink, C. J. Brinker, R. K. Brow, P. F. Green, P. R. Schunk,

R. W. Schwarz, J. A. Volgt (505) 845-8629 01-2 \$979,000

Due to their refractory nature, processing from solution has been intrinsic to fabrication since prehistoric times. This program seeks to characterize and model the chemical and physical processes that link solution precursors to final properties. Systems of interest include controlled-pore solids, films, fibers and polycrystalline ceramics useful as superconductors, catalysts and ferroelectrics. Structure on multiple length scales is essential to ceramic processing. For complex materials control of structure from 10 A to 10 mm is critical to performance. We use a battery of tools including NMR, small-angle neutron scattering, chemical imaging, and imaging ellipsometry to establish structure of solutions, films, and monoliths. We seek to measure and model the effects of processing on pore formation, crystallite growth, and sintering. Since most of our systems are amorphous at some stage, we are exploring the nature of glassy materials. We are particularly interested in the effect of network topology on relaxation processes, including the glass transition itself. We are developing a set of new tools including dynamic mechanical analysis, Brillouin scattering, and high-resolution inelastic neutron scattering.

## 203. ATOMIC LEVEL SCIENCE OF INTERFACIAL ADHESION

T. A. Michalske, P. J. Felbelman, J. E. Houston, J. Nelson, N. D. Shinn, R. C. Thomas (505) 844-5829 01-2 \$494,000

The goal of this program is to understand, in atomic detail, the nature of the physical and chemical interactions that bind solid surfaces together. This study includes atomic scale measurements of interfacial bonding forces, theoretical calculations of interfacial bonding, surface science measurements of interfacial bonding and structure, and macroscopic adhesion measurements that will be used to relate the results of fundamental theory and experiment to more conventional measures of adhesion. Key to our approach is the ability to make detailed measurements of interfacial force profiles on well controlled and characterized interfaces. These measurements provide a common point for investigations ranging from first principles theory to practical adhesion and provide fundamental insight into the factors controlling interfacial adhesion.

#### 204. ENERGETIC-PARTICLE SYNTHESIS AND SCIENCE OF MATERIALS

S. M. Myers, J. C. Barbour, R. J. Bourcier, M. T. Dugger, D. M. Follstaedt, J. A. Knapp, C. H. Seager, H. J. Stein, W. R. Wampler (505) 844-6076 01-3 \$835,000

Basic research is conducted on the interactions of ion, laser, electron, and plasma beams with metals, semiconductors and dielectrics. The synthesis of new or novel metastable and equilibrium microstructures in solids with energetic ions, remote plasma sources and pulsed laser deposition is studied. Ion beams are used in conjunction with such techniques as TEM, X-ray scattering, IR spectroscopy, AES; capacitance-voltage analysis, DLTS, and mechanical testing to explore the properties of beam-synthesized materials and to illuminate a wide range of fundamental atomic processes in solids. Representative areas of research include ion-beam synthesis of nanostructures with novel chemical and electrical properties in semiconductors, ECR-plasma growth of superior new dielectrics, the formation by ion implantation, ECR plasmas and pulsed-laser deposition of new AL alloys with very high strengths, and fundamental studies of the interactions of H with semiconductors.

## 205. ADVANCED GROWTH TECHNIQUES FOR IMPROVED SEMICONDUCTOR STRUCTURES

S. T. Picraux, E. Chason, T. Drummond, J. A. Floro, B. Swartzentruber, J. Y. Tsao (505) 844-7681 01-3 \$368,000

Advanced growth techniques are studied for the synthesis of new and improved epitaxial semiconductor heterostructures. In-situ diagnostics and new growth techniques are used in conjunction with molecular beam epitaxy (MBE) to grow new semiconductor structures. By combining energetic beams with MBE, new approaches to controlling the arowth process as well as new understanding of the defect-mediated mechanisms controlling growth are developed. Studies concentrate on Ge and Si, as well as layered III-V compounds and SiGe strained layer structures. A primary purpose of this research is to provide new understanding of fundamental epitaxial growth mechanisms and new methods and diagnostics for the growth of improved epitaxial layered structures. Advanced in-situ techniques yield surface structure, composition and chemical reactivity information and correlation with growth parameters. Theoretical studies model the growth processes and address growth mechanisms in order to interpret and guide the experimental studies.

# 206. STRAINED-LAYER SEMICONDUCTOR MATERIALS SCIENCE

P. L. Gourley, E. D. Jones, S. K. Lyo, J. S. Nelson, M. B. Sinclair (505) 844-5806 01-5 \$354,000

Study and application of compound semiconductor heterostructures quantum wells and surface-structured materials to explore solutions to new and existing semiconductor materials problems. The program coordinates semiconductor physics and materials science to produce new semiconductor materials with useful electronic properties not available in bulk compound semiconductor crystals. This program investigates fundamental material properties including band structure, electronic transport, crystal stability,

optical transitions, and nonlinear optical properties. Both theoretical and experimental understanding are emphasized. The materials under study have a wide range of applications for high speed switching and microwave technologies, optical detectors, lasers, and efficient high generators.

## Solid State Physics - 02 -

#### 207. TAILORED SURFACES AND INTERFACES FOR MATERIALS APPLICATIONS

G. L. Kellogg, P. J. Feibelman, J. E. Houston, T. M. Mayer, N. D. Shinn, B. Swartzentruber (505) 844-2079 02-2 \$600,000

The overall goal of this program is to identify and understand the microscopic mechanisms that control the growth of thin surface films and use this knowledge to develop predictive models for materials synthesis. Atomic-scale processes involving adatoms, vacancies, steps, and impurities play a key role in how a crystal or epitaxial film grows, and we are conducting experimental and modeling studies to address the fundamental interactions associated with these defects. Our current emphasis is on the initial stages of nucleation and cluster formation in the epitaxial growth of single-component, mixed-component, and compositionally modulated overlayers and on establishing those factors which control the growth, the electronic structure, and the chemical properties of the resulting surfaces and Interfaces. Thin surface films and engineered interfacial structures are currently used to tailor the properties of materials for improved mechanicai

performance, chemical reactivity, corrosion resistance, and the fabrication of novel magnetic and electronic devices.

#### 208. PHYSICS AND CHEMISTRY OF NOVEL SUPERCONDUCTORS

E. L. Venturini, N. Missert, B. Morosin, J. E. Schirber, M. P. Siegal (505) 844-7055 02-2 \$575.000

The fundamental physical properties of the oxide-based high-temperature superconductors with emphasis on the thailium system. Directed toward understanding the detailed electronic band structure, doping flux motion and pinning, and carrier transport in these materials, especially as they pertain to understanding metal-insulator transitions, superconductivity, and the role of oxygen in determining transport properties. Some effort is also devoted to organic superconductors. Unlaue and specialized instrumental capabilities including conductivity, magnetization, ESR, magnetotransport, de Haas van Alphen, thermopower and tunneling. Experiments at temperatures as low as 0.05 K, magnetic fields up to 120 kOe and hydrostatic pressure to 10 kbar in various combinations. An active in-house synthesis program; unique processing capabilities including high pressure, high-temperature oxygen.

#### 209. BORON-RICH SOLIDS

D. Emin, T. L. Aselage, D. R. Tallant, H. L. Tardy, E. L. Venturini, P. Yang (505) 844-3431 02-5 \$475,000

This program Investigates boron-rich solids which are refractory materials with unconventional bondings, structures and properties. The goal is to understand these novel materials and assess their potential for applications. The investigations primarily focus on semiconducting boron carbides, insulating borides including the wide-gap icosahedral boron pictnides, and other refractory borides, such as diborides and hexaborides. The materials are synthesized by a variety of techniques. The structural properties, electronic structure, electronic transport (conductivity, Hall effect and Seebeck coefficient measurements) dielectric, optical, magnetic and ultrasonic properties, thermal conductivity and specific heat will be investigated.

## Materials Chemistry - 03 -

#### 210. CHEMICAL VAPOR DEPOSITION SCIENCES

E. Esherick, M. E. Bartram, W. G. Brelland, M. E. Coltrin, J. R. Creighton, P. Ho, R. J. Kee, K. P. Killeen, H. K. Moffat, J. E. Parmeter (505) 844-5857 03-3 \$700,000

Studies of Important vapor-phase and surface reactions during CVD deposition under conditions used to fabricate photovoltaic cells, wear- and corrosion-resistant coatings, and semiconductor devices. Measurements of major and minor species densities, gas temperatures, fluid flows, and gas-phase particulate distributions using laser Raman and Mie scattering and laser induced fluorescence. Development of predictive numerical models that include chemical kinetics and fluid dynamics. Application of a wide array of laser-based measurement capabilities to the study of vapor phase and surface reactions of these processing techniques and application of surface measurement techniques to study the product materials.

#### 211. SYNTHESIS AND PROCESSING OF NANOCLUSTERS FOR ENERGY APPLICATIONS

J. P. Wilcoxon, J. E. Martin, J. Melenkiewitz, T. Thurston (505) 844-3939 03-3 \$250.000

The work exploits a unique micellar synthesis method to create new size-controlled metal and semiconductor nanoclusters and investigate those physical properties germane to energy applications. The most promising applications are in catalysis and photocatalysis, so emphasis is on materials for these applications. Initially, metal clusters from base metals are being examined as candidates for replacing precious transition metals for coal liquidification and other reactions. The catalytic activity of these clusters will be evaluated in model hydrogenation reactions in collaboration with DOE technology programs on coal. The work next investigate the use of semiconductor nanoclusters to efficiently create electron-hole pairs for photocatalysis and then bind reducing and oxidizing nanoclusters to the semiconductors to create cluster assemblles that can convert sunlight to chemical fuels.

## SANDIA NATIONAL LABORATORIES-LIVERMORE P. O. Box 969 Livermore, CA 94551-0969

Walter Bauer - (510) 294-2994 Fax: (510) 294-3231

## Metallurgy and Ceramics - 01 -

William Wolfer - (510) 294-2307 Fax: (510) 294-3231

212. SURFACE, INTERFACE, AND BULK PROPERTIES OF ADVANCED CERAMICS R. H. Stulen, K. F. McCarty

(510) 294-2070 01-1 \$182,000

The goal of this task is two fold: (1) to develop a more complete understanding of the surface, interface, and bulk properties of advanced ceramics, and (2) to synthesize novel, thin-film ceramic structures. Emphasis is placed on two Important material systems: (1) ultrahard ceramics and (2) wide bandgap semiconductors. We are utilizing the emerging technique of pulsed laser deposition (PLD) for film synthesis. Currently, we strive to develop a fundamental understanding of what processes control the formation of a metastable phase, such as (diamond-like) cubic boron nitride (cBN), over formation of the stable (graphite-like) phase. By determining and controlling the film nucleation mechanism, and controlling the species and energy distribution of the ions, we strive to produce phase-pure films of cBN using ion-assisted PLD. Using in situ diagnostics in the growth chamber, we will determine the relationship between the deposition parameters and the film properties.

## 213. DEFECTS AND IMPURITIES IN SOLIDS/COMPUTATIONAL MATERIALS SCIENCE/VISITING SCIENTIST PROGRAM W. G. Wolfer, M. Asta, S. Bailes, D. C. Chrzan, S. M. Folles, J. Hamilton, R. Hwang, D. Medlin, J. Mercer, A. A. Quong (510) 294-2307 01-2 \$1,125,000

Unique experimental and theoretical tools developed in this program are combined to study structural defects and impurities in solids and on surfaces. The experimental tools are high-resolution transmission electron microscopy (HRTEM), high and medium energy ion scattering facilities, video low-energy electron diffraction (LEED) with I-V capability, surface analytical tools, scanning tunneling

microscopy (STM), and low energy electron microscopy (LEEM). Theoretical tools developed and employed include quantum chemistry codes, LDA/pseudo-potential methods, the embedded atom method (EAM), and the cluster functional (CFM) methods for large-scale atomistic computer simulations. These experimental and theoretical capabilities are utilized to study grain boundaries, interfaces and surfaces in metal alloys and intermetallic compounds, impurity segregation to these boundaries, and the interactions with dislocation, gas bubbles and defect clusters. Growth of metal layers on substrates are investigated using STM, LEED, and LEEM and theoretical models are developed for the nucleation and growth of kinetics of thin film layers. HTREM, in conjunction with large scale computer simulations, are performed to resolve the dislocation core structure in intermetallic compounds and to analyze the dislocation network conflaurations and evolution during plastic deformation. Many of the results generated by this research program are utilized in concurrent development and engineering projects at Sandia or other national laboratories. In addition, the dissemination to materials science programs at universities and to industrial research and development laboratories is conducted through the Visiting Scientist Program.

#### 214. ALLOY THEORY

D. D. Johnson, J. D. Althoff, F. J. Pinski (Univ. of Cinn.) (510) 294-2751 01-3 \$488,000

A "first-principle" theory for alloys is developed in which electronic, size charge-transfer, and magnetic effects (which are responsible for the effective interactions between the alloy constituents) play an essential role in determining the phase diagrams and the ordering tendencies. Correlation functions for compositional and magnetic short-range ordering are derived from the theory and utilized to interpret experimental results from diffuse X-ray and neutron scattering experiments, and to further plan and guide such experiments. The combined theoretical and experimental efforts elucidate the underlying electronic forces for intermetallic interactions and their influence on the thermodynamics of alloys Including multicomponent alloys, such as ternares. Finally, the theory will be used to explore and discover new metal alloys, and the electronic origin for their ordering properties.

## Solid State Physics - 02 -

R. Stulen - (510) 294-2307 Fax: (510) 294-3231

## 215. MATERIALS CHARACTERIZATION USING ULTRAFAST OPTICAL TECHNIQUES R. H. Stulen, R. J. Anderson (510) 294-2070 02-2 \$235,000

Develop, evaluate, and apply advanced, nonperturbing diagnostic techniques for studying the structure and dynamics of advanced materials. The scope includes studies of bulk, interface, and surface properties using spectroscopic techniques. We emphasize the use of these techniques to characterize electronic structure, ultrafast dynamics, and the chemistry of surfaces and interfaces formed during thin film growth. The approach includes the use of 1) ultrashort laser pulses, extending to the femtosecond regime, to examine excited state dynamics, 2) photoluminescence spectroscopy to probe electronic structure and defects of bulk materials and thin films, and 3) impuisively stimulated scattering to study mechanical properties and thermal conductivity of thin films. Materials under investigation include semiconductors, nonlinear optical materials, and large bandgap systems, and their interfaces with metals.

## STANFORD SYNCHROTRON RADIATION LABORATORY Stanford University Stanford, CA 94309-0210

Arthur Bienenstock - (415) 926-3153 Fax: (415) 926-4100

## Facility Operations - 04 -

216. RESEARCH AND DEVELOPMENT OF SYNCHROTRON RADIATION FACILITIES A. I. Bienenstock, H. Winick

(415) 926-3153 04-1 \$3,235,000

Support of materials research utilizing synchrotron radiation, as well as operations and development of the Stanford Synchrotron Radiation Laboratory (SSRL). Development and utilization of new methods for determining atomic arrangement in amorphous materials, and on surfaces, time-resolved studies of thin film growth, studies of highly perfect semiconductor crystals using X-ray topography, analysis of ultra-trace contamination on silicon wafer surfaces, photoemission studies of superconductors and semiconductor interfaces (e.g., heterolunctions and Schottky barriers), photoemission studies of highly correlated materials including magnetic systems, metal surfaces (especially catalytic reactions on surfaces) and development of techniques such as surface EXAFS, photoelectron diffraction, and Interface studies using core level spectroscopy. Photoelectron and X-ray absorption spectroscopic studies of catalysts. Development and improvement of accelerators and insertion devices for synchrotron radiation production. Development of Laue diffraction for time-resolved protein crystallography. Development of ultra-high resolution scattering techniques, by means of resonant nuclear scattering.

# SECTION B

Grant Research (Primarily Universities)

The information in this Section was prepared by the DOE project monitors of the Division of Materials Sciences. There is considerable turnover in the Grant Research program, and some of the projects will not be continued beyond the current period.

Universities

## UNIVERSITY OF ALABAMA 1150 10th Avenue South Birmingham, AL 35294

## 217. MIGRATION OF CONSTITUTIONALLY LIQUIDATE FILMS

R. G. Thompson, Department of Materials Science and Engineering

(205) 934-8450 01-5 \$99,871 (18 months)

Study of the migration of precipitate boundaries accompanying constitutional liquid film migration (CLFM). Demonstrate the occurrence of CLFM in binary alloys. Extend the studies to ternary systems containing the binaries. Thermal simulation will use a Gleeble device. Characterization techniques include quantitative microscopy to determine grain size, number of grains per unit volume, area fraction of migrated area, average migration distance, and volume fractions of precipitate and liquid and TEM to determine concentration gradients.

## ALFRED UNIVERSITY 2 Pine Street Alfred, NY 14802

## 218. STRUCTURE, STOICHIOMETRY AND STABILITY IN MAGNETOPLUMBITE AND β-ALUMINA TYPE CERAMICS

A. N. Cormack, Department of Ceramic Science and Engineering

(607) 871-2422 01-1 \$51,448

Atomistic simulation of defect structures and energies for defect clusters in mirror planes of magnetoplumbite and  $\beta$ -alumina structures; defect cluster interaction. Born model with Buckingham potential and shell model treatment of atomic polarizations; atomic relaxation treated by Mott-Littleton approximation. Barium, strontium, calcium and magnesium magnetoplumbite structure, site-preferences for Mg; stability of alkaline earth  $\beta$ -aluminas; computation of nonconfigurational entropy, thermal stability of SrAl<sub>12</sub>O<sub>19</sub>, origin of instability of barium magnetoplumbite.

## ARIZONA STATE UNIVERSITY Tempe, AZ 85287

## 219. SOLID ELECTROLYTES AND IMPACT-RESISTANT CERAMICS

C. A. Angeli, Department of Chemistry (602) 965-7217 01-1 \$162,639

investigate novel materials that exhibit fast ion transport and high rates of energy dissipation on impact. Superionic glasses, fast ion conductivity in inorganic glasses and polymer-salt systems, mixed anion-cation conducting glasses, mixed lonic-electronic conducting glasses, and new organic cation-containing plastic crystal conductors. Develop understanding of transport processes in these systems, explore possibility that fast processes occurring in glasses and ceramics can provide fast energy dissipation mechanism on impact, and utilize computer simulation calculations to study fast processes by dynamic graphics methods.

## 220. HIGH RESOLUTION INTERFACE NANOCHEMISTRY AND STRUCTURE R. W. Carpenter, Center for Solid State Science

(602) 965-4549 01-1 \$126,439

High spatial resolution analytical electron microscopy investigation of compositional gradients and solute segregation at interfaces and grain boundaries in ceramic/ceramic and ceramic/metal systems. Relationships between chemical and structural width of interfaces and boundaries studied as functions of material system and temperature. Theoretical analysis of interfaces and boundaries using quantum molecular dynamic computational methods.

## 221. DE-ALLOYING AND STRESS-CORROSION CRACKING

K. Sieradzid, Department of Mechanical and Aerospace Engineering (602) 965-3291 01-5 \$71,020

Two major areas of focus are: (1) alloy corrosion and the roughening transition and (2) the role of selective dissolution in the stress corrosion cracking of alloy systems. Alloy corrosion processes are studied on Ag-Au and Cu-Au using electrochemical techniques, in-situ scanning tunneling microscopy (STM), and X-ray scattering and reflectivity. The STM and X-ray work address the roughening transition known to occur in alloy systems undergoing corrosion at electrochemical potentials greater than the "critical potential." Molecular dynamic and Monte Carlo simulation techniques are being used to examine various aspects to the roughening transition.

## UNIVERSITY OF ARIZONA Tucson, AZ 85721

#### 222. EARLY STAGES OF NUCLEATION

M. C. Weinberg, Department of Materials Science and Engineering (602) 621-6909 01-1 \$0 (0 months)

Nucleation of glass-in-glass phase separation. Electron microscopy, Raman spectroscopy, and small angle X-ray scattering techniques will be used to study the nucleation rates and compare them with the predictions of Classical Nucleation Theory.

## 223. ARTIFICIALLY STRUCTURED MAGNETIC MATERIALS

C. M. Falco, Department of Physics (602) 621-6771 02-2 \$90,000

Emphasis on the measurement of magnetic properties of well characterized, artificially structured, metallic monolayers, multilayers and

superlattices, with a major thrust being a study of those systems where experimental data will contribute to an understanding of interface magnetic anisotropy. Fabrication of experimental samples by molecular beam epitaxy (MBE) and multi-target sputtering. Sample characterization by use of X-ray diffraction, reflected high-energy and low-energy electron diffraction (RHEED and LEED), scanning tunneling and atomic force microscopy (STM and AFM), Rutherford backscattering (RBS), scanning and transmission electron microscopy (SEM and TEM), and X-ray photoelectron spectroscopy (XPS). Determination of magnetic properties by surface magneto-optic Kerr effect (SMOKE), variable-temperature vibrating sample magnetometry (VSM), Brillouin light scattering, neutron scattering, and synchrotron photoemission studies. Efforts in developing artificially structured magnetic materials with improved properties.

## BOEING COMPANY

M.S.2-T-05, P.O. Box 3999 Seattle, WA 98124

## 224. X-RAY SPECTROSCOPIC INVESTIGATION OF AMORPHIZED MATERIALS

R. B. Greegor, Physics (206) 773-8986 01-1 \$13,894

XANES/EXAFS data analysis proton-Irradiated intermetallics, uranium borosilicate glasses reacted in water, site speciation in waste forms (synroc, in-situ vitrified glass) and zircons (crystalline, metamict and temperature annealed) will be performed. Work on zircons will be extended to include scattering measurements (RDF, DAS, DDF) to better characterize the distorted, anharmonic pair-distribution function in amorphized samples of this system. Radiation damage from the oxygen (and possible SI) site in metamict zircons will be accomplished using recently developed methods which allow samples that are not ultra high vacuum compatible to be examined using ultra soft (25 - 1300 eV) fluorescent measurements to obtain FYNES and EXAFS spectra.

## **BOSTON UNIVERSITY**

590 Commonwealth Avenue Boston, MA 02215

## 225. THE HEAVY ELECTRON STATE

A. Auerbach, Department of Physics (617) 353-5787 02-3 \$30,000 from prior year

The Heavy Electron compounds will be investigated, particularly the transition to the Fermi-Liquid state. The role of intersite magnetic interactions and the Fermi-Liquid state will be investigated by using a time dependent functional integral methodology.

## 226. INVESTIGATION OF THE STRUCTURE AND DYNAMICAL TRENDS IN THE GROWTH OF TRANSITION METAL OVERLAYERS AND SURFACE MAGNETIC STRUCTURE OF INSULATORS BY HE BEAM SCATTERING SPECTROSCOPIES

M. M. El-Batanouny, Department of Physics (617).353-4721 02-4 \$124,866

Use of scattered spin-polarized metastable He(<sup>23</sup>S) atoms from surfaces both elastically and inelastically, to study the structural, dynamic and magnetic trends of the 3D transition metal overlayers-Cu, Au, Ag and Cr on Pd(111) and Pd(110) substrates; and Pd and Cu on Nb(110) substrate. Magnetic properties will be studied in the newly constructed Spin-Polarized Metastable He (SMPH) facility. Spin-ordering in NiO, MnO and CoO will be investigated. Large-scale canonical molecular dynamics simulations combining a hybrid Nose-Hoover thermostat and Andersen's constant pressure algorithms will parallel the experiments.

## BRANDEIS UNIVERSITY Waltham, MA 02254

## 227. ORDERING IN CRYSTALLINE AND β QUASICRYSTALLINE ALLOYS: AN ATOMISTIC APPROACH B. Chakraborty, Department of Physics (617) 736-2835 01-1 \$77,600

Theoretical effort based on Effective Medium Theory (EMT). Study of phase stability and kinetics of ordering in crystalline and quasicrystalline alloys. Comparison with the KKR-CPA approach. Applied to Cu-Au alloys and intermetallics exhibiting quasicrystalline order.

#### 228. ELECTRIC FIELD-INDUCED INTERACTIONS IN COLLOIDAL SUSPENSIONS AND THE STRUCTURE OF ELECTRORHEOLOGICAL FLUIDS S. Fraden, Department of Physics (617) 736-2835 01-3 \$103,000

Electric field-induced interactions between colloidal particles and structure of electro-rheological fluids, spatial organizational of colloids in external electric fields and shear flows. Neutral colloids in Insulating solvents, silica spheres in organic solvents such as chloroform; charged colloids in conducting solvents, polystyrene spheres in aqueous suspensions. Colloidal interaction and structure in electric field and no shear flow; effects of field strength and frequency; field-induced interparticle potential; test of model of electro-hydrodynamic stability; liquid-to-crystal phase transitions as function field strength and particle concentration; electric field-induced ordering of concentrated colloidal suspensions. Structure of colloids in shear flow in absence of electric fields; simultaneous direct visualization and light scattering. Structure of colloids in combined shear flow and electric fields.

## BRINGHAM YOUNG UNIVERSITY Provo, UT 84602

229. MICROSTRUCTURAL DEPENDENCE OF CAVITATION DAMAGE IN POLYCRYSTALLINE MATERIALS
B. L. Adams, Department of Manufacturing Engineering and Engineering Technology (801) 378-7832 01-2 \$43,274

A detailed correlation between crystallographic aspects of grain boundaries and their propensity to creep cavitate in Cu. Serious attention is given to the requirement of obtaining data more rapidly. Automation may realize a speedup factor of about 60 in obtaining the required data, and this would enable a much greater clarity of the damage heterogeneity. This will enable a more thorough investigation into the mechanisms which contribute to creep damage and which control the time-to-fracture. Additionally, this may eventually lead to a refining of the current theory of void growth to include grain boundary structure, thereby more accurately predicting the useful life of structural components. The data obtained may be useful as an engineering tool aimed at controlling the processing of structural materials to obtain maximum resistance to cavitation damage.

## BROWN UNIVERSITY Providence, RI 02912

· •

## 230. SURFACES AND THIN FILMS STUDIED BY PICOSECOND ULTRASONICS H. J. Maris, Department of Physics

(401) 863-2185

J. Tauc, Division of Engineering and Department of Physics (401) 863-2318 02-2 \$139,680

Investigation of thin films, interfaces, coatings and other surface layers by the use of very high frequency (10-500 GHz) sound; studies of nanostructures and liquids are also included. Production of the ultrasound by laser excitation with pulses of less than one picosecond duration. Fundamental studies of electron-phonon Interactions, the propagation of sound across interfaces, and aspects of sound damping in materials. Development of non-destructive testing techniques for the mechanical properties of films, interfaces, and fibers.

## UNIVERSITY OF CALIFORNIA AT DAVIS Davis, CA 95616

231. INVESTIGATION OF THE RATE-CONTROLLING MECHANISM(S) FOR HIGH-TEMPERATURE CREEP AND THE RELATIONSHIP BETWEEN CREEP AND MELTING BY USE OF HIGH PRESSURE AS A VARIABLE H. W. Green, Department of Geology (916) 752-1863

A. K. Makherjee, Department of Mechanical Engineering (916) 752-1776 01-2 \$112,640 from prior year

Determine the pressure dependence of high-temperature creep of nickel, cesium chloride, silicon and forsterite. Study activation volume and its relationship to partial molar volume of diffusing species. Provide data for critical tests of creep theories.

#### 232. RADIATION DAMAGE AND DECOMPOSITION OF CERAMICS BY MICROSCOPY

D. G. Howitt, Department of Mechanical Engineering (916) 752-1164 01-4 \$62,000 from prior year

Investigation of electron induced radiolysis, ionization, displacement damage, diffusion and stimulated desorbtion by means of in-situ analytical electron microscopy and mass spectroscopy. Study of scribing at high beam current density. Materials include dielectrics and semiconductors. Study of free standing ceramics thin films.

UNIVERSITY OF CALIFORNIA AT IRVINE irvine, CA 92717

## 233. MECHANISMS OF HIGH-TEMPERATURE CRACK GROWTH UNDER MIXED-MODE LOADING CONDITIONS

J. C. Earthman, Department of Mechanical and Aerospace Engineering (714) 824-5018

F. A. Mohamed, Department of Mechanical and Aerospace Engineering (714) 824-5807 01-2 \$81,712

Mechanisms of high-temperature crack growth under different multiaxial stress states in 304 SS and TiAI. Examination of cavity density, cavity distribution, cavitating grain boundary facet size and orientation, and rupture surface topography for three states of stress. Evaluation of effect of multiaxial stresses on the role of intergranular particles. Analysis of crack tip stress states using finite element techniques.

234. OPTICAL SPECTROSCOPY AND SCANNING TUNNELING MICROSCOPY STUDIES OF MOLECULAR ADSORBATES AND ANISOTROPIC ULTRATHIN FILMS J. C. Hemminger, Department of Chemistry (714) 824-6020 02-2 \$116,400

Optical probes including Raman scattering spectroscopy and laser induced thermal desorption with Fourier transform mass spectrometry detection, scanning tunneling microscopy and conventional methods of UHV surface science will be combined to study molecular adsorbates on well characterized metal surfaces and in ultrathin films to identify the fundamentals necessary to allow the controlled preparation of anisotropic ultrathin films of organic monomers. The effect of substrate atomic structure on the ordering of the adsorbates will be determined. Of particular interest is the effect of substrate defects on the orientational ordering of adsorbates.

## 235. THEORETICAL STUDIES OF ELECTRON SCATTERING SPECTROSCOPIES OF MAGNETIC SURFACES AND ULTRA THIN FILMS D. L. Mills, Department of Physics

(714) 824-5148 02-3 \$48,500 (7 months)

Theory of the inelastic scattering of electrons, lons, and neutral atoms from elementary excitations at surfaces, and the development of theoretical descriptions of these excitations. Emphasis on electron energy loss from surface phonons at both clean and adsorbate-covered surfaces. Studies of spin-flip scattering of low energy electrons from magnetic excitations at surfaces, and excitation of surface phonons by helium atoms. Strong emphasis on the quantitative comparison between the results of this program and experimental data. Tightly coupled effort between Professor Mills and Professor Tong at the University of Wisconsin at Milwaukee.

## UNIVERSITY OF CALIFORNIA AT LOS ANGELES 5732 Boelter Hall Los Angeles, CA 90024

236. MECHANICAL BEHAVIOR OF ION-IRRADIATED ORDERED INTERMETALLIC COMPOUNDS A. J. Ardell, Department of Materials Science and Engineering (310) 825-7011 01-4 \$88,757

Correlation between mechanical behavior and microstructure of ion irradiated intermetallic compounds. Specimens tested by miniaturized disk-bend test (MDBT) apparatus. Hardness and modulus measured as functions of irradiation dose. Effect of irradiation-induced disordering on grain boundary cohesive strength and cleavage. Development of a miniaturized disk-bend fatigue apparatus.

237. APPLICATIONS OF MESOSCOPIC PHYSICS TO NOVEL CORRELATIONS AND FLUCTUATION OF SPECKLE PATTERNS: IMAGING AND TOMOGRAPHY WITH MULTIPLY SCATTERED CLASSICAL WAVES S. Feng, Department of Physics (213) 825-8530 02-3 \$57,000 from prior year

The electronic properties, especially conductance properties, of very small (10-100 A) metallic and semiconducting structures will be studied theoretically. Several effects must be considered together, including quantum coherent effects on the transport, and multiple scattering due to disorder in the conductor. Similar theoretical approaches will be applied to describe the magnetic properties of spin glasses. The dynamical properties of percolating systems, in particular the low-energy excitations of tenuous, "fractal," systems, will be investigated.

UNIVERSITY OF CALIFORNIA AT SAN DIEGO La Jolia, CA 92093-0319

238. SUPERCONDUCTIVITY AND MAGNETISM IN D-AND F-ELECTRON MATERIALS M. B. Maple, Department of Physics (619) 534-3968 02-2 \$291,000

Research on superconductivity and magnetism in dand f-electron materials will be performed. Emphasis will be on two classes of materials, the high critical temperature copper oxide superconductors and heavy electron rare-earth and actinide compounds. Measurements will be made on the intrinsic anisotropic superconducting and normal state properties. Single crystals and magnetically-aligned specimens of the electron-doped copper oxide superconductors and Pr-doped 1-2-3 compounds will be investigated. Measurements on single crystals and polycrystals of heavy Fermion superconductors will be made to determine the role, if any, of a multicomponent, anisotropic superconducting order parameter and possible multiple superconducting transitions. Experiments will be performed to characterize the two-channel quadrupolar Kondo effect in the  $Y_{1x}U_xPd_3$  system.

## 239. PREPARATION AND CHARACTERIZATION OF SUPERLATTICES

I. K. Schuller, Department of Physics (619) 534-2540 02-2 \$87,300

Preparation and characterization of superiattices that have atomic constituents which do not usually form solid solutions. Search for new superlattices; studies of relationships between epitaxial and superlattice growth; comparison of samples prepared by sputtering and thermal evaporation. Preparation of some samples by molecular beam epitaxy (MBE). Characterization of superlattice samples by X-ray diffraction, scanning tunneling microscopy, electron microscopy, and in-situ relatively high energy electron diffraction (RHEED), Other properties, such as transport, magnetic, optical, and superconducting, are measured in collaboration with other investigators. Some of the specific superlattices studied are Pb/Ge, Fe/Cr, NI/NIO, Co/CoO, FeMn/FeNI alloys, and some transition metal/rare-earth systems.

# UNIVERSITY OF CALIFORNIA AT SANTA BARBARA

Santa Barbara, CA 93106

### 240. FUNDAMENTAL STUDIES OF THE INTERRELATIONSHIP BETWEEN GRAIN BOUNDARY PROPERTIES AND THE MACROSCOPIC PROPERTIES OF POLYCRYSTALLINE MATERIALS D. R. Clarke, Materials Department (805) 893-4685 01-1 \$105,245

Relationships between properties of individual grain boundaries and macroscopic properties of polyphase, polycrystalline materials. Measurement of electrical properties and plastic deformation of grain boundaries in bicrystals as a function of bicrystallography determined by electron channeling and high resolution transmission electron microscopy. Results will be compared to those obtained from polycrystalline thin films and compared to simulation results.

#### 241. THEORIES OF PATTERN FORMATION AND NONEQUILIBRIUM PHENOMENA IN MATERIALS J. S. Langer, Department of Physics (805) 893-2280 02-3 \$90,210

Theoretical Investigations of phenomena that occur in systems far from thermodynamic or mechanical equilibrium. Dendritic solidification with emphasis on the prediction of microstructural pattern formation in alloys. Statistical theories of nonequilibrium phenomena in complex systems. Dynamics of systems driven persistently toward the threshold of instability.

# 242. NUMERICAL SIMULATION OF QUANTUM MANY-BODY SYSTEMS

D. J. Scalapino, Department of Physics (805) 893-2871

R. J. Sugar, Department of Physics (805) 893-3469 02-3 \$84,681

Development of stochastic numerical techniques for simulating many-body systems containing particles that obey Fermi statistics, and application of these techniques to problems of strongly interacting fermions. One-dimensional and

quasi-one-dimensional systems, arrays of these and extensions to higher dimensions. Investigations with various electron-phonon interactions to further the fundamental understanding of conducting polymers, spin glasses, pseudo-random spin systems such as CeNIF. Non-phonon pairing models (e.g., excitonic, and frequency dependent transport to test the validity of theoretical approximations. Investigations of many-fermion systems in two and higher dimensions.

#### 243. MOLECULAR PROPERTIES OF THIN ORGANIC INTERFACIAL FILMS

J. Israelachvili, Department of Chemical and Nuclear Engineering (805) 893-3412 03-1 \$174,600

Fundamental measurements of structural, adhesive and tribological properties of thin organic films on solid surfaces. Film deposition by Langmuir-Blodgett method. Measurements emphasize the use of a Surface Forces Apparatus (SFA) for measuring directly the forces acting between solid surfaces as a function of separation with a distance resolution of 0.1nm. Adhesion and surface energy of metals coated with surfactant and polymer films are measured by SFA in both gaseous and liquid environments. New measurements of dynamic forces acting on two laterally moving surfaces, recording the normal (compressive) and tangential (frictional) forces while simultaneously monitoring the plastic deformation.

## 244. INTERFACIAL PROPERTIES OF HYDROSOLUBLE POLYMERS

P. A. Pincus, Materials Department (805) 893-4685 03-2 \$87,300

Theoretical research on the interaction of polymers with surfaces. Effects of long rearrangement times leading to quasi=irreversibility and hysteresis in the force between polymer clad surfaces. Polymer adsorbed on fluid-fluid interfaces. Dispersion stability of suspended colloids with adsorbed polymers, interaction of charged polymers with surfaces, where Coulombic forces are central to the interactions which control the physical behavior.

## UNIVERSITY OF CALIFORNIA AT SANTA CRUZ Santa Cruz, CA 95064

245. STATICS AND DYNAMICS IN SYSTEMS WITH FRUSTRATION AND/OR RANDOMNESS D. Belanger, Department of Physics (408) 459-2871 02-1 \$85,360

Neutron scattering, light scattering and pulsed specific heat techniques are applied to magnetic systems with frustrated interactions, random interactions, or both. Systems being investigated include dilute antiferromagnets such as  $Fe_xZn_{1-x}F_2$ , which exhibits random-exchange behavior in zero applied field and random-field behavior in an applied field, and structural systems such as  $Dy(As_xV_{1-x})O_4$ . Thin epitaxial films are being examined; magnetic X-ray scattering in thin films is being investigated.

## CALIFORNIA INSTITUTE OF TECHNOLOGY Pasadena, CA 91125

## 246. ORDERING PHENOMENA IN UNDERCOOLED ALLOYS B. T. Fultz, Keck Laboratory of Engineering

Materials (818) 395-2170 01-1 \$74,401

Study of kinetic of disorder -> order transformations in rapidly quenched alloys. Alloys studied include Fe<sub>3</sub>AI, Fe<sub>3</sub>SI, and Ni<sub>3</sub>AI. Measurement of long-range order (LRO) by X-ray diffractometry, and short-range order (SRO) by <sub>57</sub>Fe Mossbauer spectrometry and extended electron energy loss fine structure (EXELFS) spectrometry. Kinetic path of ordering obtained through the two-dimensional space spanned by the SRO and LRO parameters. Measurement of difference in vibrational entropy of disordered and ordered alloys by low temperature calorimetry and temperature-dependent EXELFS spectrometry.

#### 247. STUDIES OF ALLOY STRUCTURES AND PROPERTIES

W. L. Johnson, Department of Material Science (818) 395-4433 01-1 \$401,240

Synthesis, characterization, and properties of metastable metallic materials. Amorphous and nanocrystalline materials studied. Solid state amorphization by interdiffusion reactions, hydriding, and mechanical alloying and attrition. Physical properties. Wear-Induced amorphization and effect of surface structure on sliding friction. Consolidation of amorphous alloy powders produced by mechanical alloying and mechanical attrition. Mechanical testing, computer modeling, and molecular dynamics. Techniques include electron microscopy, X-ray diffraction, small angle X-ray diffraction, and neutron and electron diffraction.

#### 248. IRRADIATION INDUCED PHASE TRANSFORMATIONS

H. A. Atwater, Department of Applied Physics (818) 356-2197 01-4 \$81,136

investigations focused on two areas, one an ongoing effort and other guite new. The ongoing effort is directed at quantification of the early stages of nucleation, an area that has largely eluded direct observation since the inception of research into the structure and stability of materials. The aim to probe the evolution of crystal cluster size distribution in silicon at -or very near the critical size for thermodynamic stability. This will determine in what manner nucleation kinetics conform to or depart from the widely-used but little-supported classical theory of nucleation. Principal new effort will be an Investigation of radiation-induced precipitation of nanometer-scale clusters of silicon and germanium from supersaturated solid solutions of Si and Ge in SIO<sub>2</sub>. These systems bear strong analogy to irradiation-induced nucleating of crystal Si in amorphous Si, as both involve quantifiable thermodynamic driving forces, and transport of defects in an amorphous matrix. A key difference, however, is that precipitation involves atomic transport of species which are not part of the matrix, unlike the previous work on crystallization of amorphous silicon. In addition, there has recently been considerable excitement over the anomalous photoluminescence exhibited by nanometer-scale crystal of group IV semiconductors. So far the mechanisms for luminescence remain unclear, but a key step in addressing the luminescence mechanism is the control of crystal size. Dramatic results in

control of crystal size in Si nucleation suggest the possibility of similar effects during irradiation-induced precipitation.

#### 249. MELTING IN ADSORBED FILMS

D. L. Goodstein, Department of Physics and Applied Physics (818) 395-4319 02-2 \$74,205

This program involves thermodynamic studies of adsorbed films. Heat capacity and vapor pressure measurements are being made on a systematic grid of points in the coverage versus temperature plane. Detailed phase diagrams for methane argon, and krypton adsorbed on graphite have been developed from the thermodynamic data. These data are being used to investigate the nature of melting at the crossover between 2 and 3 dimensions.

## CARNEGIE MELLON UNIVERSITY Pittsburgh, PA 15213-3890

250. PRESSURE-INDUCED AMORPHIZATIONS OF SILICA ANALOGUES: A PROBE OF THE RELATIONSHIP BETWEEN ORDER AND DISORDER W. S. Hammack, Department of Chemical Engineering (412) 268-2227 01-1 \$90,792

Pressure induced amorphization of silica analogues. Determination of medium range order in amorphous solids. In-situ raman and X-ray diffraction at high pressure and post transformation HRTEM. The role of topology, ionicity and packing in the crystalline to amorphous transformation.

#### 251. THE ROLE OF MICROSTRUCTURAL PHENOMENA IN MAGNETIC MATERIALS D. E. Laughlin, Department of Materials Science

and Engineering (412) 268-2706

D. N. Lambeth, Department of Electrical and Computer Engineering (412) 268-3674 01-1 \$84,339

Effects of microstructure of thin magnetic films on extrinsic magnetic properties. Systematic variation of important microstructural features, such as grain size and crystallographic texture, by control of variables used during processing. Interrelationship of microstructure, magnetic domain structure and extrinsic magnetic properties of magnetic thin films.

Universities

#### 252. INTERFACIAL ENERGY AND ITS CONTROL BY SEGREGATION PHENOMENA AT METAL/METAL AND METAL/CERAMIC INTERFACES

P. Wynblatt, Department of Materials Science and Engineering (412) 268-8711 01-1 \$95.943

A fundamental understanding of interphase boundary segregation and its effects on interfacial energy are of great importance in a number of scientific and technological areas. The proposed research intends to extend previous knowledge gained on the behavlor of interphase boundaries in alloy systems to metal/non-metal interfaces. Interfacial energy, and its control by means of segregation phenomena, at copper/graphite Interfaces will be studied through the addition of nickel, and at copper/silicon carbide interfaces bysilicon additions. These systems have been selected so as to avoid the possibility of interfacial reactions which could lead to the formation of brittle reaction products. In addition, earlier results of the effects of Au segregation on Pb/Cu interfacial energy will be used demonstrate a possible means of controlling coarsening rates in two-phase alloys.

## CASE WESTERN RESERVE UNIVERSITY 10900 Euclid Avenue Cleveland, OH 44106

253. DISLOCATIONS AND POLYTYPIC TRANSFORMATIONS IN SIC P. Pirouz, Department of Materials Science

and Engineering (216) 368-6486 01-1 \$102,999

Experimental and theoretical study of mechanisms for polytypic transformations of a-SIC. Compressive deformation of 6H-SiC single crystals at temperatures up to 1700°, inert atmospheres and nitrogen environments; TEM observation of deformation modes and polytype development. Annealing experiments on 6H-SiC single crystals and 3C-SiC films In inert gas and nitrogen environments; effects of dislocations introduced by surface scratches investigated; TEM determination of polytype development. Determination of the presence of residual dislocations on cross-slip planes following polytypic transformation: thick sections examined by HVEM. Theoretical analysis of formation of Frank-Read dislocation loops and cross-slip of dissociated screw dislocations, effects of stress and temperature; quantitative analysis of mechanism of cross-slip; determination of the activation energy for the motion of partial dislocations.

## UNIVERSITY OF CHICAGO 5640 Ellis Avenue Chicago, IL 60637

#### 254. HIGH-TEMPERATURE THERMOCHEMISTRY OF TRANSITION METAL BORIDES, SILICIDES AND RELATED COMPOUNDS O. J. Kleppa, The James Franck Institute (312) 702-7198 01-3 \$82,661

Studies of the enthalpies of formation of the lanthanum borides, lanthanum silicides, and lanthanum germanides. The investigations based on the application of direct synthesis calorimetry and will also include studies of europium and ytterbium lanthanides. Direct synthesis of  $LnB_4$  will be examined and thermochemical studies of  $LnB_6$  will be made with solute-solvent drop calorimetry.

#### UNIVERSITY OF CINCINNATI 498 Rhodes Hali (ML-12) Cincinnati, OH 45221

255. ROLE OF INTERFACIAL PROPERTIES ON THE STEADY-STATE AND NON-STEADY STATE FIRST-MATRIX CRACKING BEHAVIORS IN CERAMIC-MATRIX COMPOSITES R. N. Singh, Department of Materials Science and Engineering (513) 556-5172 01-5 \$171,020

Study of the steady state and non-steady state first-matrix cracking behaviors in fiber-reinforced ceramic composites. Fabricate composites with tailored microstructure, flaw size, fiber architecture, and interfacial properties. Establish the role of interfacial properties and flaw size on the first-matrix cracking behavior in the steady state and non-steady state matrix cracking regimes.

## CITY UNIVERSITY OF NEW YORK (LEHMAN COLLEGE) Bedford Park Bivd West Bronx, NY 10468

## 256. STATICS AND DYNAMICS OF THE MAGNETIC FLUX IN HIGH-TEMPERATURE SUPERCONDUCTORS

E. M. Chudnovsky, Department of Physics and Astronomy (718) 960-8770 02-3 \$33,830

Theoretical investigation of the static and dynamic behavior of magnetic flux lines in high-temperature superconductors. Static behavior interpreted via a comprehensive theory of a Hexatic Vortex Glass to represent the vortex lattice of the flux lines, and use of numerical simulations to study the vortex lattice with extended orientational order but only limited translational order. Investigation of the dynamics of magnetic relaxation in two-dimensional, layered superconductors and its relationship to recent experimental results. Attention given to the hightemperature depinning of vortices due to their annihilation with antivortices, with tests to determine if this effect is responsible for the irreversibility line in high-temperature superconductors. Study of quantum tunneling of vortices through pinning barriers, and their diffusion due to quantum unbinding of vortex pairs.

# CITY UNIVERSITY OF NEW YORK AT CITY COLLEGE

New York, NY 10031

## 257. NONLINEAR DYNAMICS AND PATTERN SELECTION AT THE CRYSTAL - MELT INTERFACE H. Z. Cummins, Department of Physics (212) 650-6921 02-2 \$104,760

Dynamics and pattern formation at the crystal-melt interface during the free solidification of pure materials and the directional solidification of binary alloys. Special attention given to instabilities, growth of small fluctuations, steady-state dendritic growth, dendritic sidebranching by perturbations, and parity breaking tilt bifurcations. Investigations conducted by use of light scattering and videomicroscopy techniques. Thermal perturbations on the crystal growth process introduced by laser pulses.

#### 258. TRANSPORT STUDIES ON BOTH SIDES OF THE METAL-INSULATOR TRANSITION IN DOPED SEMICONDUCTORS

M. P. Sarachik, Department of Physics (212) 650-5618 02-2 \$82,450

investigation of the transport and dielectric properties of doped semiconductors which undergo a transition from insulating to metallic behavior with increasing dopant concentration. Examination of the role of disorder and correlations on the transition. Uniaxial stress will be used to tune materials through the transition; determination of the effect of spin-orbit scattering, spin-flip scattering, magnetic field, quantum interference phenomena, and Coulomb correlations and exchange. Experiments will include measurements of resistivity, Hall coefficient and dielectric constant of n-type CdSe and of uncompensated and compensated Si:B.

## 259. ELECTRONIC TRANSPORT AND LASING IN MICROSTRUCTURES

M. Lax, Department of Physics (212) 650-6864 02-3 \$81,480

Theoretical investigations of electrons confirmed by a potential barrier in two dimensions interacting with freely propagating phonons. Time dependent transport effects in the femto-second regime. Inelastic tunneling through barriers, including screening and 3-D effects. Ultra fast relaxation of photo-excited electrons study of the phonon mediated nonlinear optical response of auasi 2-D polymeric systems including homogeneously and Inhomogeneously broadened systems. Study of the Influence of semiconductor laser design on information transmission. Investigation of the ability to use scattered light to detect the presence of encapsulated aerosols. This work involves decision theory, and both inverse scattering and pattern recognition problems.

#### Universities

CLARK ATLANTA UNIVERSITY 223 J. P. Brawley Dr., SW Atlanta, GA 30314-4381

#### 260. SYNTHESIS, CHARACTERIZATION AND FORMATION CHEMISTRY OF SI-N-C-(O)-M CERAMIC POWDERS AND COMPOSITES Y. H. Mariam. Department of Chemistry

(404) 880-8593

A. Rodriguez, Department of Chemistry (404) 880-8750 01-3 \$72,871

Synthesis of metal-containing (AI, Ti, Zr, B, etc.) preceramic polymers, detailed studies of conversion chemistry, characterization of resulting Si-N-C-(O)-M ceramic and composite powders; magic angle spinning (MAS) nuclear magnetic resonance spectroscopy, X-ray photoelectron spectroscopy of in-situ fractured surfaces, transmission electron microscopy, powder X-ray diffraction, Fourier transform infrared spectroscopy, Raman spectroscopy, elemental analysis, thermogravimetric analysis; semiempirical molecular orbital calculation of model reactions.

## CLEMSON UNIVERSITY Clemson, SC 29634

261. CHARACTERIZATION AND THERMOPHYSICAL PROPERTIES OF BI-BASED CERAMIC SUPERCONDUCTORS, PART B M. V. Nevitt, Department of Physics and Astronomy

(803) 656-5323 01-3 \$269,943

The measurement of the heat capacity of YBCO and BiSCCO single crystal superconductors is being performed. Because available single crystals are small, microcalorimetry techniques, suitable for measuring submilligrain specimens are used. Thermophysical measurements are expected to provide insight into the origin of the superconductivity state, and characterizing electron-phonon-interaction.

## COLORADO SCHOOL OF MINES Golden, CO 80401

#### 262. VIBRATIONAL SPECTROSCOPY OF BURIED INTERFACES USING NONLINEAR OPTICS T. Furtak, Department of Physics (303) 273-3843 02-2 \$82,450

Development and application of techniques for the investigation of electrochemical environments, specifically solid-electrolyte interfaces. In-situ optical experiments including Raman and second harmonic generation spectroscopy and scanning tunneling microscopy will be employed. Investigation of the interrelationships between substrate crystallography and microstructure and the electrochemical parameters subject to external control will be performed. Emphasis is on model systems such as silver and platinum with well-defined amounts of foreign metal atoms and/or inorganic ions in contact with the surface.

## COLORADO STATE UNIVERSITY Fort Collins, CO 80523

## 263. PROPERTIES OF MOLECULAR SOLIDS AND FLUIDS AT HIGH PRESSURE AND TEMPERATURE

R. D. Etters, Department of Physics(303) 491-537402-3 \$15,000 from prior year

Theoretical calculation of the properties of molecular solids and fluids over broad ranges of high temperatures and pressures. Properties of interest are as follows. Solids: equilibrium structures and orientations, lattice vibrational and vibrational mode frequencies, intramolecular vibron frequencies, sound velocities, equations of state, compressibilities, and structural and orientational phase transitions. Fluid phase: equations of state, vibron frequencies, the melting transition, specific heats, compressibilities, second viral coefficients, viscosities and other transport properties, and the nature of orientational and magnetic correlations. Techniques used include multi-dimensional optimization strategies, self-consistent lattice dynamics, constant pressure and constant volume Monte Carlo (i.e., variable metric) computation, mean field and classical perturbation methods. Systems studied include N<sub>2</sub>, O2, CO, CO2, F2, N2O, I2, CI2, Br2, HI, HBr, and H2. Attention is given to connections with combustion and detonation phenomena, and to synthesis of new materials. Collaboration with theoretical work and close correlation with experimental programs at LANL and LLNL continues.

# COLUMBIA UNIVERSITY 1106 Mudd Building New York, NY 10027

#### 264. ISOTHERMAL NUCLEATION KINETICS OF SOLIDS IN SUPERCOOLED LIQUID SI J. S. Im, Department of Metallurgy and Materials Science (212) 854-8341 01-3 \$88,000

Experimental verification of Classical Nucleation Theory; laser melting and quenching, thin Si films on SiQ<sub>2</sub>, photolithography-isolated and SiQ<sub>2</sub> encapsulation of Si films, supercooling, in-situ detection of liquid-to-solid transformation via reflectivity measurements; isothermal nucleation and nucleation rates; tests of Turnbull's empirical generalization of proportionality between surface energy and heat of fusion and of Spaepen's theoretical prediction of temperature dependence of the liquid-solid interfacial energy.

# 265. PROTONS AND LATTICE DEFECTS IN PEROVSKITE-RELATED OXIDES

A. S. Nowick, Henry Krumb School of Mines (212) 854-2921 01-3 \$118,070

Defect chemistry of pure and doped perovskite-related oxides that include KTaO<sub>3</sub>, BaCeO<sub>3</sub>, SrZrO<sub>3</sub>, and mixed order/disorder type perovskites. Utilization of internal friction, EPR and IR techniques, in addition to electrical conductivity and dielectric relaxation measurements. Computer simulation techniques to study and predict defect-dopant behavior. Study of the Jonscher "universal" relaxation effect in simple ionic materials over a wide temperature range.

#### 266. TRANSLATIONAL-ENERGY-RESOLVED STUDIES OF PHOTOGENERATED CARRIER-INDUCED REACTIONS ON UHV SEMICONDUCTOR SURFACES R. M. Osgood, Jr., Department of Electrical Engineering

(212) 854-4462 02-2 \$33,950

Photodissociation of CH<sub>3</sub>Br, CH<sub>3</sub>Ci, and CH<sub>3</sub>I on GaAs(110) using time-of-flight (TOF), temperature programmed desorption (TPD), and photoluminescence (PL) in ultrahigh vacuum (UHV) has revealed several photochemical processes on semiconductor surfaces. For example, photogenerated substrate electron-hole pairs promote adsorbate dissociation of the methyl halides by electron attachment. This mechanism applies to the C-X (X = Br, Ci, I) bond cleavage in the first layer. In CH<sub>3</sub>Br, dissociation by electrons at the conduction band minimum (CBM) or hot electrons is observed with distinct energies for the ejected methyl fragments. Wavelength variation indicates a threshold at the band gap in the first case and a 3.5-eV threshold in the second. The CBM process exhibits self-quenching behavior due to electron recombination via Br-induced surface states. This is confirmed by PL yield, which decreases upon irradiation. Above one monolayer, the methyl halides dissociate by hot electrons or direct absorption. In the most recent analyses, the correlation of results from NEXAFS and TOF measurements, along with insights gained from the modeling of TPD spectra have provided insight into the structure of methyl halides on the GaAs(110) surface and demonstrated the ability to obtain structural information from the TOF measurements of photoinduced dissocation. NEXAFS experiments were performed at the National Synchrotron Light Source at Brookhaven National Laboratory to probe the "state of the C-X bond and show that the molecules in the first layer are inclined at ~45° from the surface normal in the (0) direction for a coverage of 0.8 ML. Furthermore, the NEXAFS results show that molecules in the second layer are inclined in the opposite direction. Modeling of the TPB data demonstrates the importance of intra-adsorbate Interactions based on the dipole nature of the methyl halides and also provides some insight into the differences in interactions between the adsorbate and substrate for varying coverages from 0.5 to 1 ML. The preferred angle of ejection of methyl radicals from the attachment of hot electrons. varies slightly (~5°) with coverage, becoming more normal with increasing coverages between 0.5 and 1 ML, as would be expected from theory. More recent results have shown that surface adsorption can be significantly altered by surface bromination of, most probably, the inwardly rotated Ga atom.

# UNIVERSITY OF CONNECTICUT Storrs, CT 06268

267. TRANSFORMATION TOUGHENING P. C. Clapp, Department of Metallurgy (203) 486-4714 01-1 \$131,484

Development of theory of transformation toughening that includes contribution of the shear components of the transformation strain and the precise details of the location of stress induced martensitic nucleation in the stress field around a propagating crack-tip and the non-isotropic and non-linear elastic effects. A number of previous efforts have used continuum elasticity theory, but all ignore the effects of the shear components of the martensitic transformation, assume that the transformation is nucleated purely by the hydrostatic component of the stress field, and take essentially no account of any nonlinear elastic effects.

# CORNELL UNIVERSITY

120 Day Hall Ithaca, NY 14853-2501

#### 268. DISORDER AND NONLINEARITY IN MATERIAL SCIENCE: MARTENSITIC, CRACKS AND HYSTERESIS J. A. Krumhansi, Department of Physics (607) 255-5132

J. P. Sethna, Department of Physics (607) 255-2704 01-1 \$140,051

Development of a general theoretical framework for analyzing displacive changes and application to a few selected martensitic transformations. Physics of transformation, mesostructure, and cracking by large lattice distortion. "Tweed" precursor textures in martensitic materials several hundred degrees above their bulk transformation temperatures. Continuum theory for brittle crack growth in three dimensions. Broad search for glant elastic softening, glassy low temperature properties, and nucleation and nucleation dynamics.

#### 269. STUDIES OF THE III-V COMPOUNDS IN THE MEGABAR RANGE

A. L. Ruoff, Department of Materials Science and Engineering (607) 255-4161 01-1 \$95,554 (10 months)

Crystal structure changes in Group IV elements and III-IV compounds as a function of pressure; transformations from four-fold to six-fold, eight-fold and twelve-fold coordination. Loading and unloading experiments; EDXD and angle-dispersive studies, optical studies, TEM, XAFS, and Raman spectroscopy; visible and near infrared reflectivity of high pressure metallic phases of specific III-IV compounds to characterize spacing determined over a broad range of pressure to verify and evaluate theoretical models.

#### 270. EXPERIMENTAL STUDIES OF THE STRUCTURE, CHEMISTRY, AND PROPERTIES OF GRAIN BOUNDARIES

S. L. Sass, Department of Materials Science and Engineering (607) 255-5239 01-1 \$162,120

investigation of the structure and chemistry of grain boundarles in Ni<sub>3</sub>AI and NIAI In the presence and absence of boron. Influence of solute-induced changes in the structure of grain boundaries on their mechanical properties. Study of the possibility of control of mechanical properties of ceramic grain boundaries. Techniques include transmission electron microscopy, Auger electron spectroscopy, electron diffraction, and X-ray diffraction techniques.

#### 271. UHV-STEM STUDIES OF MATERIALS

J. Silcox, School of Applied and Engineering Physics (607) 255-3332

E. J. Kirkland, School of Applied and Engineering Physics (607) 255-3332 01-1. \$1,14,667

Extension of the present capabilities of quantitative microscopy with atomic resolution and application to an analytical study of Ni<sub>3</sub>Al and NiAl. The studies of the electron scattering processes from perfect crystal studies will be extended to include defects (dislocations and point defects) and grain boundaries. These studies will provide the key to the interpretation of annular dark field imaging, X-ray spectroscopy and EELS experiments.

#### 272. DEFECT FORMATION IN LOW MISMATCH SYSTEMS AND THE GROWTH OF GAP ON SI FOR SOLAR CELLS

D. G. Ast, Department of Materials Science and Engineering (607) 255-4140 01-3 \$97,228 (24 months)

Fabrication, structural and electrical characterization of II-V semiconductor materials with low mismatch lattice strain including AlGaAs/GaAs, InGaAs/GaAs, InGaAs/InP, and GaInP/GaAs. Development of a "dislocation filter" to propagate single dislocation type to investigate the applicability of dislocated structures in anisotropic conduction devices.

#### 273. SURFACE PHASES, SURFACE DEFECTS AND INITIAL STAGES OF OXIDATION

J. M. Blakely, Department of Materials Sciences and Engineering (607) 255-5149 01-3 \$100,104

Determination of phase diagrams for binary 2-dimensional adsorbed systems, such as S + O, on transition metals and effect of adsorbed phases on growth and morphological stability of oxide layers on these materials. Determination of long range order and transitions in the adsorbate phases by LEED and surface X-ray diffraction. Composition and bonding information from Auger and photoemission spectroscopy. Spectroscopic ellipsometry for oxide thickness determination and scanning tunneling microscopy for the study of surface phase morphology, Interphase boundaries, and heterogeneous oxide-adsorbate surfaces.

#### 274. DEFECTS AND TRANSPORT IN MIXED OXIDES R. Dieckmann, Department of Materials Science and Engineering (607) 255-4315 01-3 \$98,984

Systematic thermogravimetric study of magnetite based spinel solid solutions,  $(TiXFe_{1,x})_{3,5}O_4$  and  $(Cr_xFe_{1,x})_{3,5}O_4$ , to determine defect concentration. Thermogravimetric work on the influence of boundaries on the oxygen content of polycrystalline, nonstolchlometric oxides. Radioactive cation tracer diffusion work on  $(Fe,Ti)_{3,5}O_4$  and  $(Fe,Cr)_{3,5}O_4$ . Electrical conductivity studies of ternary and quaternary systems, beginning with the system Co-Fe-Mn-O to understand influence of space charges on observed electrical conductivity minimum.

# 275. QUASICRYSTAL GEOMETRIES AND ENERGIES

C. L. Henley, Department of Physics (607) 255-5056 02-3 \$58,636

Investigate quasicrystal geometry to compute phason elastic constants, investigate quasicrystal atomic structure fitting data to atomic model of a decorated cell and cluster packing, and develop structure models for all decagonal phases. Determine in randomly frustrated spin systems, with carrier spin interactions the "spin-glass" insulating phase of high-T<sub>c</sub>'s, the excited states of the hole-spin and in classical Cu:Mn spin glasses propose new experimental tests for "spin-density wave" and "Fermi-liquid" pictures. In percolation and nonlinear dynamics, determine analytically the exponents of the self-organized percolation model in one dimension and in mean field theory.

# 276. SYNTHESIS AND PROPERTIES OF NOVEL METAL CLUSTER AND NETWORK PHASES

F. J. DiSaivo, Department of Chemistry (607) 255-7238 03-1 \$157,243

Synthesis of new cluster compounds, Chevrel phases, containing the metals, Nb, Ta, Mo, W, and Re. Compounds are usually halides, chalcogenides, oxides or pnictides. Examination of solid state synthesis and properties of new metal cluster chacogenide phases to be emphasized. Synthesis to exploit some of the known solution chemistry of hallde compounds to obtain novel kinds of compounds. Properties such as: superionic conductivity, very high superconducting magnetic behavior and thermally induced valence transitions of post-transition elements to be determined. Study of Mo<sub>3</sub>X<sub>3</sub> infinite chain clusters and polymer blends of these inorganic polymers with organic polymers. Synthesis of complexes of Nb<sub>A</sub>I<sub>A</sub> with bifunctional ligands or with square planar metal organic or coordination complexes. Characterization by X-ray

diffraction, Faraday balance for magnetic measurements, four probe resistance for conductivity, Hall effect, and magneto-resistance measurements.

# DARTMOUTH COLLEGE Hanover, NH 03755

277. THE RELATIONSHIP BETWEEN INTERGRANULAR FRACTURE AND GRAIN BOUNDARY STRUCTURE/CHEMISTRY IN B2 INTERMETALLICS I. Baker, Thayer School of Engineering (603) 646-2184 01-2 \$143,156

An investigation of the relationship between the parameter K, in the Hall-Petch Relationship, sigma <sub>y</sub> = sigma ° + Kd<sup>-1/2</sup>, and grain boundary structure/chemistry in a number of B<sub>2</sub> compounds. Grain boundary structure and chemistry determined by scanning transmission electron microscopy and Auger electron microscopy. Grain boundary dislocation structures examined by transmission electron microscopy including in-situ straining experiments. Slip trace analysis of polished surfaces to examine planarity of slip. Fracture modes determined by scanning electron microscopy. Extent of plastic deformation on fracture surfaces determined by selected area channelling patterns.

# 278. THE NOTCH SENSITIVITY OF INTERMETALLIC COMPOUNDS

E. M. Schulson, Thayer School of Engineering (603) 646-2888 01-2 \$114,722

Intermetallic compounds; notch sensitivity and relationship to work hardening; B-doped Ni-rich Ni<sub>3</sub>AI, Zr<sub>3</sub>AI, Ni<sub>3</sub>FE and B-doped single crystals of Ni<sub>3</sub>AI; effects of triaxiality of stress state, strain rate, temperature, environment, prestrain and orientation of single crystals; near-notch tip deformation field through microhardness and through optical, transmission and scanning electron microscopy.

#### 279. EXCITONS IN SEMICONDUCTING SUPERLATTICES, QUANTUM WELLS, AND TERNARY ALLOYS M. D. Sturge, Department of Physics (603) 646-2528 02-2 \$97,000

Improve the understanding of optically excited states of quantum well and superlattice structures in semiconductors. Time-resolved tunable laser spectroscopy, with and without external perturbations such as magnetic field, electric field and unlaxial stress, will be employed to investigate exciton states in very short period superlattices where the "effective mass model" breaks down and electron-hole plasmas which form when the excitation density is high. Ternary alloys will be investigated to establish whether alloy disorder produces a mobility edge for excitons. Exciton-phonon coupling in II-VI compounds will also be examined.

# UNIVERSITY OF DELAWARE Newark, DE 19716

. .

# 280. FUNDAMENTAL STUDIES OF NEW HIGH-ENERGY PERMANENT MAGNET MATERIALS

G. C. Hadjipanayis, Department of Physics (302) 831-2661 02-2 \$44,400

Investigation to advance the understanding of the magnetic properties of rare-earth - transition metal compounds and alloys, with the main thrust being to develop high performance permanent magnetic materials. Material phases considered are generally ternary or higher order compounds and alloys with unusually complex anisotropic structures. In some cases the rare-earth - transition metal materials are nitrogenated or carbonated to enhance their magnetic properties. Some sputtered thin film and multilayer phases are considered, and some new phases are reached by intermediate metastable phases formed by melt spinning. Materials investigated by comprehensive experimental techniques which include X-ray and neutron diffraction, electron microscopy, dc and ac magnetic susceptibility measurements, Fe<sup>51</sup> Mossbauer methods, and photoemission studies. Experimental results compared with first principles electronic structure calculations. Research performed in close collaboration with work at the University of Nebraska.

# FISK UNIVERSITY Nashville, TN 37208

# 281. METAL COLLOIDS AND SEMICONDUCTOR QUANTUM DOTS: LINEAR AND NONLINEAR OPTICAL PROPERTIES

D. O. Henderson, Physics Department (615) 329-8622 01-3 \$100,000

Synthesis of nanocomposite materials consisting of metal colloids and semiconductor (II-IV and III-V) quantum dots by ion implantation. Laser and thermal post-processing to control and modify optical characteristics. Spectroscopic examination of optical properties and host-guest interactions. Size and morphology information of colloids via TEM and AFM examination. Relationship between materials and fabrication routes on nonlinear optical response.

FLORIDA STATE UNIVERSITY SCR/406A SCL B-186 Tallahassee, FL 32306

282. THEORETICAL STUDIES OF MAGNETIC SYSTEMS L. P. Gorkov (904) 644-1010

M. A. Novotny (904) 644-0848

J. R. Schrieffer (904) 644-2032 02-3 \$45,000

Theoretical investigation of many body effects in low dimensionality magnetic systems using a mixture of analytic and numerical techniques. Some specific physical systems investigated are: (1) thin ferromagnetic films separated by paramagnetic metals, (2) various type of defects in one- and two-dimensional antiferromagnetic systems, (3) ferrimagnetic materials in one and two dimensions, and (4) spin compensation and Kondo insulators. Techniques used in the investigations are diagrammatic expansions as well as non-perturbative methods, exact-diagonalization of matrices corresponding to small systems, quantum Monte Carlo calculations, and numerical transfer-matrix type calculations. Both zero-temperature and finite-temperature behavior of the physical systems are considered, and the theoretical results are compared with available experimental Information.

#### 283. HEAVY FERMIONS AND OTHER HIGHLY CORRELATED ELECTRON SYSTEMS P. U. Schlottmann, Department of Physics (904) 644-0055 02-3 \$54,167

Theoretical investigation of highly correlated fermion systems. The Bethe-ansatz is used to solve the orbitally degenerate Anderson impurity model with finite Coulomb repulsion. The dynamics of the n-channel Kondo problem is investigated within a 1/n expansion. The thermodynamic Bethe-ansatz equations of the n-channel Kondo problem are solved numerically in a magnetic field. The low temperature and small field magnetoresistivity of heavy-fermion alloys is studied. The properties of the spin-one Heisenberg chain with anisotropies induced by crystal fields and the generalized t-J model in one and two dimensions are investigated.

#### 284. HE-ATOM SURFACE SCATTERING: SURFACE DYNAMICS OF INSULATORS, OVERLAYERS AND CRYSTAL GROWTH

J. G. Skofronick, Department of Physics (904) 644-5497

S. A. Safron, Department of Chemistry (904) 644-5239 02-4 \$65,863 (7 months)

Application of high-resolution He-atom scattering to the investigation of surface dynamics of insulators, which include MgO, NiO, and MgF<sub>2</sub>, perovskites such as KTaO<sub>3</sub> and BaTiO<sub>3</sub>, and compounds with internal structure such as KCN and NH<sub>4</sub>C1. Studies of homoand heteroepitaxial growth of oxides; e.g., NiO/NiO(001), NiO/Mg(001), and BiTiO<sub>3</sub> on KTaO<sub>3</sub>. Elastic and inelastic He scattering experiments for self-assembling monolayers of organic materials (alkane thiols) on the nobel metals gold, silver and copper. Exploratory studies to develop a <sup>3</sup>He nozzle beam source which would be useful to perform elastic and inelastic scattering, and, if successful, subsequent use of <sup>3</sup>He beam to Investigate the magnetic properties of various surfaces.

# UNIVERSITY OF FLORIDA Gainesville, FL 32611

#### 285. QUANTUM-CONFINEMENT EFFECTS AND OPTICAL BEHAVIOR OF INTERMEDIATE SIZE SEMICONDUCTOR CLUSTERS

J. H. Simmons, Department of Materials Science and Engineering (904) 392-6679

P. H. Kumar, Department of Physics (904) 392-6690 01-1 \$116,561

Relationship between semiconductor microstructure, electronic band structure and linear and nonlinear optic properties of semiconductor cluster/glass composite; non-vanishing carrier wavefunctions at cluster surface, electronic band structure, enhanced carrier interactions with surface defects, carrier tunnelling in glass matrix. Nanosized semiconductor clusters (II-VI or III-V) isolated in glass matrix by sequential RF sputtering. Structural characterization with extraction TEM, electron and X-ray diffraction; role of excitons in quantum-confined clusters by optical absorption, photoluminescence, resonant Raman scattering, nonlinear optical band filling and pump-probe, including sub-picosecond spectral hole burning.

# 286. SCATTERING STUDIES OF ORDERING PROCESSES AND QUANTUM EXCITATIONS S. E. Nagler, Department of Physics

(904) 392-8842 02-2 \$58,200

X-ray and neutron scattering are being used to investigate the kinetics of first order phase transitions and elementary excitations in low dimensional quantum magnets. Time resolved scattering is used to measure, in real time, various model materials exibiling first order phase transitions that have been rapidly quenched through the transition by varying temperature or pressure. The coherence properties of synchrotron radiation are used to measure intensity-time autocorrelation functions. Magnetic excitations in chain materials with half integer spin and the effect of weak 3-D interactions are being investigated.

# 287. HEAVY FERMION GROUND STATE FORMATION AND SUPERCONDUCTIVITY

G. R. Stewart, Department of Physics (904) 392-9263 02-2 \$82,450

Experimental investigations will be made on "heavy fermion" systems with emphasis on possible new "super-heavy systems such as  $CePt_2Sn_2$  and YbBiPt; dilute  $U_{1,x}M_xPt_3$ ; hydrogen doped heavy fermion systems; and new Np and Pu intermetallics. These compounds will be obtained or prepared and characterized by techniques including X-ray diffraction, resistivity, dc and ac susceptibility and specific heat measurements.

# GEORGIA INSTITUTE OF TECHNOLOGY Atlanta, GA 30332-0430

#### 288. FIRST-PRINCIPLES STUDIES OF PHASE STABILITY AND THE STRUCTURAL AND DYNAMICAL PROPERTIES OF HYDROGEN-METAL SYSTEMS M. -Y. Chou, Department of Physics (404) 894-4688 02-3 \$41,225

Problems to be investigated include: aisorder-disorder, disorder-order and order-order phase transitions found in the temperature-composition diagrams; preferential interstitial sites of hydrogen in different metals, the change of optimal sites under hydrogen in different metals, the change of optimal sites under static pressure or unlaxial stress; the vibrational spectra, diffusion barrier and migration path of hydrogen in metals. Structural and electronic properties will be examined by total-energy calculations for a series of metal hydrides by the local-density-functional approximation and the pseudopotential method. Various hydrogen concentrations and configurations will use the supercell method. Within the framework of cluster expansions, the multibody interaction energies among hydrogen atoms as extracted from the total energies of related ordered structures are used to investigate the thermodynamic properties and phase diagrams by the cluster variational method.

# GEORGIA TECH RESEARCH CORPORATION Atlanta, GA 30332-0430

# 289. STRUCTURE AND DYNAMICS OF MATERIAL SURFACES, INTERPHASE-INTERFACES AND FINITE AGGREGATES

U. Landman, School of Physics (404) 894-3368 02-3 \$180,614

Numerical simulations/molecular dynamics investigations of the fundamental processes that determine the structure, transformations, growth, electronic properties and reactivity of materials and material surfaces. Focus on (1) surfaces, interfaces and Interphase-Interfaces under equilibrium and nonequilibrium conditions and (2) finite material aggregates. Modeling uses molecular dynamical and quantum mechanical path-Integral numerical methods.

#### 290. EPITAXIAL PHENOMENA

A. Zangwill, Department of Physics (404) 894-7333 02-3 \$65,000

Investigate growth, structure and stability of epitaxial overlayers. Morphology of MBE and CVD films by use of continuum models. Long-term evolution of morphological instability. Epitaxial stabilization of metastable phases. Development of a general theory of structural phases and phase transitions in superlattices and multilayers. Time dependent pattern formation in cases where misfit locations are pinned at the epitaxial interface.

#### 291. THE ORGANIC CHEMISTRY OF CONDUCTING POLYMERS

L. M. Tolbert, Department of Chemistry (404) 894-4043 03-1 \$0 (0 months)

The phenomena of charge transport in conducting polymers, materials which are ordinarily insulators, is basically a problem in mechanistic organic chemistry. Fundamental studies in the mechanistic organic chemistry of conducting polymers are being conducted. Oligomers of defined length have been synthesized, and a comparison of their spectroscopic properties as they converage with those of the associated polymers is being carried out. This approach has allowed a validation of solid state theory. New alternating heteropolymers which have enhanced stability and processability, while retaining the desirable characteristics of more well-known polymers such as polythiophene, are being synthesized. This novel class of heteropolymers is characterized by strong charge-transfer characteristics and significantly smaller band gaps than the homopolymers.

# UNIVERSITY OF GEORGIA Athens, GA 30602-2451

#### 292. OPTICAL STUDIES OF DYNAMICAL PROCESSES IN DISORDERED MATERIALS W. M. Yen, Department of Physics and Astronomy

(706) 542-2491 02-2 \$97,000

Investigation of relaxation, transfer and quenching of the excited states of disordered materials; nonlinear optical properties and structure of activated glass fibers and their elementary excitations; and extremely diluted and single ions in disordered systems. Application of advanced laser techniques, including fluorescence line narrowing (FLN) and time-resolved FLN, Dilution Narrowed Laser Spectroscopy (DNLS), Saturation Resolved Fluorescence Spectroscopy (SRF), measurement of coherent optical transients, photoacoustic and photocaloric methods, and far Infrared free electron laser.

HARVARD UNIVERSITY 29 Oxford Street Cambridge, MA 02138

293. MEASUREMENTS OF CRYSTAL GROWTH KINETICS AT EXTREME DEVIATIONS FROM EQUILIBRIUM M. J. Aziz, Division of Applied Science (617) 495-9884 01-1 \$79,897

Time-resolved measurements of optical reflectance, transient electrical resistance and thermal emf will be used to measure the location, speed and temperature of rapidly moving solid/liquid interfaces created by short laser pulses. Post-irradiation analysis will determine the resulting phase, microstructure and composition profile. Results obtained on metals and semiconductors will be compared to theories for the kinetics of solute incorporation during rapid crystal growth, the cellular or dendritic breakdown of an initially planar Interface, and the undercooling at a moving interface.

# 294. FUNDAMENTAL PROPERTIES OF SPIN-POLARIZED QUANTUM SYSTEMS

I. F. Silvera, Department of Physics (617) 495-9075 02-2 \$96,999

Investigation of the properties of quantum gases of spin-polarized atomic hydrogen and deuterium. Attempt to reach sufficient densities and low temperatures that these unusual gases will undergo Bose-Einstein condensation using one or more of four approaches: self compression of hydrogen to high density in micron sized bubbles of helium; isolating hydrogen from van der Waals walls in a hybrid static/microwave magnetic trap, in conjunction with laser cooling and diagnostics; cooling of a two dimensional gas of hydrogen in an inhomogenous magnetic field; and compression of spin-polarized hydrogen in a bubble with spin-polarized electrons on the surface.

### 295. SYNCHROTRON STUDIES OF X-RAY REFLECTIVITY FROM SURFACES

P. S. Pershan, Division of Applied Sciences (617) 495-3214 03-3 \$66,930

Experimental study using glancing angle X-ray scattering to determine surface and near surface structure and density profiles. Pure liquid metals and alloys with melting temperatures no higher than lead (327C) will be examined in the initial phase. Ultra high vacuum equipment will be used to maintain clean surfaces. In addition, specular reflectivity of X-rays will be used to investigate the physical processes by which liquids deposit on solid surfaces.

# UNIVERSITY OF HOUSTON Houston, TX 77004-5506

# 296. DIFFRACTION STUDIES OF THE STRUCTURES OF GLASSES AND LIQUIDS

S. C. Moss, Department of Physics (713) 743-3539 02-1 \$102,432

Development and operation of a dedicated glass and liquid neutron diffractometer (GLAD) for use at the Intense Pulsed Neutron Source (IPNS) of Argonne National Laboratory with support and collaboration with Argonne. Investigations of the structure of glasses and liquids by X-ray and neutron scattering methods. Laser light scattering studies of colloidal and polymeric systems.

# HOWARD UNIVERSITY Washington, DC 20059

297. A REAL-TIME X-RAY DIFFRACTION STUDY OF KINETICS IN STRAINED OVERLAYERS W. P. Lowe, Department of Physics and Astronomy (202) 806-4351 02-2 \$174,619

High intensity X-ray studies of the strain relief in overlayers and interfaces will be undertaken in order to understand the fundamental mechanism of strain relief and to determine possible methods of preparing materials less subject to the determential effects of this strain relief. The work involves the preparation of strained overlayer samples, detailed time-resolved X-ray studies of the strain relief as a function of temperature, and other diagnostic measurements needed to compare the data with models such as the pin-slip model.

#### 298. ENGINEERING, DESIGN, AND CONSTRUCTION OF THE MHATT-CAT SECTOR AT THE ADVANCED PHOTON SOURCE

W. P. Lowe, Department of Physics and Astronomy (202) 806-4351 02-2 \$1,649,000 (7 months)

Design, construction, and operation of the University of Michigan, Howard University, and AT&T Bell Laboratories Collaborative Access Team (MHATT-CAT) beamlines at the Advanced Photon Source will be performed. Research includes time-resolved studies of materials under dynamic conditions: the microscopies of physical and chemical processing, behavior under stress and structural relaxation, and the kinetic mechanisms of growth. IBM

650 Harry Road San Jose, CA 95120-6099

#### 299. SEGMENTAL INTERPENETRATION AT POLYMER-POLYMER INTERFACES

 T. P. Russell, Almaden Research Center

 (408) 927-1638
 03-2
 \$60,625 (5 months)

The behavior of block copolymers at interfaces will be studied with the use of neutron and X-ray reflectivity, XPS, DSIMS, and FRES. The subjects of investigation will include the behavior of diblock copolymer in confined geometries, the interfacial behavior of P(S-b-MMA) at the interface between PS and PMMA homopolymers, the interfacial behavior of multiblock copolymers, and the behavior of diblock copolymers at the interface of dissimilar hompolymers. The combined use of the four techniques mentioned above, coupled with small angle X-ray and neutron scattering, will permit a quantitative evaluation of the segment density profiles of block copolymers at interfaces and will allow a critical assessment of current theoretical treatments of the interfacial behavior of block copolymers.

## INDIANA UNIVERSITY Bioomington, IN 47405

300. HIGH-RESOLUTION ELECTRON ENERGY LOSS STUDIES OF SURFACE VIBRATIONS

L. Kesmodel, Department of Physics (912) 855-0776 02-2 \$77,600

Investigation of surface vibrational properties on metal surfaces, ultrathin magnetic films, semiconductor and metal-semiconductor systems. The experimental method employed is high-resolution electron energy loss spectroscopy (EELS) with an energy resolution of 3-5 meV. Detailed surface phonon dispersion information is to be obtained on palladium, iron/palladium, hydrogen/palladium and copper oxide based superconductors. Results are to be compared with realistic theoretical models of surface lattice dynamics and inelastic electron scattering. JOHNS HOPKINS UNIVERSITY 3400 N. Charles St. Baltimore, MD 21218

# 301. INVESTIGATION OF THE PROCESSES CONTROLLING THE FLAME GENERATION OF REFRACTORY MATERIALS

J. L. Katz, Department of Chemical Engineering (410) 516-8484 01-3 \$65,649

Formation of oxide and mixed oxide nanostructured materials by flume synthesis. Focus is on the production of oxide particles, with new structures and compositions, and on the formation of mixed oxides for use as catalysts. Modeling will be performed of the formation, processes, including correlations between particle melting temperature and particle morphology, and the molecular transport and thermodynamics of the counterflow diffusion flume.

#### LEHIGH UNIVERSITY Bethlehem, PA 18015

# 302. ANALYTICAL ELECTRON MICROSCOPY OF BIMETALLIC CATALYSTS C. E. Lyman, Department of Metallurgy and Materials Engineering

(215) 758-4220 01-1 \$84,802

Elucidation of structure-property relationships in platinum-rhodium bimetallic catalyst for NO<sub>x</sub> reduction. Measurement of the distribution of noble metal and catalytic poisons on a micrometer to nonometer scale by electron beam microanalytical methods. Correlation of catalyst microstructure with catalytic activity and selectivity. Impregnation procedure leading to different noble metal distributions, oxidation and reduction of NO<sub>x</sub> in hydrogen.

#### 303. HIGH RESOLUTION MICROSTRUCTURAL AND MICROCHEMICAL ANALYSIS OF ZIRCONIA EUTECTIC INTERFACES

M. R. Notis, Department of Materials Science and Engineering

(215) 758-4225 01-1 \$102,212 from prior year

Eutectic interfaces studied in as-grown MnO-ZrO<sub>2</sub>, NiO-ZrO<sub>2</sub>(Y<sup>20</sup>3 CoO-ZRO<sub>2</sub>(CaO), and NiO-Y<sub>2</sub>O<sub>3</sub> systems. High resolution microstructural and microanalytical methods (HRTEM, CBED and PEELS) used to study interfaces in plan-view and conventional configurations. Local oxidation state across grain

÷

boundaries in single phase MnO and MnO-ZrO<sub>2</sub> studied as function of oxygen partial pressure. Segregation effects due to ternary additions measured at interphase interfaces and at local defects and faults within interfaces.

# 304. STRUCTURAL PHASE TRANSITIONS IN MIXED SYSTEMS

J. Toulouse, Department of Physics (215) 758-3960 01-1 \$27,072 (6 months)

Competing structural phase transitions and phase transitions driven by competing interactions in mixed systems. Ferro-antiferro competition in mixed fluoroperovskites; Rb1-xKxCaF3, R point vs. M point in the brillouin zone, effect of Rb substitution on softening of at R point (antiferrodistortive coupling); Rb1, KXZnF3, point vs. R. point in the Brillouin zone, competition between zone center (ferroelectric) and zone boundary mode; dielectric, ultrasonic, Raman scattering and neutron scattering techniques. Nonlinear elastic effects in mixed oxyperovskites;  $K_{1,v}Ll_vTaO_3$  and  $KTa_{1,v}Nb_vO_3$ , dielectric and elastic effects, third-order elastic constants, interplay between polar precursor clusters and nonlinear elastic effects. Martensitic phase transitions and comparison with paraelectric effects in highly polarizable crystals; nonlinear responses to external fields; Ni,Al<sub>1,x</sub>, precursor clusters and anharmonicity, elastic nonlinearities in second and third order elastic constants, resistivity measurements.

# 305. ROLE OF PHYSICAL STRUCTURE IN ION MOVEMENT IN GLASSES

H. Jain, Department of Materials Science and Engineering (215) 758-4217 01-3 \$105,600 from prior year

Investigation of the correlation and dependence of Ion motion in glasses on local structure. Structure of selected glasses modified by both thermal and radiation treatments and characterized using NMR and IR/Raman spectroscopies. Localized ion motion characterized by dielectric and nuclear-spin relaxation. Long range ion movement characterized by dc conductivity and tracer diffusion measurements. LOUISIANA STATE UNIVERSITY Baton Rouge, LA 70803-4001

306. EMBEDDED MICROCLUSTERS IN ZEOLITES AND CLUSTER BEAM SPUTTERING - SIMULATION ON PARALLEL COMPUTERS P. Vashishta

(504) 388-1157 R. Kalla

(504) 388-1157

D. Greenwell (504) 388-1157 02-3 \$54,126

Computer simulation studies of (1) atoms and microclusters embedded in zeolites and (2) the sputtering of insulating and semiconducting surfaces by a variety of atomic, ionic, and cluster beams. Simulation approaches include classical molecular dynamics, Car-Parrinello, quantum moiecular dynamics, and variational guantum Monte Carlo. Research incorporates studies of techniques to determine realistic interaction potentiais and algorithm development for massively parallel computer architecture. The embedding of zeolites work includes investigation of both the zeolite networks and the isolated microclusters before embedding. Embedding species include individual atoms (e.g., Si, S, and Te), binary molecules (e.g., GaAs,InSb, PbSe, SiO<sup>2</sup>, GeSe<sup>2</sup>, and SIC), and clusters of the indicated atoms and molecules. Some of the sputtering simulations involve SI surfaces bombarded by charged and neutral SI clusters, GaAs and InSb surfaces by a variety of cluster beams, erosion of LiF surfaces by NaC, and CaF<sup>2</sup> clusters, and sputtering of solid C by  $H^2O$  clusters.

UNIVERSITY OF MAINE 5764 Sawyer Research Ctr. Orono, ME 04469

307. STRUCTURAL, ELECTRONIC AND CHEMICAL PROPERTIES OF METAL/OXIDE AND OXIDE/OXIDE INTERFACES

R. J. Lad, Department of Physics (207) 581-2257 01-1 \$68,356

Fundamental properties of metal/oxide and oxide/oxide heterogeneous interfaces with emphasis on effects of interfacial defects, impurities, carbon layers, and amorphous phases on interfacial morphology, adhesion, electronic structure, and high-temperature stability. Deposition of ultra-thin metal and oxide films (viz. AI, Ti, Cu, Mg0,  $Y_2O_3$ , and SiO<sub>2</sub>) on single crystal Al<sub>2</sub>O<sub>3</sub> substrates. Determination of film epitaxy and interface morphology by in-situ RHEED analysis and Atomic Force Microscopy; determination of composition, chemical bonding, interdiffusion, segregation and electronic structure information by X-ray and ultraviolet photoemission, Auger spectroscopy, and EELS.

# MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 Massachusetts Ave. Cambridge, MA 02139

#### 308. GRAIN BOUNDARIES

R. W. Balluffi, Department of Materials Sciences and Engineering (617) 253-3349

P. D. Bristowe, Department of Materials Sciences and Engineering

(617) 253-3326 01-1 \$455,000 from prior year

Studies of the atomic structure of grain boundaries, with and without segregated solute atoms, by X-ray diffraction and computer simulation. Grain boundary diffusion and its dependence on boundary structure by combined experimental observations and computer simulation. Computer simulation of grain boundary migration. Materials studied include Au, Au containing Mg solute atoms, Ag, and Sl. Experimental techniques include X-ray diffraction at the NSLS and high-resolution and conventional electron microscopy. Computer simulation. Embedded Atom Model.

# 309. SLIP, TWINNING AND TRANSFORMATION IN LAVES PHASES

S. M. Allen, Department of Materials Science and Engineering (617) 253-6939

J. D. Livingston, Department of Materials Science and Engineering (617) 253-0059 01-2 \$101,457

Demonstrate that a variety of room-temperature deformation processes possible in Laves phases. Two methods to enhance plastic deformation. First, deformation in alloys in which the Laves phase exists as discrete second-phase particles in a solid-solution matrix. Second, microhardness indentations to produce localized deformation (with a significant triaxial component to the loading), and to prepare high-quality thin-foil specimens for examination in the transmission electron microscope.

## **310. GRAIN BOUNDARIES IN COMPLEX OXIDES** Y-M. Chiang, Department of Materials Science and Engineering (617) 253-6471 01-2 \$96,381

Complex lattice defect structures, ionic space charge effects at grain boundaries; TiO<sub>2</sub> with trivalent and pentavalent cation dopants; quantitative comparison between space charge theory and grain boundary segregation; defect formation energies at grain boundaries and their variation from boundary to boundary; quantitative determination of grain boundary accumulation of dopants by STEM. Determination of grain boundary thermodynamic properties and size-dependent segregation and transport phenomena in nanocrystalline TiO<sub>2</sub>; effect of solute segregation on grain boundary thermodynamics and kinetic properties; effects of space charge on grain growth and deformation.

# 311. FATIGUE FRACTURE AT INTERFACES: MICROMECHANICS AND APPLICATIONS TO COATED MATERIALS

S. Suresh, Department of Materials Science and Engineering (617) 253-3233 01-2 \$109,526

Experimental and numerical investigation of fatigue at interfaces; evolution of cyclic near-tip fields for fatigue cracks along or normal to interfaces; conditions for growth or deceleration/arrest of fatigue cracks approaching an interface at an arbitrary angle; effects of variable amplitude loads with tensile overloads on near-tip fields and crack growth; micromechanisms of near-interface deformation; numerical simulation of cyclic near-tip fields arising from temperature fluctuations, mechanical load fluctuations, and thermomechanical loads for flaws along or at arbitrary angles to interfaces, model parametric studies of fatigue cracking of coated materials with and without interlayers.

#### 312. OXIDES AND SURFACE MAGNETISM

R. C. O'Handley, Department of Materials Science and Engineering (617) 253-6913

M. Oliveria, Department of Materials Science and Engineering (617) 258-6113 01-3 \$110,968

Study of surface magnetism in metal-oxide systems and the development of novel magnetic composites. Research will focus on magnetic properties at free surfaces and as a function of interface thickness. Surface characterization techniques will be utilized to understand the chemical (AES and Auger polar intensity plots), structural (LEED), and magnetic properties (secondary electron spin polarization analysis and magneto-optic Kerr effect) of the surfaces and interfaces. Novel composite development will focus on both 3-D and planar multilayer structures.

#### 313. STRUCTURAL DISORDER AND TRANSPORT IN TERNARY OXIDES WITH THE PYROCHLORE STRUCTURE

H. L. Tuller, Department of Materials Science and Engineering (617) 253-6890 01-3 \$117,795

Relationship of electrical and optical properties to the defect structure in ternary and quaternary oxides with the pyrochlore structure. Use of transition elements to alter electronic properties, rare-earth elements to alter the ionic conduction characteristics, and allovalent dopants to change the carrier concentrations. Computer simulations of defects, transport and structural parameters in these systems. Structural disorder characterized by X-ray diffraction, neutron diffraction, and spectroscopic measurements. Electrical and defect properties characterized by AC impedance. DC conductivity. thermoelectric power, and thermogravimetric techniques. Materials to be doped and studied Include Gd<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub>-TiO<sub>2</sub>, Y<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub>-TiO<sub>2</sub> and related systems.

# 314. OXIDATION OF METALS AND ALLOYS WITH EMPHASIS ON SUPERCONDUCTING OXIDES

J. B. Vander Sande, Department of Materials Science and Engineering (617) 253-6933 01-3 \$165,950

Kinetics of superconducting oxide formation from metallic alloys subjected to oxidation; textured microstructures arising from solid state reactions in temperature gradients; high magnetic fields to induce texture in superconducting oxide/silver composites; improvement in the texture and critical current density of superconducting oxide/silver microcomposites through mechanical deformation.

#### 315. RADIATION-INDUCED APERIODICITY IN IRRADIATED CERAMICS

L. W. Hobbs, Department of Ceramics and Materials Science (617) 253-6835 01-4 \$115,795

#### Fundamental study to characterize

irradiation-induced amorphization of network silicas and pyrophosphates. Irradiations to be performed in-situ with electrons in a TEM, with heavy ions using the implantation facilities, or with neutrons using available neutron sources. Characterization by standard and energy-filtered electron diffraction techniques, high-resolution TEM imaging. Rutherford backscattering, high-performance liquid chromatography, IR spectroscopy, and differential scanning calorimetry. Various crystalling polymorphs of  $Sl_2$ , representing different combinatorial geometries in their network structures, vitreous silica, single crystals of  $Pb_2P_2O_7$ , and several phosphate glasses will be studied. A topological approach will be used in computer simulations to model both the structure and the amorphization.

# 316. MICROSTRUCTURAL DESIGN IN CELLULAR MATERIALS

L. J. Gibson, Department of Civil Engineering (617) 253-7107 01-5 \$83,074 from prior year

Investigation of efficient microstructures for cellular solids through micromechanical modeling and production of cellular materials with the proposed microstructures. Characterization of the microstructure and mechanical properties of the materials. Comparison of the models with the experimental data. Comparison of the efficiencies with the proposed microstructures.

#### 317. SYSTEMATIC GLOBAL RENORMALIZATION-GROUP STUDIES OF DETAILED MODELS FOR CONDENSED MATTER SYSTEMS

A. N. Berker, Department of Physics (617) 253-2176 02-3 \$42,679

Theoretical studies directed toward the eventual establishment of the position-space renormalization-group method (RGM) as a routine tool for use in condensed matter physics, with attempts to eliminate the ad hoc nature of the usual approximations used in the RGM. Improvement of the convergence, accuracy, and computational burden of the RGM by use of transformations, obtained with Monte Carlo sampling, to build in global phase diagram considerations. Extension of the RGM to treat rescaling behavior of quantum and continuum systems. Use of the new RGM techniques to treat novel physical phenomena such as the antiferromagnetic Potts model, the phase diagrams of selenium on Ni(100) and krypton on graphite, the chaotic rescaling of spin-glasses, and the hybrid-order phase transition of the random-field Ising model.

#### 318. STRUCTURE AND DYNAMICS OF MICROEMULSIONS IN BULK, AT INTERFACES AND IN CONFINED GEOMETRIES S.-H. Chen, Department of Nuclear Engineering (617) 258-3810 03-2 \$90,695

Complete construction and testing of a special purpose small angle neutron scattering diffractometer at the Intense Pulsed Neutron Source (IPNS) of Argonne National Laboratory. The diffractometer will be fully available to general users and involves design and construction by a cooperative effort between the principal investigator and the IPNS staff with financial assistance from Texaco. The principal investigator will focus on the use of the diffractometer for studies of problems in the area of microemulsions and micellar solutions. For these investigations a temperature controlled environment for scattering experiments and a shear cell for the study of shear fields on microemulsion and micelle structures will be imployed.

#### MIAMI (OHIO) UNIVERSITY Oxford, OH 45056

### **319. MAGNETIC MULTILAYER PHYSICS**

M. J. Pechan, Department of Physics (513) 529-4518 02-2 \$37,830

Investigation of magnetic multilayers using ferromagnetic resonance. Measurements of the magnetic interface anisotropy as a function of layer thickness, temperature, and frequency. Develop and use a variable temperature torque magnetometer to measure dc multilayer anisotropy and magnetization. Model the effects of magnetization gradients and interface frustration on interface anisotropy.

## MICHIGAN STATE UNIVERSITY East Lansing, MI 48824

320. HIGH-ENERGY ION BEAM SURFACE MODIFICATION OF SINGLE-AL<sub>2</sub>O<sub>3</sub> FIBERS FOR IMPROVED POST-PROCESSING STRENGTH RETENTION IN B-NIAL AND Y-NI<sub>3</sub>AL MATRIX COMPOSITES

D. S. Grummon, Department of Metallurgy, Mechanics, and Materials Science (517) 353-4688 01-2 \$62,143

Single crystal y-alumina fibers are of interest as strong creep-resistant reinforcements for metal and intermetallic matrix composites. Surface modifications will be designed to (a) produce large residual compressive stress, to reduce flaws; (b) improve mechanical properties, and (c) improve resistance to chemical damage at high temperatures.

#### 321. DISORDER AND FAILURE: SELECTED APPLICATIONS TO BRITTLE FRACTURE, CRITICAL CURRENT AND DIELECTRIC BREAKDOWN P. M. Duxbury, Department of Physics and Astronomy (517) 353-9179 01-3 \$67,145

Development of generic models for electrical, dielectric, mechanical and superconducting failure; analytic expressions for size effect, failure distribution, and crossover from nucleation stage to catastrophic failure stage. Disorder and failure in random composites; effect of microstructural disorder on failure of composites; scaling behavior of damage nucleation, damage localization and catastrophic failure in random composites; fracture of interpenetrating phase composites; analytic and numeric analysis of defect shapes and damage nucleation. Activated and diffusion limited damage nucleation; development of scaling theories for time to failure and its statistics for subcritical crack growth in random systems as function of disorder, system size and temperature. Atomic defects and brittle failure of graphite sheets containing random defects. Effective elastic and failure properties of cellular materials as function of porosity, disorder and sample size; ductile and brittle ligament response. Critical current as function of crack length, pinning strength and vortex density for superconductors; cross-over from flux flow channel limit to collective pinning limit; vortex configuration in a superconducting diode. Extension of dielectric breakdown model to include space charge and environmental effects, diffusion limited and activated processes, and critical local field.

# MICHIGAN TECHNOLOGICAL UNIVERSITY 1400 Townsend Dr. Houghton, MI 49931

Houghion, wii 47731

# 322. BOUNDARY STABILITY UNDER NONEQUILIBRIUM CONDITIONS

S. Hackney, Metallurgical and Materials Engineering Department (906) 487-2170 01-1 \$87,549

Study of diffusion induced grain boundary migration from a microscopic point of view. Time and concentration dependence of the initiation of migration. Grain boundary morphology studies by in situ hot stage electron microscopy. Effects of diffusion-induced grain boundary migration on the morphological development of second phase precipitates. Thermotransport-induced grain boundary migration. Effects of elastic strain gradient on interface migration. Surface and thin-film instabilities.

# UNIVERSITY OF MICHIGAN 2300 Hayward Street Ann Arbor, MI 48109-2136

#### 323. SOLUTE EFFECTS ON OXIDE CERAMICS AND THEIR GRAIN BOUNDARIES I-W. Chen, Department of Materials Science

and Engineering (313) 763-6661 01-2 \$84,906

Solute-defect interactions and segregation; CeO<sub>2</sub>, Y203 and Zr02 host oxides; solid solutions with oxides of divalent Mg, Ca, and Sr, trivalent Sc, Yb, Y, Gd, and La, tetravalent Ti and Zr, and pentavalent Nb and Ta. Static grain growth, dynamic grain growth and related mechanical phenomena; mechanisms for solute drag, solute-defect interactions; static grain growth experiments, grain boundary mobility, compression tests, dislocation creep; construction of stress-strain constitutive relation incorporating grain growth; microstructural and microchemical characterization. Densification kinetics, microstructure development, grain boundary mobility; doped solid solutions; effect of solute drag on sintering of second phase ceramics; effect of Initial porosity. Electrical conductivity, space charge effects; ac impedance spectroscopy.

# 324. THE ROLE OF GRAIN BOUNDARY CHEMISTRY AND STRUCTURE IN THE ENVIRONMENTALLY-ASSISTED INTERGRANULAR CRACKING OF NICKEL-BASE ALLOYS

G. S. Was, Department of Nuclear Engineering (313) 763-4675 01-2 \$119,667

The objective of this program is to determine the role of the chemistry and structure of grain boundaries in the environmentally-assisted intergranular cracking (EAIC) of nickel-base alloys so that intergranular (IG) cracking can be amellorated through control of grain boundary chemistry and structure. The focus is on the role of carbon in solution and as carbides on the IG creep- controlled cracking in 360C water; determination of the role of grain boundary orientation on IG cracking in 360C water and creep in 360C Ar; and the role of the film character (composition and structure) in the correlation of creep, repassivation rate, and IGSCC susceptibility in NI-(16-30)Cr-Fe alloys. Experiments conducted on laboratory and commercial heats of Ni-16Cr-9Fe (alloy 600), NI-30Cr-9Fe (alloy 690), and Ni-16Cr-9Fe-Al-Ti-Nb (alloy X-750).

### 325. THE STRUCTURAL BASIS FOR FATIGUE FAILURE INITIATION IN GLASSY POLYMERS A. F. Yee, Department of Materials Science and Engineering (313) 764-4312 01-2 \$93,905

Fatigue Initiation in glassy polymers, including structural changes which precede the initiation of visible cracks and crazes. Relationship between low amplitude cyclic stresses and polymer aging. Applications of small angle X-ray scattering (SAXS) and position annihilation techniques (PAT) to the characterization of the temporal evolution of structural changes. Relaxation behavior to be used to predict craze initiation.

# 326. ATOMISTIC AND ELASTIC ANALYSES OF DEFECTS AND SMALL STRUCTURES

D. J. Srolovitz, Department of Materials Science and Engineering (313) 936-1740 01-3 \$143,792

The goal is to elucidate the structural, elastic and thermodynamic properties of non-topological defects, defects at interfaces and in small structures, and small structures themselves. The common theme is the centrality of the interplay between elastic and structural effects. This will be investigated and exploited by using atomistic simulations to provide the input needed to develop and validate the elastic theory. Atomistic simulations will be used to extract the information at the atomic level needed to parameterize the full elastic fields. The resulting analysis will then be generalized by identifying the dominant effects.

#### 327. SYNCHROTRON STUDIES OF NARROW BAND MATERIALS

J. W. Allen, Department of Physics (313) 763-1150 02-2 \$87,300

Conduct a program of spectroscopic studies of the electronic structure of narrow band actinide, rareearth and transition metal materials, emphasizing the use of synchrotron radiation but including related laboratory spectroscopy. The spectroscopy will be directed toward aspects of the electronic structure which underlie or are responsible for novel ground state phenomena occurring in mixed valent, heavy-Fermion and transition metal oxide materials, including insulator-metal transitions in each of these, and high-temperature superconductivity in the latter. The data is analyzed using density-functional calculations and many-body Hamiltonian models.

#### 328. CORRELATION GAPS IN THE RARE EARTH HEXABORIDES

M. Aronson, Department of Physics (313) 764-3272 02-2 \$75,128

Experimental Investigation of the stability of correlation gaps in the rare earth hexaborides. Low temperature electrical resistivity, specific heat, and magnetization measurements performed to assess the stability of the correlation gap to high pressures, high magnetic fields, and varying degrees of disorder present in the hexaboride samples. Exploration of the transport properties of in-gap states, their relationship to the magnitude of the gap, and search for a proposed metal-to-insulator transition which should occur for a sufficiently wide gap. Comparison of the Kondo effect for magnetic impurities present in insulating materials with a normal, electronic-band-structure gap and with a correlation gap. Examples of hexaborides investigated are SmB, EuB, SmB, doped with either Ca or Sr, and a series of Eu1., Sm, B, samples.

# 329. GROWTH AND NONLINEARITY

L. M. Sander, Department of Physics (313) 764-4471

R. Savit, Department of Physics (313) 764-3426 02-3 \$94,203 (10 months)

Theoretical proposal at the forefront of a recent approach to understanding the relationships between growth mechanisms, structure, and properties of nonequilibrium system, such as smoke, colloids, deposition of vapors and electrolytes which have been shown to give rise to scale invariant fractal-like structures. Objects of this type have a morphology which lies between conventionally studied crystalline geometry and the amorphous state. The unique properties of this kind of matter can be traced to the fact that it possesses an invariance property not shared by either crystalline or amorphous matter; that of non-trivial scale invariance. That is, the systems "look" the same on all length scales and scale with a generally non-integer dimension. The behavior of various kinds of random walks on these fractal clusters as well as the behavior of equilibrium statistical spin systems defined on the clusters will be of Interest for helping scientists understand the dynamics of such random scale-invariant objects. The principal investigators expect to rely heavily on both analytical techniques and numerical simulations in this work.

# UNIVERSITY OF MINNESOTA Minneapolis, MN 55455

# 330. CRYSTALLINE-AMORPHOUS INTERFACES AND AMORPHOUS FILMS IN GRAIN BOUNDARIES C. B. Carter, Department of Chemical Engineering and Materials Science (612) 625-8805 01-1 \$126,070

TEM investigation of structure and chemical composition of grain boundaries; kinetics of glass formation, thermodynamic equilibria; high-angle grain boundaries in MgO, twist boundaries and asymmetric tilt boundaries in SI and Ge, and low-angle grain boundaries in Al<sub>2</sub>O<sub>2</sub>; comparison of bicrystal samples with and without amorphous intergranular layer. Bicrystals formed by hot-pressing together two single crystals with or without an amorphous layer; thin foils reacted with SiO<sub>2</sub> and CaO vapor for investigations of grooving, film penetration and dewetting.

# 331. MICROMECHANICS OF BRITTLE FRACTURE: STM, TEM, AND ELECTRON CHANNELING ANALYSIS W. W. Gerberlch, Department of Chemical Engineering and Materials Science (612) 625-8548 01-2 \$63,046

A study of the micromechanics of small volumes with the aim of understanding brittle fracture in both bulk single crystals and polycrystals as well as at thin-film interfaces. Au, Fe, and Ta films constrained by brittle substraits. Theoretical approaches are finite element, embedded atom, and discretized dislocation; experimental techniques include SEM, TEM, STM, AFM and continuous nanoindentation and microscratch.

# 332. THEORETICAL STUDY OF REACTIONS AT THE ELECTRODE-ELECTROLYTE INTERFACE J. W. Halley, Department of Physics

and Astronomy (612) 624-0395 01-3 \$85,967 (14 months)

Electron transfer rates predicted by numerical methods. Molecular dynamics used to describe solvent dynamics and equation of motion methods to obtain the electronic structure of disordered oxides. Emphasis on electron transfer involving ions known to be important in enhancing stress corrosion cracking in light water reactors and on calculation of the rates of electron transfer at oxide surfaces. Program involves collaboration with Argonne National Laboratory.

#### 333. FUNDAMENTAL STUDIES OF STRESS DISTRIBUTIONS AND STRESS RELAXATION IN OXIDE SCALES ON HIGH TEMPERATURE ALLOYS

D. A. Shores, Department of Chemical Engineering and Materials Science (612) 625-0014 01-3 \$148,466

Study and elucidation of the mechanisms of oxidation and hot corrosion of selected metals and alloys through an interdisciplinary team approach in which the phenomena of growth stresses, thermal stresses and scale cracking are examined. Theoretical modeling of isothermal, athermal, and time dependent growth stresses. In-situ experimental measurement of scale stresses and experimental determination of the occurrence of scale cracking under various corrosive conditions. Scale cracking related to measured and calculated stresses. Experimental techniques include X-ray diffraction, acoustic emission, thermogravimetric analysis, and optical/electron microscopy.

# 334. THEORY OF THE ELECTRONIC AND STRUCTURAL PROPERTIES OF SOLID STATE OXIDES

J. R. Chelikowsky, Department of Chemical Engineering and Materials Science (612) 625-4837 02-3 \$60,000

A multi-level theoretical approach to the global properties of solid state oxides will be implemented. The methods which will be applied comprise *ab initio* pseudopotential calculations, semi-empirical valence force field techniques, and the establishment of empirical chemical "scaling" indices. New computational methods will be developed with emphasis on understanding the nature of the chemical bond arising from oxide formation. The initial systems to be examined are rock salt monoxides, perovskite oxides, and transition metal oxides. UNIVERSITY OF MISSOURI AT COLUMBIA Columbia, MO 65211

335. INELASTIC SCATTERING IN CONDENSED MATTERWITH HIGH INTENSITY MOSSBAUER RADIATIONW. B. Yelon, Department of Physics(314) 882-523602-2 \$30,000 from prior year

This project aims at the development and use of ultra high intensity Mossbauer sources for scattering experiments. The techniques has been shown to be feasible and it has been applied to the investigation of the precise character of the resonance line shape, anharmonicity in sodium, diffusive properties of organic liquids, and critical phenomena in charge density wave layer compounds. Studies have been initiated in soft modes, phasons and interference with potential applications in testing possible violation of time reversal invariance in the electromagnetic decay of nuclei. The work is being carried out at the University of Missouri Research Reactor Facility and with a specially constructed scattering facility at Purdue University. Both conventional and conversion electron scattering techniques are being used, particularly microfoll conversion electron (MICE) detectors to enhance signal-to-off-resonance counting rates.

UNIVERSITY OF MISSOURI AT KANSAS CITY Kansas City, MO 64110-2499

#### 336. THEORETICAL STUDIES ON THE ELECTRONIC STRUCTURES AND PROPERTIES OF COMPLEX CERAMIC CRYSTALS AND NOVEL MATERIALS W-Y. Ching, Department of Physics (816) 235-2503 01-1 \$192,514

Calculation by means of orthogonalized linear combination of atomic obitals (OLCAO) of electronic structure and linear optical properties and defect properties for a large number of oxide, nitride, phosphate, silicate, III-V semiconductors, metallic glass and high-T<sub>c</sub> superconducting materials. Local density functional calculation of important bulk properties, phonon frequencies and structural phase transitions for selected materials. Formulation of calculational method for nonlinear optical properties. Calculation of magnetic properties of rareearth-iron-boron magnetic alloys and related intermetallic compounds. Properties of fulierenes.

#### UNIVERSITY OF MISSOURI AT ROLLA 278 McNutt Hall Rolla, MO 65401

# 337. CHARACTERIZATION OF ELECTRICALLY CONDUCTING OXIDES

H. U. Anderson, Department of Ceramic E Engineering (314) 341-4886 01-3 \$99,704 from prior year

Interrelationships between electrical conductivity, oxidation reduction kinetics, defect structure, and composition for transition metal perovskites based on *Cr*, *Mn*, *Fe*, and *Co*. Focus on role of transition metal ions and other crystallographic and thermodynamic factors that control the relative amounts of mixed ionic/electronic conductivity. Experimental techniques include specimen preparation, thermogravimetric characterization, optical microscopy, X-ray and neutron diffraction, TEM, electrical conductivity, Seebeck coefficient studies, thermal and optical stimulated current spectroscopy and deep level transient spectroscopy.

# MONTANA STATE UNIVERSITY Bozeman, MT 59717

#### 338. ELECTROACTIVE POLYMERS AND LIQUID CRYSTALS

V. H. Schmidt, Department of Physics (406) 994-6173 03-2 \$47,000 from prior year

Study of chain conformation, rotations, and other motions in the plezoelectric polymers polyvinylidene fluoride and its copolymer with trifluoroethylene by NMR and optical techniques. Pressure and temperature dependence on the nonferroelectric to ferroelectric phase transitions. NMR of deutreated samples and optical studies involving birefringence, small angle light scattering, and Brillouin scattering to measure degree of chain alignment and sourid velocity and attenuation as affected by polymer processing and by temperature and pressure induced phase transitions including theoretical studies of crystal elastic energy and statistical mechanics of linear polymers.

## UNIVERSITY OF NEBRASKA Lincoln, NE 68588-0113

# 339. FUNDAMENTAL STUDIES OF NEW HIGH-ENERGY PERMANENT MAGNET MATERIALS

D. J. Sellmyer, Department of Physics (402) 472-2407 02-2 \$72,700

Investigations to advance the understanding of the magnetic properties of rare-earth - transition metal compounds and alloys, with the main thrust being to develop high performance permanent magnetic materials. Material phases considered are generally ternary or higher order compounds and alloys with unusually complex anisotropic structures. In some cases the rare-earth - transition metal materials are nitrogenated or carbonated to enhance their magnetic properties. Some sputtered thin film and multilayer phases are considered, and some new phases are reached by intermediate metastable phases formed by melt spinning. Materials investigated by comprehensive experimental techniques which include X-ray and neutron diffraction, electron microscopy, dc and ac magnetic susceptibility measurements, Fe<sup>5</sup> Mossbauer methods, and photoemission studies. Experimental results compared with first principles electronic structure calculations. Research performed in close collaboration with work at the University of Delaware.

# UNIVERSITY OF NEVADA Reno, NV 89557

# 340. PHOTOPHYSICAL PROCESSES OF TRIPLET STATES AND RADICAL IONS IN PURE AND MOLECULARLY DOPED POLYMERS

R. D. Burkhart, Department of Chemistry (702) 784-6041 03-1 \$82,450

Studies of triplet-triplet annihilation and rate of triplet exciton diffusion in polymers. Studies of delayed luminescence processes in organic polymers to determine the extend and influence of recombination of geminate ion pairs. Direct excitation of ground state polymer chromophores to lowest triplet state through dye laser pumping. Investigation of the rate of triplet exciton migration in polymers having pendant groups which are sterically crowaed and non-planar to assess the extent to which structural modifications can influence rates of exciton migration. Modification of the rate of triplet-triplet annihilation by microwave-induced mixing, monitor the dependence of triplet quantum yields on the energy of excitation, and to probe the direct detection of carbazole radical cations by translent absorption spectroscopy.

# UNIVERSITY OF NEW HAMPSHIRE Durham, NH 03824

341. AN EXPERIMENTAL AND ANALYTICAL INVESTIGATION OF THE EFFECT OF FRACTURE SURFACE INTERFERENCE IN SHEAR T. S. Gross, Department of Mechanical Engineering (603) 862-2445 001-2 \$80,479

An experimental and theoretical program to study the effects of fracture surface interference on shear modes (mode II and III) of crack growth. The theoretical program to extend and refine current models of force transfer between crack faces and wear of asperities in the vicinity of the crack tip. The model will be the observed non-monotonic, non-linear dependence of shear crack growth on applied shear stress, superimposed tensile stress, and cyclic load history. The experimental program to study the evolution of fracture surface roughness using Fourier analysis to characterize the average asperity amplitude, slope, and wavelength of fracture surface profiles in a variety of loading conflaurations and environmental conditions for metals, ceramics, and polymers. A broad range of materials selected for testing to maximize the variation in elastic modulus, yield strength, fracture surface profile and wear characteristics.

# UNIVERSITY OF NEW MEXICO Albuquerque, NM 87131

# 342. PARTICLE-INDUCED AMORPHIZATION OF CRYSTALLINE SILICATES, COMPLEX OXIDES AND PHOSPHATES

R. C. Ewing, Department of Geology (505) 277-4163 01-1 \$141,480

Investigation of irradiation effects on transition from crystalline to aperiodic state in naturally occurring materials (complex oxides, silicates and phosphates) and ion-irradiated ceramics; effects of structure and bonding, cascade energy, defect accumulation and temperature on the amorphization of complex ceramic materials; structural types include zircon (AB0,), olivine, garnet, aluminosilicates, pyrochlore. Techniques include X-ray diffraction, high-resolution transmission electron microscopy (HRTEM), extended X-ray absorption fine-structure (EXAFS) and near-edge spectroscopy (XANES).

# 343. ADSORPTION STUDIES AT A SOLID-LIQUID INTERFACE

J. A. Panitz, Department of Physics, Astronomy and Anatomy (505) 277-8488 01-1 \$108,353

Adsorption phenomena at a solid-liquid interface. Monolayer films and multilayer structures formed on metal and semiconductor surfaces by Langmuir-Blodgett and simple diffusive adsorption from aqueous solution. Surface morphology, adsorbate conformation, and chemical analysis of interface mapped in high vacuum on a subnanometer scale using a new instrument that combines high-resolution transmission electron microscopy with imaging atom-probe mass spectroscopy. Vitreous Ice, formed from the native environment, used to cryoprotect the interface, allowing the embedded interface and the species adsorbed on its surface to be transferred into high vacuum for analysis without modification or damage.

# NORTH CAROLINA STATE UNIVERSITY Raleigh, NC 27695

## 344. THE STUDY OF STRUCTURE-PROCESSING-PROPERTY RELATIONS IN COPPER OXIDE-BASED HIGH TC SUPERCONDUCTORS

A. I. Kingon, Department of Materials Science and Engineering

(919) 515-2377 01-1 \$108,798 from prior year

Relationships between the crystallographic and electronic structure of copper oxide-based compounds and their electronic and superconducting properties. Study of aspects controlling grain boundary composition and growth to provide structure-properties relationship. Measurement of transport J<sub>c</sub> across isolated grain boundaries.

# 345. LOCALIZED FRACTURE DAMAGE EFFECTS IN TOUGHENED CERAMICS

R. O. Scattergood, Department of Materials Science and Engineering (919) 515-7843 01-5 \$102,732

Systematic study of fundamental aspects of erosion and impact damage in brittle materials and advanced ceramic systems. Materials investigated include aluminas, fiber-reinforced ceramics, transformation-toughened ceramics and various model brittle materials. New or modified apparatus designed and constructed for particle properties and threshold effects. Experimental results on erosion behavior and impact damage utilized for new fracture-mechanics analyses and erosion models development. Erosion rates vs. particle sizes, velocities and impact angles. Characterization of microstructural, strength and fracture properties. Erodent particle properties influence on nature of threshold effects.

## 346. RESEARCH AT AND OPERATION OF THE MATERIAL SCIENCE X-RAY ABSORPTION BEAMLINE (X-11) AT THE NATIONAL SYNCHROTRON LIGHT SOURCE D. E. Sayers, Department of Physics (919) 515-3482 02-2 \$238,000

Development, Improvement, and operation of beamlines X-11A and B at the National Synchrotron Light Source, Brookhaven National Laboratory. Transmission, fluorescence electron-yield and X-ray absorption fine structure measurements on a range of materials and interfaces, including metal-semiconductor systems; multilayers and ion implanted layers; electrochemical systems; rare-earth metal oxide catalysts; semiconductor alloys; high-T<sub>c</sub> superconductors; blocatalysts and actinide metals.

## 347. BAND ELECTRONIC STRUCTURES AND CRYSTAL PACKING FORCES

M. H. Whangbo, Department of Chemistry (919) 515-3464 03-1 \$121,250

Theoretical investigation of the electronic and structural properties of various low-dimensional solid state materials, which include: (1) organic conducting and fullerene saits, (2) cuprate superconductors, and (3) transition-metal compounds. The primary techniques for the investigation are tight-binding electronic structure calculations and *ab initio* self-consistent-field/molecular-orbital (SCF-MO) approaches. The main objectives of the project are to search for structure-property correlations which serve to govern the physical properties of the various materials, and to develop a library of efficient computer programs for the calculation of the physical properties of low-dimensional solid state

materials. The work also involves the rational interpretation of STM and AFM images of various layered materials on the basis of density plot calculations.

#### 348. THEORETICAL STUDIES OF SURFACE REACTIONS ON METALS AND ELECTRONIC MATERIALS J. L. Whitten, Department of Chemistry (919) 515-7277 03-1 \$0 (0 months)

Theoretical investigations of the structure and reactivity of small molecules adsorbed on transition metal and semiconductor substrates. Development and application of theoretical techniques that will provide a molecular level of fundamental understanding for surface processes, especially reaction mechanisms, energetics and adsorbate atomic and electronic structure. Electronic structures obtained by an *ab initio* embedding formalism that permits an accurate determination of reaction energetics and adsorbates. Major applications treated are for reactions on surfaces of silicon, carbon, nickel, and ruthenium.

# UNIVERSITY OF NORTH CAROLINA Chapel Hill, NC 27514

# 349. SOLID-STATE VOLTAMMETRY AND SENSORS IN GASES AND OTHER NON-IONIC MEDIA R. W. Murray, Department of Chemistry (919) 962-6295 03-2 \$41,225

Miniaturized electrochemical cells based on the use of microdisk, microband, and interdigitated array electrodes have been employed in a program aimed at developing a range of electrochemical methodologies suitable for quantitative voltammetry of electroactive solutes dissolved in solid and semisolid polymeric solvents. Potential sweep, step, and ac microelectrode voltammetries have been evaluated and adapted to measurement of exceedingly slow transport of electroactive solutes, with particular application to transport phenomena in poly(ether) "polymer electrolyte" solvents. Transport rates of dissolved electron transfer donors and acceptors are studied as a function of polymer MW, phase-state, small molecule plasticization, temperature, electrolyte concentration, and of the equivalent charge transport by electron self exchangers between dissolved donor-acceptor pairs. Methods are also being developed for measurement of electron transfer dynamics in polymer solvents with attention to slow solvent dipole/solvent dynamics control of electron transfer rates and to diffusion-rate dependent distances-of-electron transfer as would occur when diffusion is very slow. These first quantitative voltammetric measurements in solid and semisolid state phases are almed at developing a capacity for fundamental, quantitative studies of solid-state charge and mass transport phenomena and at their exploitation for solid-state analysis.

# NORTH DAKOTA STATE UNIVERSITY Fargo, ND 58105

#### 350. STATIC AND DYNAMIC PROPERTIES OF ANTIFERROMAGNETIC TRANSITION METAL ALLOYS R. Fishman, Department of Physics (701) 237-8977 02-3 \$39,999

Theoretical Investigation of the magnetic properties of chromium, -manganese, and their alloys. Consideration of the effects of impurities on the phase transition in chromlum at the Neel temperature, including evaluation of the threshold impurity concentration for the phase transition to change from first order to second order. Examination of the effects of a charge-density wave on the magnetic phase diagram of chromium alloys, with particular emphasis on whether a charge-density wave combined with spin-orbit coupling can account for the observed spin-flip regime in pure chromium. Study of the effect of imperfect Fermi surface nesting on the magnetic dynamics of chromium alloys in both the commensurate and incommensurate regimes. Calculation of the spin-wave spectrum, and its gap, for -manganese from an effective Hamiltonian constructed after addition of an elastic energy to the Ginzburg-Landau free energy.

# UNIVERSITY OF NORTH TEXAS P. O. Box 5308 Denton, TX 76203

# 351, IMPURITY-INDUCED CORROSION AT GRAIN BOUNDARIES, METAL-OXIDE INTERFACES AND **OXIDE SCALES**

J. A. Kelber, Center for Materials Characterization (817) 565-3265 01-3 \$150,000 from prior year

Obtain a fundamental understanding concerning the effects of sulphur and other electronegative adsorbates on Interfacial chemistry and topography, and how such effects can be counteracted by the use of other, selected, dopants. Interfaces of interest are grain boundaries, oxide and metal free surfaces, and oxide/metal internal surfaces.

#### NORTHEASTERN UNIVERSITY 110 Forsyth Street Boston, MA 02115

#### 352. COMPUTER MODELING OF SOLIDIFICATION MICROSTRUCTURE A. S. Karma, Department of Physics (617) 437-2929 01-5 \$91,947

The Irregular structures formed in Fe-C and Ai-Si irregular eutectic alloys have remained poorly understood in comparison to the regular lamellar and rod-like morphologies which form in metal-metal eutectic alloys. Banding is a novel microstructure widely observed in rapidly solidified metallic alloys which is characterized by structural variations in time so as to produce alternating bands parallel to the solidification front. Numerical models will be developed to cope with both irregular eutectic and banded microstructures, and make specific predictions which can be tested against existing experimental data.

# NORTHWESTERN UNIVERSITY Evanston, IL 60208

353. ATOMIC RESOLUTION ANALYTICAL ELECTRON MICROSCOPY OF GRAIN BOUNDARY PHENOMENA ASSOCIATED WITH ISOLATED-SINGLE GRAIN BOUNDARIES IN BICRYSTALS OF SRTIO, V. P. Dravid, Department of Materials Science and Engineering (708) 467-1363

01-1 \$88,640 (10 months)

Grain boundary atomic structure, bicrystallography, local chemistry, dielectric function, and electronic structure determined for isolated individual grain boundaries in oriented bicrystals of SrTiO<sub>3</sub>-based varistors and grain boundary layer capacitors; bicrystals of predefined angular misorientation and interface plane, with and without dopants, and under various appropriate heat treatment conditions. Cold-field emission TEM-atomic resolution analytical electron microscopy (ARAEM), ultrahigh vacuum HREM under ultraclean conditions; electronic structure and local dielectric function of the grain boundary region using EELS fine structure analysis; I-V curve and complex impedance analysis of the bicrystals as function of grain boundary parameters.

354. STRUCTURE-PROPERTY RELATIONSHIPS IN HIGHLY DEFECTIVE OXIDES

T. O. Mason, Department of Materials Science and Engineering (708) 491-3198

D. E. Ellis, Department of Physics and Astronomy (708) 491-3665

J. B. Cohen, Department of Materials Science and Engineering (708) 491-5220 01-1 \$201,726

Study of defect clustering, interfaces, and related properties of oxides involving transport and nonstolchiometry measurements, diffraction, and auantum theoretical methods. Oxides of interest include highly defective transition metal monoxides (FeO, MnO, CoO, NiO) and rare-earth, alkaline earth cuprates, including high-T<sub>c</sub> superconductors. In-situ measurements within a high pressure oxygen cell to study higher defect concentrations. Structural and valence studies by diffuse X-ray scattering, neutron diffraction, and near-edge absorption spectroscopy. Finite temperature modeling (using molecular dynamic and statistical mechanics approaches) of defects in monoxides and total energy calculations of defect arrangements in complex oxides. Modeling of defect dependent properties of materials.

#### 355. ATOMIC STRUCTURES AND COMPOSITIONS OF INTERNAL INTERFACES

D. N. Seldman, Department of Materials Science and Engineering (708) 491-4391 01-1 \$150,711

Fundamental relationships between structures and chemical compositions of metal/ceramic heterophase interfaces. Transmission electron microscopy, high resolution electron microscopy, analytical electron microscopy and atom-probe field-ion microscopy are utilized to study the structure and chemistry of metal/ceramic interfaces. The use of ternary alloys allows for the possibility of studying solute-atom segregation effects at heterophase Interfaces: this is an area where very little information exists. Trapping of hydrogen at heterophase Interfaces is studied via atom probe microscopy. Some of the systems being studied are: Cu/Mg0, NI/Cr203, Cu/Be0, Cu/NIO, Cu/Mg, Ta(W)/Hf02, Fe(Sn)/Al203, Fe(P)/Al203, Fe(Ni)/Al203, Ni0/NiCr204, NI(AI)/NIAI,04, Ph(H)/Mg0 and Cu(H)/Mg0. The atom probe measurements, in conjunction with different electron microscopies, yield unique atomic scale information about these heterophase interfaces.

356. TRANSFORMATION PLASTICITY IN DUCTILE SOLIDS G. B. Olson, Department of Materials Science and Engineering

(708) 491-2847 01-2 \$140,050

Mechanisms of transformation toughening in ductile solids investigated by (a) detailed observations of crack-tip processes, and (b) numerical modeling with experimentally-derived constitutive relations. Model alloy steels are used to study room temperature transformation toughening and constitutive behavior. Shear-instability-controlled fracture observed at sectional crack-tips with and without transformation plasticity interactions using alloy composition to vary phase stability. Quantitative constitutive relation for experimental alloys applied to crack-tip and notch fields to study transformation plasticity interaction with various models of microvoid-softening-induced shear localization.

357. INVESTIGATION OF MECHANICAL PROPERTIES AND THEIR RELATION TO THE INTERNAL STRUCTURE OF NANOCRYSTALLINE METALS AND COMPOUNDS J. R. Weertman, Department of Materials Science and Engineering (708) 491-5353 01-2 \$84,866

The tensile, microhardness, fatigue and creep properties of nanocrystalline metals and alloys will be studied using improved processing that decreases the flaw population. The structure of the materials will be characterized using X-ray diffraction, small angle neutron scattering and TEM.

#### 358. PLASMA, PHOTON, AND BEAM SYNTHESIS OF DIAMOND FILMS AND MULTILAYERED STRUCTURES R. P. H. Chang, Department of Materials Science and Engineering

(708) 491-3598 01-3 \$74,402

Diamond nucleation and growth on carbide and noncarbide surfaces; mechanisms of nucleation; interface properties. Diamond nucleation on fullerenes; ion activation, effects of ion energy, mass and ion type; preparation of large fullerene and buckytube substrates; in-situ characterization of diamond nucleation and growth using scanning ellipsometry, Raman scattering and Auger/ESCA measurements. Growth of copper, nickel, and copper/nickel on single crystal diamond to attempt formation of epitaxial layer; epitaxial metal layers characterized by Rutherford backscattering/channeling and HREM; selective area epitaxy of copper on diamond and overgrowth of diamond. Growth of diamond on amorphous carbon, SIC, c-BN, SI<sub>3</sub>N<sub>4</sub> and C<sub>x</sub>N<sub>y</sub> films; role of graphitic carbon; role of noncarbon surfaces; in-situ characterization by Auger, ESCA, Raman and HREED; modeling of nucleation and growth.

# 359. STRUCTURE AND PROPERTIES OF EPITAXIAL OXIDES

B. W. Wessels, Department of Materials Sciences and Engineering (708) 491-3219 01-3 \$106,000

Electronic, optical and nonlinear optical properties of rare-earth doped thin film perovskite oxides, SrTiO<sub>3</sub>, BaTiO<sub>3</sub>, their solid solutions, and rare-earth doped niobates; metalorganic chemical vapor deposition. Effect of rare-earth impurities on electrical and optical properties; Hall effect measurements, thermopower measurements, photoluminescence spectroscopy, photoluminescence decay, and transient photocapacitance spectroscopy. Structure and composition; high resolution transmission electron microscopy, analytical electron microscopy, and X-ray diffraction.

#### 360. SYNTHESIS AND CHARACTERIZATION OF SUPERHARD POLYCRYSTALLINE SUPERLATTICE COATINGS

S. A. Barnett, Department of Materials Science and Engineering (708) 491-2447

W. D. Sproul, Department of Materials Sciences and Engineering (708) 491-4108

M.-S. Wong, Department of Materials Science and Engineering (708) 491-4108 01-5 \$93,192

The purpose of the proposed research is to continue and to broaden the current efforts: (1) to elucidate the hardening mechanisms in superlattices, in part by (2) synthesis of new carefully-chosen model systems, (3) to improve coating adhesion, and (4) to carry out new property measurements. These efforts combined will lead to a clearer understanding of deposition, structure, and properties of polystalline superlattices, and thus to a new generation of engineering materials with a wide range of potential industrial applications.

# 361. THE EFFECTS OF MOISTURE ON THE MICROSTRUCTURE OF CEMENT-BASED MATERIALS H. Jennings, Department of Civil Engineering (708) 491-4858 .01-5 \$325,532

Study of the effect of moisture on the microstructure of cement. Early age "floc" structure of fresh paste and its relationship to the structure of mature pastes. Influence of moisture and other environmental variables including temperature on the microstructure at all ages. Effect of applied load on microstructure. Mechanical properties of cement.

#### 362. DEVELOPMENT OF INSTRUMENTATION FOR SURFACE, INTERFACE, AND THIN FILM SCIENCE AT THE ADVANCED PHOTON SOURCE M. J. Bedzyk, Department of Materials Science and Engineering

(708) 491-3570 02-2 \$100,000

Construction and implementation of the DuPont-Northwestern-Dow Collaborative Access Team (DND-CAT) Beamlines at the Advanced Photon Source will be performed. Research is aimed at the structure and composition of surfaces, interfaces and thin films.

# 363. ENERGETICS, BONDING MECHANISM AND ELECTRONIC STRUCTURE OF CERAMIC/CERAMIC AND METAL/CERAMIC INTERFACES

A. J. Freeman, Department of Physics and Astronomy (708) 491-3343 02-3 \$38,800 (8 months)

Model the energetics, bonding, bonding mechanism and structure of metal/ceramic interfaces. Investigate surface electronic structure of oxides and interface grain boundaries in transition metal-simple oxide interfaces, e.g., Pd and Nb alumina interfaces as well as metal/SIC interfaces. Investigations of ferroelectricity in lead titanate and antiferroelectricity in lead zirconate. Investigations of the electronic structure of TIO<sub>2</sub> surfaces and the properties and structures of VO<sub>2</sub>/TIO<sub>2</sub> Interface.

# 364. MIXED IONIC AND ELECTRONIC CONDUCTIVITY IN POLYMERS

M. A. Ratner, Department of Chemistry (708) 491-5652

D. F. Shriver, Department of Physics (708) 491-5655 03-2 \$91,180

This proposal is an investigation of ionic transport along and through interfaces, both within a given solid electrode or electrolyte and between solid electrodes and electrolytes. The objective is mechanistic understanding of which processes result in overpotential, degradation, charge accumulation, and enhanced mobility at such interfaces. Two general classes of materials will be investigated: siloxane based polymer electrolytes, and layered chalcogenide cathods. Experiments will include synthesis and surface modification of electrolyte films, bulk and Interfacial impedance measurements, and simulation of interfacial transport phenomena by Monte Carlo and percolation theory techniques.

## 365. STRUCTURE AND SHEAR RESPONSE OF LIPID MONOLAYERS

P. Dutta, Department of Physics and Astronomy (708) 491-5465

J. B. Ketterson, Department of Physics and Astronomy (708) 491-5468 03-3 \$101,850

Study the mechanical properties of organic monolayers on the surface of water (Langmuir films). Determine the microscopic structure of such films and of multilayers formed on repeatedly dipped substrates (Langmuir-Blodgett films) using ellipsometry, conventional and synchrotron X-rays. Mechanical property studies directed toward shear response, and important but previously neglected structural property. Diffraction technique, involving external reflection at the monolayer surface, used to determine film structure. Use standing-wave fluorescence technique to determine the distribution of ions in the aqueous phase near the head groups in lipid monolayer films.

# UNIVERSITY OF NOTRE DAME Notre Dame, IN 46556

#### 366. EXPERIMENTAL FACILITIES FOR IN-SITU STUDIES OF MATERIALS AND PROCESSES AT THE ADVANCED PHOTON SOURCE B. A. Bunker, Department of Physics (219) 631-7219 02-2 \$200,000

Construction and implementation of the Materials Research Collaborative Access Team (MR-CAT) Beamlines at the Advanced Photon Source will be performed. Research is aimed at materials and processes under extreme conditions of temperature, field, processing or geometrical confinement.

#### 367. SINGLE ELECTRON TUNNELING AND SPECTROSCOPY

S. T. Ruggiero, Department of Physics (219) 631-7463 02-2 \$47,530

A scanning electron microscope (STM) will be used under electromagnetic radiation to obtain fundamental information about Single Electron Tunneling (SET) effects in ultra-small multilayer metal/oxide structures where the injection of a single electron onto a tiny island will significantly affect the energy level structure, the so called "coulomb staircase effect." It has extraordinary spatial resolution appropriate to quantum dots having dimensions in the 1-10 nm range. It permits the study of random composites that may be important for practical applications, and it also permits high spectroscopic resolution to deduce the fundamental physics of the processes taking place.

# OHIO STATE UNIVERSITY Columbus, OH 43212-1194

368. REALISTIC THEORIES OF HEAVY ELECTRON AND<br/>OTHER STRONGLY CORRELATED MATERIALS<br/>D. Cox, Department of Physics<br/>(614) 292-062002-3\$53,350

Quadropole fluctuation mediated superconductivity in heavy electron systems. Investigation of the effect of quadrupolar fluctuations on the superconductivity of UBe<sub>13</sub>. Application of self consistent conserving approximations to Anderson Lattice Models of heavy electron systems. Exploration of quadrupolar fluctuation induced superconductivity in the four band Anderson Lattice Model.

#### 369. STRONGLY INTERACTING FERMION SYSTEMS J. W. Wilkins, Department of Physics (614) 292-5193 02-3 \$116.400

Development of new methods for electronic properties, specifically, electronic structure, and the physics of materials associated with high temperature superconductors. Algorithm development to include new schemes for constructing Wannier functions and applying Quantum Monte Carlo for studying the ground state and low temperature properties of important highly correlated systems. Local equilibrium atomic geometry in very thin semiconductor superlattices and the development of methods for understanding the forces that determine stability and instability. Adatom induced reconstruction of transition metals. Application of a modified Hubbard model to high-T<sub>c</sub> superconductors to explain the role of the oxygen hole; application of a Quantum Monte Carlo code for the Anderson lattice to determine the possibility of antiferromagnetism and superconductivity in these materials.

# 370. MOLECULAR/POLYMERIC MAGNETISM A. J. Epstein, Department of Physics (614) 292-1133 03-1 \$188,445 (10 months)

Study of cooperative magnetic behavior and its microscopic origins in molecular and polymeric materials. Synthesis and characterization of novel ferromagnets and elucidation of the origins of ferromagnetic exchange. Objective is to develop design criteria for the synthesis of new ferromagnetic materials possessing desirable physical properties including high-temperature transitions to a ferromagnetic state. Study of magnetism in molecular ferromagnets and origins of the ferromagnetic exchange. Synthesis of V(TCNE), <sup>Y</sup> (solvent), including single crystals, and analozous molecular-based organic systems. Measurements of magnetism as a function of field, temperature, and pressure and comparison of results with models of one-dimensional ferro-ferrimagnetism. X-ray and inelastic neutron scattering measurements for magnetic structure.

#### 371. NEW CARBOHYDRATE-BASED MATERIALS J. Swenton, Department of Chemistry (614) 292-0917 03-1 \$70,000 from prior year

Synthesis of novel polymeric materials designed to incorporate many of the useful properties and functionality of natural polysaccharides. The approach is to use carbohydrates as templates for the introduction of polymerizable side-groups. The synthetic methodology involves both enzymatic and chemical synthesis techniques to prepare selectively functionalized monomers followed by chemical polymerization. The introduction of charged. hydrophobic, and other desired functionality to these carbohydrate-based polymers will provide synthetic control of polymer properties and a better understanding of the relation of functionality to properties. These materials will be investigated for (a) the stabilization of enzymes and (b) the preparation of functional hydrogels.

# OHIO UNIVERSITY Athens, OH 45701-2979

#### 372. ELECTRONIC INTERACTIONS IN CONDENSED MATTER SYSTEMS

S. E. Ulloa, Department of Physics and Astronomy (614) 593-1729 02-3 \$48,500 (9 months)

Theory of semiconductor systems, specifically those where electrons are confined to regions of only a few Fermi wavelengths. Work includes the effects of geometrical confinement and its interrelationship with electric and magnetic fields and transport properties of systems in the ballistic and near-ballistic regimes. Confined systems will be investigated to determine whether confinement induces collective and single-particle modes in their optical response. Transport issues to be investigated will include the loss of phase coherence by elastic and inelastic scattering, transit times and the character of the tunneling mechanism.

# OKLAHOMA STATE UNIVERSITY Stillwater, OK 74078

# 373. RHEO-OPTICAL STUDIES OF MODEL "HARD SPHERE" SUSPENSIONS B. J. Ackerson, Physics Department

(405) 744-5819 01-3 \$55,532 from prior year

Spontaneous and artificially induced microstructure of particles in suspensions of hard spheres; effect of microstructure on macroscopic properties. Interparticle order induced by shear flows and rheological properties; use of velocimetry techniques to determine microscopic flow properties; microstructure induced by sedimentation with and without shear; growth rate of hard sphere crystals.

## OLD DOMINION UNIVERSITY Norfolk, VA 23529

#### 374. DYNAMICS OF SURFACE MELTING

H. E. Elsayed-All, Department of Electrical and Computer Engineering (804) 683-3741 03-3 \$146,000 from prior year

Experimental Investigation of the dynamics of surface melting for metallic single crystals and thin epitaxial metal films. Time-resolved reflection high energy electron diffraction (RHEED), with plcosecond time resolution, is used to study the surface melting upon fast heating and cooling. Observation of the time evolution of lattice expansion during ultrafast heating. Studies of the role of surface roughness on the nucleation and the growth of disorder during surface melting. Examples of systems investigated are surfaces of Pb and Bi, and epitaxial films of Pb on Si.

# OREGON STATE UNIVERSITY Corvallis, OR 97331

# 375. HYPERFINE EXPERIMENTAL INVESTIGATIONS OF POINT DEFECTS AND MICROSCOPIC STRUCTURE IN COMPOUNDS

J. A. Gardner, Department of Physics (503) 737-3278 01-1 \$119,599

Perturbed angular correlation (PAC) spectroscopy of nuclear gamma rays to investigate defect complexes, and microscopic structure in ceria, zirconia, and II-VI compounds containing either 111-in or 181-Hf as a probe. PAC characterizations of free energies, transformation mechanisms, equilibrium phase boundaries, diffusion and relaxation models, short range order, order-disorder reactions, and elevated-temperature/time dependent effects. NMR and EXAFS measurements to complement and expand the studies of local structure and oxygen vacancy dynamics.

# 376. THEORETICAL STUDIES OF ZIRCONIA AND RELATED MATERIALS

H. J. F. Jansen, Department of Physics (503) 737-1690 01-3 \$76,304 from prior year

Total energy calculations of the electronic structure of zirconia and related materials used to obtain the electronic energy and the charge density as a function of atomic arrangement. Study of field-gradients, lattice relaxation, phonon spectrum, oxygen mobility and transport. Both Full Potential Linearized Augmented Plane Wave (FLAPW), Monte Carlo and molecular dynamics techniques used.

# UNIVERSITY OF OREGON Eugene, OR 97403-1274

# 377. ELECTRONIC STRUCTURE OF TWO-DIMENSIONAL SYSTEMS

S. D. Kevan, Department of Physics (503) 346-4742 02-2 \$122,220

Experimental characterization of the electronic structure of clean and adsorbate-covered metal surfaces using high resolution angle resolved photoemission spectroscopy at the National Synchrotron Light Source and, when available, at the Advanced Light Source. Metals investigated include mainly the 4d and 5d transition metals, and both electropositive and electronegative adsorbates are considered. Emphasis is on determination of electronic structure for intrinsic surface states and resonances, and in particular, when desirable, a complete mapping of the two-dimensional Fermi contours associated with the electron states. Attempts made to relate the observed details of the electronic structure to other properties of the surface systems.

#### 378. MONITORING INTERFACIAL DYNAMICS BY PULSED LASER TECHNIQUES

G. L. Richmond, Department of Chemistry (503) 346-4635 03-2 \$95,060

Studies of interfacial structure and dynamics using second harmonic generation (SHG) and hyper-Raman scattering. Development of SHG for monitoring electrochemical reactions on a nanosecond to femtosecond timescale, correlation of surface structure with electron transfer kinetics, thin-film nucleation and growth, and analyses of the structure and reactive role of surface defects.

#### PENNSYLVANIA STATE UNIVERSITY 104 Davey Laboratory University Park, PA 16802

379. VIBRATIONAL AND ELECTRONIC PROPERTIES OF FULLERENE AND CARBON-BASED CLUSTERS J. S. Lannin, Department of Physics (814) 865-9231 01-1 \$103,331

Raman scattering studies of  $A_x C_{\omega}$  and  $A_x B_{3,z} C_{\omega}$ (where A = Rb, K, Li, and Na) thin and ultrathin films to clarify effects of alkali type and concentration on structural disorder and electron-phonon coupling. Metal- $C_{\omega}$  interactions. Role of additional charge transfer in electron-phonon coupling effects. Study of  $Ba_x C_{\omega}$  ultrathin films with IERS. Studies using IERS on ultrathin films of metal species incorporated into multilayer structures. Determination if low frequency phonons play a significant role in electron-phonon coupling and superconductivity. Examination of other fullerene systems.

 380. DESIGN, PROCESSING AND MECHANICAL
 BEHAVIOR OF LAMINATED CERAMIC COMPOSITES
 D. J. Green, Materials Science and Engineering (814) 863-2011 01-2 \$30,000 from prior year

Modification of surface layers of ceramics to introduce surface compression to increase hardness and fracture toughness. Surface infiltration when ceramic is pressed or partially sintered. Development of a second phase surface layer during final densification. Indentation cracking used to study crack nucleation and growth and determine fracture toughness. Stress profiles determined by strain gage techniques.

# 381. FUNDAMENTAL STUDIES OF PASSIVITY AND PASSIVITY BREAKDOWN

D. D. Macdonald, Department of Materials Science and Engineering (814) 863-7772 01-3 \$160,089

Study of the effects of minor alloying elements on passivity breakdown and of photo effects on properties of passive films. Use of electrochemical and photoelectrochemical techniques to explore transport and kinetic properties of vacancies and charge carriers in films and at metal/film and film/solution interfaces. Development of point defect and solute/vacancy interaction models. Electrochemical impedance spectroscopy to determine transport properties of vacancies in passive films and to explore kinetics of vacancy generation and annihilation at metal/film and film/solution interfaces. Kinetics of localized attack. Design new corrosion-resistant alloys and explore susceptibilities of existing alloys to pitting corrosion.

# 382. PARTITION OF NITROGEN, OXYGEN AND HYDROGEN BETWEEN THE WELD POOL AND ITS ENVIRONMENT

T. DebRoy, Department of Materials Science and Engineering

(814) 865-1974 01-5 \$100,896

Improved control of weld metal composition and properties through fundamental understanding of welding. Partition of nitrogen, oxygen and hydrogen in weld pool and its environment. Understanding principles of partition through physical simulation. Improved understanding of the role of oxygen in affecting the dynamics of heat transfer and fluid flow. Incorporation of improved Interfacial physics and chemistry in numerical simulation of weld pool behavior. Ongoing collaborative program with Oak Ridge National Laboratory.

# 383. AN INVESTIGATION OF THE STRUCTURE AND PHASE RELATIONS OF C-S-H GELS

M. W. Grutzeck (814) 863-2779

A. Benesi (814) 865-0941 01-5 \$91,142 (14 months)

Structural and compositional evolution of calcium slilcate and calcium silicate hydrates (C-S-H) gels during hydration; magic angle spinning and cross polarization magic angle spinning NMR, TEM, trimethylsilylation, BET, SEM, XRD, and TGA/DTA; effect of drying methods, alkali chloride and carbonation on C-S-H structure. Hydration model developed. 384. BASIC SCIENCE OF NEW AEROGELS R. Roy, Materials Research Laboratory (814) 865-3421

S. Komarneni, Materials Research Laboratory (814) 865-1542 03-2 \$30,000

Synthesis and characterization of nanocomposite materials. Specifically, the preparation and properties of mixed phase aerogels - nanocomposite aerogels - which differ in composition, or in structure, or in morphology, or in any combination of these three.

# UNIVERSITY OF PENNSYLVANIA 3231 Walnut Street Philadelphia, PA 19104

 385. SCANNING TUNNELING MICROSCOPY AND SPECTROSCOPY OF CERAMIC GRAIN BOUNDARIES
 D. A. Bonnell, Department of Materials Science and Engineering (215) 898-6231 01-1 \$75,030

Investigation of the effects of interfacial structure and chemistry on the local electrical properties at grain boundaries in ceramics using scanning tunneling microscopy (STM) and transmission electron microscopy (TEM). Develop improved understanding regarding the imaging of large band gap structures in STM. Studies to include doped and undoped, single crystal and polycrystalline SI, ZnO, TiO<sub>2</sub>, and SrTIO<sub>3</sub>.

# 386. STRUCTURE AND DYNAMICS IN LOW-DIMENSIONAL GUEST-HOST SOLIDS

J. E. Fischer, Department of Materials Science and Engineering (215) 898-6924 01-1 \$142,382

Structural and dynamical studies on layer intercalates and doped polymers and fullerenes. Emphasis on competing interactions on phase equilibria, lattice dynamics and microscopic diffusion phenomena in low-dimensional systems. Study of staging phenomenon. X-ray, elastic and inelastic neutron scattering performed as a function of temperature, hydrostatic pressure, doping or intercalate concentration and/or chemical potential. Materials include graphite intercalations (especially with Li and AsF<sub>2</sub>), Li-intercalated TiS<sub>2</sub> and alkali-doped polymers and fullerites.

#### 387. ATOMISTIC STUDIES OF GRAIN BOUNDARIES AND HETEROPHASE INTERFACES IN ALLOYS AND COMPOUNDS

V. Vitek, Department of Materials Science and Engineering

(215) 898-6703 01-1 \$113,977

Atomistic computer simulation studies of grain boundaries in binary ordered and disordered alloys. Investigation of grain boundaries with segregated solutes. Study of grain boundary and metal-ceramic interface electronic structure. Methods of calculation of interatomic forces. Ni<sub>3</sub>AI, Ti<sub>3</sub>AI, TiAI, Cu-BI and Cu-Ag are candidate alloys to be studied.

#### 388. STRAIN LOCALIZATION AND EVOLVING MICROSTRUCTURES

C. Laird, Department of Materials Science and Engineering (215) 898-6664

J. L. Bassani, Department of Mechanical Engineering, and Applied Mechanics (215) 898-5632 01-2 \$240,265

Study of micromechanics of deformation and fracture processes at grain boundaries as affected by the structure of the boundary, slip geometry, hardening under multiple slip deformation, and the incompatibility of deformation at the boundary. Monotonic and cyclic experiments will focus on copper bicrystals and slip line analysis. TEM will be combined with continuum methods. The behavior of copper will be compared to Cu-Al having different stacking fault energies and a planar-slip mode.

# 389. CONDENSED MATTER PHYSICS AT SURFACES AND INTERFACES OF SOLIDS

E. J. Mele, Department of Physics (215) 898-3135 02-3 \$53,350

Theoretical studies of the lattice dynamics of reconstructed semiconductor surfaces. Computations, employing a developed theoretical model, will be used to investigate the effects of surface defect configurations through the surface elastic properties, the effects of simple commensurate surface defects and the effects of defect configurations which break the translational symmetry parallel to the surface. The systems will be investigated by a generalization of a long wavelength elastic theory to describe scattering of elastic waves by the various surface and figurations. An investigation of the dynamics of strongly correlated many fermion systems near the Mott insulating limit will be made. UNIVERSITY OF PITTSBURGH Pittsburgh, PA 15261

390. THE RELATIONSHIP BETWEEN MICROSTRUCTURE AND MAGNETIC PROPERTIES IN HIGH-ENERGY PERMANENT MAGNETS CHARACTERIZED BY POLYTWINNED STRUCTURES W. A. Soffa, Department of Materials Science and Engineering (412) 624-9728 01-3 \$100,000

The fundamental basis for the enhanced coercivities exhibited by melt-spun equilatomic Fe-Pd alloys compared to the bulk are investigated. This includes quantitative work comparing the scale of the microtwins and APB in bulk alloys and melt-spun ribbon, and in-situ observations of domain wall motion. An APB pinning model will be established, and the energetics of thermally activated wall motion will be addressed.

#### 391. THE PHYSICS OF PATTERN FORMATION AT LIQUID INTERFACES

J. V. Maher, Department of Physics and Astronomy (412) 624-9007 02-2 \$110,580

The formation of patterns at liquid interfaces and the behavlor of interfaces inside disordered systems is investigated in: (1) a study of the changes in patterns available to the growth of a macroscopic interface when that interface is grown over one of a variety of "microscopic" lattices, (2) a study of reversible aggregation of colloidal particles in a mixed solvent, and of the interactions and relaxations of both solvent and suspended particles when thermodynamic conditions are changed for a liquid matrix with suspended particles or fibers, and (3) an investigation of the sedimentation of particles in a quasi-two-dimensional viscous fluid, with attention both to the dynamics of the flow and to the roughness of the resulting surface of settled particles.

#### 392. DESIGNING INTERFACIALLY ACTIVE COPOLYMERS THROUGH MODELING AND STIMULATION

A. C. Balazs, Department of Materials Science and Engineering

(412) 648-9250 03-2 \$64,444

Computer simulations and theoretical models to examine how the self-association reactions of amphophilic polymers affect surface adsorption. Of particular interest is understanding how the architecture of the polymer chain and conditions such as the nature of the surface or solvent affect the extent of adsorption and the morphology of the interfacial layers. By understanding the factors that affect adsorption, predictions of chain geometries and conditions will yield the optimal interfacial structure for such applications as steric stabilization, adhesion and film growth. The approach involves using statistical mechanics, molecular dynamics and Monte Carlo computer simulations to model the polymer-surface interactions. These studies can allow the determination of how varying molecular structure or the chemical environment affects the properties of the interface.

#### POLYTECHNIC UNIVERSITY Six MetroTech Center Brooklyn, NY 11201

# 393. PROCESSING, DEFORMATION AND MICROSTRUCTURE OF SINGLE CRYSTAL L1<sub>0</sub> TYPE INTERMETALLIC COMPOUNDS

S. H. Whang, Department of Metallurgy and Materials Science (718) 260-3144 01-2 \$33,250 (0 months)

Processing, deformation, and microstructural characterization of single crystals L1<sub>0</sub> type TIAI and CoPt compounds to elucidate mechanical property-microstructure relationship, in particular in relationship with the anomalous hardening in TIAI. Elastic constants and TEM observations of dislocation structures will be employed to develop theoretical models to explain the deformation mechanism and fracture behavior in TIAI.

#### 394. SCANNING TUNNELING MICROSPECTROSCOPY OF SOLIDS AND SURFACES

E. L. Wolf, Department of Physics (718) 260-3080 02-2 \$93,120

Development of Scanning Tunneling Microscopy (STM) techniques for the study of solids and their surfaces. Investigation of the normal and superconducting states of high-T<sub>c</sub> materials, such as Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8</sub>, to obtain information about pair symmetries, density of states, gap energies, flux lattices, tunneling phenomena, and proximity effects as functions of temperature, oxygen stoichlometry, intercalation doping species, and external magnetic fields. Study of electron states and transport in mesoscopic metals and nanoscale structures.

# 395. STRONGLY CORRELATED ELECTRONICS MATERIALS

P. Riseborough, Department of Physics (718) 260-3675 02-3 \$55,023

Theoretical studies of the effects of strong electronic correlations on highly degenerate narrow band materials such as uranium and cesium based f band metals. Short range ordering that may occur as a result of local moment correlations using a 1/N expansion, where N is the degeneracy of the material. Similar techniques applied to high-T<sub>c</sub> superconductors. Field dependence of the de Haas-van Alphen effect. Compton scattering and Angle Resolved Photoemission Spectra for the latter materials. Comparison of theory with these and other experimental observations.

# PRINCETON UNIVERSITY Princeton, NJ 08544

**396. VISCOELASTICITY OF POLYMER MELTS** W. W. Graessley, Department of Chemical Engineering (609) 258-5721 01-2 \$93,213 (14 months)

Influence of molecular weight distribution in linear polymers and effects of long-chain branching on viscoelastic properties. A variety of model materials will be used in experimental portion, including unsymmetrical star polymers as well as linear chains and symmetrical stars in the form of binary mixtures. Develop a theoretical framework for polymer melt dynamics that includes a wide-variety of chain architectures.

#### **397. THERMOCHEMISTRY OF PHASES RELATED TO** OXIDE SUPERCONDUCTORS A. Navrotsky, Department of Geological and Geophysical Sciences (609) 258-4674 01-3 \$96,285

Investigate the energetics of phases related to oxide superconductors by high-temperature calorimetry. Emphasis on both the energetics of oxidation-reduction reactions involving copper and oxygen and on phase compatibility between superconducting phases and other phases in the multicomponent oxide systems involved. High pressure synthesis (up to 200 kbar) used to explore the full range of oxygen stolchlometry attainable and to synthesize new materials.

#### 398. DEVELOPMENT OF ADVANCED X-RAY SCATTERING FACILITIES FOR COMPLEX MATERIALS

P. Elsenberger, Princeton Materials Institute (609) 258-4580 02-2 \$400,000

Construction and implementation of the Complex Materials Collaborative Access Team (CMC-CAT) Beamline at the Advanced Photon Source will be performed. Research is aimed at structural characterization of complex materials. Included materials include complex fluids, self-assembling systems, surfaces and interfaces, and heterogeneous materials.

## 399. SURFACE STRUCTURE AND STEREOCHEMICAL PROPERTIES OF SELF-ASSEMBLED MONOLAYER MATERIALS

G. Scoles, Depart	ment of Chemistry
(609) 258-5570	03-2 \$84,658 (7 months)

Fundamental investigation of the self-assembly at metallic surfaces of substituted long-chain hydrocarbons with complex head groups. Use of both low energy atom diffraction and grazing incidence X-ray diffraction for structural characterization of monolayers of the chain hydrocarbons as a function of the chemical composition of their respective terminal groups. Determination of relative positions, alignment and orientations of the terminal groups not only as a function of the chain length of the supporting hydrocarbon but also as a function of temperature. Measurement of the stereoreactivity of the functional groups, such as double bonds and halogen substituted methyl groups, by exposure of the monolayers to collimated fluxes of reactive species (e.g., oxygen and fluorine; and the determination of the reaction probabilities as a function of direction and energy of the incoming species. Specific examples of monolayer systems used in the studies are C<sub>6</sub>H<sub>13</sub>SH and C<sub>22</sub> chains and with either -CH<sub>2</sub>Br or -CH=CH, terminal groups.

## PURDUE UNIVERSITY West Lafayette, IN 47907

#### 400. BEAMLINE OPERATION AND MATERIALS RESEARCH UTILIZING NSLS

G. L. Liedl, Materials Engineering Division (317) 494-4100 01-1 \$276,019

A grant to support MATRIX, a group of scientists from several institutions who have common interests in upgrading and in utilizing X-ray synchrotron radiation for unique materials research. The group has available a specialized beamline at the National Synchrotron Light Source (NSLS). A unique and versatile monochromator provides radiation to a four-circle Huber diffractometer for the basic system. Multiple counting systems are available as well as a low temperature stage, a high temperature stage, and a specialized surface diffraction chamber. The grant covers the operational expenses and system upgrade of this beamline at NSLS for all MATRIX members, and to support part of the research on phase transformation studies, X-ray surface and interface studies.

## 401. STUDY OF MULTICOMPONENT DIFFUSION AND TRANSPORT PHENOMENA

H. Sato, School of Materials Engineering (317) 494-4099 01-3 \$82,430 from prior year

Research on multicomponent diffusion under general thermodynamical potential gradients. Chemical diffusion processes in alloys, different paths in ternary alloys with ordered regions, effects of ordering. Interdiffusion at boundaries, microscopic mechanisms of atomic exchange across boundaries. Interdiffusion in artificial superlattices in semiconductors.

402. MIDWEST SUPERCONDUCTIVITY CONSORTIUM A. L. Bement, Department of Materials Engineering and Physics (317) 494-5567 01-5 \$2,866,350

The Midwest Superconductivity Consortium (MISCON) was formed in response to Congressional direction. The consortium emphasis is in issues of ceramic superconductor synthesis, development, processing, electron transport, and magnetic behavior. Efforts are both theoretical and experimental. The membership includes Purdue University, Notre Dame University, Ohio State University, Indiana University, and the University of Missouri-Columbia.

#### 403. GAMMA SCATTERING IN CONDENSED MATTER WITH HIGH INTENSITY MOSSBAUER RADIATION J. R. Mullen, Department of Physics (317) 494-3031 02-2 \$126,100

This project aims at the development and use of ultra high intensity Mossbauser sources for scattering experiments. The techniques has been shown to be feasible and it has been applied to the investigation of the precise character of the resonance line shape, anharmonicity in sodium, diffusive properties of organic liquids, and critical phenomena in charge density wave layer compounds. Studies have been initiated in soft modes, phasons and interference with potential applications in testing possible violation of time reversal invariance in the electromagnetic decay of nuclei. The work is being carried out at the

University of Missouri Research Reactor Facility and with a specially constructed scattering facility at Purdue University. Both conventional and conversion electron scattering techniques are being used, particularly microfoli conversion electron (MICE) detectors to enhance signal-to-off-resonance counting rates.

# RENSSELAER POLYTECHNIC INSTITUTE Troy, NY 12180

#### 404. MECHANISM OF MECHANICAL FATIGUE OF SILICA GLASS

M. Tomozawa, Department of Materials Engineering (518) 276-6451 01-2 \$82,430 from prior year

Mechanism of cyclic fatigue and analysis of fatigue kinetics in fused silica. Measurement of diffusion coefficient and solubility of water in silica glass as a function of stress, temperature, and water vapor pressure. Preparation of silica glass containing various water contents. Effect of water content on swelling and mechanical property alteration. Effect of environment on crack initiation and propagation. Comparison of cyclic and static fatigue in various environments.

#### UNIVERSITY OF RHODE ISLAND 317A East Hall Kingston, RI 02881-0817

(401) 792-2204

405. SURFACE PHYSICS WITH COLD AND THERMAL NEUTRON REFLECTOMETRY A. Steyerl, Department of Physics

02-1 \$19,398

It is proposed to extend the methods of surface reflectometry to the use of ultra cold neutrons. This offers the unique possibility to improve the experimental sensitivity to the point where extremely small momentum and energy transfers relevant in critical surface phenomena will be accessible to experiment. A combination of the ultracoid neutron technique with X-ray and thermal neutron reflectometry as well as other techniques should lead to a more complete picture of surface properties. The techniques for this work require the development of high precision neutron optics. These developments will be exploited eventually at the Advanced Neutron Source. RICE UNIVERSITY Houston, TX 77251-1892

406. APPLICATION OF SPIN-SENSITIVE ELECTRON SPECTROSCOPIES TO INVESTIGATIONS OF ELECTRONIC AND MAGNETIC PROPERTIES OF SOLIDS

G. K. Walters, Department of Physics (713) 527-6046

F. B. Dunning, Department of Physics (713) 527-3544 02-2 \$192,060

Exploitation of spin-sensitive surface spectroscopies to investigate electron inelastic scattering mechanisms and probing depths in metals, the electronic and magnetic properties of surfaces and thin films, the morphology of monolayer-level metal films, electron tunneling and surface states, and the dynamics of metastable atom deexcitation and ion neutralization at surfaces. Spin Polarized Low Energy Electron Diffraction (SPLEED), Spin-Polarized Metastable Deexcitation Spectroscopy (SPMDS), and other evolving spin-polarized spectroscopic techniques provide the experimental tools. Development of a spin-polarized He<sup>+</sup> ion beam and a superthermal He(2<sub>3</sub>S) metastable atom sources.

# ROCKWELL INTERNATIONAL 1049 Camino Dos Rios Thousand Oaks, CA 91358

# 407. MECHANISMS TEMPERATURE FRACTURE AND FATIGUE OF CERAMICS

B. N. Cox, Science Center (805) 373-4128

D. B. Marshall, Science Center (805) 373-4170

W. L. Morris, Science Center (805) 373-4545 01-2 \$103,799

Investigate the relationship between microstructure and fatigue behavior in fiber/whisker and metal reinforced ceramics. Distinguish crack bridging and crack-tip-shielding mechanisms by very precise measurements of crack opening displacements and displacements fields ahead of the crack-tip using a computer-based high accuracy strain mapping

system (HASMAP). Study the rate of change of crack bridging forces and the nonlinear constitutive behavior that causes crack shielding. Systematic studies of the effects of variations in microstructure and changes in interface characteristics on fatigue.

# STATE UNIVERSITY OF NEW JERSEY RUTGERS Piscataway, NJ 08855

#### 408. THERMODYNAMIC AND KINETIC BEHAVIOR OF SYSTEMS WITH INTERMETALLIC AND INTERMEDIATE PHASES

A. G. Khachaturyan, Department of Mechanics and Materials Science (908) 932-2888

T. Tsakalakos, Department of Mechanics and Materials Science (908) 932-4711

S. Semenovskaya, Department of Mechanics and Materials Science (908) 932-4711 01-1 \$95,877

Development of theoretical and computational simulation methods which can study the diffusional (ordering and decomposition) and martensitic transformations in metal alloys and complex ceramics over different temperature and stoichlometry ranges.

# 409. MULTICOMPONENT GLASS SURFACES: STRUCTURE AND ADSORPTION

S. H. Garofalini, Department of Ceramics (908) 932-2216 01-3 \$104,161

Molecular dynamic simulation of multicomponent glass surfaces, adsorption behavior and thin-film formation using classical multibody and Embedded Atom Method (EAM) potentials and quantum chemical Car-Parrinello techniques. Experimental surface analysis with XPS, lon Scattering Spectroscopy (ISS) and atomic force microscopy (AFM). Slicate glasses containing alkali metals, alkaline earths and network forming cations such as AI, Ti or B; adsorbates include Pt or Au, reactive species such as AI or Ti, and weakly interacting gas molecules/atoms (Nz, Ar and Ne).

# SOUTH CAROLINA STATE UNIVERSITY 300 College Street, N.E. Orangeburg, SC 29117

410. CHARACTERIZATION AND THERMOPHYSICAL PROPERTIES OF BI-BASED CERAMIC SUPERCONDUCTORS: PART A J. E. Payne, Department of Physics (803) 536-7111 01-3 \$148,730

The measurement of the heat capacity of YBCO and BISCCO single crystal superconductors is being performed. Because available single crystals are small, microcalorimetry techniques, suitable for measuring submilligram specimens are used. Thermophysical measurements are expected to provide insight into the origin of the superconductivity state, and characterizing electron-phonon-interaction.

# UNIVERSITY OF SOUTHERN CALIFORNIA Los Angeles, CA 90089

#### 411. FACTORS INFLUENCING THE FLOW AND FRACTURE OF SUPERPLASTIC CERAMICS T. G. Langdon, Department of Materials Science (213) 740-0491 01-2 \$100,880

Superplastic flow in ceramics; role of grain boundaries; yttrium oxide-tetragonal zirconia polycrystalling (Y-TZP) ceramics; grain-boundary glassy phase. Relationship between stress and strain rate as function of temperature and stresses, threshold stress; interrelationship between value of stress exponent, impurity level, and area fraction of intergranular glassy phase; effect of grain size on strain rate and activation energy; factors influencing tensile elongation to failure; cavitation.

### 412. SYNTHESIS AND CHARACTERIZATION OF SELF-ASSEMBLING WATER-SOLUBLE POLYMERS T. E. Hogen-Esch, Department of Chemistry (213) 740-5980

E. J. Amis, Department of Chemistry (213) 743-6913 03-1 \$101,637

Synthesis of water-soluble vinyl and other polymers capable of self-assembly through hydrophobic bonding of pendent fluorocarbon and other hydrophobic groups. Study of the self-assembly process by viscometry and dynamic viscoeleasticity, and by static and dynamic light scattering. Identification of polymer structural features that are

important in enhancing the viscosity of aqueous polymer solutions at polymer concentrations below 1000 ppm. Small angle neutron scattering measurements to determine the size of the fluorocarbon containing hydrophobic aggregates. Investigation of the degree of self assembly as a function of the type and length of the hydrophobic groups and the type and length of flexible spacer groups linking the hydrophobic to the polymer backbone. Study of some hydrophilic comonomers such as acrylamide, N-vinylpyrrolidone and anionic or cationic vinly monomers. Surface interactions studied by adsorption of copolymers onto appropriately modified latex spheres. Exploration of they synthesis of water-soluble polymers capable of self assembly through interactions of pendent polyanions and polycations.

# SOUTHERN UNIVERSITY Baton Rouge, LA 70813

413. SPECTROSCOPIC STUDIES AND MAGNETIC<br/>PROPERTIES OF SELECTED RARE-EARTH ALLOYS<br/>R. C. Mohanty, Department of Physics<br/>(504) 771-413002-2\$77,600

Research to study the magnetic properties of alloys which are predominantly composed of transition metals and rare earths. Synthesis of suitable alloys and then their magnetization characterization by various techniques. Investigation of the phase equilibria and microstructures of the alloys after various types of heat treatments, the determination of the alloys' hard magnetic properties as a function of temperature, and the elucidation of those magnetic properties as a function of heat treatment and microstructural variations. Examples of materials to be investigated are alloys in the classes of Nd<sub>2</sub>Fe(T)<sub>14</sub>B, Nd(Dy)<sub>2</sub>Fe(Co,T)<sub>14</sub>B, and Nd<sub>2</sub>Fe(T)<sub>14</sub>BX, where T represents small concentrations of either Ru or Rh and X is either Be or C. SOUTHWEST RESEARCH INSTITUTE 6220 Culebra Road San Antonio, TX 78227-0510

414. CHARACTERIZATION AND MODELING OF PORE EVOLUTION IN CERAMICS DURING DENSIFICATION R. A. Page, Engineering and Materials Sciences Division (210) 522-3252

K. S. Chan, Engineering and Materials Sciences Division (210) 522-2053 01-2 \$103,906

Characterization of pore evolution during sintering and cavitation during creep. Objectives of the sintering study are the statistical characterization of pore evolution during densification, Identification of primary variables affecting pore removal, and development and evaluation of sintering models. Objectives of the creep study are to understand the effects of microstructural parameters and loading mode, including unlaxial tension, on the kinetics of various creep mechanisms, such as grain boundary sliding and cavity growth. Small angle neutron scattering (SANS) measurements (supplemented by TEM, SEM, precision density, and AES characterization), tensile-creep measurements, and grain boundary sliding measurements (using stereo-imaging technique). Cavity size, distribution, morphology, and nucleation and growth rates determined by SANS analysis. Materials investigated Include alumina and silicon carbide.

## STANFORD UNIVERSITY Stanford, CA 94305-2205

415. MECHANICAL PROPERTIES OF MATERIALS WITH NANOMETER SCALE MICROSTRUCTURES W. D. Nix, Department of Materials Science and Engineering (415) 725-2605 01-2 \$141,237

Study of the strength and adhesion properties of thin films and metal multilayers. FCC/BCC metal multilayer combinations with a wide range of wavelengths made by sputter deposition. X-ray diffraction studies and substrate curvature measurements of multilayer stresses and TEM for the study of microstructure, defects and interfacial epitaxy. Nanoindentation substrate curvature measurements and bulge testing using a laser interferometer system. Modeling of the strength properties of metal multilayers.

#### 416. STUDIES OF SMALL MAGNETIC STRUCTURES USING NEAR-FIELD MAGNETO-OPTICS

A. Kapitulnik, Department of Applied Physics (415) 723-3847 02-2 \$100,000 (13 months)

The novel technique of Sagnac magnetometry will be used in both the far field and near field modes to study the magnetic microstructure of thin magnetic films and multilayers on a sub-micrometer length scale. With this method, sufficient resolution and sensitivity will be achieved to study the structure of small domains and domain walls. This method has an advantage over other probes such as electron microscopy and atomic force microscopy in that it can be performed in any size magnetic field. This method has had great success in the search for anyone superconductivity in the hlah-temperature superconductors. The method does not have the resolution of SEMPA (scanning electron microscopy with polarization analysis), but it is laser based spectroscopy and thus has a much wider range of applicability. The work proposed here will apply a new tool to the study of magnetism and will open a whole new field.

#### 417. ULTRA-LOW TEMPERATURE PROPERTIES OF AMORPHOUS SOLIDS

D. D. Osheroff, Department of Physics (415) 723-4228 02-2 \$108,640

The low temperature dielectric properties of amorphous systems are being investigated. The correlation between properties and the density of two level systems is being examined to understand low temperature saturation effects and to test for the importance of interactions. Thin film glass thermometry is being developed and applied to the study of heat capacities of crystalline materials at ultra-low temperatures to elucidate the nature of disorder in crystalline systems.

#### 418. A QUEST FOR A NEW SUPERCONDUCTING STATE

J. P. Collman, Department of Chemistry (415) 725-0283

W. A. Little, Department of Physics (415) 723-4233 03-1 \$155,200

Research to understand the mechanism whereby high-temperature superconductivity occurs in ceramic cuprates such as YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub> and related substances. A new experimental technique "gap modulation spectroscopy" is being used to study superconducting thin films as prepared by magnetron sputtering, laser ablation or other techniques. Electrochemical experiments using superconducting critical temperature  $T_c$ . X-ray diffraction results on copper free, superconducting bismuthate materials will be studied above and below  $T_c$  searching for a structural phase transitionsuperconducting mechanism connection. Synthesis of conducting polymer films on superconducting electrode films continues.

# STATE UNIVERSITY OF NEW YORK AT BUFFALO Buffalo, NY 14214-3094

#### 419. SUNY BEAMLINE FACILITIES AT THE NATIONAL SYNCHROTRON LIGHT SOURCE P. Coppens, Department of Chemistry (716) 829-3911 02-2 \$242,500

Development and operation of beamline facilities at the National Synchrotron Light Source for X-ray diffraction, X-ray absorption spectroscopy, and other X-ray scattering techniques by a participating research team composed of investigators from many of the State University of New York campuses, Alfred University, E. I. DuPont de Nemours, the Geophysical institution and collaborative work with numerous other institutions. The research interests are: structure of materials, electronic structure of materials, surface physics, compositional analysis, and time-dependent biological phenomena.

# 420. X-RAY STUDIES OF MICROSTRUCTURES IN SEMICONDUCTOR AND SUPERCONDUCTING MATERIALS

Y. H. Kao, Department of Physics (716) 645-2576 02-2 \$97,000

State-of-the-art techniques making use of the high-intensity X-rays from synchrotron radiation are employed for a systematic study of the short-range-order microstructures in multilayer semiconductors. Emphasis is on studies of semiconductor heterostructures and superlattices grown by molecular beam epitaxy. Focus is on the interfacial microstructures and the effects of chemical doping.

# STATE UNIVERSITY OF NEW YORK AT STONY BROOK

Stony Brook, NY 11794

# 421. ATOMIC AND ELECTRONIC STRUCTURE OF METALS AND ALLOYS - CLEAN SURFACES AND CHEMISORBED MOLECULES

J. P. Jona, Department of Materials Science and Engineering (516) 632-8508 02-2 \$0 (0 months)

Investigation of the atomic and electronic structure of rare-earth metal surfaces, ultra-thin films of metals on metals, and ordered surface alloys.

Auger-electron spectroscopy will be used to monitor the chemical composition, and to determine cleanness, of the respective samples. The surface region atomic geometry of the materials will be determined by qualitative and quantitative low-energy electron diffraction (LEED). In order to study the electronic band structure ultraviolet photoemission spectroscopy (UPS), both in the angle-integrated and in the angle-resolved mode, is to be utilized.

#### 422. DESIGN OF SUPRAMOLECULAR ORDERED SYSTEMS FOR MESOSCOPIC COLLOIDS AND MOLECULAR COMPOSITES B. Chu, Department of Chemistry

(516) 632-7928 03-2 \$89,482

Kinetics of phase separation in polymer solutions and blends. Structure of phase separated droplets. Size, shape, and distribution of micro domains measured using light and X-ray scattering, excimer fluorescence, and optical microscopy. Phase separation kinetics measured using time- resolved, small angle X-ray scattering at the National Synchrotron Light Source. Studies of rod-like polymers and functionized rod (hairy rod) polymers. Synthesis and characterization of molecular composites based supramolecular structures.

# 423. THE EFFECTS OF CONFINEMENT ON POLYMER CHAINS IN THIN FILMS

M. Rafallovich, Department of Material Science (516) 632-8483

J. Sokolov, Department of Material Science (516) 632-8483 03-2 \$77,600

This program studies the properties of homopolymers and block-copolymers confined to solid and liquid interfaces. The areas of research are the wetting of thin polymer films and polymer brushes, the dynamical properties of grafted polymers in melts and solutions, and the dynamics of asymmetric block co-polymer ordering near surfaces. Complementary experimental profiling techniques being used in this research include dynamic secondary ion mass spectroscopy (SIMS), atomic force and transmission electron microscopy (AFM and TEM), and neutron and X-ray reflectivity.

# UNIVERSITY OF TENNESSEE Knoxville, TN 37996-1600

424. INVESTIGATIONS OF THE EFFECTS OF ISOTOPIC SUBSTITUTION AND PRESSURE ON MISCIBILITY IN POLYMER-POLYMER AND POLYMER-SOLVENT SYSTEMS

A. Van Hook, Department of Chemistry (615) 974-5105 03-2 \$121,250

Measurement of phase separation temperature and related properties as a function of isotopic labeling (H/D) and pressure in polymer-polymer and polymer-solvent systems. Comparison, through the use of statistical theory of isotope effects in condensed phases, of isotope effect and pressure effects on the thermodynamic properties of solution, in particular the consulate properties. These measurements will be used to refine present molecular models of polymer-polymer and polymer-solvent interactions. The results will aid in the interpretation of neutron scattering data in H/D mixtures of polymers.

# UNIVERSITY OF UTAH 304 EMRO Salt Lake City, UT 84112

#### 425. THEORETICAL AND EXPERIMENTAL STUDY OF ORDERING IN 111/V SYSTEMS G.B. Stringfeliow, Department of Materials Science and Engineering

(801) 581-8387 01-1 \$85,588

Explore the growth, ordering, and stability of III/V semiconducting alloys, with large positive enthalpies of mixing, prepared by organometallic vapor phase epitaxy (OMVPE). Emphasis on expanding the ordered structure domain size and increasing the degree of ordering. Characterization of structural, electrical, and optical properties by electron microscopy, electron microprobe, X-ray diffraction, photoluminescence, optical absorption, Raman spectroscopy, Hall effect, van der Pauw conductivity, and magnetoresistance measurements. Computer modeling/simulation of growth and stabilities of these structures. Materials for study include alloys of GaInP, GaAsP, GaAsSb, GaInAsSb, GaPSb, InPSb, and InAsSb.

#### 426. FABRICATION, PHASE TRANSFORMATION STUDIES AND CHARACTERIZATION OF SIC-ALN-AL20C CERAMICS

A. V. Virkar, Department of Materials Science and Engineering

(801) 581-5396 01-1 \$71,626

Phase equilibria, diffusional phase transformations and morphology of resultant phases and structure-property relations in the SiC-AIN-AI<sub>2</sub>OC system, with emphasis on SiC-AIN and AIN-AI<sub>2</sub>OC pseudobinaries. Interdiffusion measurements by Boltzmann-Matano method for AIN-AI<sub>2</sub>OC and SiC-AIN; kinetics of late stages and phase transformation in the AIN-AI<sub>2</sub>OC system; kinetics of early stage coherent spinodal phase separation in the AIN-AI<sub>2</sub>OC and SiC-AIN systems; effect of strain energy on phase separation; computer simulation of morphology of phase separation; potential for nanocomposite formation in the SiC-AIN and AIN-AI<sub>2</sub>OC systems. Samples fabricated by hot-pressing; characterization by TEM and XRD.

# 427. ALUMINA REINFORCED TETRAGONAL ZIRCONIA POLYCRYSTAL (TZP) COMPOSITES

D. K. Shetty, Department of Materials Science and Engineering (801) 581-5449 01-2 \$80,529

Transformation toughening and reinforcement in composites; alumina particle, platelet/whisker or fiber reinforcement of ceria- or yttria-partially stabilized zirconia (Ce-TZP or Y-TZP). Establishment of fiber stress-crack opening relation for crack-bridging in SiC (filament)-glass composites by laser raman spectroscopy. Matrix cracking in SiC-glass and Al<sub>2</sub>0<sub>3</sub>-Y-TZP composites in uniaxial tension. Processing of Y-TZP/Al<sub>2</sub>0<sub>3</sub> platelet composites to improve fracture toughness and strength. Synergistic effects of fiber and transformation toughening in Y-TZP/Al<sub>2</sub>0<sub>3</sub> filament (Saphikon) composites.

# 428. THE SYNTHESIS OF MOLECULE/POLYMER-BASED MAGNETIC MATERIALS

J. S. Miller, Department of Chemistry (801) 585-5455 03-1 \$97,000

The systematic synthesis and chemical characterizations of: glass-like V(TCNE), <sup>y</sup> (solvent) as a function of solvent and replacement of the TCNE with other acceptors using new growth methods, including the growth of single crystals; metal cyclopentadienyl-TCNE complex solid solutions to investigate spin-spin coupling; new magnetic materials based upon metal cyclopentadienyl complexes with various, new acceptor molecules; and new systems exhibiting magnetic ordering, such as monolayers of  $(RNH_{3/2}CrCl_4$ , where R is a long alkyl group capable of self-assembly. Continued collaboration with A. J. Epstein at the Ohio State University.

# 429. TRANSIENT AND CW OPTICAL STUDIES OF CONDUCTING POLYMERS

Z. V. Vardeny, Department of Physics (801) 581-8372 03-2 \$98,940

Study of conducting polymer materials using CW and ultrafast laser spectroscopy. Doped and native polyacetylenes and polythiophenes thin films. Photoexcited electronic states, coupled vibrations, carrier relaxation and recombination processes, resonant Raman spectroscopy. Time-resolved: femtosecond to nanosecond, CW photomodulation spectroscopy, and ultrasonic phonon spectroscopy.

# VIRGINIA COMMONWEALTH UNIVERSITY Richmond, VA 23284-2000

## 430. CLUSTERS AND CLUSTER REACTIONS P. Jena, Physics Department (804) 828-8991

B. K. Rao, Physics Department (804) 828-1820

S. N. Khanna, Physics Department (804) 828-1613 01-3 \$233,271

Theoretical studies of the evolution of atomic and electronic structure of Fe, Cu, NI, and AI neutral and anionic clusters, and on hydrogenation of cluster vs. crystals. Construction of many-body potentials from ab initio Born-Oppenheimer energy surfaces of small clusters and their use in molecular dynamics simulation. Equilibrium geometries of large clusters using the simulated annealing method and model many-body potentials.

# VIRGINIA STATE UNIVERSITY Petersburg, VA 23803

#### 431. CHARACTERIZATION OF SUPERCONDUCTING AND MAGNETIC MATERIALS WITH MUON SPIN ROTATION AND NEUTRON SCATTERING C. E. Stronach, Department of Physics (804) 524-5915 01-3 \$150,447

Use of muon spin rotation to characterize the magnetic states in high temperature and heavy-fermion superconductors. Investigate the relationship between magnetic ordering and superconductivity.

# UNIVERSITY OF VIRGINIA Thornton Hall Charlottesville, VA 22903

## 432. MICROSTRUCTURAL EVOLUTION IN ELASTICALLY STRESSED SYSTEMS

W. C. Johnson, Department of Materials Science and Engineering (804) 982-4884 01-1 \$87,532

Theoretical and computer simulation of microstructural evolution of two-phase systems under stress. The influence of misfit strains and external stress on precipitate shape, size, and distribution. Computer simulation predicting particle alignment, inverse coarsening and raffing during Ostwald ripening.

#### 433. HETEROGENEOUS NUCLEATION IN METAL ALLOYS

G. J. Shiftet, Department of Materials Science and Engineering (804) 982-5653 01-1 \$99,787

Characterize active heterogeneous nucleation sites and preferred growth centers at these sites in metal alloys. Primary experimental techniques include isothermal heat treatments, conventional, and high resolution electron microscopy. Because of what remains a lacuna in simulation of conventional two-beam TEM observations, dynamical calculations are a significant part of the current program. The most fundamental studies will involve coherent nucleation of Al<sub>3</sub>Li on matrix dislocations. Theories due to Cahn and Larche will be tested, and perhaps extended, to understand nucleation kinetics. Growth models will be developed to attempt to understand the unusual morphologies observed.

Semi-quantitative analysis will be applied to grain boundary nucleation in Al-Cu and Al-Cu-Mg systems

to further examine nucleation at grain boundaries with and without trace elements.

#### 434. SURFACE STRUCTURE AND ANALYSIS WITH SCANNING TUNNELING MICROSCOPY AND ELECTRON TUNNELING SPECTROSCOPY R. V. Coleman, Department of Physics (804) 924-3781 02-2 \$71,700 (7 months)

Development of scanning tunneling microscopy-(STM) and atomic force microscopy (AFM) techniques with emphasis toward improving the observation of surface atomic configurations and the measurement of associated electronic states. Particular attention given to techniques which can be applied over a range of temperature, vacuum conditions and applied magnetic fields. Application of STM and AFM to investigation of the Intercalation of transition metal impurities into dichalcogenides and the spatial and magnetic superlattices which result with intercalation. Studies of the detection, creation and manipulation of defects on layered chalcogenides. Investigation of the oxidation processes on Iron surfaces and the etch pits at radiation damage tracks in mica.

# 435. SUPERCONDUCTING MATERIALS

J. Ruvalds, Department of Physics (804) 924-3781 02-2 \$38,800 (8 months)

investigations of high-temperature superconductors with emphasis on copper oxide alloys. The key features of the electron spectrum in these materials will be studied in order to identify the charge carriers. Emphasis will be on quasiparticle damping in view of the anomalous damping observed experimentally and calculated by the principal investigator. Normal state properties of the high temperature oxides will be investigated, including i.e., reflectively, the Hali effect, electronic Raman scattering, and anomolous susceptibility.

# WASHINGTON STATE UNIVERSITY Pullman, WA 99164

436. A STUDY OF TRANSIENT PARTICLE COARSENING J. J. Hoyt, Department of Mechanical and Materials Engineering (509) 335-8654 01-1 \$60,454 (14 months)

Study of the transient particle coarsening in AI-LI alloys. TEM is used to measure the average particle size and size distribution. SAXS is used to determine ratio of second to third moments of the particle size distribution function. Measured time dependence is to be compared to numerous coarsening theories.

#### 437. METAL INDUCED EMBRITTLEMENT R. G. Hoaaland, Department of Mechanicai

and Metallurgical Engineering (509) 335-8280 01-2 \$54,915

Study of embrittlement of metals and alloys by liquid metals. Effects of microstructure and strength on slow crack growth behavior. Fracture path characterization. Calculations of atomic behavior at crack tips. Effect of environment on ductile vs. brittle behavior.

# WASHINGTON UNIVERSITY St. Louis, MO 63130-4899

# 438. QUANTUM-MECHANICAL FORCE LAWS IN TRANSITION METALS, INTERMETALLIC COMPOUNDS, AND SEMICONDUCTORS A. E. Carlsson, Department of Physics

(314) 935-5739 02-3 \$68,358

Development of computation methods for calculation of interatomic potentials used in simplified tight-binding models of transition metals and their alloys. Extension beyond the tight-binding model. Interatomic potentials tested both by experimental data and density-of-states band calculations. Applied to surfaces and vacancies and subsequently used to calculate phase diagrams and the properties of dislocations and grain boundaries.

# UNIVERSITY OF WASHINGTON Seattle, WA 98195

. ...

#### 439. X-RAY AND X-RAY SPECTROSCOPY OF SOLIDS UNDER PRESSURE

R. L. Ingalls, Department of Physics (206) 543-2778 02-2 \$115,187

Investigate the structure and properties of materials at high pressures using X-ray and gamma-ray spectroscopy. Emphasis is on systems undergoing pressure-induced phase transitions such as the bcc-hcp transition in iron, rotational transition in rhenium-tungsten bronze and crystalline-amorphous transition in germanium tetraiodide. Mossbauer studies are aimed at the effect of pressure on the crystal and magnetic fields in iron compounds.

# 440. FORMATION AND PROPERTIES OF SILICON-FLUORITE HETEROSTRUCTURES M. A. Olmstead, Department of Physics (206) 685-3031 02-2 \$60,000

Experimental Investigation of the formation, and the properties, of epitaxial interfaces between elemental semiconductors and compound insulators. Growth morphology of the interfaces studied by X-ray photoelectron diffraction and spectroscopy, atomic force microscopy, transmission electron microscopy, X-ray scattering, and photoelectron holography. The optical, vibrational, and electronic properties of the interfaces probed by photoemission techniques. Consideration of prototype interfaces which are formed by deposition of films of CaF<sub>2</sub> on Si(111) or films of SI on CaF<sub>2</sub>, with film thickness of less than a monolayer to several tens of angstroms. Some extension of the interface studies to related heterostructures and quantum structures.

# 441. NEAR-EDGE X-RAY SPECTROSCOPY THEORY

J. J. Rehr, Department of Physics (206) 543-8593 02-3 \$0 (0 months)

A theoretical-calculational investigation of various deep core x-ray spectroscopies such as X-ray absorption fine structure (XAFS), photoelectron diffraction (PD), and diffraction anomalous fine structure (DAFS). Development, maintenance, and distribution of computer codes to provide a state-of-the-art means to obtain a theoretical mimicry which can be compared with experimental XAFS-type spectra. Important features of the codes are portability and their ease of application to various X-ray spectroscopies. All relevant multiple-scattering and atomic vibrations effects are included in the codes. Special emphasis placed on the theoretical development of improved treatment of many-body and electron self-energy effects with their eventual inclusion into the library of codes, which is important in order to obtain the best possible agreement between calculated and experimental spectra in their near-edge region (less than 100 eV).

# 442. XAFS INVESTIGATION OF PHASE TRANSITIONS

E. A. Stern, Department of Physics (206) 543-2023 02-2 \$77,600

X-ray absorption (XAFS) and Mossbauer studies on phase transitions. Lattice instabilities, defect structures and deviations from average structure will be investigated in high-T<sub>c</sub> superconductors. The nucleation of melting at impurity sites in metals such as Pb and Ag with a variety of impurities will be examined. Structural phase transitions in perovskites will be studied to observe the local structure and determine the phase transition mechanisms.

#### UNIVERSITY OF WISCONSIN AT MADISON 1509 University Ave. Madison, WI 53706

443. THERMODYNAMIC AND KINETIC STABILITIES OF TWO-PHASE SYSTEMS INVOLVING GALLIUM ARSENIDE AND AN INTERMETALLIC PHASE Y. A. Chang, Department of Materials Science and Engineering (608) 262-0389 01-3 \$92,892

Investigate the thermodynamics, kinetics and interface morphologies of reactions between metals and gallium arsenide in the bulk and thin-film forms. Bulk diffusion-couple measurements of M/GaAs and of thin-film diffusion couples with thin-metal films on GaAs substrates. Bulk samples characterized by optical microscopy, SEM, EPMA, and TEM and the thin-film samples primarily by TEM and XTEM and by AES and ESCA. Kinetic data for the bulk samples quantified in terms of ternary diffusion theory. Using the chemical diffusivities obtained from the bulk couples, an attempt will be made to predict the reaction sequences in the thin-film couples. The approach confirmed by its application to a binary metal/silicon system before it is extended to metal/GaAs couples. Rationalize the electrical properties of model-system alloy ohmic contacts to GaAs in terms of the thermodynamic, kinetic and morphological stabilities of these contacts. The Initial system a Co-Ge bilayer/GaAs ohmic contact. Electrical characterization and some phase diagram determination. The aim is to provide a basic understand of the electrical properties of alloy/GaAs contacts in terms of their chemical stabilities.

#### 444. GRAIN-BOUNDARY STUDIES IN IONIC CONDUCTORS

E. E. Hellstrom, Department of Materials Sciences and Engineering

(608) 263-9462 01-3 \$88,380 (14 months)

Investigation of the relationships between ionic conductivity across grain boundaries and the lattice misorientation, structural relaxation, segregation, and space charge at grain boundaries. Model system based on bicrystals and polycrystalline samples of lightly doped CeO<sub>2</sub>. Characterization by AC and DC conductivity techniques, high-spatial-resolution analytical electron microscopy, Auger electron spectroscopy. Modeling of grain boundary segregation and space-charge layer.

#### 445. ELEMENTAL AND MULTILAYER LARGE MOMENT THIN FILM FERROMAGNETS

M. Onellion, Department of Physics (608) 263-6829 02-2 \$60,000 from prior year

Fabrication of magnetic films and heterostructures by metal-organic chemical vapor deposition (MOCVD) and other techniques. Application of the Magneto-Optic Kerr Effect (MOKE) and electron photoemission to the characterization of magnetic films, bilayers, and trilayers of rare-earth and transition metals, and oxide/metal heterostructures. Examination of magnetic exchange within and between layers in heterostructures. Determination of magnitude and orientation of magnetic moments in rare earth thin films and superlattices.

#### 446. MORPHOLOGY AND PROPERTIES OF ION CONTAINING POLYMERS

S. L. Cooper, Department of Chemical Engineering (608) 262-4502 03-2 \$89,725

Synthesis of lonomers with regular placement of ionic groups along the chain. Small angle X-ray scattering techniques used to probe shape, size, and arrangements of ionic aggregates in ionomers. Effect of casting solvent, compression molding and solution casting on morphology. Determination of aggregate dissociation temperature. Anomalous small angle X-ray scattering (ASAXS) to resolve source of zero-angle upturn in scattering intensity. Tensile properties to monitor the dramatic cation influence, the effect of water, trends within a chemical group and the effect of anion type. SANS experiments using deuterated polyols will measure temperature dependence, response to deformation and be interpreted for cation effects.

#### UNIVERSITY OF WISCONSIN AT MILWAUKEE Milwaukee, WI 53201

## 447. UNDULATOR SPECTRO-MICROSCOPY FACILITY AT THE ADVANCED LIGHT SOURCE B. P. Tonner, Department of Physics (414) 229-4626 02-2 \$97,000

This grant supports part of a proposal to design and construct a beamline at the ALS. The DOE portion of the budget will pay for the design construction and commissioning of; (1) a photoelectron diffraction and holography station, (2) a scanning photoemission microscope (SPEM), (3) a fluorescence spectrometer, and (4) a multilayer optics X-ray beam splitter. The SPEM will have a spatial resolution close to the diffraction limit of 200nm. This is 5 times greater than

Universities

the current state of the art instrument. The spectral resolution of the instrument will be a maximum of 0.1 eV. Specifications for the holography and photoelectron diffraction systems will be drawn up, and sent out for bld. Complete ray tracing for the beamline will be performed to determine the optimal configuration for the SPEM optics.

## 448. INELASTIC ELECTRON SCATTERING FROM SURFACES

S. Y. Tong, Department of Physics (414) 229-5765 02-3 \$84,390

Theoretical investigation of the geometric and dynamical properties of surfaces by use of ab initio multiple scattering methods to extract quantitative surface information from state-of-the-art experimental techniques. Exploration of electron and positron diffraction for surface structural studies using a combination of elastic scattering and emission techniques, as well as imaging techniques based on holographic principles. Interpretation of electron-phonon loss spectra to study localized excitations at metal-semiconductor interfaces and ultrathin epitaxial metal layers by use of highly precise first-principles models and inelastic multipel scattering theory. Some studies which deal with the relation between atomic structure and surface magnetism. Close collaboration with experimental programs based at universities and at DOE laboratories.

#### WORCESTER FOUNDATION FOR EXPERIMENTAL BIOLOGY Shrewsbury, MA 01545

449. NOVEL BIOMATERIALS: GENETICALLY ENGINEERED PORES H. Bayley (508) 842-9146 03-2 \$120,280

A section of nanometer-scale pores is being constructed by genetic manipulation of  $\alpha$ -hemolysin ( $\alpha$ HL), a protein secreted by the bacterium Staphylococcus aureus. The single polypeptide chain of 293 amino acids forms hexameric pores in membranes ~11A in internal diameter. Our recent focus has been on the mechanism by which the pore assembles. By analyzing the properties of truncation mutants and two-chain complementation mutants and by studying the chemical modification of single-cystelne mutants, a working model for assembly has been devised. Monomeric  $\alpha$ HL binds to lipid bliayers and undergoes a conformational change (involving the occlusion of a central glycine-rich loop) that allows the formation of a hexameric prepare complex. The open more is formed when subunits in this complex undergo a second conformational change after which they span the bilayer. Our studies identified the regions of  $\alpha$ HL that are important in each step in assembly and thereby have permitted the design of aHL polypeptide in which pore-forming activity is modulated by biochemical, chemical or physical triggers and switches. For example,  $\alpha$ HL polypeptide with modified central loops can be activated by specific proteases or reversibly inactivated by divalent cations. Now, point mutagenesis and chemical modification are being used to create pores with different internal diameters, with selectivity for the passage of molecules and lons, and which are gated by a variety of inputs. Ultimately, the new pores will be used to confer novel permeability properties upon materials such as thin films, which might be used as components of energy conversion and storage devices, selective electrodes, electronic devices, selective electrodes, electronic devices, and ultrafilters. \*Funded collaboratively with Energy Biosciences.

# SECTION C

## Small Business Innovation Research

. . . .

Small Business Innovation Research

#### ADVANCED FUEL RESEARCH, INC. 87 Church Street East Hartfort, CT 06138

450. X-RAY ABSORPTION SPECTROSCOPY FOR TRACE ANALYSIS OF CHEMICAL PHASE AND COMPOSITION D. B. Fenner (203) 528-9806 Phase I \$74,674 (11 months)

X-ray absorption spectroscopy (XAS) based at synchrotron facilities is an established research tool in a variety of fields including biochemistry, catalysis, and materials science. For trace analysis, XAS offers important phase information as well as elemental composition. Commercialization will depend on increased performance of the X-ray detection system. This Phase I project will leverage university expertise and DOE laboratory facilities by transferring improved techniques to the private sector small business. An improved detector system is planned. based on an array of sillcon avalanche photodiodes having the following advantages: (1) operation at or near room temperature, (2) Internal signal gain and lower noise, (3) good efficiency up to ~15 keV, and (4) good energy discrimination. This detector will improve on conventional germanium (Ge) and silicon (lithium) Si(LI) detectors primarily by elimination of the bulky cooling apparatus, thereby reducing the cost and increasing the overall flexibility. Application to analysis of the chemical phase of trace metal compounds in soils will form an early demonstration of the power of this technique. The information gained from this project will also contribute to evaluation of the potential for application of the technology to related areas of X-ray research.

#### 451. AN IN-SITU PARTICLE SENSOR FOR METAL FORMING PROCESSES P. A. Rosenthal

(203) 528-9806 Phase II \$595,070

Numerous processes are being developed for manufacturing advanced composites which incorporate ceramics, polymers, metals and/or metal alloys. The success of these manufacturing processes is critically dependent on the ability to both monitor and control the processing conditions. Of particular interest is the development of powdered metals as a source material for a variety of metal forming processes, such as plasma spray, sintering and hot isostatic pressing. However, a lack of sensors is slowing the development of the powder technology. The Phase II project will continue the development and field testing of a metal powder smart sensor which can be employed in the hot particle forming

plume, in the flowing stream of formed particles, and as part of a sizing system to characterize collected particles. The technique employs Fourier transform infrared (FT-IR) spectroscopy to differentiate the scattering of various particle sizes. FT-IR Scattering (FT-IRS) spectroscopy relies on the wavelength and angular dependence of light scattering, rather than the angular dependence alone as seen in other techniques, in addition, FT-IR emission spectroscopy in combination with FT-IRS offer a unique capability for particle temperature measurements in the plume. In Phase II, improved FT-IR particle analyzers will be developed and field tested. The instrument development will include: adoption of a state-of-the-art high speed FT-IR spectrometer design. to reduce particle noise, coupling of two detectors to expand the useful wavelength range, refined optics to couple the instrument to the metal powder facility, and improved fast data acquisition and analysis algorithms applicable to high-rate forming processes. The instruments will be extensively tested both in the lab and in field tests at the National Institute of Standards and Technology metal powder facility.

#### BIOTRACES, INC. 7986 Lakecrest Drive Greenbelt, MD 20770

#### 452. DEVELOPMENT OF A HIGH SPATIAL RESOLUTION NEUTRON DETECTOR A. K. Drukler

(301) 345-3279 Phase II \$472,688

This project consists of the development of a new class of high spatial resolution neutron detectors based upton superconducting energy sensitive structures. A detector with a few square centimeter area, excellent spatial resolution, and high detection efficiency will be developed. The crucial component of this detector will be a large array (2048 x 2048) of superconducting sensors deposited on the surface of a neutron absorber (e.g. boron, boron nitride, or lithium hydride) which will act as a new neutron-to-alpha particle "converter." Such a detector can attain a spatial resolution of a few tens of microns with a reasonable number (<256) of readout channels. It is believed that a neutron detector with the following parameters can be developed: size - 20 cm x 20 cm; spatial resolution better than 50 microns; detection efficiency - greater than 80% for thermal neutrons; temporal resolution nanoseconds; background - at least thousand-fold suppression of photonic background.

\_\_Small Business Innovation Research

CONTAINERLESS RESEARCH, INC. 910 University Place Evanston, IL 60201

453. AN APPARATUS FOR STRUCTURAL ANALYSIS OF HIGH TEMPERATURE MATERIALS USING SYNCHROTRON RADIATION S. Krishnan (708) 467-2678 Phase | \$74.978

X-ray and neutron scattering are powerful techniques for structural measurements on solids and liquids. Although structural analyses of high temperature materials are important, they have been limited by two sources of error. First, the materials of interest become contaminated by reaction with their containers. Second, the containers produce unwanted scattering and absorption of the probe beams. These errors combine to produce intractable problems in the analysis of data. These problems will be eliminated in this project by applying containerless techniques for X-ray and neutron scattering measurements on high temperature liquids and solids, both metals and ceramics. The methods for achieving containerless conditions will be electromagnetic levitation for metallic materials and conical nozzle levitation for both metals and ceramics. The electromagnetic levitation approach was demonstrated in preliminary neutron scattering experiments. The conical nozzle method was demonstrated in the laboratory on molten aluminum oxide and other ceramics. The main goal of the project is to develop the X-ray analysis of high-temperature materials using the conical nozzle technique with synchrotron radiation. The conical nozzle levitation method will be investigated for metallic and ceramic liquids of Interest during Phase I. The research will include synchrotron scattering experiments on levitated materials of known structure, using the National Synchrotron Light Source. Phase II would provide a portable apparatus for application at synchrotron radiation and neutron beam facilities for structural nnalyses of high temperature materials.

NANOPTICS, INC. 3014 Northeast 21st Way Gainesville, FL 32609

454. A HIGH RESOLUTION SCINTILLATOR-BASED NEUTRON DETECTOR W. Y. Chol (904) 378-6620 Phase II \$600,000

A new transparent scintillator material with high Boron 10 content has been developed which has superior characteristics for neutron detection systems. The materials has 15 times the thermal neutron absorption efficiency compared to an existing available plastic scintillator. The new scintillator is also brighter than the existing scintillator and equally fast in its time response. The high neutron absorption efficiency will permit the use of much thinner plates of plastic scintillator which will reduce parallax errors in position resolution. Due to the very high neutron absorption per unit length in the new plastic, the gamma-ray background is correspondingly reduced because of the thinner plates which are required. This project will develop the capability to fabricate large, thin, uniform thickness plates of the plastic scintillator. To provide the highest spatial resolution, fiber optic type plates will also be fabricated. In this way, spatial resolution of approximately 8 microns will be achieved. Three prototype detectors will be constructed. The advantages of high spatial resolution, high neutron detection efficiency, high brightness, and high counting rate of the new scintillator will permit more effective use of the new high flux neutron facilities coming into operation worldwide.

#### RADIATION MONITORING DEVICES 44 Hunt Street Watertown, MA 02172

455. A NOVEL DETECTOR FOR NEUTRON DIFFRACTION STUDIES G. Entine (617) 926-1167 Phase I \$75,000

The objective of this project is to develop a new type of large area position sensitive neutron detector for use in diffraction studies. The detector would use a liquid crystal sensing mechanism to provide a large area high resolution (comparable to photographic methods) detector which would be inexpensive to produce. To demonstrate the feasibility of this concept in Phase I, several technical areas need to be examined. First, research will be carried out on modifying the solution chemistry of the liquid crystal systems to determine if neutron sensitive boron compounds can be incorporated. Second, the electro-optic characteristics of candidate liquid crystal systems will be examined, and finally, the modified liquid crystal systems will be tested for neutron sensitivity. Phase II of this continue the research and examine the fabrication of prototype position sensitive arrays. The detector would provide substantially increased performance at lower cost than existing detectors used in neutron diffraction studies.

X-RAY ANALYTICS, LTD. P. O. Box 678 Upton, NY 11973

#### 456. AN ANALYTICAL RESEARCH MATERIALS CHARACTERIZATION FACILITY BASED ON SYNCHROTRON RADIATION K. L. D'Amico

(708) 887-9941 Phase | \$74,710

This work represents an effort to establish a materials characterization facility at a synchrotron radiation source which can be utilized on a fee-for-service, pay-as-you go basis by industrial research, development, and production organizations. The ultimate outcome of the program is the existence of a beamline facility dedicated to both analytical service and contract research. The Phase I effort will be to identify the materials and the analytical problem that can be addressed with a synchrotron radiation technique. This technique will be the basis for the beamline capabilities. Experiments will be performed on the materials to demonstrate that routine results can be obtained. The potential for scale-up will be addressed, with the goal of showing that the measurements can be done routinely at an existing synchrotron radiation source. A conceptual design for a prototype beamline which is optimized for routine analytical measurements will be developed.

#### X-RAY INSTRUMENTATION ASSOCIATES 1300 Mills Street Menio Park, CA 94025

457. DIGITAL PROCESSING ELECTRONICS FOR X-RAY DETECTOR ARRAYS W. K. Warburton (415) 903-9980 Phase II \$500,000

Many areas of synchrotron radiation investigation are severely detector limited, particularly in cases where single photon, energy dispersive counting is required. Because the maximum count rate for a single detector at a given energy resolution is limited, arrays of detectors are being constructed to increase total count rates. This approach is presently restricted by the cost, physical size, and complexity of the required analog processing electronics. In Phase I, detailed studies were performed, investigating the possibility of developing digital processing electronics based on concepts of digital signal processing. By both hardware and software modeling studies, it was shown that it is possible to match the resolution of current analog systems while increasing maximum throughput by a factor of 2, decreasing costs by factors of 4 to 8, and reducing physical size by an order of magnitude. If these gains can be demonstrated in practice, then arrays with 100 or more channels will become practical. Phase II will address the issues involved in physically realizing a digitally based signal processing system. The work is particularly directed toward resolving issues of signal preconditioning, constructing a hardware filter and control system, and demonstrating a route to cost effective commercialization. A successful project will result in a working demonstration unit.

\_Small Business Innovation Research

#### X-RAY OPTICAL SYSTEMS 1400 Washington Avenue Albany, NY 12222

#### 458. A COLD/THERMAL BEAM BENDER USING CAPILLARY OPTICS TO INCREASE THE NUMBER OF END-GUIDE INSTRUMENT POSITIONS Q. XIao (518) 442-5250 Phase II \$500,000 (11 months)

The use of promising non-destructive cold and thermal neutron material analysis techniques has been hindered by the limited availability of high-flux sources of low-energy neutrons. This project will develop neutrons benders which would transmit a wide wavelength range of cold and thermal neutrons through a small radius of curvature, enabling the creation of several and positions on a single guide. This neutron optics utilizes glass capillaries with small diameter channels through which the neutrons make multiple reflections at less than the critical angle of reflection. Phase I demonstrated high transmission efficiency through individual polycapillaries which were over 50 cm long an deflected the beam by more than 10°. Computer simulations and experimental results were In very good agreement for borosilicate glass. The design and construction of a neutron bender for Prompt Gamma Activation Analysis (PGAA) and Small Angle Neutron Scattering (SANS) was determined to be feasible. Phase II will develop and test full size prototypes customized for prompt gamma activation analysis and small-angle neutron scattering. The optics design will be tailored to meet the application requirements: providing filtration of gamma rays and epithermal neutrons, collimating the beam, and/or focusing the beam for good spatial resolution. Phase II will involve collaboration with experienced personnel from multiple reactor site.

# SECTION D

DOE Center of Excellence for the Synthesis and Processing of Advanced Materials

Center of Excellence

## DOE CENTER OF EXCELLENCE FOR THE SYNTHESIS AND PROCESSING OF ADVANCED MATERIALS

## OVERVIEW

The DOE Center of Excellence for the Synthesis and Processing of Advanced Materials is a distributed center for promoting coordinated, cooperative research partnerships related to the synthesis and processing of advanced materials. It was established by DOE's Division of Materials Sciences, Office of Basic Energy Sciences and the DOE Laboratories in recognition of the enabling role of materials synthesis and processing to numerous materials fabrication- and manufacturing-intensive technologies, and thereby to economic competitiveness. The participants include investigators from 12 DOE national laboratories, universities and the private sector. The Center has an industrial perspective which is provided by an Industrial Steering Group. A set of performance measures for the Center is being developed.

The initial emphasis of the Center is on seven focused multilaboratory projects which draw on the complementary strengths of the member institutions in their ongoing research programs. These seven projects were selected on the basis of the following criteria: (1) scientific excellence, (2) clear relationship to energy technologies, (3) involvement of several iaboratories, (4) strong existing or potential partnerships with DOE Technologies-funded programs, and (5) strong existing or potential partnerships with industry.

The seven projects are: (1) Conventional and Superplastic Metal Forming, (2) Materials Joining, (3) Nanostructured Materials for Energy Applications, (4) Microstructural Engineering with Polymers, (5) Tailored Microstructures in Hard Magnets, (6) Processing for Surface Hardness, and (7) Mechanically Reliable Surface Oxides for High Temperature Corrosion Resistance.

In recognition of the importance of materials synthesis and processing (S&P) to present and future technologies, the Division of Materials Sciences and the DOE Laboratories established the "DOE Center of Excellence for the Synthesis and Processing of Advanced Materials." This Center, which is a distributed center concept having no fixed facility, is a coordinated, cooperative venture among the following laboratories: Ames Laboratory (Ames), Argonne National Laboratory (ANL), Brookhaven National Laboratory (BNL), Idaho National Engineering Laboratory (INEL), University of Illinois Seltz Materials Research Laboratory (UI/MRL), Lawrence Berkeley Laboratory (LBL), Lawrence Livermore National Laboratory (LINL), Los Alamos National Laboratory (LANL), National Renewable Energy Laboratory (NREL), Oak Ridge National Laboratory (ORNL), Pacific Northwest Laboratory (PNL), and Sandia National Laboratories (SNL). The Center also includes appropriate university grant research.

#### Objective

The overall objective of the Center is,

\*To enhance the science and engineering of materials synthesis and processing in order to meet the programmatic needs of the Department of Energy and to facilitate the technological exploitation of materials.\*

Synthesis and processing are those essential elements of materials science and engineering (MS&E) that deal with (1) the assembly of atoms or molecules to form materials, (2) the manipulation and control of the structure at all levels from the atomic to the macroscopic scale, and (3) the development of processes to produce materials for specific applications. Clearly, S&P represent a large area of MS&E that spans the range from fundamental research to technology. The goal of basic research in this area ranges from the creation of new materials and the improvement of the properties of known materials, to the understanding of such phenomena as diffusion, crystal growth, sintering, phase transitions, etc., in relation to S&P. On the applied side, the goal of S&P is to translate scientific results into useful materials by developing processes capable of producing high quality, low-cost products.

The Center's emphasis is on the elucidation and application of fundamental S&P principles directed toward the rapid improvement or development and ultimate utilization of advanced materials. One key to successful processing is process control. Advances in modeling, sensor technology, in-situ diagnostics and computer interfacing are opening new vistas for real-time process control and optimized equipment designs. Implementing these advances requires an interdisciplinary science and engineering approach involving integration of basic and technology-oriented programs and enhanced cooperation between the laboratories, universities and DOE technology and industry partners.

In order to meet the overall objective in the context of the emphasis described above, the Center has the following specific objectives:

- Develop synthesis and processing methodologies to control structure, and thereby materials properties, from the atomic to the macroscopic scale.
- 2) Discover and develop high-payoff, advanced materials.
- 3) Reduce the time span and cost, including responsiveness to environmental and energy concerns, for the commercialization of materials by integrating fundamental scientific principles with the concurrent development of synthesis and processing in collaboration with the DOE technologies and industry.

#### The Center's Industrial Steering Committee

An industrial Steering Group (ISG) for the Center has been established. The role of ISG is to become familiar with the Center's technical activities and comment on their value to industry, provide information from an industrial perspective, help identify technological barriers, influence the direction of the Center's programs, and help develop ideas which can make the Center more effective.

Current ISG membership is as follows:

#### Member

- Dr. Thomas R. Anthony (GE) Dr. Uma Chowdhry (DuPont)
- Dr. Thomas C. Clarke (IBM-Almaden)
- Dr. David W. Johnson, Jr. (AT&T Bell Labs)
- Dr. Hylan B. Lyon, Jr. (Marlow Industries)
- Dr. Nell E. Paton (Howmet)
- Dr. John Stringer (EPRI)

#### Center Performance Measures

Specialty

Emerging Materials and Processes Ceramics Polymers, Electronic Materials Ceramics Emerging Materials and Processes Metals and Alloys Metals and Alloys

A small project has been set up to develop performance measures for the Center. The project, under the direction of Dr. Gretchen Jordan of Sandia National Laboratories, will research methods for annual performance measurement that capture progress and socio-economic impacts in addition to measures of the quality of science. A model will be documented for possible transfer to other BES activities.

#### Materials and Processes Focus of the Center

The current emphasis of the Center is on seven recently developed focused multilaboratory projects which draw on the complementary strengths of the member institutions in their ongoing research programs. These seven projects were selected from among many potential choices on the basis of the following criteria:

- Scientific excellence
- Clear relationship to energy technology
- Involvement of several laboratories
- Strong existing or potential partnerships with DOE Technologies
- Strong existing or potential partnerships with industry.

Thus, the emphasis of the projects is on technological relevance and the potential for making near term impact. Each of the projects is coordinated by an appropriate representative from one of the participating institutions. The overall Center coordinator is:

George A. Samara: (SNL/NM) Phone: (505) 844-6653 Fax: (505) 844-4045

A brief description of each projects follows:

1. Conventional and Superplastic Metal Forming

Participating Labs:	Ames, LBL, LLNL, LANL, ORNL, PNL, SNL/CA
Coordinator:	W. G. Wolfer (SNL/CA)
Phone:	(510) 294-2307
Fax:	(510) 294-3231
(Included activities:	7, 118, 145, 153, 168, 195, 213)

This project is motivated by the goal of improving fuel efficiency in transportation systems. Achieving this goal requires the use of light weight structural materials which in turn necessitates consideration of aluminum alloys. Unfortunately, compared to steels, AI alloys are more difficult to form and exhibit rougher surfaces after forming.

The major objective of the project is to concentrate on those aspects of deformation mechanisms which control formability in conventional metal forming and which contribute to superplasticity in fine-grained materials. Because of the desired impact of this research on automotive technology, the research will be carried out on alloys based on the AI-Mg and AI-Cu systems. The intent of the research is to advance the understanding of the compositional and microstructural aspects which improve formability for conventional forming on the one hand, and which lead to superplasticity on the other hand. The results of this research are expected to assist the materials industry in the development and improvement of aluminum alloys for high-rate forming applications, and to contribute to efforts in the automotive sector to overcome some of the present limitations encountered in the use and in forming of aluminum sheet metal for automotive components.

2. Materials Joining

Participating Labs:Ames, INEL, LBL, LLNL, ORNL, PNL, SNL/CA, SNL/NMCoordinator:R. B. Thompson (Ames)Phone:(515) 294-9649Fax:(515) 294-4456(Included activities:2, 6, 7, 21, 63, 119, 168, 172, 196)(Related activities:382)

Materials joining is an enabling technology in virtually all industrial sectors, and often the reliability of joints is the factor that limits performance. Welding is an old technology, but weld failures are common and some technologically important materials such as aluminum alloys are difficult to weld. Advanced high temperature ceramics have tremendous potential in energy and related technologies, but there are no reliable methods of joining them. These realities provide the motivation for this project.

The project consists of two tasks. The first entitled "The effects of Gradients on Weld Reliability and Performance," will use advances in experimental, analytical and computational tools to develop an integrated and quantitative understanding of the origin and extent of gradients in composition, stress, microstructure and properties which occur during various welding processes. Strategies will also be developed to control these gradients which are often the cause of failure. Initial emphasis is on Al-Cu alloys and on Fe-nl-Cr alloys. The second task, "*Ceramics and Dissimilar Materials Joining*," focuses on critical issues in the non-welding Joining area which include property mismatch between members to be joined; use temperature limitation; poor wetting, adhesion and/or chemical bonding; and manufacturing and/or joint reliability. Some of the initial emphasis is on silicon carbide joining, an area of strong interest to the Fossil Energy program. This part of the work will be done in collaboration with research sponsored by the Office of Advanced Research, Fossil Energy program.

#### 3. Nanoscale Materials for Energy Applications

Participating Labs:	ANL, LBL, LLNL, UI/MRL, NREL, ORNL, SNL/NM
Coordinators:	D. S. Chemia/M. Alper (LBL)
Phone:	(510) 486-6581
Fax:	(510) 486-7768
(Included activities:	58, 66, 92, 136, 139, 146, 187, 211)
(Related activities:	430)

Nanosize materials offer exciting opportunities in many areas of technology. These materials have properties very difference from those of the bulk and the surface, and are also unlike those of individual atoms or molecules. They are expected to have size-dependent electronic. optical, mechanical, surface (lubricative, adhesive, hardness), magnetic and energy storage and transfer characteristics. Some of these properties result from quantum size effects which appear when the dimensions of a system become comparable with or smaller than the wavelength of the corresponding quantum excitations in the bulk. Other properties results from altered surface, magnetic, and storage characteristics. 3D networks of these materials, besides enhanced connectivity enabling integration of functions, can exhibit collective responses difference from those of the constituents.

Consistent with what are believed to be the most pressing needs to advance and utilize this new area of materials science, the project consists of three closely related tasks:

- synthesis and processing for controlled size,
- surface passivation and interface properties, and
- interconnections and assemblies.

Metal, semiconductor and oxide clusters are of interest, and the synthesis routes being pursued include inverse micelles, arrested precipitation, ball milling, colloidal dispersions, ion implantation, gas condensation, sputtering and sol-gel methods. Initial targeted applications are catalysis, optoelectronics and soft magnets.

#### 4. Tailored Microstructures in Hard Magnets

Participating Labs:	Ames, ANL, BNL, INEL, LBL, LLNL, LANL, ORNL
Coordinator:	Bob Dunlap (ANL)
Phone:	(708) 252-4925
Fax:	(708) 252-4798
(Included activities:	1, 2, 7, 28, 117, 119, 152, 165, 169, and new initiatives at BNL, INEL, and LLNL)
(Related activities:	12, 92, 251, 280, 339, 390)

Improvements in the properties of permanent (or hard) magnetic material can lead to lighter, more efficient and longer life motors for energy, transportation and many other industries. A figure of merit for permanent magnet materials is the maximum energy product, W. In some of the best current commercial materials W is <50% of its theoretical value. The problem is generally attributed to a lack of understanding of the role of microstructure in determining magnetic properties. Other limitations of current commercial magnetic materials are relatively poor mechanical and corrosion-resistant properties. These properties are also determined largely by microstructure.

The overall objective of this project is to improve hard magnets by understanding, in terms of the microstructures achieved, the magnetic and mechanical properties of materials produced by a number of synthesis and processing (S&P) approaches.

Initial focus is on the technologically important material  $Nd_2Fe_{14}B$  as a model system. Specifically, this material will be produced in single crystal, powder, bulk and thin film forms and characterized by state-of-the-art tools. The microstructures developed by the different S&P methods will be compared and modeled. The relationship between microstructure and domain wall pinning, magnetic properties and mechanical properties will be determined. The ultimate goal is to identify S&P approaches which optimize material properties for specific applications.

#### 5. Microstructural Engineering with Polymers

Participating Labs:	Ames, BNL, INEL, UI/MRL, LBL, LLNL, PNL, SNL/NM
Coordinator:	Gregory J. Exarhos (PNL)
Phone:	(509) 375-2440
Fax:	(509) 375-2186
(included activities:	21, 60, 107, 134, 190, 193, 194)
(Related activities:	89, 96, 104, 174, 244, 291, 299, 325, 338, 364, 392, 422, 423)

The manipulation of polymer chain structure underlies the current, "age of polymers." Although discoveries of new polymers continue, it is widely recognized that emerging technologies will use multiphase polymers, structurally designed to achieve properties inaccessible by simple, single-component materials. These materials will exploit phase separation phenomena, restricted to submicron scales by clever design of precursors and processing protocol. This project seeks to establish the scientific basis for tailoring such structurally engineered materials.

The goal of this project is to develop new multiphase materials that retain the processability of organic polymers but share the properties normally associated with inorganic materials. The project seeks a foundation for multiphase polymers similar to the knowledge base in small-molecule chemistry that underlies the current generation of commodity polymers. Initial emphasis will be on the development of advanced synthesis and processing approaches for mesostructured polymers, polymer blends and polymer ceramic/glass composites.

#### 6. Processing for Surface Hardness

Participating Labs:	LBL, LLNL, LANL, ORNL, SNL/CA, SNL/NM
Coordinator:	J. B. Roberto (ORNL)
Phone:	(615) 576-0227
Fax:	(615) 574-4143
(Included activities:	6, 38, 39, 48, 164, 186, 204, 212)
(Related activities:	358, 360)

There exists a broad range of applications for which the ability to produce an adherent, hard, thin, wearresistant coating plays a vital role. These applications include engine and machine components, orthopedic devices, textile manufacturing components, hard disk media, micromachined, sensors and actuators, optical coating, and cutting and machining tools (e.g., punches, taps, scoring dies, and extrusion dies). Emphasis is being placed on development and improvement of processes which are environmentally benign and which provide flexible control over the surface structure and chemistry.

Plasma-based processing is an important component of processes used for the applications listed above. The ability to provide flux, energy, and temporal control of a variety of ions, which is characteristic of plasmabased processing, provides the means to tailor surface hardness and other tribological properties.

The goal of the project is to address critical issues which limit the use of plasma-based processing for surface hardness. Initial emphasis is on plasma ion immersion processing (PHP), a relatively inexpensive non-line-of-sight-implantation process capable of treating complex-shaped targets without complex fixturing, and on boron-based superhard coatings where the focus is on cubic boron nitride and boron suboxides.

#### 7. Mechanically Reliable Surface Oxides for High-Temperature Corrosion Resistance

Participating Labs:	ANL, INEL, LBL, LLNL, ORNL
Coordinator:	Linda L. Horton (ORNL)
Phone:	(615) 574-5081
Fax:	(615) 574-7659
(Included activities:	26, 63, 64, 119, 144, 164, 167)
(Related activities:	6, 168, 190, 203, 273, 307, 331, 333, 351, 380)

Protection from corrosion and environmental effects arising from deleterious reactions with gases and condensed products is required to fully exploit the potential of advanced high-temperature materials designed to improve energy efficiency and minimize deleterious environmental impact. The resistance to such reactions is best afforded by the formation of stable surface oxides that are slow growing, sound, and adherent to the substrate and/or by the deposition of coatings that contain or develop oxides with similar characteristics. However, the ability of brittle ceramic films and coatings to protect the material on which they are formed or deposited has long been problematical, particularly for applications involving numerous or severe high temperature thermal cycles or very aggressive environments. This lack of mechanical reliability severely limits the performance or durability of alloys and ceramics in many high-temperature industrial applications on the growth and adherence of protective oxide scales on metallic substrates are well known, but satisfactory broad understandings of the mechanisms by which scale properties and coating integrity (that is, corrosion resistance) are improved by compositional, microstructural, and processing modifications are lacking.

The objective of this task is to systematically generate the knowledge required to establish a scientific basis for the design and synthesis of improved (slow growing, adherent, sound) protective oxide coatings and scales on high temperature materials without compromising the requisite bulk material properties. Specific objectives are to (1) systematically investigate the relationships among substrate composition and properties and scale/coating failure, and (3) identify conditions leading to more damage-tolerant coatings and scales that are amenable to legitimate synthesis routes. The initial emphasis is on alumina scales and coatings, and the work will be co-sponsored by the Office of Advanced Research, Fossil Energy Program. Some of the work in the project is also in collaboration with the Electric Power Research Institute (EPRI).

# SECTION E

Major User Facilities

(Large Capital Investment)

Major Facilities

## INTENSE PULSED NEUTRON SOURCE

#### Argonne National Laboratory Argonne, Illinois 60439

IPNS is a pulsed spallation source dedicated to research on condensed matter. The peak thermal flux is 4 x 10<sup>14</sup> n/cm<sup>2</sup> sec. The source has some unique characteristics that have opened up new scientific opportunities:

- high fluxes of epithermal neutrons (0.I-10 eV)
- pulsed nature, suitable for real-time studies and measurements under extreme environment
- white beam, time of flight techniques permitting unique special environment experiments

Two principal types of scientific activity are underway at IPNS: neutron diffraction, concerned with the structural arrangement of atoms (and sometimes magnetic moments) in a material and the relation of this arrangement to its physical and chemical properties, and inelastic neutron scattering, concerned with processes where the neutron exchanges energy and momentum with the system under study and thus probes the dynamics of the system at a microscopic level. At the same time, the facilities are used for technological applications, such as stress distribution in materials and characterization of zeolites, ceramics, polymers, and hydrocarbons.

#### USER MODE

IPNS is available without charge to qualified scientists doing fundamental research. Selection of experiments is made on the basis of scientific merit by a Program Committee consisting of eminent scientists, mostly from outside Argonne. Scientific proposals (4 pages long) are submitted twice a year and judged by the Program Committee. Full details, Including a User's Handbook, Proposal and Experimental Report Forms, can be obtained from the Scientific Secretary, IPNS, Building 360, Argonne National Laboratory. Neutron time for proprietary research can be purchased based on the full-cost recovery rate.

#### PERSONS TO CONTACT FOR INFORMATION

B. S. Brown, Division Director Argonne National Laboratory IPNS Building 360 9700 South Cass Avenue Argonne, IL 60439 (708) 252-4999 FAX (708) 252-4163 E-mail: bsbrown@anl.gov

Scientific Secretary

(708) 252-6600

.

## **IPNS EXPERIMENTAL FACILITIES**

Instrument	Range		Resolution		
(Instrument Scientist)	Wave-vector* (Å <sup>-1</sup> )	Energy (eV)	Wave-vector (Å <sup>.1</sup> )	Energy (eV)	
Special Environment Powder Diffractometer (J. D. Jorgensen/R. Hitterman)	0.5-50	••	0.35%	••	
Seneral Purpose Powder Diffractometer J. Richardson/R. Hitterman)	0.5-100	••	0.25%	••	
Single Crystal Diffractometer A. J. Schultz/R. Goyette)	2-20	**	2%	**	
.ow-Res. Medlum-Energy Chopper Spectrometer (R. Osborn/L. Donley)	0.1-30	0-0.6	0.02 k	0.05 E	
High-Res. Medium-Energy Chopper Spectrometer (CK. Loong/J. Hammonds)	0.3-9	0-0.4	0.01 K	0.02 E	
Small Angle Diffractometer 2. Thiyagarajan/D. Wozniak)	0.006-0.35	**	0.004	••	
ow-Temperature Chopper Spectrometer (P. E. Sokol - Penn State University, (814) 863-0528)	0.3-30	0.1-0.8	0.01 K	0.02 E <sub>o</sub>	
Polarized Neutron Reflect. (POSY) G. P. Felcher/R. Goyette)	0.0-0.07		0.0003	••	
Neutron Reflect. (POSY II) G.P. Felcher/R. Goyette)	0.0-0.25	••	0.001	**	
Quasi-Elastic Neutron Spectrometer Spectrometer F. Trouw)	0.42-2.59	0-0.1	~0.2	70 μອV <sup>↔</sup> 0.01ΔΕ	
Glass, Liquid and Amorphous Materials Diffractometer*** D. L. Price/K. Volin)	0.05-25 01-45	 	~0.5% cot9 ~1.0% cot9	••	
ligh Intensity Powder Diffractometer F. Trouw)	0.5-25 1.8-50	••	1.8-3.5% 0.9%	**	
<ul> <li>Wave-vector, k = 4πsinθ/λ.</li> <li>No energy analysis.</li> <li>Two sample positions</li> <li>Elastic and inelastic resolution</li> </ul>					

Not Yet in the User Program Small Angle Neutron Diffractometer (SAND, under development)

Major Facilities

## HIGH FLUX BEAM REACTOR

#### Brookhaven National Laboratory Upton, New York 11973

The Brookhaven High Flux Beam Reactor (HFBR) presently operates at a power of 30 megawatts and provides an intense source of thermal neutrons (total thermal flux =  $0.5 \times 10^{15}$  neutrons/cm<sup>2</sup>-sec). The HFBR was designed to provide particularly pure beams of thermal neutrons, uncontaminated by fast neutrons and by gamma rays. A cold source (liquid hydrogen moderator) provides enhanced flux at long wavelengths ( $\gamma > 4$  Å). A polarized beam spectrometer, triple-axis spectrometers and small-angle scattering facilities are among the available instruments. Special equipment for experiments at high and low temperatures, high magnetic fields, and high pressure is also available. The emphasis of the research efforts at the HFBR has been on the study of fundamental problems in the fields of solid state and nuclear physics and in structural chemistry and biology.

#### USER MODE

Experiments are selected on the basis of scientific merit by a Program Advisory Committee (PAC), composed of the specialists in relevant disciplines from both within and outside BNL. Use of the facilities is divided between Participating Research Teams (PRT's) and general users. PRT's consist of scientists from BNL or other government laboratories, universities, and industrial labs who have a common interest in developing and using beam facilities at the HFBR. In return for their development and management of these facilities, each PRT is assigned up to 75 percent of the available beam time, with the remainder being reserved for general users. The PAC reviews the use of the facilities by the PRT's and general users and assigns priorities as required.

A limited amount of funding will be available to scientists from U.S. institutions of higher education under the NSLS-HFBR Faculty/Student Support Program. The program is designed to defray expenses incurred by faculty/student research groups performing experiments at the National Synchrotron Light Source or at the HFBR. It is almed at university users having limited grant support for their research, and will be used to support only the most deserving cases.

#### PERSON TO CONTACT FOR INFORMATION

Rae Greenberg Brookhaven National Laboratory Building 510A P. O. Box 5000 Upton, NY 11973-5000 (516) 282-5564 Fax (516) 282-5888

\_Major Facilitles

### HIGH FLUX BEAM REACTOR (continued)

#### TECHNICAL DATA

#### **INSTRUMENTS**

5 Triple-axis Spectrometers (H4M, H4S, H7, H8, H9A)

Small Angle Neutron Scattering (H9B)

Diffractometer (H3A)

Small Angle Scattering (H3B)

2 Diffractometers (H6S, H6M)

1 Triple-axis Spectrometer (H5)

2 Spectrometers (H1A, H1B)

Neutron Reflectometer

High Resolution Neutron Powder Diffractometer. (H1A1)

#### Irradiation Facilities

7 Vertical Thimbles

#### PURPOSE AND DESCRIPTION

Inelastic scattering; diffuse scattering; powder diffractometer; polarized beam. Energy range: 2.5 MeV,  $< E_o < 200$  MeV Q range: 0.03  $< Q < 10_{A-1}$ ,

Studies of large molecules. Located on cold source with 50 x 50 cm<sup>2</sup> position-sensitive area detector. Sample detector distance L < 2 meter. Incident wavelength 4 Å <  $\lambda_o$  < 10 Å

Protein crystallography. 20 x 20 cm<sup>2</sup> area detector,  $\lambda_o = 1.57$  Å

Studies of small angle diffraction of membranes. Double multilayer monochromator 1.5 Å <  $\lambda$  < 4.0 Å 2D detector with time silcing electronics and on-line data analysis.

Single-crystal elastic scattering 4-circle goniometer 1.69 Å <  $\lambda_{\rm p}$  < 0.65 Å

Inelastic scattering Diffuse scattering Powder diffractometry

Neutron capture studies

Accommodates liquid or solid samples up to 40 cm long. .0025Å<sup>-1</sup> $\leq$ Q $\leq$ 0.25Å<sup>-1</sup> with resolution 1 x 10<sup>-3</sup>Å<sup>-1</sup>. Reflection range 1-10<sup>-6</sup>

Determination of moderately complex crystalline structures.  $\lambda = 1.88$ Å,  $\Delta d/d = 5 \times 10^{4}$ Ge(511) vertical focussing Energy range: 0.025 eV < E<sub>a</sub> < 25 KeV

Neutron activation; production of isotopes; thermal flux:  $8.3 \times 10^{14}$  neutrons/cm<sup>2</sup>-sec; fast (> 1.0 MeV) flux:  $3 \times 10^{14}$  neutrons/cm<sup>2</sup>-sec.

Major Facilities

## NATIONAL SYNCHROTRON LIGHT SOURCE

Brookhaven National Laboratory Building 725B, P.O. Box 5000 Upton, New York 11973-5000

The National Synchrotron Light Source (NSLS) is the largest facility in the U.S. dedicated to the production of synchrotron radiation. Funded by the Department of Energy as a user facility, construction on the NSLS began in 1977 with VUV Ring operation commencing in 1982 and X-Ray Ring operation in 1984. Since then, the facility has undergone a major 4-year upgrade and is continually improved to take advantage of the latest technology in storage rings, beamline optics, and insertion devices.

The NSLS operates two electron storage rings producing high brightness synchrotron radiation in the infrared, visible, ultraviolet, and X-ray regions of the electromagnetic spectrum. Insertion devices installed in the straight sections of the rings provide radiation that is anywhere from one to several orders of magnitude brighter than the radiation from bending magnets. The VUV Ring operates at 750 MeV with a critical energy of 486 eV. It has 17 beam ports split into 27 experimental stations, or beamlines, and also supports two insertion devices. The X-Ray Ring operates at 2.5 GeV, 250 mA, with a critical energy of about 5 keV. It has a total of 30 beam ports split into 56 beamlines and currently supports 5 insertion devices: two undulators, a superconducting wiggler, and two hybrid wigglers. There are also a number of beamlines devoted to machine diagnostics and R&D. The NSLS facility has user laboratories and a wide range of research equipment for basic and applied studies in condensed matter, surface science, photochemistry and photophysics, lithography, crystallography, small-angle scattering, metallurgy, X-ray microscopy, topography, etc. Detailed information about beamline research programs, experimental apparatus, and optical configurations is available from the NSLS User Administration Office.

#### USER MODES

Over 2,228 scientists from more than 400 institutions were registered as NSLS users during 1994. The NSLS is a national user facility available without charge to university, industrial, national laboratory, and government users. In addition, a program is available to assist faculty/student research groups who have limited grant support and wish to defray travel expenses to the NSLS. Proprietary work can be done on a full cost recovery basis with the option to retain title to inventions resulting from research at the NSLS.

There are several ways of using NSLS experimental facilities. A large fraction of the beamlines have been designed and constructed by Participating Research Teams (PRTs). PRTs are comprised of one or more research teams from industry, universities, and other laboratories with large, long-range programs which have been approved by the NSLS Scientific Advisory Committee (SAC). The PRT members are given priority for up to 75% of their beamline's operational time, and their programs are reviewed by the SAC every 3-years. Peer-reviewed General User proposals are scheduled on both PRT beamlines and on beamlines built by the NSLS for the general community. The NSLS facility operates throughout the year with beam time scheduled in 4-month cycles. Deadlines for General User proposals are September 30, January 31, and May 31. Information about submitting research proposals, becoming a PRT, or applying for financial assistance may be obtained from the NSLS User Administration Office.

#### PERSON TO CONTACT FOR INFORMATION

Eva Z. Rothman, User Administrator NSLS Bldg. 725B Brookhaven National Laboratory P.O. Box 5000 Upton, NY 11973-5000 (516) 282-7114 Fax (516) 282-7206

E-mail: ezr@bnl.gov, bnl::ezr, ezr@bnl.bltnet

## NATIONAL SYNCHROTRON LIGHT SOURCE (continued)

### NSLS TECHNICAL DATA

#### STORAGE RINGS

VUV electron X-ray electron KEY FEATURES

17 ports; Ec - 25.3 angstroms; 0.745 GeV electron energy 30 ports; Ec - 2.48 angstroms; 2.584 GeV electron energy

WAVELENGTH RANGE (Angstroms)	ENERGY RANGE (øV)	NUMBER OF BEAMLINES	
0.35 - 2480	5 - 35,000	24	
10.3 - 5904	2.1 - 1200	2	
1 - 10,000 m WB; 0.12 - 1.24	0.124 - 1240 meV WB; 10,000 - 100,000	2 2	
WB; 0.12 - 6.20	WB; 2000 - 100,000	15	
WB; 0.12 - 1.24 WB; 0.12 - 3.10 WB; 0.12 - 3.10 10 - 80 WB; 0.41 - 3.10	WB; 10,000 - 100,000 WB; 4000 - 100,000 WB; 4000 - 100,000 155 - 1240 WB; 4,000 - 30,000	2 3 3 1 2	•••••••••••••••••••••••••••••••••••••••
1 - 10,000 m	0.124 - 1240 meV	2	
124 - 4133	3 - 100	1	·
	80 - 400 (meV)	1	· .
2.10 - 6200	2 - 5900	19	. •• .
2.10 - 4133	3 - 5900	3	
WB; 0.41 - 3.10	WB; 4,000 - 30,000	6	
WB; 8.27 - 248	WB; 50 - 1500	1 .	
0.66 - 5.90 0.36 - 6.20	2100 - 18,800 2000 - 34,000	2 4	
WB; 0.12 - 3.10 0.21 - 6.20	WB: 4000 - 100,000 2000 - 59,400	4 7	· . ·
WB; 0.62 - 6.89	WB; 1800 - 20,000	2	
WB; 0.48 - 6.20	WB; 2000 - 26,000	10	
1393 - 5904	2.1 - 8.9	1	
WB; 8.27 - 6200	WB; 2 - 1500	2	
2.48 - 50	248 - 5000	2	
	RANGE (Angstroms) 0.35 - 2480 10.3 - 5904 1 - 10,000 m WB; 0.12 - 1.24 WB; 0.12 - 1.24 WB; 0.12 - 3.10 WB; 0.12 - 3.10 WB; 0.12 - 3.10 1 - 10,000 m 124 - 4133  2.10 - 6200 2.10 - 4133 WB; 0.41 - 3.10 WB; 0.41 - 3.10 WB; 8.27 - 248 0.66 - 5.90 0.36 - 6.20 WB; 0.12 - 3.10 0.21 - 6.20 WB; 0.62 - 6.89 WB; 0.48 - 6.20 1393 - 5904 WB; 8.27 - 6200	RANGE (Angstroms)RANGE (eV) $0.35 - 2480$ $5 - 35,000$ $10.3 - 5904$ $2.1 - 1200$ $1 - 10,000 m$ $0.124 - 1240 meV$ WB; $0.12 - 1.24$ WB; $0.12 - 1.24$ WB; $10,000 - 100,000$ WB; $0.12 - 6.20$ WB; $2000 - 100,000$ WB; $0.12 - 3.10$ WB; $4000 - 100,000$ WB; $0.41 - 3.10$ WB; $4,000 - 30,000$ 1 - 10,000 m $0.124 - 1240 meV$ 124 - 4133 $3 - 100$ $80 - 400 (meV)$ 2.10 - 6200 $2 - 5900$ 2.10 - 6200 $2 - 5900$ 2.10 - 4133 $3 - 5900$ WB; $0.41 - 3.10$ WB; $4,000 - 30,000$ WB; $8.27 - 248$ WB; $50 - 1500$ 0.66 - 5.90 $2100 - 18,800$ $0.36 - 6.20$ $2100 - 18,800$ $0.36 - 6.20$ $2000 - 59,400$ WB; $0.62 - 6.89$ WB; $1800 - 20,000$ WB; $0.48 - 6.20$ WB; $2000 - 26,000$ WB; $0.48 - 6.20$ WB; $2000 - 26,000$ 1393 - 5904 $2.1 - 8.9$ WB; $8.27 - 6200$ WB; $2 - 1500$	RANGE (Angstroms)         RANGE (eV)         BEAMUINES           0.35 - 2480         5 - 35,000         24           10.3 - 5904         2.1 - 1200         2           1 - 10,000 m         0.124 - 1240 meV         2           WB: 0.12 - 1.24         WB; 10,000 - 100,000         2           WB: 0.12 - 1.24         WB; 10,000 - 100,000         2           WB: 0.12 - 1.24         WB; 10,000 - 100,000         2           WB: 0.12 - 3.10         WB; 4000 - 100,000         3           WB: 0.12 - 3.10         WB; 4000 - 100,000         3           WB: 0.12 - 3.10         WB; 4000 - 100,000         3           WB: 0.12 - 3.10         WB; 4000 - 30,000         2           VB: 0.12 - 3.10         WB; 4000 - 30,000         2           VB: 0.12 - 3.10         WB; 4000 - 30,000         2           1 - 10,000 m         0.124 - 1240 meV         2           124 - 4133         3 - 100         1            80 - 400 (meV)         1           2.10 - 6200         2 - 5900         19           2.10 - 4133         3 - 5900         2           0.36 - 6.20         2000 - 34,000         4           WB; 0.12 - 3.10         WB; 4000 - 100,000         4

WB = White Beam (from 1993 NSLS User's Manual - BNL 48724)

## LOS ALAMOS NEUTRON SCATTERING CENTER

#### Los Alamos National Laboratory Los Alamos, New Mexico 87545

The Los Alamos Neutron Scattering Center (LANSCE) facility is a pulsed spallation neutron source equipped with time-of-flight (TOF) spectrometers for condensed-matter research. Neutrons are produced by spallation when a pulsed 800-MeV proton beam, provided by the Los Alamos Meson Physics Facility (LAMPF) and an associated Proton Storage Ring (PSR), implinges on a tungsten target. To date, the PSR has achieved 75 percent of its design goal of 100-µA average proton current at 20-Hz repetition rate. At this level, LANSCE has the world's highest, peak thermal flux for neutron scattering research.

Current research programs at LANSCE use the following instruments: a chopper spectrometer (PHAROS) for Brillouin scattering, a filter difference spectrometer (FDS) for vibrational spectroscopy by inelastic neutron scattering; a Laue-TOF single-crystal diffractometer (SCD); a high-intensity powder diffractometer (HIPD) for structural studies of liquids, amorphous materials, and crystalline powders; a neutron powder diffractometer (NPD) with the highest resolution in the U.S.; a low-Q diffractometer (LQD) for small-angle scattering studies; and a surface profile reflectrometer (SPEAR) for studies of surface structure.

#### USER MODE

LANSCE provides neutron scattering facilities for several communities. At least 80 percent of available beam time is used for condensed-matter research, while the remaining 20 percent is intended for internal use in support of the Laboratory's programmatic mission. Of the time available for condensed-matter work, most is distributed to a formal user program, which started in April 1988. Advice on experiments to be performed in this category is provided by a Program Advisory Committee (PAC) held jointly with the Intense Pulsed Neutron Source (IPNS) at Argonne National Laboratory. Scientists based at universities, national laboratories, and industry may apply for beam time by submitting short proposals for scrutiny by the PAC. No charge is made for non-proprietary research.

#### CONTACT FOR USER INFORMATION

LANSCE Scientific Coordination and Liaison Office (505) 667-6069 Mail Stop H805 Los Alamos National Laboratory Los Alamos, NM 87545

\_Major Facilities

## LOS ALAMOS NEUTRON SCATTERING CENTER (continued)

### TECHNICAL DATA

Proton Source	LAMPF + PSR
Proton Source Current	1000 μA
Proton Source Energy	800 MeV
LANSCE Proton Current	75 μΑ
Proton Pulse Width	0.27 μs
Repetition Rate	20 Hz
Epithermal Neutron Current (n/eV.Sr.S)	3.2 x 10 <sup>12</sup> /E
Peak Thermal Flux (n/cm².S)	1.7 x 10 <sup>16</sup>

#### **INSTRUMENTS**

32-m Neutron Powder Diffractometer (J. Goldstone, Responsible)

Single Crystal Diffractometer (A. Larson, Responsible)

Filter Difference Spectrometer (J. Eckert, Responsible)

High Intensity Powder Diffractometer (R. VonDreele, Responsible)

Low Q Diffractometer (P. A. Seeger, Responsible)

Reflectometer (G. Smith, Responsible)

Chopper Spectrometer (R. Robinson, Responsible) Powder Diffraction Wave vector 0.3-50 Å<sup>-1</sup> Resolution 0.13%

Laue time-of-flight diffractometer Wave vectors 1-15 Å<sup>-1</sup> Resolution 2% typical

Inelastic neutron scattering, vibrational spectroscopy Energy trans. 15-600 meV Resolution 5-7%

Powder diffraction .7% resolution; llquids and amorphous materials diffraction 2% resolution

Small angle scattering at a liquid hydrogen cold source Wave vectors  $0.003-1.0 \text{ Å}^{-1}$ 

Surface reflection at grazing incidence. Wave vector range 0.007 to 0.3  $\dot{A}^{\rm cl}$ 

Inelastic scattering at small scattering angles. Incident energy resolution of 0.5%.

## NEUTRON SCATTERING AT THE HIGH FLUX ISOTOPE REACTOR

### Solid State and Chemistry Divisions Oak Ridge National Laboratory Oak Ridge, Tennessee 37831

The neutron scattering facilities at the High Flux Isotope Reactor (HFIR) are used for long-range basic research on the structure and dynamics of condensed matter. Active programs exist on the magnetic properties of matter, lattice dynamics, defect-phonon interactions, phase transitions, crystal structures, polymers, micelles, ferrofluids, ceramics, and liquid crystals. The HFIR is an 85-MW, light-water moderated reactor. The central flux is 4 x 10<sup>15</sup> neutrons/cm<sup>2</sup>-sec, and the flux at the inner end of the beam tubes is slightly less than 10<sup>15</sup> n/cm<sup>2</sup>-sec. A wide variety of neutron scattering instruments have been constructed with the support of the Division of Materials Sciences. Facilities are available for studies of materials at low and high temperatures, high pressures, and high magnetic fields.

#### USER MODE

12-

These facilities are open for use by outside scientists on problems of high scientific merit. Written proposals are reviewed for scientific feasibility by an external review committee. It is expected that all accepted experiments will be scheduled within six months of the receipt of the proposal. No charges for the use of the beams will be assessed for research to be published in the open literature. The cost of extensive use of ORNL shop or computer facilities must be born by the user. Inexperienced users will normally collaborate with an ORNL staff member. Proprietary experiments can be carried out after a contract has been arranged based on full cost recovery, including a charge for beam time. A brochure describing the facilities and a booklet giving user procedures is available on request.

#### PERSON TO CONTACT FOR INFORMATION

Oak Ridge, TN 37831-6393

R. M. Nicklow Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(615) 574-5240	Triple-axis spectrometry
G. D. Wignall Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(615) 574-5237	Small Angle
B.C. Chakoumakos Solid State Division Oak Ridge National Laboratory	(615) 574-5235	Powder and single-crystal structure

.

## NEUTRON SCATTERING AT THE HIGH FLUX ISOTOPE REACTOR (continued)

## TECHNICAL DATA

HB-1	<u>Triple-axis polarized-beam</u> , Beam size - 2.5 by 3 cm max, Flux - 2.6 x $10^{\circ}$ n/cm <sup>2</sup> s at sample (polarized), Vertical magnetic fields to 7 T, Horizontal fields to 5 T, Variable incident energy (E <sub>o</sub> )
•	
HB-1A	<u>Triple-axis, fixed E</u> , E <sub>o</sub> = 14.7 MeV, Wavelength = 2.353 angstroms, Beam size - 5 by 3.7 cm max, Flux - 9 x 10° n/cm <sup>2</sup> s at sample with 40 min collimation
НВ-2, НВ-3	<u>Triple-axis, variable E<sub>s</sub>, Beam size - 5 x 3.7 cm max, Flux - <math>10^7</math>n/cm<sup>2</sup>sat sample with 40 min collimation</u>
нв-за	Double-crystal small-angle diffractometer, Beam size - 4 x 2 cm max,
• • • • • • • • • • • • •	Wavelength = $2.6 \text{ angstroms}$ , Flux - $10^4 \text{ n/cm}^2$ s, Resolution - $4 \times 10^5 \text{ angstroms}^{-1}$
	Milde angle time differences and Ream the Division may
HB-4A	<u>Wide-angle time-slicing diffractometer</u> , Beam size - 2 x 3.7 cm max, Wavelength = $1.537$ angstroms, Flux - 2 x $10^{\circ}$ n/cm <sup>2</sup> s with 9 min collimation, Curved linear position sensitive detector covering $130^{\circ}$
	u u u u u u u u u u u u u u u u u u u
HB-4	<u>Correlation chopper</u> , Beam size - 5 x 3.7 cm, Flight path - 1.5 m, 70 detectors covering 130°, Variable $E_o$ , Variable pulse width
	<u>Powder Diffractometer</u> , Beam size - 5 x 3.7 cm, Wavelength = $1.4$ angstroms, 32 detectors with 6 min collimators
HB-4SANS	Small-Angle Scattering Facility, Beam size - 3 cm diameter max,
·	Wavelength = 4.75 or
•	2.38 angstroms, $10^4$ - $10^6$ n/cm²s depending on slit sizes and wavelength, area detector 64 x 64 cm², sample to dector distance 1.5 - 19 m
HB-3B	<u>Reflectometer</u> , 2.59-Å Horizontal Reflection Plane. 2-mm resolution with linear position-sensitive detector at 3 m from the sample.

**Major** Facilities

## STANFORD SYNCHROTRON RADIATION LABORATORY STANFORD LINEAR ACCELERATOR CENTER

#### Stanford University Stanford, California 94309-0210

SSRL is a National Users' Research Laboratory for the application of synchrotron radiation to research in biology, chemistry, engineering, geology, materials science, medicine and physics. In addition to scientific research utilizing synchrotron radiation the Laboratory program includes the development of advanced sources of synchrotron radiation (e.g., insertion devices for the enhancement of synchrotron radiation as well as modifications of SPEAR). SSRL presently has 22 experimental stations with 4 more under construction. Three of these are contained in an integrated structural molecular biology facility to be completed in 1996. The radiation on 12 stations is enhanced by insertion devices providing some of the world's most intense X-ray sources.

The primary research activities at SSRL are:

X-ray absorption, small and large angle scattering as well as topographic studies of atomic arrangements in complex materials systems, including surfaces, extremely dilute constituents, amorphous materials and biological materials. X-ray and VUV photoemission and photoelectron diffraction studies of electronic states and atomic arrangements in condensed and gaseous matter. Environmental studies. Semiconductor and thin film processing and magnetic properties of thin films using circular polarization.. SSRL serves approximately 650 scientists from 114 institutions working on over 223 active proposals. A wide variety of experimental equipment is available for the user and there are no charges either for use of the beam or for the facility-owned support equipment. Proprietary research may be performed on a cost-recovery basis.

#### USER MODE

SSRL is a user-oriented facility which welcomes proposals for experiments from all qualified scientists. SSRL operates for users 6-7 months per year. Over 75 percent of the beam time is available for the general user. Access is gained through proposal submittal and peer review. An annual Activity Report is available on request. It includes progress reports on about 100 experiments plus descriptions of recent facility developments. The booklets "Proposal Submittal and Experimental Stations" provide detailed information on proposal submittal and experimental station characteristics.

#### PERSON TO CONTACT FOR INFORMATION

K. M. Cantwell SSRL, PO Box 4349 M.S. 69 Stanford, CA 94309-0210 (415) 926-3191 Fax (415) 926-4100

E-mail: K@SLAC.STANFORD.EDU

\_Major Facilities

## CHARACTERISTICS OF SSRL EXPERIMENTAL STATIONS

SSRL presently has 22 experimental stations on SPEAR. 1) of these stations are based on insertion devices while the remainder use bending magnet radiation. 4 more stations are currently under construction.

	Horizontal Angular Accept. (mrad)	Mirror Cut Off (keV)	Monochromato	Energy Range rr (eV)	Resolution $\Delta E/E$	Approximate Spot Size Hgt x Wdth (mm)	Instrumentation
Wiggler Lines - X-Ray			INSERTION	I DEVICE SI	<b>IATIONS</b>		
End Stations					· .		
4-2 (4 periods) Focused Unfocused 6-2 (27 periods)	4.6 1.0	10.2	Double Crystal Double Crystal			1.0 x 3.0 2.0 x 20.0	SAS Camera
Focused Unfocused 7-2 (4 periods)	2.3 1.0	22	Double Crystal Double Crystal	2050-21000 2050-32000		1.0 x 4.0 2.0 x 20.0	6-circle Diffractometer
Focused Unfocused 9-2 (8 periods)	4.6 1.0	10.2	Double Crystal Double Crystal	2400-10200 2400-45000		1.0 x 5.0 2.0 x 20.0 truction	
Focused Unfocused 10-2 (15 periods)	2.0 0.5	23	Double Crystal Double Crystal				· · ·
Focused Unfocused	2.3 1.0	22	Double Crystal Double Crystal			1.0 x 3.0 2.0 x 20.0	
Side Stations							
4-1 4-3	1.0		Double Crystal			2.0 x 20.0	•
Focused Unfocused	1.0 1.0	Var.	Double Crystal Double Crystal	2400-2000 2400-45000		0.15 x 20.0 2.0 x 20.0	
7-1	1.0		Curved Crystal			0.6 x 3.0	Rotation Camera
7-3	1.0		Double Crystal	2400-45000	~104	2.0 x 20.0	· · ·
9-1 Focused 9-3	3.0	14	Curved Crystal		Under cons	truction	
Focused Unfocused	2.5 0.7	23	Double Crystal Double Crystal		Under cons	truction	
VUV/Soft X-ray Stations							
5-1 5-2 multi-undulator	1.5 1.5		Multilayer 4 Gratings	750/1200 10-1200	Under cons 0.5-1x10 <sup>-3</sup>	truction 1 mm²	Circular Polarization
5-3 multi-undulator	1.5		4 Gratings	10-450	0.5-1x10 <sup>-3</sup>	<u>&lt;</u> 1 mm²	
10-1 wiggler side station	2.0		óm SGM	250-120	~2x10 <sup>4</sup>	<u>≺</u> 1 mm²	
			BENDING	MAGNET SI			
<u>X-ray</u>							
1-4	2.0		Curved Crystal			0.25 x 1.0	SAS Detector
1-5 2-1 (Focused)	1.0 4.8	8.9	Double Crystal Double Crystal		~10 <sup>-4</sup> ~5x10 <sup>-4</sup>	2 x 17 2 x 6	Area Detector/CAD-4
2-2	1.0	•	None	3200-40000		4 x 22	
2-3	1.0		Double Crystal	2400-30000	~5x10 <sup>-4</sup>	2 x 20	
VUV/Soft X-ray							
1-2	4.0		6m TGM	8-90	~1 x 10 <sup>-3</sup>		
3-1 3-3	2.0 8-10	4.5	Grasshopper UHV Double Crystal (Jumbo)	25-1000 800-4500	Δλ≕.05-2Å ~5 x 10⁴		
3-4	0.6		Multilayer	0-3000 Δγ/γ =.6%	White or	2 x 8	Vacuum Diffractometer Litho. Expo. Station
8-1	12		óm IGM	8-180	~1 x 10 <sup>-3</sup>	<u>&lt;</u> 1 mm²	
8-2	5.0	•	óm SGM	150-1000 119	~1 x 10 <sup>4</sup>	<u>&lt;</u> 1 mm'	Circular Polarization

Major Facilities

### ADVANCED LIGHT SOURCE

#### University of California Lawrence Berkeley Laboratory Berkeley, CA 94720

The Advanced Light Source (ALS) is a third-generation synchrotron source of high-brightness soft x-ray and ultraviolet radiation operated by the Lawrence Berkeley Laboratory (LBL) of the University of California.

Construction began in October 1987 and was completed in April 1993. The ALS is based on a low-emittance electron storage ring with 10 long straight sections available for insertion devices and 33 bend-magnet ports. The storage ring operates in the energy range from 1.0 to 1.9 GeV. The spectrum of synchrotron radiation depends on the radiation source and on the storage-ring energy. Collectively, existing and planned undulators can generate high-brightness radiation at photon energies from below 10 eV to above 3 keV. Wigglers will be able to access the hard X-ray region by generating broad-band radiation to about 20 keV. Bend magnets provide broad-band radiation to about 10 keV. Circularly polarized radiation will be available from elliptical undulators and wigglers, as well as bend magnets. Infrared radiation owlil also be available from the bend magnets. In the normal multibranch operating mode, the time structure of the radiation comprises pulses with a full-width-half-maximum of about 30 ps and separation between pulses of 2 ns, although a few-bunch mode with maximum pulse separation of 656 ns can also be provided.

As a new facility, the ALS anticipates an extensive research program. The high brightness will open new areas of research from the materials sciences, such as spatially resolved spectroscopy, to the life sciences, such as X-ray microscopy and holography with element-specific sensitivity. The scientific and technological impact of spatial resolution is expected to be extremely wide owing to the relentlessly decreasing size of the physical, chemical, and biological systems to be analyzed. Other beneficiaries of high brightness include very-high-resolution spectroscopy, spectroscopy of dilute species, spectroscopy and imaging of magnetic materials using circularly polarized radiation, diffraction from very small samples, and time-resolved spectroscopy and diffraction. Quantitative microcontamination analysis of semiconductors, X-ray crystallography of biological macromolecules for rational pharmaceutical design, at-wavelength interferometric testing of X-ray optical elements for future project lithography systems, X-ray metrology, and microstructure fabrication by the LIGA process using proximity X-ray lithography are example of research programs with direct industrial interest and participation. The table summarizes existing experimental facilities and those planned for installation through 1996.

#### USER MODE

The ALS operates year around with scheduled shutdowns for installation of new experimental facilities and for accelerator maintenance. The current operating schedule provides 15 shifts per week for users. As a national user facility, the ALS is available without charge to personnel from university, industrial, and government laboratories for non-proprietary research intended for publication in the open literature. Proprietary research is also welcome but is subject to a cost-recovery charge for provision of beam time. Proprietary users have the option to take title to any inventions made during the proprietary research program and treat as confidential all technical data generated during the program.

Whether non-proprietary or proprietary, there are two modes of conducting research at the ALS: as a member of a participating research team (PRT) or as an Independent investigator. PRTs are collaborative groups comprising research personnel from one or more institutions with common research interests who contribute to the construction, operation, and maintenance of experimental facilities (beamlines and experimental stations) at the ALS for this purpose. In return for their contributions, PRT members are granted priority for a percentage of the operating time on their facilities. The remaining operating time on each beamline is allotted to scientists who are not members of a PRT (Independent Investigators). The proportion of time available to independent investigators varies from beamline to beamline. Independent Investigators may bring their own experimental stations to ALS beamlines. Proposals for the establishment of new PRTs are reviewed by the Program Advisory Committee. Proposals for beam time from independent investigators are peer-reviewed twice a year in June and December. For details, consult the ALS Users' Handbook, which is available from the Program Administrator at the address below. An annual activity report describing the previous year's accomplishments is also available.

#### PERSON TO CONTACT FOR INFORMATION

Elizabeth Saucier, Program Administrator Advanced Light Source Lawrence Berkeley Laboratory MS 80-101 Berkeley, CA 94720 (510) 486-6166 Fax (510) 486-4960 E-mail: ECSaucier@lbl.gov

• :

• •

t .

۰.

## SUMMARY OF ALS EXPERIMENTAL FACILITIES

As a new facility, the ALS complement of experimental facilities (insertion devices, beamlines, and experimental stations) is growing as research opportunities become defined and funding becomes available. Experimental facilities are being developed and operated by participating research teams working with the ALS staff. The table lists existing beamlines and beamlines planned through 1996 for which funding is available. Beamline designations X, Y. Z refer to storage ring sector number X, port number Y, and branch number Z. There are 12 sectors. Ports 0 are insertion-device ports, ten of which are available for insertion devices; ports 1, 2, and 3 are bendmagnet ports. Each branch may service multiple experimental stations.

Beamline Number	Radiation Source	Scientific Program	Spectral Range	When · Available
3.1	Bend magnet	Diagnostic beamline	200-280 eV	Now
5.0	W 16 wiggler	Protein crystallography	4-13 keV	1996
6.1	Bend magnet	High-resolution zone-late microscopy	250-600 eV	Now
6.3	Bend magnet	Metrology and standards	50-4000 eV	Now
7.0.1	U5 undulator	Surfaces and materials, spectromicroscopy	70-1200 eV	Now
7.0.2	U5 undulator	Coherent optics experiments	70-650 eV	1995
7.3.1	Bend magnet	Magnetic microscopy	600-900 eV	1995
7.3.2	Bend magnet	Spectromicroscopy	100-1500 eV	1996
8.0	U5 undulator	Surface and materials	70-1200 eV	Now
8.5	Bend magnet	Infrared spectromicroscopy	0.06-1.2 eV	1995
9.0.1	V8 undulator*	Atomic physics and chemistry	20-300 eV	Now
9.0.2	U8 undulator*	Chemical and materials science	5-30 eV	1995
9.3.1	Bend magnet	Materials science; advanced microprobe instrumentation	700 eV-6 keV	1995
9.3.2	Bend magnet	LIGA, total reflection X-ray fluorescence	50-1500 eV	Now
10.3.1	Bend magnet	Materials science; magnetic materials; biology	3-12 keV	Now
10.3.2	Bend magnet	EUV projection lithography, optics development	3-12 keV	Now
11.0	EW20 elliptical wiggier		50 eV-10 keV	1996
12.0	U8 undulator		60-320 eV	1996

\* The U8.0 will be replaced by a U10.0 in 1996; the U8.0 will be moved to sector 12.

# SECTION F

Other User Facilities

de l'élétique - ses

Other User Facilities

## MATERIALS PREPARATION CENTER

#### Ames Laboratory lowa State University Ames, lowa 50011

The Materials Preparation Center was established because of the unique capabilities for preparation, purification, fabrication and characterization of certain metals and materials that have been developed by investigators at the Ames Laboratory during the course of their basic research. Individuals within the Laboratory's Metallurgy and Ceramics Program are widely recognized for their work with very pure rare-earth, alkaline-earth and refractory metals. Besides strengthening materials research and development at the Ames Laboratory, the Center increases awareness by the research community of the scope and accessibility of this resource to universities, other government and private laboratories and provides appropriate transfer of unique technologies developed at the Center to private, commercial organizations.

Through these research efforts at Ames, scientists are now able to acquire very high-purity metals and alloys in single and polycrystalline forms, as well as the technology necessary to satisfy many needs for special preparations of rare-earth, alkaline-earth, refractory and some actinide metals. The materials in the form and/or purity are not available from commercial suppliers, and through its activities the Center helps assure the research community access to materials of the highest possible quality for their research programs.

In addition to a Materials Preparation Section, the Center also consists of an Analytical Section, the Materials Referral System and Hotline (MRSH), and the High-T<sub>c</sub> Superconductivity information Exchange. The Analytical Section has extensive expertise and capabilities for the characterization of materials, including complete facilities for chemical and spectrographic analyses, selected services of this section are available to the research community. The purpose of MRSH is to accumulate information from all known National Laboratory sources regarding the preparation and characterization of materials and to make this information available to the scientific community. The High-T<sub>c</sub> Superconductivity Information Exchange provides a centralized site for rapid dissemination of up-to-date information on high-temperature superconductivity research. It publishes the newsletter, <u>High-T</u>, <u>Update</u>, twice-monthly without charge, as both hard copy and electronic mail.

#### USER MODE

#### Materials Preparation and Analytical Sections

Quantities of ultrapure rare-earth metals and alloys in single and polycrystalline forms are available. Special preparations of high-purity oxides and compounds are also available in limited quantities. Unique technologies developed at Ames Laboratory are used to prepare refractory metals in single and polycrystalline forms. In addition, certain alkaline-earth metals used as reducing agents are available. Complete characterization of these materials are provided by the Analytical Section. Materials availability and characterization information can be obtained from Lawrence L. Jones, Director, Materials Preparation Center or Thomas A. Lograsso, MRSH Manager.

#### Materials Referral System and Hotline

The services of the Materials Referral System are available to the scientific community and inquiries should be directed to Thomas A. Lograsso, MRSH Manager, (515) 294-8900.

#### High-T, Superconductivity Information Exchange

The newsletter, <u>High-T</u>, <u>Update</u>, is published twice-monthly and available without charge as either hard copy or electronic mail. Inquiries should be directed to Sreeparna Mitra, (515) 294-3877.

## **MATERIALS PREPARATION CENTER (continued)**

#### **TECHNICAL DATA**

#### MATERIALS

Scandium Yttrium Lanthanum Cerium Praseodymlum Neodymlum Samarlum Europium Gadolinium Terblum Dysproslum Holmium Erblum Thullum Ytterblum Lutetium

Magnesium Calcium Strontium Barlum Thorlum Uranium

#### PERSON TO CONTACT FOR INFORMATION

Titanlum

Vanadium

Chromium

Zirconium

Nlobium Molybdenum

Hafnium

Tantalum Tungsten

Rhenium

Manganese

Lawrence L. Jones, Director (515) 294-5236 Ames Laboratory Materials Preparation Center 121 Metals Development Building Ames, IA 50011

Thomas A. Lograsso, Associate Director (515) 294-8900 Ames Laboratory Materials Preparation Center 109 Metals Development Building Ames, IA 50011

## ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

#### Argonne National Laboratory Argonne, Illinois 60439

The Argonne National Laboratory Electron Microscopy Center for Materials Research provides unique facilities which combine the techniques of high-voltage electron microscopy, ion-beam modification, and ion-beam analysis, along with analytical electron microscopy.

The cornerstone of the Center is a High Voltage Electron Microscope (an improved Kratos/AEI EM7) with a maximum voltage of 1.2 MV. This HVEM is interfaced to two accelerators, a National Electrostatics Corporation 2 MV Tandem ion Accelerator and a NEC 650 kV ion injector which can produce ion beams from 10 keV to 8 MeV of most stable elements in the periodic table. These instruments together comprise the unique High-Voltage Electron Microscope-Tandem Accelerator Facility. The available ion beams can be transported into the HVEM to permit direct observation of the effects of ions and electrons on materials. In addition to the ion-beam interface, the HVEM has a number of specialized features (see following page), which allow for a wide range of in-situ experiments on materials under a variety of conditions.

in addition to the HVEM-Tandem Facility, the Center's facilities include a (High Resolution Electron Microscope (JEOL 4000 EXII), a JEOL 100 CXII transmission and scanning transmission electron microscope (TEM/STEM) equipped with an X-ray energy dispersive spectrometer (XEDS), a Philips EM 420 TEM/STEM equipped with XEDS and an electron energy loss spectrometer (EELS) and a Philips CM30 with XEDS. Installation of a VG603Z advanced Analytical Electron Microscope (AEM) is underway. This state-of-the-art, field emission gun ultra-high vacuum AEM will operate up to 300 keV and have the highest available microanalytical resolution with capabilities for XEDS, EELS, and Auger Electron Spectroscopy AES. As such, it will have substantially increased analytical capabilities for materials research over present-day instruments.

#### USER MODE

The HVEM-Tandem Facility is operated as a national resource for materials research. Qualified scientists wishing to conduct experiments using the HVEM/TANDEM facilities of the Center should submit a proposal to the person(s) named below. Experiments are approved by a Steering Committee following peer evaluation of the proposals. There are no use charges for basic research of documented interest to DOE. Use charges will be levied for proprietary investigations.

#### PERSON(S) TO CONTACT FOR INFORMATION

C. W. Allen (708) 252-4157 and E. A. Ryan (708) 252-5075 Electron Microscopy Center for Materials Res. Materials Science Division Argonne National Laboratory 9700 South Cass Avenue Argonne, IL 60439

Other User Facilities

## ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

TECHNICAL DATA

#### ELECTRON MICROSCOPES

. .

High-Voltage Electron Microscope Kratos/AEI EM7 (I.2 MeV)

Transmission Electron Microscope JEOL 100 CX (100 keV)

Transmission Electron Microscope Philips EM 420 (120 keV)

Transmission Electron Microscope Philips CM 30 (300 keV)

High Resolution Electron Microscope JEOL 4000 EXII (400 kV)

Analytical Electron Microscope VG603Z being installed (300 keV)

#### ACCELERATORS

NEC Model 2 UDHS

#### NEC 650 kV injector

#### KEY FEATURES

Resolution 9 Å pt-pt Continuous voltage selection (100-1200 kv) Current density 15 A/cm<sup>2</sup> High-vacuum specimen chamber Negative-ion trap Electron and ion dosimetry systems Video recording system Ion-beam interface Specimen stages 10 - 1300 K Straining and environmental stages

Resolution 7 Å pt-pt Equipped with STEM, XEDS Specimen stages 85 - 900 K

Resolution 4.5 Å pt-pt Equipped with EELS, XEDS Specimen stages 30 - 1300 K

Resolution 2.5 Å pt-pt Equipped with XEDS Specimen stages 30 - 1300 K

Resolution 1.65 Å pt-pt Specimen stages RT

Resolution 2.8 Å pt-pt Ultra-high vacuum, Field Emission Gun Equipped with EELS, XEDS, AES, SIMS, LEED, etc. Specimen stages 85 - 1300 K

Terminal voltage 2 MV. Energy stability ± 250 eV Current density: H<sup>+</sup>, 10 μA/cm<sup>2</sup> (typical) Ni<sup>+</sup>, 3 μA/cm<sup>2</sup>

Terminal voltage 650 kV Energy stability  $\pm$  60 eV Current density: He<sup>+</sup>, 100  $\mu$ A/cm<sup>2</sup> (typical) Ar<sup>+</sup>, 10  $\mu$ A/cm<sup>2</sup>

## CENTER FOR MICROANALYSIS OF MATERIALS

### Materials Research Laboratory University of Illinois Urbana-Champaign, Illinois 61801

The Center operates a wide range of advanced microchemistry, surface chemistry, electron microscopy, X-ray and electron-beam microanalytical equipment for the benefit of the University of Illinois materials research community and for the DOE Laboratories and Universities Programs. Equipment is selected to provide a spectrum of advanced microcharacterization techniques including microchemistry, micro-crystallography, surface analysis, structure determination, etc. A team of professionals runs the facility and facilitates the research.

#### USER MODE

Most of the research in the facility is funded from the MRL, DOE, and NSF contracts, and is carried out by graduate students, post-doctoral and faculty researchers and by the Center's own professional staff. The Center welcomes external users from national laboratories, universities, and industry.

For the benefit of external users the system retains as much flexibility as possible. The preferred form of external usage is collaborative research with a faculty member associated with the MRL. Independent usage by trained individuals is also encouraged. Assistance and collaboration with the professional staff of the Center is arranged as required. In all cases, the research carried out by users of the Center has to be in the furtherance of DOE objectives.

The equipment is made available on a flexible schedule. Professional help by the Center staff will be arranged to assist the users. Fully qualified users can and do use the equipment at any time of the day.

The Center staff maintain training programs in the use of the equipment and teach associated techniques. An increasing part of the Center's activity is concerned with the development of new instruments and instrumentation.

In addition to the main items listed opposite, the Center also has other equipment: optical microscopes, a surface profiler, a microhardness tester, etc. Dark rooms and full specimen preparation facilities are available, including ionmilling stations, a micro-ion mill, electropolishing units, sputter coaters, a spark cutter, ultrasonic cutter, diamond saw, dimpler, etc.

#### PERSON TO CONTACT FOR INFORMATION

Dr. J. A. Eades, Coordinator Center for Microanalysis of Materials Materials Research Laboratory University of Illinois Frederick Seitz 104 S. Goodwin Urbana, IL 61801 (217) 333-8396

Other User Facilities

.

## **CENTER FOR MICROANALYSIS OF MATERIALS (continued)**

INSTRUMENTS	ACRONYM	FEATURES AND CHARACTERISTICS
Imaging Secondary Ion Microprobe Cameca IMS 5f	SIMS	Dual ion sources ( $C_s^+$ , $O_2^+$ ). 1 µm resolution.
Scanning Auger Microprobe Physical Electronics 595	Auger	Resolution: SEM 30 nm, Auger 70 nm. Windowless X-ray detector.
Scanning Auger Microprobe Physical Electronics 660	Auger	Resolution: SEM 25 nm Auger 60 nm
X-ray Photoelectron Spectrometer Electronics 5400	XPS	Resolution: 50 meV, 180° Physical spherical analyzer, Mg/AI and Mg/Ag anodes
X-ray Photoelectron Spectrometer Surface Science	XPS	Spherical analyzer, small spot size, gas doping, high temperature
Transmission Electron Microscope Philips EM420 (120kV) Stage (30K).	TEM	EDS (windowless), EELS, STEM, Cathodoluminescence, Cold
Transmission Electron Microscope Philips EM400T (120kV)	TEM	EDS. Heating, cooling stages
Transmission Electron Microscope Philips CM12 (120 kV)	TEM	High Resolution Analytic facilities
Transmission Electron Microscope JEOL 4000EX (400 kV)	TEM	For environmental cell use. Straining stages, heating stages
Transmission Electron Microscope Hitachi 9000 (modifled)	TEM	0.16 nm resolution atomic imaging
Scanning Transmission E.M. Vacuum Generators HB5 (100kV)	STEM	0.5 nm probe, field emission gun, EDS, EELS
Scanning Electronic Microscope Hitachi S800	SEM	Field Emission Gun Resolution 2 nm, EDX
Scanning Electron Microscope Zeiss 960	SEM	High temperature deformation. Channeling, Backscattering, EDX, Electron beam lithography
Rutherford Backscattering (3 MeV)	RBS	Two work stations, channeling

## **CENTER FOR MICROANALYSIS OF MATERIALS (continued)**

#### INSTRUMENTS

#### ACRONYM

X-ray

X-ray Equipment Eiliott 14 kW high brilliance source Rigaku 12 kW source Several conventional sources Rigaku D/Max-11B Computer Controlled Powder Diffractometer Scintag diffractometers(2)

Proton Induced X-ray Emission

PIXE

Van de Graff Accelerator for electrons and lons FEATURES AND CHARACTERISTICS

4-circle diffractometer. Small angle camera. EXAFS. Lang topography, Powder cameras, etc. High temperature and low temperature stages. Texture analysis.

Quantitative chemical analysis

3 MeV accelerator Rutherford Backscattering Electron radiation damage Ion radiation damage

## NATIONAL CENTER FOR ELECTRON MICROSCOPY

### Lawrence Berkeley Laboratory University of California Berkeley, California 94720

The National Center for Electron Microscopy (NCEM) was formally established in the Fall of 1981 as a component of the Materials and Molecular Research Division, Lawrence Berkeley Laboratory.

The NCEM provides unique facilities and advanced research programs for electron microscopy characterization of materials. Its mission is to carry out fundamental research and maintain state-of-the-art facilities and expertise. Present instrumentation at the Center includes a 1.5 MeV Kratos microscope dedicated largely to in-situ work, a 1-MeV JEOL atomic resolution microscope (ARM) with 1.6 angstrom point-to-point resolution, a 200-kV high-resolution feeder microscope (JEOL 200 CX), and a 200-kV analytical microscope (JEOL 200 CX) equipped with a thin window and a high-angle X-ray detector, and a parallel energy-loss spectrometer. Facilities for image simulation, analysis and interpretation are also available to users.

#### USER MODE

Qualified microscopists with appropriate research projects of documented interest to DOE may use the Center without charge. Proprietary studies may be carried out on payment of full costs. Access to the Center may be obtained by submitting research proposals, which will be reviewed for Center justification by a Steering Committee (present external members are: Drs. D. G. Howitt, Chairman, T. L. Hayes, C. W. Allen, M. M. Treacy, D. J. Smith, R. Mishra; internal members are: Drs. G. Thomas, K. M. Krishnan, U. Dahmen, R. Gronsky, and K. H. Westmacott). A limited number of studies judged by the Steering Committee to be of sufficient merit can be carried out as a collaborative effort between a Center post-doctoral fellow, the outside proposer, and a member of the Center staff. The Center also provides access to junior faculty and researchers through an annual visiting scientist fellowship award.

#### PERSON TO CONTACT FOR INFORMATION

(510) 486-5006

Ms. Gretchen Hermes National Center for Electron Microscopy Mail Stop: 72-150 Lawrence Berkeley Laboratory University of California Berkeley, CA 94720

## NATIONAL CENTER FOR ELECTRON MICROSCOPY (continued)

### INSTRUMENTS

KRATOS 1.5-MeV Electron Microscope

JEOL 1-MeV Atomic Resolution Microscope

.

JEOL 200 CX Electron Microscope

JEOL 200 CX dedicated Analytical Electron Microscope

JEOL 200 CX Electron Microscope

#### TECHNICAL DATA

#### **KEY FEATURES**

Resolution 3 Å (pt-pt) environmental celi; hot stage, cold stage, straining stage, straining/heating stage, CBED, video camera, Faraday cup

Resolution < 1.6 Å (pt-pt) over full voltage range. Ultrahigh resolution goniometer stage,  $\pm 40^{\circ}$ biaxial tilt with height control.

Declicated high-resolution 2.4 Å (pt-pt) U.H. resolution goniometer stage only.

Microdiffraction, CBED, UTW X-ray detector, highangle X-ray detector, PEELS spectrometer.

In-situ instrument with electrical blasing holder, heating stage and video camera.

#### CHARACTERIZATION

50-80 hrs/week 150-1500 kV range in 100 kV steps and continuously variable. LaB<sub>6</sub> filament. Max. beam current 70 amp/cm<sup>2</sup>. 3-mm diameter specimens.

60 hrs/week, 400 kV-1 MeV, LaB, filament, 3-mm diameter specimens.

200 kV only, LaB, filament, 2.3-mm or 3-mm diameter specimens.

100 kV-200 kV LaB, filament, state-of-the-art resolution; 3-mm diameter specimens.

100 kV-200 kV LaB, filament; side entry stage; 3-mm diameter specimens.

## SHARED RESEARCH EQUIPMENT PROGRAM (SHaRE)

#### Metals and Ceramics Division Oak Ridge National Laboratory Oak Ridge, Tennessee 37831

A wide range of facilities for use in materials science are available for collaborative research by members of universities or industry with ORNL staff members. The facilities include state-of-the-art electron microscopy, intermediate high voltage electron microscopy, atom probe/field ion microscopy, scanning electron microscopy, atomic force microscopy, surface analysis, and nuclear microanalysis. The electron microscopy capabilities include analytical electron microscopy (energy dispersive X-ray spectroscopy (EDS), electron energy loss spectroscopy (EELS), energy-filtered imaging and diffraction and convergent beam electron diffraction (CBED)). Surface analysis facilities include four Auger electron spectroscopy (AES) systems, and 0.4, 2.0, and 5.0 Van de Graaff accelerators for Rutherford back-scattering and nuclear reaction techniques. Other equipment includes two mechanical properties microprobes (Nanoindenter), X-ray diffraction systems, rapid solidification apparatus, and various other facilities in the Metals and Ceramics Division.

#### USER MODE

User interactions are through collaborative research projects between users and researchers on the Materials Sciences Program at ORNL. Proposals are reviewed by an executive committee which consists of ORISE, ORNL, and university members. Current members are: E. A. Kenik, Chairman, J. Bentley, C. B. Carter, M. J. Kaufman, and N. D. Evans. Proposals are evaluated on the basis of scientific excellence and relevance to DOE needs and current ORNL research. One ORNL staff member must be identified who is familiar with required techniques and will share responsibility for the project.

The SHaRE program provides technical help and limited travel expenses for academic participants through the Oak Ridge Institute for Science and Education (ORISE).

#### PERSONS TO CONTACT FOR INFORMATION

E. A. Kenik (615) 574-5066 Metals and Ceramics Division Oak Ridge National Laboratory P. O. Box 2008 Oak Ridge, TN 37831

A. Wohlpart (615) 576-3422
Oak Ridge Institute for Science and Education
P. O. Box 117
Oak Ridge, TN 37831

## SHARED RESEARCH EQUIPMENT PROGRAM (SHaRE)

TECHNICAL DATA

Facilities	Key Capabilities	Applications
Philips EM4001/	EDS, (P) EELS, CBED, STEM;	Structural and elemento
FEG AEM 120 KV	minimum probe diam ~2 nm*	microanalysis
Philips CM12 AEM 120kV	EDS, CBED, STEM;*	Structural and elemento microanalysis
Phillips CM 200/ FEG AEM 200 kV	EDS, CBED. (P) EELS, STEM; minimum prove ~1 nm*	Structural and elemento microanalysis
Philips CM30 AEM 300 kV	EDS, (P) EELS, CBED, STEM; energy filter*	Structural and elemento microanalysis
Philips XL30/FEG Scanning Electron Microscope 30 kV	SEM, EDS (windowless) minimum probe ~1.5 nm	Structural and elements analysis
Atom Probe Field- Ion microscopes	TOF atom probe, imaging atom probe, FIM, pulsed laser atom probe	Atomic resolution imagin single atom analysis elemental mapping
PHI 590 Scanning Auger Electron Spectroscopy System	200 nm beam; fracture stage; RGA; depth profiling elemental mapping	Surface analytical and segregation studies
Variant Scanning Auger Electron Spectroscopy System	5 micron beam; hot-cold fracture stage; RGA: depth profiling; elemental mapping	Surface analytical and segregation studies; gas-solid interaction studies
Triple Ion-Beam Accelerator Facilities	400 kV, 2 MV, 5 MV Van de Graff accelerators sputter profiling	Nuclear microanalysis; Rutherford backscatterii elemental analysis
Mechanical Properties Microprobe-Nanoindenter	Computer-controlled diamond Indenter, cooling/heating capability	High spatial resolution (0.1 µm lateral and 0.2 r depth) measurements o elastic/plastic behavior
Park Autoprobe - XL Atomic Force Microscope	Optical-based position sensing, quantitative imaging	Surface imaging; repulsive or attractive modes.

\* Video recording; stages for cooling, heating, and deformation available for Philips microscopes.

## SURFACE MODIFICATION AND CHARACTERIZATION RESEARCH CENTER

### Solid State Division Oak Ridge National Laboratory Oak Ridge, Tennessee 37831

ion implantation doping, ion-induced mixing, on beam deposition and other ion beam based techniques are utilized to alter the near-surface properties of a wide range of solids under vacuum conditions. In-situ analysis by ion beam, surface, and bulk properties techniques are used to determine the fundamental materials interactions leading to these property changes. Since ion implantation doping is a nonequilibrium process, if can be used to produce new and often unique materials properties not possible with equilibrium processing. Ion beam techniques are also useful to modify surface properties for practical applications in areas such as friction, wear, corrosion, catalysis, surface hardness, semiconducting and optoelectronic devices, superconductors, etc.

This program has emphasis on long-range basic research. Consequently, most cooperative research involving scientists from industries, universities, and other laboratories has focused on the investigation of new materials properties possible with these processing techniques on the determination of mechanisms responsible for the observed property changes. In many instances such research projects identify practical applications and accelerate the transfer of these materials alteration techniques to processing applications.

#### COOPERATIVE RESEARCH

User interactions are through mutually agreeable research projects between users and research scientists at ORNL that can effectively utilize the unique alteration/analysis capabilities of the SMAC facility. The goal of these interactions is to demonstrate the usefulness or feasibility of these techniques for a particular materials application. Routine service alterations or analyses are discouraged.

#### PERSON TO CONTACT FOR INFORMATION

S. P. Withrow (615) 576-6719 Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6048

## SURFACE MODIFICATION AND CHARACTERIZATION RESEARCH CENTER

#### TECHNICAL DATA

#### ACCELERATORS

2.5-MV positive ion Van de Graaf

1.7-MV tandem

35-170-kV high-current ion Implantation accelerator

10-500-kV high-current ion implantation accelerator

#### FACILITIES

UHV Implantation and analysis chambers

In-situ analysis capabilities

Scanning electron microscope

Rapid thermal annealer

Thermal annealer

Computer

4-Point Resistance Probe

#### **OPERATING CHARACTERISTICS**

0.1-2.5 MeV H, <sup>4</sup>He, <sup>3</sup>He, and selected gases. Beam current up to 100 nA

0.2-3.5 MeV H; 0.2-5.1 MeV <sup>3</sup>He, <sup>4</sup>He; rf gas source and sputtering source for up to MeV energy ion beams of selected element

Most ion species; 100-1000 microamps singly charged ions; factor of 10 less for doubly charged ions

Most ion species from microamp to milliamp currents

Several chambers with vacuums 10<sup>-6</sup>-10<sup>-11</sup> Torr; multiple access ports; UHV gonlometers with temperature range 4-1300 K

lon scattering, Ion channeling, and ion-induced nuclear reactions; PIXE; LEED Auger

JEOL-840 with energy dispersive X-ray analysis

AG Heatpulse Model 410, with programmable, multistep heating to 1200°C.

Heating to 1200°C under flowing gas or Torr vacuum

Data acquisition and reduction; ion implantation and ion backscattering simulation

VEECO FPP5000

## COMBUSTION RESEARCH FACILITY - MATERIALS PROGRAM

#### Sandia National Laboratories Livermore, California 94551-0969

Optical techniques, primarily Raman spectroscopy and ultrafast nonlinear optical spectroscopy, are being developed and used to study the behavior of materials. Both pulsed and continuous-wave lasers at various wavelengths throughout the visible and ultraviolet regions are available for excitation of Raman scattering, which can be analyzed with 1 and 2 dimensional photon counting detectors, multichannel diode array detectors, and gated detection. Ultrahigh vacuum chambers and laboratory furnaces are available that are equipped with convenient optical access. Real-time measurements are complemented by post-exposure techniques such as Raman spectroscopy, sputtering and low-energy electron diffraction.

Amplified ultrashort-pulse lasers provide sub-one hundred femtosecond pulses at energies up to ten microjoules. Samples can be investigated under ambient conditions or at temperatures down to 4.8K. Analysis of samples in UHVbased systems provides careful control over the preparation and modification of surfaces. Laser ablation deposition is available for thin film growth of high-T<sub>c</sub> superconductors and other advanced ceramics.

#### USER MODE

Interactions include: (1) collaborative research projects with outside users, and (2) technology transfer of new diagnostic approaches for the study of material attack. In initiating collaborative research projects, it is desirable to perform preliminary Raman analyses of typical samples and of reference materials to determine the suitability of Raman spectroscopy to the user's particular application. Users interested in exploring potential collaborations should contact the persons listed below. If further investigations appear reasonable, a brief written proposal is requested. Generally, visits of a week or more for external users provide an optimum period for information exchange and joint research efforts. Users from industrial, university, and government laboratories have been involved in these collaborative efforts. Results of these research efforts are published in the open literature.

#### PERSONS TO CONTACT FOR INFORMATION

R. H. Stulen, Advanced Materials Research Division (8342)

(510) 294-2070

Jake McMichael, Manager Operations Department (8305) Sandia National Laboratories Livermore, CA 94551-0969

(510) 294-2569

## COMBUSTION RESEARCH FACILITY - MATERIALS PROGRAM (continued)

#### **TECHNICAL DATA**

#### INSTRUMENTS

Raman Surface Analysis System

Raman Microprobe

Raman High-Temperature Corrosion System

Combustion Flow Reactors

Linear and Non-Linear Optical Spectroscopy of Electrochemical Systems

Nonlinear Optical Spectroscopy of Surfaces System

Nonlinear Optical Spectroscopy of Electrochemical Systems

Ultrafast Optical Spectroscopy

#### **KEY FEATURES**

UHV Chamber; Raman system with Ar laser; triple spectrograph, diode array detector and 2-D imaging photon counting detector; Auger; sputtering capability.

Hot stage: Raman system with Ar, Kr lasers; scanning triple spectrometer.

Furnace; Raman system with Ar, Kr, Cu-vapor lasers Nd:YAG; triple spectrograph and diode array detector.

Raman system with Ar, Kr, Cu-vapor. lasers; triple spectrograph and diode array detector.

Electrochemical cell; Raman system with Ar, Kr, Cuvapor lasers; triple spectrograph and dlode array detector; Nd:YAG laser, 1 Hz rep. rate.

Picosecond Nd:YAG and dye lasers, 10 Hz; UHV chamber equipped with LEED, Auger, sputtering, and quad. mass spectroscopy; 100-ns pulse length, 10 Hz Nd:YAG laser.

Ng-YAG laser, 1kHz rep rate; electrochemical cell.

Sub-100-fs CPM ring dye laser; copper-vapor-laserpumped amplifier.

#### COMMENTS

Simultaneous Raman and sputtering. Raman system capable of detecting 2 nm thick oxides. Sample heating up to 1100°C.

1-2 micron spatial resolution. Hot stage can handle corrosive gases.

Pulsed lasers gated detection for blackbody background rejection. Furnace allows exposure to oxidizing, reducing, and sulfidizing environments.

Vapor and particulate injection into flames. Real-time measurements of deposit formation.

Electrochemical cell with recirculating pump and nitrogen purge; Monolayer and submonolayer detection of metals, oxygen, and hydrogen adsorption at electrodes.

Monolayer and submonolayer detection of high temperature hydrogen and oxygen adsorption and nitrogen segregation on alloys; laser thermal disorption.

Monolayer and submonolayer detection of metals, oxygen, and hydrogen adsorption at electrodes.

Transient absorption and transient grating experiments.

# SECTION G

Summary of Funding Levels

## SUMMARY OF FUNDING LEVELS

During the Fiscal Year ending September 30, 1994, the Materials Sciences total support level amounted to about \$277.1 million in operating funds (budget outlays) and \$20.8 million in equipment funds. The following analysis of costs is concerned only with operating funds (including SBIR) i.e., equipment funds which are expended primarily at Laboratories are not shown in the analysis. Equipment support for the Contract and Grant Research projects is included as part of the operating budget.

### 1. By Region of the Country

	Contract and Grant Research (% by \$)	Total Program (% by \$)
(a) Northeast (CT, DC, DE, MA, MD, ME, NJ, NH, NY, PA, RI, VT)	38.6	25.2
(b) South (AL, AR, FL, GA, KY, LA, MS, NC, SC, TN, VA, WV)	12.5	11.0
(c) Midwest (IA, IL, IN, MI, MN, MO, OH, WI)	27.9	35.2
(d) West (AZ, CO, KS, MT, NE, ND, NM, OK, SD, TX, UT, WY, AK, CA, HW, ID, NV, OR, WA)	21.0	28.6
	<u> </u>	
	100.0	100.0

#### 2. By Discipline:

	Grant <u>Research (% by \$)</u>	Total Program (% by \$)
(a) Metallurgy, Materials Science, Ceramics (Budget Activity Numbers 01-)	57.1	44.8
(b) Physics, Solid State Science, Solid State Physics (Budget Activity Numbers 02-)	33.3	20.3
(c) Materials Chemistry (Budget Activity Numbers 03-)	9.6	7.4
(d) Facility Operations		27.5
	<u> </u>	<u> </u>

100.0

100.0

## SUMMARY OF FUNDING LEVELS (continued)

### 3. By University, DOE Laboratory, and Industry:

	Total Program (% by \$)
(a) University Programs (including laboratories where graduate students are involved in research to a large extent, i.e., LBL, Ames and iL)	20.2
(b) DOE Laboratory Research Programs	30.3
(c) Major Facilities at DOE Laboratories	48.0
(d) Industry and Other	1.5
	100.0

## 4. By Laboratory and Grant Research:

	Total Program (%)
Ames Laboratory	3.4
Argonne National Laboratory	24.4
Brookhaven National Laboratory	18.7
Idaho National Engineering Laboratory	0.2
Illinois, University of (Frederick Seitz	
Materials Research Laboratory)	2.7
Lawrence Berkeley Laboratory	2.5
Lawrence Livermore National Laboratory	1.0
Los Alamos National Laboratory	3.5
National Renewable Energy Laboratory	0.4
Oak Ridge National Laboratory	8.7
Pacific Northwest Laboratory	1.3
Sandia National Laboratory	3.2
Stanford Synchrotron Radiation Laboratory	1.0
Grant Research	17.1

100.0

# SECTION H

Index of Investigators, Materials, Techniques, Phenomena, and Environment

## **INVESTIGATOR INDEX**

Abraham, M. M., 175 Abrikosov, A. A., 32A Ackerson, B. J., 373 Adams, B.L., 229 Akinc, M., 21 Aksay, I. A., 194 Alexander, D. E., 25 Alexander, K. B., 164, 167 Alivisatos, P., 136 Alkire, R. C., 65 Allen, P., 47 Allen, C. W., 23 Allen, J. W., 327 Allen, S. M., 309 Alper, M. D., 133 Althoff, J. D., 214 Amls, E. J., 412 Anderegg, J. W., 20 Anderson, H. U., 337 Anderson, I. E., 1, 6 Anderson, R. J., 215 Angell, C. A., 219 Annis, B. K., 190 Ardell, A. J., 236 Arko, A. J., 156 Aronson, M., 328 Aselage, T. L., 209 Asoka-kumar, P., 56 Assink, R. A., 202 Ast, D. G., 272 Asta, M., 213 Attwood, D., 130 Atwater, H. A., 248 Auerbach, A., 225 Averback, R. S., 66, 94 Axe, J. D., 52, 53 Aziz, M. J., 293 Baddorf, A. F., 188 Bader, S. D., 28, 29 Baikerikar, K. G., 22 Balles, S., 213 Bak, P., 57 Baker, I., 277 Balazs, A. C., 392 Balluffi, R. W., 308 Bamberger, C. E., 189 Barbour, J. C., 204 Barkwill, J., 61 Barnett, S. A., 360 Barr, S., 40 Bartlett, R. J., 156 Barton, T. J., 21 Bartram, M. E., 210 Basaran, O. A., 191 Bassani, J. L., 388 Bates, J. B., 173, 174

Bayley, H., 449 Beach, D. B., 189 Becher, P. F., 167 Bedell, K. S., 155 Bedzyk, M. J., 362 Belanger, D., 245 Bell, A., 134 Bement, A. L., 402 Benesl, A., 383 Beno, M., 31 Bentley, J., 164 Berker, A. N., 317 Blenenstock, A. I., 216 Biner, B., 3 Birnbaum, H. K., 67, 81 Birtcher, R. C., 25 Bishop, A. R., 155, 159 Blakely, J. M., 273 Blumenthal, W. R., 151 Boatner, L. A., 175 Bohn, P. W., 68 Bonnell, D. A., 385 Borland, M., 41 Borsa, F., 11 Bourcler, R. J., 204 Bourret, E., 119A Brelland, W. G., 210 Brimhall, J. L., 198 Brinker, C. J., 202 Bristowe, P. D., 308 Brow, R. K., 202 Brown, B. S., 42 Brown, T. L., 96 Browning, N. D., 184 Bruemmer, S. M., 195, 198 Brumwell, F. R., 42 Brynda, W., 61 Brynestad, J., 185 Buck, O., 3, 7 Budal, J. D., 183, 185 Budhani, R., 50 Bunker, B. A., 366 Bunker, B. C., 197, 201 Burkhart, R. D., 340 Butler, W. H., 165 Byers, C. H., 191 Cable, J. W., 171 Cahill, D., 97 Cal, Z.-X., 50 Calaway, W. F., 38 Campbell, A. A., 201 Campbell, G., 144 Campuzano, J. C., 31 Canfleid, P., 12 Cannon, R., 119 Carelli, J., 61

Carlson, K. D., 34 Carlsson, A. E., 438 Carpenter, J. M., 42 Carpenter, R. W., 220 Carter, C. B., 330 Castilla, G., 57 Chakoumakos, B. C., 175, 185 Chakraborty, A., 134 Chakraborty, B., 227 Chan, C. T., 4, 18, 19 Chan, K. S., 414 Chan, S. K., 25 Chang, H. L., 32 Chang, R. P. H., 358 Chang, S.-L., 22 Chang, Y. A., 443 Charych, D., 133 Chase, L. L. 146 Chason, E., 205 Chelikowsky, J. R., 334 Chemla, D. S., 120 Chen, H., 88 Chen, I-W., 323 Chen, K., 179 Chen, S.-H., 318 Chiang, T.-C., 98 Chiang, Y-M., 310 Childs, R. D., 180 Ching, W-Y., 336 Chisholm, M. F., 179, 184 Cho, Y., 43 Choi, W. Y., 454 Chou, M. -Y., 288 Christen, D. K., 176, 185 Chrzan, D. C., 213 Chu, B., 422 Chudnovsky, E. M., 256 Chumbley, L. S., 2 Clapp, P. C., 267 Clarke, D. R., 240 Clarke, J., 121, 131 Clem, J. R., 9, 17 Cohen, J. B., 354 Cohen, M. L., 129 Coleman, R. V., 434 Collman, J. P., 418 Coltrin, M. E., 210 Cooke, J. F., 182 Cooper, S. L., 99, 446 Coppens, P., 419 Corbett, J. D., 20 Cormack, A. N., 218 Cox, B. N., 407 Cox, D., 368, 54 Crabtree, G. W., 30 Creighton, J. R., 210

Crosble, E., 41, 44 Cummins, H. Z., 257 Curro, J. G., 193 Curtiss, L. A., 35, 36, 37 D'Amico, K. L., 456 Dahmen, U., 113, 114 Dalgleish, B., 119 Damm, R., 41, 44 Davenport, A. J., 49 Davenport, J. W., 47, 57. Davey, S., 40 David, S. A., 168 Davis, H. L., 182, 188 de Fontaine, D., 115 DebRoy, T., 382 Decker, G., 41 DeJonghe, L. C., 119 Denn, M. M., 134 Detweller, J., 61 Dieckmann, R., 274 DiSalvo, F. J., 276 Dravid, V. P., 353 Drickamer, H. G., 110 Drukier, A. K., 452 Drummond, T., 205 Dudney, N. J., 173, 174 Dugger, M. T., 204 Dunning, F. B., 406 Dutta, P., 365 Duxbury, P. M., 321 Eades, J. A., 69, 70 Earthman, J. C., 233 Eastman, J. A., 24 Ecke, R. E., 158 Ehrlich, G., 71 Eisenberger, P., 398 El-Batanouny, M. M., 226 Ellis, D. E., 354 Elsayed-All, H. E., 374 Emery, V. J., 57 Emin, D., 209 Engel, B. N., 223 Entine, G., 455 Epstein, A. J., 370 Eres, G., 178 Esherick, E., 210 Etters, R. D., 263 Evans, N. D., 163 Ewing, R.C., 342 Exarhos, G. J., 194, 200 Fadley, C. S., 122 Falco, C. M., 223 Falicov, L. M., 129 Farrell, H., 69, 82 Farrell, K., 166 Feenstra, R., 176 Feibelman, P. J., 203, 207 Felcher, G. P., 27 Feng, S., 237 Fenner, D. B., 450 Fernandez-Baca, J., 171

Ferris, K. F., 197, 200 Fewell, N., 62 Finnemore, D. K., 9, 13 Fischer, J. E., 386 Fishman, R., 350 Fisk, Z., 157 Floro, J. A., 205 Flynn, C. P., 83, 94, 100 Folles, S. M., 144, 213 Folistaedt, D. M., 204 Foster, C., 32 Fraden, S., 228 Franzen, H. F., 20 Freeman, A. J., 363 Friedsam, H., 41 Fryxell, G. E., 201 Fu, C. L., 165 Fuchs, R., 18 Fullerton, E. E., 28, 29 Fultz, B. T., 246 Furtak, T., 262 Galayda, J., 41, 43, 44 Gardner, J. A., 375 Garofalini, S. H., 409 Gea, L., 175 Geiser, U., 34 Geohegan, D. B., 178 George, E. P., 169 Gerberich, W. W., 331 Gewirth, A. A., 72 Ghosh, V. J., 48 Gibbs, L. D., 55 Gibson, J. M., 73 Gibson, L. J., 316 Gin, D., 134 Girolami, G. S., 84 Glaeser, Andreas, 119 Gluskin, E., 45, 46 Goeppner, G., 41 Golden, S., 61 Goldman, A., 10, 14, 12 Gonls, A., 144 Goodstein, D. L., 249 Goretta, K. C., 26 Gorkov, L. P., 282 Gourley, P. L., 206 Graessley, W. W., 396 Graff, G. L., 201 Graham, A. L., 151 Gray, K. E., 26, 33 Greegor, R. B., 224 Green, D. J., 380 Green, H. W., 231 Green, P. F., 202 Gréene, J. E., 74 Greenwell, D, 306 Grimsditch, M., 28 Gronsky, R., 116 Gross, T. S., 341 Gruen, D. M., 38, 39 Grummon, D. S., 320

Grutzeck, M. W., 383 Gruzalski, G. R., 173 Gschneidner, Jr., K. A., 5 Gschneidner, K. A., 12 Haasch, R. T., 75 Habenschuss, A., 190 Hackney, S., 322 Hadjipanayls, G. C., 280 Haller, E., 119A Halley, J. W., 332 Hamilton, C. H., 195 Hamilton, J., 213 Hamilton, W. A., 170 Hammack, W.S., 250 Hammel, P. C., 157 Hamza, A. V., 146 Hard, A. J., 202 Harmon, B. N., 4, 12, 19 Harris, M. T., 191 Hastings, J., 62 Haynes, T. E., 187 Hayter, J. B., 170 Heese, R., 62 Heffner, R. H., 157 Helistrom, E. E., 444 Hemminger, J. C., 234 Henager Jr., C. H., 196 Henager, Jr., C. H., 195 Henderson, D.O., 281 Henley, C. L., 275 Hess, N. J., 199, 200 Heuer, A. H., 201 Hill, J. P., 55 Hinks, D. G., 33 Hirota, K., 52 Ho, K. M., 4 Ho, K.-M., 18, 19 Ho, P., 210 Hoagland, R. G., 437 Hobbs, L. W., 315 Hogen-Esch, T. E., 412 Holland, O. W., 187 Holm, E. A., 149 Horton, J. A., 169 Houston, J. E., 203, 207 Howitt, D. G., 232 Hoyt, J. J., 436 Hsueh, C.-H., 167 Huang, D. J., 58 Hwang, R., 213 Ice, G. E., 177 ljadi-Maghsoodi, S., 21 Im, J.S., 264 Ingalls, R. L., 439 Isaacs, H. S., 49 Israelachvili, J., 243 Iton, L., 35 Jacobson, R. A., 20 Jain, H., 305 Jansen, H. J. F., 376 Jellison, G. E., 178

Investigator Index

Jena, P., 430 Jennings, H., 361 Jesson, D. E., 179, 184 Jlies, D. C., 7 Johnson, D. C., 9, 22 Johnson, D. D., 214 Johnson, P. D., 58 Johnson, S. A., 35 Johnson, W. L., 247 Johnson, W. C., 432 Johnston, D. C., 11 Jona, J. P., 421 Jones, E. D., 206 Jones, L. L., 6, 8 Jones, R. H., 196 Jorgensen, J. D., 27 Joyce, J. J., 156 Junker, L., 61 Kalia, R, 306 Kameda, J., 3 Kampwirth, R. T., 33 Kao, Y. H., 420 Kapitulnik, A., 416 Kaplan, T., 179, 182 Karma, A. S., 352 Katz, J. L., 301 Keane, J., 62 Kee, R. J., 210 Kelber, J. A., 351 Kellogg, G. L., 207 Kenik, E. A., 163, 164 Kerchner, H. R., 176, 185 Kesmodel, L., 300 Ketterson, J. B., 365 Kevan, S. D., 377 Khachaturyan, A.G., 408 Khanna, S. N., 430 Killeen, K. P., 210 Kincald, B. M., 142 King, W. E., 144 Kingon, A. I., 344 Kini, A. M., 34 Kirk, M. A., 23 Kirkland, E. J., 271 Kirsch, J. F., 133 Klabunde, C. E., 176 Klaffky, R., 62 Kleb, R., 27 Klemm, R. A., 32A Klemperer, W. F., 89 Kleppa, O. J., 254 Knapp, G. S., 31 Knapp, J. A., 204 Knott, M., 44 Kocks, U. F., 153 Koelling, D. D., 156 Kogan, V., 17 Komarneni, S., 384 Koshland, Jr., D. E., 133 Kramer, M. J., 9 Kramer, S., 62

Krauss, A. R., 35, 38 Krinsky, S., 62 Krishnan, K., 117 Krishnan, S., 453 Krumhansl, J. A., 268 Kumar, P. H., 285 Kunchur, M. N., 176 Kustom, R., 41, 44 Kuzay T., 45, 46 Kwok, W. K., 30 Lad, R. J., 307 Laird, C., 388 Lam, N. Q., 25 Lambeth, D. N., 251 Lamich, G. J., 38 Landman, U., 289 Langdon, T.G., 411 Langer, J. S., 241 Lannin, J. S., 379 Larese, J. Z., 59 Larson, B. C., 183 Laughlin, D. E., 251 Lawrence, J., 156 Lax, M., 259 Lee, E. H., 166 Lesar, R., 149 Lewis, M. B., 166 LI, Q., 51 LI, Z., 24 Liedl, G. L., 400 Lillental, Z., 119A Lin, J. S., 181 Lin, S.H., 220 Lindemer, T. B., 192 Little, W. A., 418 Llu, C. T., 169 Llu, J., 201 Llu, S. H., 182 Llu, Y., 58 Livingston, J. D., 309 Lograsso, T. A., 6, 8 Loretto, D., 137 Louie, S. G., 129 Lowe, W. P., 297, 298 Lowndes, D. H., 178 Luban, M., 19 Lubben, D. C., 173, 174 Lucas, C. A., 137 Lumpkin, A., 41, 44 Lykke, K. R., 39 Lyman, C. E., 302 Lynch, D. W., 12, 15 Lynn, K. G., 56 Lyo, S. K., 206 Macdonald, D. D., 381 Magnussen, O., 55 Mahan, G. D., 182 Maher, J. V., 391 Makherjee, A. K., 231 Mansur, L. K., 166 Maple, M. B., 238

\_Investigator Index

Mariam, Y. H., 260 Maris, H. J., 230 Maroni, V. A., 35, 36 Marshall, D. B., 407 Martin, J. E., 211 Martin, R. M., 101 Mascarenhas, A., 161 Mason, T. O., 354 Mavrogenes, G., 41, 44 Maya, L., 189 Mayer, T. M., 207 McBreen, J., 60 McCallum, R. W., 2, 9 McCarley, R. E., 20 McCarty, K. F., 212 McGhee, D., 41 McGibbon, A. J., 179 McGibbon, M. M., 179 McKamey, C. G., 169 McWhan, D. B., 62 Medlin, D., 213 Mele, E. J., 389 Melendres, C. A., 36 Melenkiewitz, J., 211 Mercer, J., 213 Merkle, K. L., 24 Michalske, T. A., 203 Miller, D. J., 26, 30, 33 Miller, J. S., 428 Miller, L., 11 Miller, M. K., 164 Mills, D. L., 235 Mills, D. M., 45, 46 Mills, F., 41 Milton, S., 41 Missert, N., 208 Mitchell, T. E., 151, 152 Mitra, S., 8 Mochel, J. M., 76 Modine, F. A., 173, 174 Moffat, H. K., 210 Mohamed, F. A., 233 Mohanty, R. C., 413 Montano, P. A., 31 Mook, H. A., 171, 172 Morkoc, H., 102 Morosin, B., 208 Morris, Jr., J. W., 118 Morris, W. L., 407 Moss, S. C., 296 Mostoller, M. E., 182 Mukherjee, A. K., 231 Mullen, J. R., 403 Muller, S., 134 Murray, R. W., 349 Myers, S. M., 204 Nagler, S. E., 286 Nagy, Z., 36 Nastasl, M., 150 Navrotsky, A., 397 Nelson, J., 203, 206

Investigator index

Nevitt, M.V., 261 Nicklow, R. M., 171, 172 Nieh, T. G., 145 Nielsen, B., 48 Nix, W. D., 415 Noid, D. W., 190 Noonan, J., 41 Norman, M., 32A, 156 Norton, D. P., 178 Notis, M. R., 303 Novak, B., 134 Novotny, M. A., 282 Nowick, A. S., 265 Nuzzo, R. G., 111 O'Handley, R. C., 312 Ocko, B., 55 Okamoto, P. R., 25 Oliver, W. C., 164 Oliveria, M., 312 Olmstead, M. A., 440 Olson, C. G., 15 Olson, G. B., 356 Olson, J., 161 Onellion, M., 445 Osborn, R., 27 Osgood, Jr., R. M., 266 Osheroff, D. D., 417 Ostenson, J. E., 13 Pacuski, M., 57 Page, R.A., 414 Painter, G. S., 165 Panitz, J. A., 343 Parmeter, J. E., 210 Passell, L., 53 Paulikas, A. P., 26 Payne, D. A., 90 Payne, J. E., 410 Payne, S. A., 147 Pechan, M. J., 319 Pellin, M. J., 38, 39 Pennycook, S. J., 179, 184 Pershan, P. S., 295 Petrovic, J. J., 151 Phillips, D. S., 151 Phillips, N. E., 131, 132 Phillpot, S. R., 24 Picraux, S. T., 205 Pincus, F. A., 244 Pines, A., 138 Pinski, F. J., 214 Pirouz, P., 253 Poker, D. B., 186 Price, D. L., 27 Pynn, R., 154, 160 Queirolo, A., 61 Quong, A. A., 213 Rabin, B. H., 63 Rafallovich, M., 423 Ramanathan, M., 31 Ramey, J. O., 175 Randeria, M., 32A

Rao, B. K., 430 Ratner, M. A., 364 Rauchfuss, T. B., 103 Rehn, L. E., 25 Rehr, J. J., 441 Relmer, J., 134 Rever. R., 61 Richards, P., 131, 123 Richmond, G. L., 378 Rieke, P. C., 201 Riseborough, P., 156, 395 Ritchie, R. O., 118 Ritchie, Robert, 119 Robertson, I., 81, 95 Robinson, I. K., 77 Robinson, M. T., 182 Rockett, A., 91 Rodriguez, A., 260 Rollett, A. D., 149 Rorer, D. C., 61 Rosenthal, P. A., 451 Ross, P. N., 124 Roy, R., 384 Ruggiero, S. T., 367 Ruoff, A. L., 269 Russell, T. P., 299 Ruvalds, J., 435 Ruzicka, W. D., 42 Saboungl, M.-L., 37 Safron, S. A., 284 Salamon, M. B., 92 Sales, B. C., 175 Salmeron, M., 135, 139 Samuels, W. D., 194 Sander, L. M., 329 Sarachik, M. P., 258 Sass, S. L., 270 Sato, H., 401 Savit, R., 329 Sayers, D. E., 346 Scalapino, D. J., 242 Scattergood, R. O., 345 Schirber, J. E., 208 Schlottmann, P. U., 283 Schlueter, J. S., 34 Schmidt, V. H., 338 Schneibel, J. H., 169 Schrieffer, J. R., 282 Schuller, I. K., 239 Schulson, E. M., 278 Schultz, P.G., 133 Schunk, P. R., 202 Schwarz, R. B., 152 Schwarz, R. W., 202 Schweizer, K. S., 193 Schweizer, K. S., 104 Scoles, G., 399 Seager, C. H., 204 Seebauer, E. G., 112 Seldman, D. N., 355 Sellmyer, D. J., 339

Semenovskaya, S., 408 Sethna, J. P., 268 Shank, C. V., 125 Shapiro, S. M., 53 Sharma, S., 41 Shen, Y. R., 126, 139 Shenoy, G., 43, 40, 45, 46 Shetty, D. K., 427 Shiflet, G. J., 433 Shinar, J., 16 Shinn, N. D., 203, 207 Shirane, G., 52 Shores, D. A., 333 Shriver, D. F., 364 Sickafus, K. E., 150 -Siegal, M. P., 208 Sieradzki, K., 221 Silcox, J., 271 Silvera, I. F., 294 Simmons, J. H., 285 Simmons, R. O., 105 Simonen, E. P., 196, 198 Simpson, P., 56 Sinclair, M. B., 206 Singh, R. N., 255 Skofronick, J. G., 284 Slichter, C. P., 106 Smedskjaer, L. C., 30 Smith, H. G., 171 Smith, J. L., 157 Socle, D. F., 85 Soffa, W.-A., 390 Sofronis, P., 86 Sokolov, J., 423 Somorjal, G. A., 139 Sordelet, D. J., 6 Soukoulis, C., 19 Sowa, E., 144 Sparks, Jr., C. J., 177 Specht, E. D., 177 Spooner, S., 172, 181 Sproul, W. D., 360 Srolqvitz, D. J., 149, 326 Stacy, A. M., 140 Stassis, C., 10, 12 Stein, H. J., 204 Stern, E. A., 442 Sternlieb, B., 53 Stevens, R., 133 Stewart, G. R., 287 Steverl, A., 405 Stocks, G. M., 165 Stout, M. G., 153 Stover, R. L., 180 Stringfellow, G. B., 425 Stroller, R. E., 166 Stronach, C. E., 431 Strongin, M., 58 Stubbins, J. F., 87 Stulen, R. H., 212, 215 Stupp, S. I., 107

Sturge, M. D., 279 Suenaga, M., 50, 51 Sugar, R. L., 242 Sumpter, B. G., 190 Suresh, S., 311 Suslick, K. S., 108 Swanson, B. I., 159 Swartzentruber, B., 205, 207 Swenson, C. A., 11 Swenton, J., 371 Swift, G. W., 158 Szeles, C., 56 Tallant, D. R., 209 Tanner, L., 143 Tardy, H. L., 209 Tauc, J., 230 Teng, L., 41, 44 Terminelio, L. J., 148 Theodorou, D., 134 Thiel, P. A., 22 Thomas, G., 118 Thomas, G., 119 Thomas, P., 57 Thomas, R. C., 203 Thomlinson, W., 62 Thompson, J. D., 157 Thompson, J. R., 176 Thompson, R. B., 7 Thompson, R. G., 217 Thurston, T., 55, 211 Tichler, P., 61 Tischler, J. Z., 183 Tobin, J. G., 146 Tolbert, L. M., 291 Tomozawa, M., 404 Tomsia, A., 119 Tong, S. Y., 448 Tonner, B. P., 447 Torgeson, D. R., 11 Toulouse, J., 304 Tranguada, J. M., 52 Tringides, M., 15 Trivedia, N., 32A Trivedi, R. K., 2, 6 Tsakalakos, T., 408 Tsao, J. Y., 205 Tuller, H. L., 313 Ulloa, S. E., 372 Unal, O., 3 Vaknin, D., 10 Vallet, C. E., 189 Van Hook, A., 424 Van Hove, M., 127, 139 Vander Sande, J. B., 314 Vardeny, Z. V., 429 Vashishta, P. 306 Veal Jr., B. W., 26 Venturini, E. L., 208, 209 Vethiraj, M., 171 Vetrano, J. S., 195 Vinokur, V., 30

Virkar, A. V., 426 Vitek, J. M., 168 Vitek, V., 387 Volgt, J. A., 202 Voter, A. F., 151, 155 Wake, D. R., 78 Walters, G. K., 406 Walukiewicz, W., 119A Wampler, W. R., 204 Wang, H. H., 34 Wang, L.-Q., 194 Warburton, W. K., 457 Was, G. S., 324 Washburn, J., 119A Watson, G., 55 Watson, R. E., 47, 57 Weber, E., 119A Weber, W. J., 199 Weertman, J. R., 357 Weinberg, M.C., 222 Weinhert, M., 57 Welch, D. O., 50 Welp, U., 30 Wendelken, J. F., 188 Wessels, B. W., 359 Whang, S. H., 393 Whangbo, M. H., 347 White, C. W., 187 White, M., 41, 44 Whitten, J. L., 348 Wieckowski, A., 79 Wignall, G. D., 172, 181, 193 Wilcoxon, J. P., 211 Wilkins, J. W., 369 Williams, J. M., 34, 186 Windisch, Jr., C. F., 196 Winert, M., 47 Winick, H., 216 Withrow, S. P., 186 Wochner, P., 53 Wolf, D., 24, 32 Wolf, E. L., 394 Wolfe, J. P., 109 Wolfer, W. G., 213 Wong, C.-H., 133 Wong, M.-S., 360 Wood, R. F., 182 Wright, R. N., 64 Wunderlich, B., 190 Wynblatt, P., 252 Xiao, Q., 458 Yang, P., 209 Yarmoff, J. A., 141 Yee, A. F., 325 Yelon, W. B., 335 Yen, W. M., 292 Yethiral, M., 172 Yonco, R. M., 36 Yoo, M. H., 169 You, H., 31 Yu, K. M., 119A

\_Investigator Index

Yu. P. Y., 128 Zacharia, T., 168 Zaluzec, N. J., 25 Zangvil, A., 80 Zangwill, A., 290 Zarestky, J., 10 Zehner, D. M., 188 Zettl, A., 131 Zheluder, A., 52 Zhu, Q., 54 Zhu, Y., 50, 51 Zolimer, S., 15 Zollner, S., 12 Zuhr, R. A., 187 Zukoski, C., 93 Zunger, A., 161, 162

## MATERIALS, TECHNIQUES, PHENOMENA, AND ENVIRONMENT

The numbers in parenthesis at the end of each listing of Abstract numbers gives for each topic the percentage of prorated projects, the percentage of funding, and the percentage of individual projects respectively. The prorated projects and the funding levels are based on estimates of the fractions of a given project devoted to the topic. The operating funds for fiscal year 1994 were \$277,125,000. The number of projects is 458.

#### MATERIALS

#### Actinides-Metals, Alloys and Compounds

**5**, **12**, **15**, **30**, **31**, **53**, 132, 142, 156, 157, **171**, **224**, **238**, **283**, **327**, 369, 395 (1.24, 8.45, 3.71)

#### Aluminum and its Alloys

1, 7, 18, 38, 49, 53, 64, 69, 81, 116, 118, 149, 153, 165, 166, 168, 172, 181, 195, 217, 267, 278, 304, 322, 333, 345, 352, 393, 433, 436, 437 (2.01, 0.74, 6.77)

#### Alkali and Alkaline Earth Metals and Alloys

**4**, 38, 58, 171, 268, 379, 436 (0.46, 0.19, 1.53)

Amorphous State: Liquids

**37**, **56**, 105, 110, 137, 190, 191, 216, 228, 230, **257**, **293**, **295**, **296**, 343, 373, 391, 424 (1.29, 0.53, 3.93)

#### Amorphous State: Metallic Glasses

**19**, **23**, **66**, **69**, **116**, **137**, **152**, **171**, **181**, **204**, **216**, **230**, **247**, **289**, **336** (0.76, 0.55, **3.28**)

#### Amorphous State: Non-Metallic Glasses (other than Silicates)

**27**, **84**, **90**, **137**, **174**, **175**, **178**, **204**, **216**, **219**, **220**, **232**, **250**, **292**, **296**, **305**, **419** (0.68, 0.55, **3.71**)

#### Amorphous State: Non-Metallic Glasses (Silicates)

**16, 88, 197, 202**, 216, 220, 222, 237, 250, 281, 285, 292, 296, 305, 336, 383, 404, 409, 419, 427 (1.09, 0.47, 4.37)

#### Carbides

16, 80, 84, 119, 138, 139, 173, 175, 186, 188, 189, 220, 232, 253, 255, 260, 336, 345, 414, 426, 433 (0.98, 0.62, 4.59)

#### Cement and Concrete

361 (0.22, 0.07, 0.22)

#### Carbon and Graphite

54, 116, 129, 137, 181, 186, 212, 213, 215, 249, 358, 379, 386 (0.68, 0.28, 2.84)

<u>Coal</u>

172, 211 (0.09, 0.07, 0.44)

#### Composite Materials-Structural

**3**, 6, 13, 24, 119, 151, 167, 181, 194, 196, 220, 255, 311, 320, 323, 345, 363, 407, 415, 427 (1.07, 0.58, 4.37)

#### Critical/Strategic Elements (Cr, Co, and Mn-Pt Alloys-use indexes below, also see Critical/Strategic Materials Substitution in the Phenomena Index.) Not to appear in Summary Book.

6, 302, 390, 445 (0.22, 0.06, 0.87)

#### Copper and its Alloys

1, 3, 6, 13, 36, 38, 53, 65, 68, 72, 85, 95, 115, 138, 153, 162, 165, 183, 221, 226, 229, 252, 284, 314, 322, 344, 377, 388, 421 (1.72, 0.66, 6.33)

**Dielectrics** 

14, 16, 90, 102, 128, 137, 173, 175, 189, 200, 212, 218, 265, 321, 359 (0.68, 0.33, 3.28)

#### Fast Ion Conductors (use Solid Electrolytes If more appropriate)

27, 38, 173, 174, 218, 219, 265, 313, 444 (0.46, 0.29, 1.97)

#### Iron and its Alloys

1, 2, 3, 7, 15, 36, 49, 52, 53, 65, 68, 72, 79, **81, 85, 87**, 95, 118, 153, 165, 166, 168, 171, 172, 177, 196, 198, 214, 223, 226, 229, 233, 246, 267, 273, 277, 280, 312, 324, 339, 351, 352, 356, 357, 382, 390, 400, 413, 415, 433, 439 (3.56, 1.33, 11.14)

#### Glasses (use terms under Amorphous State)

173, 222, 296, 305, 317, 364, 417, 427 (0.52, 0.08, 1.75)

#### Hydrides

20, 58, 81, 86, 172, 178, 213, 247, 288 (0.46, 0.34, 1.97)

Intercalation Compounds

22, 52, 129, 171, 174, 335, 346, 364, 386, 403, 434 (0.57, 0.24, 2.40)

#### Intermetallic Compounds

3, 5, 6, 10, 11, 14, 20, 22, 32A, 38, 50, 52, 53, 74, 118, 129, 132, 143, 150, 156, 157, 165, 169, 171, 172, 177, 213, 214, 227, 233, 236, 246, 254, 267, 275, 277, 278, 283, 288, 304, 309, 320, 326, 387, 393, 408, 442 (3.12, 1.74, 10.04)

#### Ionic Compounds

**24**, **32**, **37**, **137**, **173**, **174**, **179**, **218**, **231**, **261**, **288**, **304**, **353**, **359**, **384**, **410** (0.85, 0.30, 3.49)

#### Layered Materials (including Superlattice Materials)

11, 13, 14, 16, 24, 28, 29, 31, 32, 32A, 35, 45, 46, 48, 56, 66, 70, 73, 81, 82, 84, 97, 99, 102, 109, 116, 118, 119A, 120, 131, 137, 156, 162, 165, 171, 172, 176, 178, 179, 183, 184, 200, 201, 204, 205, 206, 215, 216, 242, 252, 279, 282, 314, 319, 360, 365, 368, 372, 377, 386, 401, 406, 415, 425, 440 (3.84, 3.93, 13.76)

#### Liquids (use Amorphous State: Liquids)

**68**, **72**, **77**, 110, 158, 170, 181, 241, 257, 264, **298**, **318**, 362, 366, 398, 442, 453 (1.29, 0.50, 3.71)

#### Metals and Alloys (other than those listed separately in this index)

5, 14, 15, 18, 25, 31, 32A, 37, 38, 45, 46, 49, 52, 55, 56, 58, 71, 72, 77, 79, 86, 92, 94, 97, 98, 100, 106, 111, 118, 121, 122, 129, 135, 138, 139, 143, 149, 152, 153, 155, 163, 164, 165, 166, 172, 183, 187, 188, 198, 204, 221, 226, 227, 237, 239, 240, 241, 245, 248, 251, 252, 267, 268, 271, 293, 295, 298, 300, 308, 314, 324, 326, 327, 333, 350, 351, 357, 360, 362, 363, 366, 367, 374, 378, 387, 393, 401, 415, 416, 421, 433, 437, 442, 450 (6.66, 5.31, 20.31)

#### Molecular Solids

**34**, **39**, **89**, **96**, **103**, **105**, **107**, **108**, **110**, **127**, **190**, **209**, **263**, **276**, **335**, **370**, **403**, **428**, **449** (1.94, **0.81**, **4.15**)

#### Nickel and its Alloys

3, 4, 19, 23, 36, 52, 53, 65, 69, 85, 95, 143, 153, 162, 165, 166, 168, 171, 177, 188, 195, 196, 198, 214, 231, 233, 236, 239, 267, 270, 271, 273, 277, 278, 320, 324, 333, 348, 400, 432 (2.38, 1.11, 8.73)

#### Nitrides

16, 20, 21, 33, 119, 139, 173, 186, 189, 220, 260, 336, 360, 426 (0.57, 0.47, 3.06)

#### Oxides: Binary

20, 24, 27, 32, 32A, 37, 51, 52, 54, 58, 73, 84, 89, 90, 93, 100, 102, 119, 119A, 122, 127, 135, 139, 145, 150, 151, 166, 167, 173, 174, 179, 186, 191, 199, 215, 220, 222, 232, 240, 250, 273, 281, 301, 303, 307, 310, 311, 312, 315, 323, 330, 333, 334, 336, 337, 345, 354, 355, 375, 376, 380, 385, 400, 414, 415, 427, 444 (3.67, 1.85, 14.19)

#### Oxides: Non-Binary, Crystalline

20, 24, 26, 30, 32, 50, 54, 88, 89, 118, 119A, 131, 147, 150, 151, 157, 166, 173, 174, 178, 179, 185, 186, 189, 191, 199, 215, 218, 220, 231, 250, 261, 265, 268, 274, 301, 303, 313, 315, 323, 334, 336, 337, 342, 344, 353, 354, 359, 375, 383, 394, 397, 402, 410, 411, 431 (3.45, 2.21, 12.01)

. . . .

#### Polymers

16, 21, 35, 39, 60, 96, 103, 104, 107, 108, 116, 133, 134, 155, 166, 172, 181, 190, 193, 194, 200, 201, 216, 242, 243, 244, 260, 276, 291, 299, 325, 338, 340, 349, 362, 364, 365, 366, 371, 386, 392, 396, 398, 412, 417, 418, 419, 422, 423, 424, 429, 446 (4,98, 1,68, 11,35)

(4.70, 1.00, 1...00)

Platinum Metal Alloys (Platinum, Pailadium, Rhodium, Irridium, Osmium, Ruthenium)

11, 71, 76, 106, 127, 139, 165, 214, 251, 262, 284, 302, 355, 443 (1.16, 0.32, 3.06)

Quantum Fluids and Solids

**13, 27, 32A, 105, 121, 129, 155, 158, 171, 249, 279, 285, 294, 318** (0.98, 0.40, 2.84)

#### Radloactive Waste Storage Materials (Hosts, Canister, Barriers)

36, 88, 180, 224, 342, 384 (0.66, 0.28, 1.31)

Rare Earth Metals and Compounds

2, 5, 6, 9, 10, 11, 12, 15, 19, 30, 52, 53, 55, 118, 132, 155, 156, 157, 171, 238, 280, 283, 328, 339, 394, 413, 435, 445 (1.70, 1.07, 6.11)

Refractory Metals (Groups VB and VI B)

3, 6, 11, 19, 20, 23, 33, 71, 122, 175, 223, 276, 309, 343, 351, 453 (0.81, 0.50, 3.49)

## Superconductors - ceramic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

6, 8, 9, 10, 13, 17, 19, 24, 26, 30, 31, 32A, **33**, **35**, **50**, 51, 52, 53, 54, 56, 58, 78, 80, 84, 90, 101, 106, 115, 116, 121, 128, 129, 131, 132, 140, 152, 157, 163, 172, 175, 176, 178, 179, 183, 184, 185, 189, 192, 202, 212, 216, 223, 238, 239, 261, 268, 283, 284, 287, 314, 321, 327, 334, 336, 344, **354**, **369**, 394, 397, 402, 410, 418, 431, 435, 439 (5.13, 3.20, 16.16)

Semiconductor Materials - Elemental (including doped and amorphous phases)

16, 48, 56, 70, 71, 73, 91, 95, 97, 98, 99, 111, 116, 119A, 122, 126, 129, 130, 136, 137, 141, 146, 148, 162, 178, 179, 183, 184, 186, 187, 188, 204, 205, 210, 215, 216, 248, 258, 259, 264, 269, 272, 279, 286, 290, 293, 297, 298, 301, 330, 343, 348, 358, 377, 385, 389, 420, 440 (4.37, 2.37, 12.45)

<u>Superconductors - metallic (also see superconductivity in the Phenomena index and Theory in the Techniques index)</u>

13, 17, 30, 33, 50, 101, 129, 157, 172, 175, 176, 185, 225, 321, 417, 431 (0.79, 0.57, 3.49)

<u>Superconductors - polymeric, organic (also see superconductivity in the Phenomena index and Theory in the Techniques Index)</u>

30, 32A, 34 (0.11, 0.22, 0.44)

#### Semiconductor Materials - Multicomponent (III-Vs, II-Vis, including doped and amorphous forms)

16, 19, 56, 70, 73, 74, 75, 81, 82, 95, 97, 98, 99, 102, 109, 110, 111, 112, 116, 119A, 120, 125, 126, 128, 129, 136, 137, 141, 159, 161, 162, 178, 186, 205, 206, 210, 211, 213, 215, 216, 258, 259, 269, 272, 279, 281, 284, 285, 336, 337, 346, 359, 372, 375, 400, 419, 425, 443 (4.02, 1.61, 12.45)

#### Solid Electrolytes

60, 218, 219, 265, 313, 332, 349, 364, 444 (0.74, 0.16, 1.97)

#### Structural Ceramics (SI-N, SIC, SIALON, Zr-O (transformation toughened))

21, 22, 63, 66, 80, 85, 90, 93, 116, 119, 151, 153, 163, 164, 167, 172, 189, 191, 194, 220, 253, 260, 303, 311, 321, 323, 336, 376, 380, 407, 411, 414, 426, 427 (1.86, 0.94, 7.42)

#### Surfaces and Interfaces

2, 13, 15, 18, 22, 24, 28, 29, 31, 35, 36, 38, 39, 48, 49, 53, 55, 56, 58, 63, 64, 66, 68, 70, 71, 72, 73, 75, 77, 79, 81, 82, 84, 92, 97, 100, 101, 102, 112, 116, 119, 119A, 122, 124, 127, 129, 133, 134, 135, 136, 137, 139, 141, 144, 145, 146, 148, 149, 155, 156, 162, 164, 165, 166, 167, 170, 177, 179, 184, 186, 187, 188, 189, 191, 194, 195, 196, 197, 200, 201, 202, 203, 207, 210, 212, 213, 215, 216, 220, 230, 243, 248, 252, 255, 257, 267, 270, 271, 273, 277, 286, 289, 293, 295, 298, 299, 302, 303, 307, 308, 312, 322, 323, 326, 330, 331, 333, 343, 346, 348, 351, 362, 365, 366, 377, 378, 380, 381, 385, 387, 392, 398, 399, 401, 405, 406, 409, 416, 419, 420, 423, 430, 434, 440, 444, 445 (10.35, 5.25, 31.66)

#### Synthetic Metals

34, 60, 137, 155, 159, 216, 291, 319, 347, 368, 418, 429 (1.05, 0.52, 2.62)

#### Transition Metals and Alloys (other than those listed separately in this index)

12, 13, 19, 20, 23, 32A, 53, 58, 59, 99, 115, 118, 122, 127, 139, 153, 157, 162, 165, 171, 172, 188, 211, 213, 214, 238, 251, 254, 288, 327, 336, 350, 351, 360, 413, 417, 430 (1.86, 1.15, 7.86)

#### **TECHNIQUES**

Acoustic Emission

196, 333 (0.13, 0.06, 0.44)

#### Auger Electron Spectroscopy

1, 3, 9, 16, 22, 28, 35, 38, 56, 69, 73, 74, 79, 80, 81, 84, 111, 118, 119, 122, 135, 137, 139, 153, 166, 169, 186, 188, 195, 196, 198, 207, 210, 213, 216, 223, 252, 273, 307, 312, 323, 358, 360, 374, 394, 406, 414, 421, 440, 444 (2.07, 1.46, 10.92)

#### Bulk Analysis Methods (other than those listed separately in this index, e.g., ENDOR, muon spin rotation, etc.)

6, 38, 176, 254, 305, 431 (0.44, 0.18, 1.31)

#### Computer Simulation

**3**, 7, 19, 24, 28, 32A, 35, 37, 38, 56, 63, 64, 66, 73, 91, 116, 118, 119A, 127, 128, 129, 134, 139, 142, 144, 149, 150, 152, 153, 155, 162, 166, 177, 182, 190, 191, 195, 197, 199, 202, 210, 213, 218, 219, 220, 240, 241, 242, 247, 252, 265, 268, 271, 289, 290, 306, 308, 313, 315, 317, 326, 329, 330, 336, 372, 376, 387, 392, 401, 409, 425, 432, 437, 441, 443 (4.10, 2.48, 15.94)

#### Chemical Vapor Deposition (all types)

**24**, **32**, **73**, **84**, **91**, **97**, **99**, 100, 111, 112, 119A, 137, 161, 178, 189, 205, 206, 209, 210, 216, 264, 272, 290, 358, 359, 415, 445

(1.22, 0.58, 5.68)

Deep Level Transient Spectroscopy

128, 137, 178, 272, 327, 337, 359 (0.22, 0.06, 1.53)

Dielectric Relaxation

173, 265, 305 (0.07, 0.03, 0.66)

Electron Diffraction (Technique development, not usage, for all types--LEED, RHEED, etc.)

15, 22, 23, 69, 73, 74, 79, 80, 91, 113, 114, 117, 118, 122, 127, 135, 139, 142, 163, 164, 179, 182, 184, 188, 207, 213, 229, 235, 239, 303, 307, 315, 374, 394, 406, 445, 448 (1.59, 1.89, 8.08)

#### Electron Energy Loss Spectroscopy (EELS)

16, 22, 23, 26, 69, 70, 73, 79, 80, 111, 113, 114, 116, 117, 118, 119A, 139, 142, 163, 164, 179, 184, 188, 207, 220, 234, 235, 271, 300, 302, 303, 307, 448 (1.57, 1.80, 6.99)

#### Elastic Constants

28, 152, 153, 157, 163, 263, 304, 360, 393 (0.35, 0.12, 1.97)

**Electrochemical Methods** 

22, 34, 36, 37, 49, 55, 60, 65, 79, 110, 130, 137, 138, 173, 174, 196, 198, 208, 302, 349, 364, 378, 381, 443, 444 (1.46, 0.83, 5.46)

#### Electron Microscopy (technique development for all types)

1, 2, 3, 9, 23, 24, 26, 33, 48, 69, 70, 73, 76, 80, 81, 84, 91, 94, 95, 113, 114, 116, 117, 118, 119A, 131, 137, 142, 150, 151, 153, 159, 161, 163, 164, 166, 167, 168, 169, 179, 184, 199, 213, 220, 222, 239, 240, 251, 253, 260, 271, 277, 278, 281, 285, 299, 302, 303, 309, 310, 314, 315, 342, 343, 344, 353, 354, 390, 393, 415, 425, 427, 433 (4.98, 3.29, 15.72)

Electron Spectroscopy for Chemical Analysis (ESCA)

28, 38, 58, 74, 79, 81, 84, 97, 111, 119, 122, 136, 137, 139, 142, 153, 174, 260, 358, 444 (0.63, 1.18, 4.37)

Electron Spin Resonance or Electron Paramagnetic Resonance

35, 89, 119A, 159, 175, 208, 209, 265, 275, 312, 319, 368 (0.57, 0.21, 2.40)

#### Extended X-Ray Absorption Fine Structure (EXAFS and XANES)

31, 36, 49, 60, 84, 88, 130, 136, 146, 157, 216, 224, 260, 269, 286, 342, 346, 362, 366, 375, 419, 420, 421, 439, 441, 442 (1.31, 0.60, 5.68)

#### Fleid Emission and Fleid Ion Microscopy

**22**, 71, 163, 164, 203, 207, 343 (0.35, 0.25, 1.53)

#### High Pressure (Technique development of all types)

11, 27, 36, 128, 131, 157, 159, 191, 209, 250, 269, 328 (0.48, 0.32, 2.62)

Ion or Molecular Beams

23, 35, 38, 39, 66, 74, 94, 97, 102, 119A, 161, 163, 166, 178, 185, 186, 210, 226, 248, 281, 284, 351 (1.09, 0.71, 4.59)

#### Ion Channeling, or Ion Scattering (Including Rutherford and other ion scattering methods)

23, 25, 38, 66, 70, 81, 94, 119A, 141, 150, 163, 166, 174, 175, 186, 187, 189, 204, 223, 240, 281, 315, 358, 409 (1.03, 1.09, 5.02)

#### Internal Friction (also see Ultrasonic Testing and Wave Propagtion)

152, 265 (0.07, 0.02, 0.44)

#### Infrared Spectroscopy (also see Raman Spectroscopy)

**35**, **36**, **89**, **123**, 126, 131, 134, 139, 159, 173, 174, 178, 189, 197, 202, 204, 212, 215, 219, 222, 260, 265, 269, 281, 291, **313**, **337**, **412** (1.31, 0.62, 6.11)

#### Laser Spectroscopy (scattering and diagnostics)

38, 39, 120, 125, 126, 128, 134, 135, 137, 147, 161, 178, 191, 194, 199, 200, 205, 206, 210, 212, 215, 257, 279, 285, 292, 338, 340, 373, 374, 378, 391, 416, 422, 427, 429, 446 (2.36, 0.75, 7.86)

#### Magnetic Susceptibility

5, 11, 12, 13, 28, 29, 30, 34, 51, 92, 118, 131, 140, 150, 157, 158, 175, 176, 178, 185, 208, 209, 238, 258, 283, 287, 312, 319, 328, 368, 390, 413, 418 (2.16, 1.05, 7.21)

#### Molecular Beam Epitaxy

**28**, **29**, **73**, **74**, **94**, **97**, **98**, **99**, 100, 102, 119A, 135, 137, 161, 178, 179, 184, 205, 206, 223, 239, 245, 286, 290, 420 (1.18, 0.55, 5.24)

Mossbauer Spectroscopy

35, 118, 157, 183, 246, 335, 370, 403, 413, 428, 439, 442 (0.74, 0.19, 2.62)

#### Neutron Scattering: Elastic (Diffraction)

10, 12, 14, 27, 32A, 34, 35, 37, 52, 53, 54, 59, 92, 105, 153, 157, 159, 171, 172, 177, 185, 190, 199, 245, 247, 296, 313, 354, 386, 405, 413, 424, 442, 453, 455 (1.83, 1.35, 7.42)

#### Neutron Scattering: Inelastic

10, 27, 32A, 35, 37, 52, 53, 59, 92, 105, 157, 159, 171, 172, 190, 245, 304, 370, 386, 405, 423, 424, 428, 453, 455 (1.48, 1.04, 5.24)

Neutron Scattering: Small Angle

27, 35, 138, 170, 172, 190, 193, 214, 299, 318, 357, 405, 414, 424, 453, 455 (1.16, 0.64, 3.49)

#### Nuclear Magnetic Resonance and Ferromagnetic Resonance

11, 35, 89, 106, 121, 134, 138, 139, 157, 190, 194, 208, 219, 222, 249, 260, 291, 299, 305, 375, 383, 412, 435 (1.51, 0.55, 5.02)

#### Optical Absorption

12, 15, 22, 24, 32, 35, 112, 120, 125, 131, 137, 147, 159, 178, 200, 206, 215, 285, 301, 340 (0.96, 0.44, 4.37)

#### Perturbed Angular Correlation and Nuclear Orientation

375 (0.07, 0.01, 0.22)

#### Photoluminescence

16, 99, 110, 118, 125, 137, 147, 161, 178, 206, 215, 272, 285, 292, 327, 359, 425 (0.81, 0.25, 3.71)

#### Positron Annihilation (including slow positrons)

48, 56, 60, 64, 325 (0.33, 0.16, 1.09)

Powder Consolidation (including sintering, hot pressing, dynamic compaction, laser assisted, etc., of metals and ceramics, use this item in the Phenomena index)

1, 6, 9, 24, 51, 90, 93, 119, 136, 152, 167, 176, 185, 189, 194, 255, 280, 313, 339, 415, 427 (1.20, 0.73, 4.59)

## Powder Synthesis (including preparation, characterization, or pre-consolidation behavior, use this item in the Phenomena Index)

1, 6, 9, 24, 51, 54, 66, 90, 93, 119, 152, 167, 174, 189, 197, 202, 260, 301, 313, 344, 397, 415 (1.18, 0.71, 4.80)

#### Raman Spectroscopy (also see Infrared Spectroscopy)

35, 36, 68, 78, 99, 128, 137, 139, 159, 161, 173, 200, 210, 212, 215, 222, 234, 250, 262, 269, 279, 281, 285, 291, 304, 313, 328, 358, 378, 379, 425, 440 (1.99, 0.44, 6.99) Rapid Solidification Processing (also see Solidification: Rapid in the Phenomena index)

2, 6, 69, 175, 178, 187, 204, 289, 293, 314, 352, 390 (0.90, 0.48, 2.62)

Surface Analysis Methods (other than those listed separately in this index, e.g., ESCA, Slow Positrons, X-Ray, etc.)

3, 15, 31, 38, 39, 45, 46, 48, 49, 56, 72, 74, 75, 77, 79, 81, 82, 97, 111, 112, 118, 119A, 122, 127, 135, 137, 139, 141, 142, 146, 170, 177, 186, 187, 197, 202, 203, 216, 226, 230, 234, 252, 262, 273, 300, 307, 312, 343, 351, 377, 385, 399, 406, 409, 416, 421, 434, 440, 445, 456 (3.65, 2.90, 12.88)

#### Specific Heat

5, 11, 131, 132, 157, 185, 190, 208, 249, 287, 294, 417 (1.00, 0.34, 2.62)

#### Spinodal Decomposition

118, 161, 222, 415, 425, 426 (0.26, 0.06, 1.31)

#### Sputtering

16, 24, 26, 28, 31, 33, 35, 38, 45, 46, 74, 118, 119A, 121, 137, 173, 174, 176, 178, 189, 216, 223, 239, 251, 280, 285, 306, 339, 360, 394 (1.16, 1.38, 6.33)

#### Synchrotron Radiation

12, 14, 15, 22, 24, 31, 36, 40, 41, 43, 44, 45, 46, 52, 54, 55, 58, 60, 77, 98, 122, 127, 136, 137, 141, 142, 146, 157, 177, 183, 188, 190, 202, 203, 216, 221, 224, 296, 297, 298, 308, 327, 346, 354, 362, 366, 377, 398, 399, 400, 418, 419, 421, 422, 439, 441, 445 (3.54, 12.02, 12.45)

Surface Treatment and Modification (including ion implantation, laser processing, electron beam processing, sputtering, etc., see Chemical Vapor Deposition)

1, 35, 38, 58, 65, 69, 118, 119, 119A, 122, 135, 137, 139, 146, 163, 166, 173, 176, 178, 186, 187, 198, 204, 206, 230, 232, 286, 300, 302, 351, 358, 364, 367, 380, 420 (1.38, 0.88, 7.42)

#### Synthesis

20, 21, 24, 26, 33, 34, 35, 54, 60, 84, 96, 103, 107, 108, 131, 133, 134, 136, 137, 139, 157, 161, 174, 175, 178, 189, 191, 194, 201, 208, 209, 211, 212, 238, 276, 280, 281, 339, 384, 418, 449 (3.41, 1.79, 8.95)

#### Theory: Defects and Radiation Effects

25, 50, 64, 66, 94, 142, 149, 150, 155, 159, 166, 182, 190, 198, 224, 232, 248, 265, 274, 288, 315, 359 (1.07, 1.65, 4.80)

#### Theory: Electronic and Magnetic Structure

5, 12, 19, 32A, 35, 36, 47, 57, 92, 101, 115, 118, 122, 129, 131, 137, 145, 148, 155, 156, 159, 162, 165, 182, 200, 206, 208, 213, 214, 220, 225, 242, 259, 275, 282, 283, 285, 288, 290, 327, 334, 336, 350, 369, 370, 372, 376, 389, 395, 428, 430, 435 (2.99, 1.20, 11.14)

 $\phi$ 

#### Theory: Non-Destructive Evaluation

7

7,230 (0.13,0.04,0.44)

#### Theory: Surface

24, 32A, 38, 47, 57, 71, 91, 101, 122, 129, 130, 139, 155, 161, 162, 179, 182, 197, 203, 213, 235, 244, 252, 289, 290, 306, 310, 326, 341, 348, 363, 365, 387, 389, 392, 409, 430, 448 (2.45, 0.90, 8.08)

#### Theory: Structural Behavior

4, 5, 18, 24, 32, 86, 104, 105, 115, 118, 119, 122, 129, 145, 148, 149, 155, 161, 162, 167, 169, 195, 202, 227, 253, 263, 267, 268, 270, 288, 290, 311, 316, 321, 323, 324, 326, 327, 331, 333, 338, 344, 345, 347, 356, 363, 365, 376, 386, 388, 396, 407, 408, 409, 414, 430, 432, 437, 438, 446 (5.26, 1.50, 13.10)

#### Theory: Superconductivity

17, 30, 32A, 50, 57, 101, 121, 129, 131, 155, 182, 185, 208, 225, 283, 321, 336, 347, 369, 418, 431, 435 (1.33, 0.69, 4.59)

#### Theory: Thermodynamics, Statistical Mechanics, and Critical Phenomena

24, 30, 37, 47, 57, 101, 104, 105, 115, 118, 131, 134, 143, 149, 152, 155, 158, 161, 162, 167, 178, 182, 190, 191, 192, 193, 213, 222, 227, 229, 237, 241, 242, 252, 263, 267, 268, 275, 282, 288, 289, 308, 317, 321, 326, 350, 401, 425, 442 (2.75, 1.14, 10.70)

#### Theory: Transport, Kinetics, Diffusion

1, 2, 30, 35, 36, 48, 50, 65, 66, 71, 86, 118, 137, 138, 149, 165, 166, 174, 178, 179, 182, 191, 195, 206, 213, 227, 231, 232, 237, 241, 242, 259, 265, 274, 288, 289, 313, 321, 329, 332, 336, 352, 354, 364, 372, 376, 381, 382, 389, 391, 395, 401, 408, 409, 432

(3.23, 1.30, 12.01)

#### Thermal Conductivity

158, 417 (0.17, 0.05, 0.44)

#### Ultrasonic Testing and Wave Propagation

7, 65, 152, 230, 304 (0.26, 0.07, 1.09)

#### Vacuum Ultraviolet Spectroscopy

15, 31, 40, 45, 46, 58, 122, 130, 142, 156, 212, 215, 421 (0.52, 2.01, 2.84)

Work Functions

38, 137 (0.02, 0.03, 0.44)

#### X-Ray Scattering and Diffraction (wide angle crystallography)

9, 14, 20, 24, 26, 28, 29, 31, 32, 33, 34, 52, 54, 55, 60, 63, 67, 77, 88, 105, 118, 119, 119A, 124, 136, 137, 140, 150, 152, 153, 157, 159, 161, 177, 183, 190, 193, 199, 216, 223, 239, 247, 250, 269, 273, 275, 276, 277, 280, 285, 296, 297, 298, 313, 320, 328, 333, 337, 339, 342, 354, 357, 359, 360, 362, 366, 380, 386, 398, 413, 418, 419, 421, 426, 443, 456 (4.19, 2.18, 16.38)

#### X-Ray Scattering (small angle)

28, 29, 67, 88, 118, 130, 136, 181, 190, 202, 214, 216, 295, 299, 325, 357, 362, 366, 419, 422, 436, 456 (1.59, 0.70, 4.80)

#### X-Ray Scattering (other than crystallography)

14, 27, 31, 32A, 36, 40, 45, 46, 49, 53, 55, 67, 77, 118, 130, 137, 177, 183, 190, 216, 286, 298, 335, 351, 365, 399, 400, 403, 420, 423, 450, 453, 456 (1.77, 2.12, 6.99)

#### X-Ray Photoelectron Spectroscopy

20, 35, 38, 45, 46, 58, 60, 82, 111, 119A, 122, 130, 135, 136, 137, 139, 141, 142, 148, 156, 157, 188, 196, 197, 202, 203, 216, 307, 323, 327, 409, 419, 440, 456 (1.33, 2.45, 7.21)

#### PHENOMENA

#### Catalysis

22, 27, 35, 58, 76, 79, 106, 122, 129, 133, 134, 135, 139, 179, 184, 187, 188, 189, 191, 211, 216, 289, 300, 302, 346, 348, 362, 366, 400

(1.62, 1.02, 6.33)

#### Channeling

56, 66, 70, 119A, 166, 182, 184, 187, 204, 223 (0.46, 0.32, 1.97)

#### Coatings (also see Surface Phenomena in this Index)

6, 22, 39, 82, 111, 118, 129, 130, 133, 135, 175, 189, 200, 243, 244, 331, 351, 358, 360, 409 (1.14, 0.79, 4.37)

Colloidal Suspensions

89, 93, 119, 136, 167, 170, 181, 191, 194, 202, 228, 318, 361, 373 (0.90, 0.41, 3.06)

#### Conduction: Electronic

30, 32A, 34, 37, 60, 96, 102, 103, 106, 107, 108, 110, 119A, 131, 137, 157, 159, 165, 174, 176, 178, 206, 208, 219, 223, 237, 240, 242, 258, 259, 272, 274, 276, 291, 313, 328, 337, 347, 354, 359, 367, 369, 389, 395, 418, 425, 429 (2.62, 1.02, 9.83)

#### Conduction: Ionic

37, 60, 96, 103, 107, 108, 173, 174, 219, 265, 288, 305, 313, 337, 364, 444 (1.05, 0.29, 3.49)

. . . .

#### **Constitutive Equations**

3, 118, 119, 149, 153, 316, 323, 407, 427 (0.26, 0.14, 1.97)

Corrosion: Aqueous (e.g., crevice corrosion, pitting, etc., also see Stress Corrosice

36, 49, 56, 65, 68, 72, 77, 79, 196, 197, 198, 204, 262, 324, 332, 333 (1.16, 0.48, 3.49)

Corrosion: Gaseous (e.g., oxidation, sulfidation, etc.)

37, 49, 122, 141, 196, 234, 300, 314, 324, 333, 351, 434 (0.59, 0.19, 2.62)

Corrosion: Molten Salt

37 (0.04, 0.02, 0.22)

#### Critical Phenomena (including order-disorder, also see Thermodynamics and Phase Transformations in this index)

30, 32A, 37, 52, 54, 55, 119A, 122, 136, 150, 159, 172, 175, 182, 190, 191, 213, 227, 236, 241, 245, 246, 249, 258, 263, 268, 275, 338, 386, 390, 391, 422, 433, 446 (1.40, 0.62, 6.99)

#### Crystal Structure and Periodic Atomic Arrangements

5, 14, 20, 24, 34, 52, 54, 55, 91, 113, 114, 116, 117, 118, 119A, 122, 129, 137, 139, 143, 144, 150, 157, 163, 164, 166, 171, 172, 175, 178, 179, 190, 199, 208, 209, 213, 216, 220, 224, 232, 250, 253, 269, 270, 275, 296, 297, 298, 303, 308, 313, 326, 330, 337, 353, 354, 359, 360, 362, 366, 386, 387, 398, 400, 414, 425, 426, 430, 453, 455 (4.80, 2.80, 15.07)

#### Diffusion: Bulk

51, 65, 66, 94, 119A, 152, 166, 174, 190, 191, 204, 208, 216, 232, 247, 274, 288, 305, 322, 337, 401, 404, 432, 443 (0.85, 0.52, 5.02)

#### Diffusion: Interface

14, 48, 56, 66, 81, 116, 118, 119A, 137, 138, 144, 166, 184, 195, 212, 215, 216, 241, 247, 308, 322, 351, 391, 401, 433, 444 (0.85, 0.50, 5.46)

#### Diffusion: Surface

38, 39, 71, 112, 116, 119A, 139, 178, 179, 207, 289, 300 (0.55, 0.34, 2.40)

#### **Dislocations**

3, 24, 73, 81, 86, 116, 118, 119Am 149, 151, 153, 163, 164, 166, 178, 179, 184, 195, 198, 206, 213, 216, 231, 253, 270, 272, 277, 331, 355, 415 (1.07, 0.65, 6.33)

#### Dynamic Phenomena

30, 53, 94, 120, 125, 128, 149, 158, 171, 172, 182, 190, 191, 195, 201, 215, 216, 217, 230, 235, 243, 279, 284, 289, 292, 329, 335, 374, 391, 400, 403, 422, 429, 448 (2.23, 0.93, 7.42)

#### Electronic Structure - Metals including amorphous forms

15, 19, 20, 30, 31, 32A, 56, 58, 98, 99, 106, 116, 122, 129, 131, 142, 144, 155, 156, 162, 165, 172, 182, 213, 214, 216, 247, 262, 269, 271, 280, 281, 285, 288, 327, 328, 336, 339, 346, 367, 369, 377, 389, 395, 421, 435, 445 (2.31, 3.05, 10.04)

#### Electronic Structure - Non-metals including amorphous forms

19, 56, 74, 84, 98, 99, 102, 110, 122, 125, 129, 137, 141, 142, 147, 155, 159, 161, 162, 172, 178, 197, 215, 216, 225, 242, 258, 259, 263, 281, 287, 292, 334, 336, 344, 359, 372, 376, 377, 385, 418, 429, 438 (2.42, 2.81, 9.39)

#### Erosion

345 (0.07, 0.01, 0.22)

#### **Grain Boundaries**

2, 3, 9, 24, 26, 33, 49, 51, 64, 73, 81, 90, 116, 119, 119A, 144, 145, 149, 151, 153, 155, 163, 164, 165, 166, 169, 178, 179, 184, 195, 196, 198, 213, 217, 220, 229, 233, 236, 240, 248, 270, 271, 272, 277, 278, 280, 303, 308, 309, 310, 322, 324, 326, 330, 339, 344, 353, 357, 363, 385, 388, 400, 411, 414, 415, 426, 444 (3.21, 1.51, 14.41)

#### Hydrogen Attack

80, 81, 204, 226, 324 (0.31, 0.13, 1.09)

#### Ion Beam Mixing

23, 25, 38, 66, 97, 119A, 186, 187, 189, 232, 248 (0.55, 0.89, 2.18)

#### Laser Radiation Heating (annealing, solidification, surface treatement)

38, 65, 74, 156, 178, 183, 186, 187, 204, 281, 289, 293, 352, 374 (0.87, 0.62, 3.06)

#### Magnetism

2, 5, 7, 9, 10, 11, 12, 13, 19, 29, 30, 31, 32A, 52, 53, 55, 58, 92, 96, 99, 118, 122, 132, 157, 165, 171, 172, 176, 178, 182, 214, 237, 238, 242, 245, 251, 258, 275, 276, 280, 282, 283, 287, 312, 319, 328, 339, 350, 367, 368, 369, 370, 390, 394, 395, 406, 413, 416, 428, 431, 434, 445 (4.65, 1.74, 13.32)

#### Martensitic Transformations and Transformation Toughening

4, 10, 14, 52, 118, 143, 171, 267, 268, 304, 309, 356 (0.57, 0.25, 2.62)

Mechanical Properties and Behavior: Constitutive Equations

86, 118, 134, 151, 153, 195, 233, 345, 356, 388, 404, 427 (0.41, 0.19, 2.62)

Mechanical Properties and Behavior: Creep

85, 86, 87, 118, 119, 149, 166, 167, 195, 229, 231, 233, 298, 323, 357, 393, 411, 414 (0.81, 0.34, 3.93)

#### Mechanical Properties and Behavior: Fatigue

7, 85, 86, 87, 118, 119, 149, 166, 221, 311, 325, 388, 404, 407 (0.63, 0.22, 3.06)

#### Mechanical Properties and Behavior: Flow Stress

3, 7, 24, 86, 118, 149, 151, 153, 164, 228, 240, 278, 356, 415 (0.46, 0.25, 3.06)

#### Mechanical Properties and Behavior: Fracture and Fracture Toughness

**3**, **7**, **24**, **85**, **86**, **87**, 118, 119, 149, 151, 153, 166, 167, 169, 172, 233, 255, 277, 309, 311, 320, 321, 323, 325, 331, 341, 345, 356, 380, 388, 393, 407, 414, 415, 426, 427, 437 (1.99, 0.68, 8.08)

#### Materials Preparation and Characterization: Ceramics

20, 24, 30, 32, 50, 54, 80, 90, 116, 119, 119A, 131, 137, 145, 151, 153, 157, 163, 164, 167, 173, 174, 178, 179, 181, 185, 189, 191, 194, 197, 200, 202, 212, 219, 240, 255, 260, 292, 301, 303, 307, 310, 313, 323, 330, 337, 344, 355, 359, 380, 383, 384, 397, 404, 414, 415, 426, 427 (2.90, 1.42, 12.45)

Materials Preparation and Characterization: Glasses

31, 116, 152, 173, 175, 197, 202, 216, 219, 404 (0.31, 0.28, 2.18)

#### Materials Preparation and Characterization: Metals

1, 2, 6, 13, 14, 20, 23, 30, 33, 38, 66, 116, 118, 122, 137, 138, 139, 140, 144, 153, 156, 157, 163, 164, 169, 175, 181, 189, 211, 216, 221, 223, 238, 239, 251, 252, 278, 280, 281, 295, 309, 339, 341, 351, 357, 415 (2.18, 1.38, 10.04)

Materials Preparation and Characterization: Polymers

60, 96, 103, 107, 108, 133, 134, 190, 193, 194, 200, 216, 299, 325, 349, 365, 396, 418, 422, 424, 429 (1.72, 0.57, 4.59)

Materials Preparation and Characterization: Semiconductors

14, 16, 48, 56, 73, 98, 116, 119A, 122, 136, 137, 140, 159, 161, 178, 179, 189, 205, 206, 211, 216, 272, 281, 286, 297, 298, 358, 359, 420, 425, 440, 443 (1.75, 0.78, 6.77)

Nondestructive Testing and Evaluation

3, 7, 139, 172, 221, 316, 450, 456 (0.59, 0.17, 1.75)

#### Phonons

15

4, 10, 13, 19, 24, 32A, 52, 53, 109, 125, 126, 128, 129, 143, 147, 157, 159, 161, 171, 172, 182, 212, 215, 230, 235, 237, 263, 267, 268, 284, 300, 379, 386, 389, 417, 429, 448 (1.92, 0.82, 7.86)

#### Photothermal Effects

137 (0.02, 0.01, 0.22)

**Photovoltaic Effects** 

16, 110, 119, 137, 178, 272, 281 (0.26, 0.10, 1.31)

Phase Transformations (also see Thermodynamics and Critical Phenomena in this index)

1, 4, 5, 11, 19, 30, 34, 52, 54, 55, 59, 80, 104, 106, 110, 118, 119A, 129, 136, 137, 139, 143, 150, 152, 161, 162, 163, 164, 166, 168, 171, 172, 175, 177, 193, 200, 208, 214, 217, 222, 228, 236, 245, 247, 249, 250, 257, 263, 264, 267, 268, 269, 282, 284, 288, 290, 298, 303, 304, 309, 338, 350, 356, 361, 362, 366, 373, 374, 375, 377, 390, 391, 397, 400, 408, 421, 433, 439, 442, 446 (4.34, 1.85, 17.25)

#### Precipitation

1, 2, 24, 93, 95, 116, 118, 137, 151, 163, 164, 166, 181, 191, 303, 322, 323, 330, 426, 432, 433, 436 (0.98, 0.40, 4.80)

#### Point Defects

24, 25, 30, 48, 56, 94, 95, 105, 116, 119A, 121, 128, 147, 150, 155, 159, 162, 166, 169, 178, 183, 184, 199, 213, 218, 265, 271, 272, 274, 304, 310, 354, 355, 359, 375, 381, 442 (2.10, 1.10, 7.86)

## Powder Consolidation (including sintering, hot pressing, dynamic compaction, laser assisted, etc., of metals and ceramics)

6, 24, 64, 69, 86, 90, 119, 131, 152, 167, 175, 176, 189, 255, 323, 357, 414, 427 (0.74, 0.43, 3.93)

Powder Synthesis (including preparation, characterization, or pre-consolidation behavior, see same Item under Technique index)

6, 20, 21, 24, 54, 66, 69, 90, 119, 152, 167, 175, 189, 191, 197, 202, 301, 397 (0.90, 0.65, 3.93)

Radiation Effects (use specific effects, e.g., Point Defects and Enviornment index)

3, 25, 38, 56, 66, 94, 95, 121, 150, 163, 164, 166, 175, 182, 183, 184, 199, 236, 248, 315, 342 (1.22, 0.83, 4.59)

Recrystallization and Recovery

88, 105, 118, 149, 153, 187, 195, 199, 388 (0.55, 0.22, 1.97)

#### **Residual Stress**

7, 63, 153, 172, 297, 298, 320, 380 (0.48, 0.25, 1.75)

#### Rheology

93, 134, 202, 228, 373, 396, 412 (0.55, 0.19, 1.53)

Stress-Corrosion

36, 49, 65, 68, 196, 198, 203, 221, 324, 332, 404 (0.61, 0.30, 2.40)

#### Solidification (conventional)

2, 6, 168, 175, 241, 249, 257, 264, 298, 382 (0.57, 0.32, 2.18)

#### SOL-GEL Systems

89, 167, 170, 181, 185, 189, 191, 200, 202, 373, 383 (0.59, 0.36, 2.40)

#### Solidification (rapid)

2, 27, 64, 69, 178, 182, 187, 217, 241, 246, 293, 314, 390, 442 (0.79, 0.41, 3.06)

#### Surface Phenomena: Chemisorption (binding energy greater than 1eV)

15, 39, 58, 68, 75, 79, 97, 98, 106, 111, 112, 122, 129, 133, 135, 137, 138, 139, 141, 142, 146, 178, 188, 203, 216, 226, 234, 235, 244, 289, 294, 302, 343, 348, 365, 377, 378, 406, 409, 419, 421, 434, 442, 448 (2.62, 2.98, 9.61)

#### Surface Phenomena: Physiosorption (binding energy less than 1eV)

18, 28, 38, 55, 58, 59, 68, 111, 123, 137, 139, 142, 146, 178, 188, 203, 207, 216, 234, 249, 365, 378, 399, 409 (1.42, 2.91, 5.24)

#### Surface Phenomena: Structure

13, 18, 24, 31, 32A, 35, 36, 49, 55, 71, 73, 75, 77, 79, 82, 91, 97, 106, 111, 112, 122, 124, 129, 133, 135, 137, 139, 141, 146, 162, 170, 172, 179, 182, 188, 189, 196, 213, 216, 235, 240, 252, 273, 284, 286, 295, 306, 326, 341, 343, 351, 362, 363, 365, 366, 380, 389, 398, 400, 409, 416, 419, 420, 421, 423, 434, 438, 440, 448 (4.45, 1.54, 14.85)

#### Surface Phenomena: Thin Films (also see Coatings in this index)

24, 26, 27, 28, 29, 31, 32, 32A, 33, 35, 45, 46, 48, 58, 74, 84, 98, 100, 111, 118, 119A, 122, 126, 129, 130, 137, 139, 152, 156, 172, 173, 177, 178, 179, 183, 188, 189, 200, 210, 212, 215, 216, 223, 230, 235, 243, 248, 249, 251, 252, 290, 297, 298, 307, 333, 358, 363, 367, 379, 381, 399, 405, 406, 409, 423, 429, 445, 448 (4.45, 6.64, 14.41)

#### Short-range Atomic Ordering

31, 118, 122, 139, 148, 149, 155, 161, 162, 165, 171, 172, 177, 179, 190, 194, 201, 213, 214, 216, 227, 236, 246, 288, 365, 425, 434, 442 (1.33, 0.95, 6.11)

#### Superconductivity

8, 9, 11, 13, 17, 24, 26, 28, 30, 31, 32A, 33, 34, 35, 50, 51, 52, 58, 78, 101, 116, 121, 123, 126, 129, 131, 132, 152, 157, 172, 175, 176, 178, 179, 182, 189, 191, 202, 216, 223, 238, 276, 283, 287, 321, 327, 336, 344, 367, 394, 395, 397, 417, 418, 431, 435

(3.56, 1.96, 12.01)

#### Thermodynamics (also see Critical Phenomena and Phase Transformations in this index)

4, 6, 24, 30, 37, 104, 115, 118, 132, 138, 157, 158, 161, 162, 182, 190, 192, 222, 237, 241, 249, 252, 254, 263, 264, 294, 310, 375, 386, 397, 408, 425, 426, 432, 433, 435, 442, 443 (2.42, 0.81, 8.30)

. .•

. . .

<u>Transformation Toughening (metals and ceramics - see Martensitic Transformation and Transformation Toughening in</u> this index)

118, 303, 356, 411, 427 (0.15, 0.04, 1.09)

Valence Fluctuations

15, 30, 53, 132, 155, 156, 157, 159, 172, 238, 327, 328, 369 (0.59, 0.44, 2.84)

#### Wear

**39**, 118, 166, 243, 341 (0.20, 0.11, 1.09)

#### Welding

118, 168, 172, 217, 382 (0.24, 0.13, 1.09)

#### **ENVIRONMENT**

#### Aqueous

**36**, **65**, **68**, **72**, **77**, **79**, **89**, **93**, **124**, **133**, **137**, **196**, **197**, **201**, **202**, **243**, **324**, **343**, **361**, **365**, **373**, **383**, **384** (**4**, **32**, **1**, **85**, **5**, **02**)

#### Gas: Hydrogen

**3**, **80**, **81**, **86**, **203**, **213**, **294**, **324** (1.14, 0.53, 1.75)

Gas: Oxidizing

**26**, 119, 137, 151, 157, 169, 178, 196, 203, 213, 234, 300, 301, 314, 333, 397 (1.86, 1.44, 3.49)

#### Gas: Sulphur-Containing

**302**, **333**, **351** (0.22, 0.04, 0.66)

#### High Pressure

11, 14, 19, 36, 52, 53, 54, 110, 128, 132, 139, 157, 171, 172, 206, 231, 238, 263, 269, 375, 386, 397, 439 (2.36, 1.48, 5.02)

#### **Magnetic Fields**

5, 11, 17, 30, 32A, 40, 41, 43, 44, 45, 50, 52, 53, 59, 92, 99, 118, 132, 142, 157, 171, 172, 176, 178, 238, 245, 258, 416, 435 (2.75, 7.98, 6.11)

#### Radiation: Electrons

**30**, 94, 95, 113, 114, 117, 121, 142, 150, 166, 199, 232, 302, 315, 359 (1.62, 3.29, 3.28)

#### Radiation: Gamma Ray and Photons

14, 31, 34, 38, 40, 41, 43, 44, 45, 46, 142, 150, 156, 157, 166, 177, 198, 232, 292, 375, 419 (1.62, 7.52, 4.59)

Radiation: lons

**30**, **38**, **39**, **66**, **94**, 150, 166, 175, 176, 183, 186, 187, 198, 199, 204, 224, 236, 248, 287, 315, 320, 342 (2.95, 2.03, 4.80)

Radiation: Neutrons

3, 34, 66, 105, 150, 157, 166, 177, 185, 198, 199, 414 (1.03, 0.91, 2.62)

Radiation: Theory (use Theory: Defects and Radiation Effects in the Techniques index)

25, 66, 94, 199, 315 (0.48, 0.78, 1.09)

#### Temperatures: Extremely High (above 1200degK)

3, 6, 10, 11, 21, 26, 27, 54, 55, 85, 119, 126, 151, 163, 167, 169, 189, 212, 215, 231, 233, 253, 263, 274, 293, 310, 311, 354, 375, 414, 419, 426, 427, 453 (4.78, 2.96, 7.42)

Temperatures: Cryogenic (below 77degK)

5, 10, 11, 17, 26, 27, 28, 29, 30, 32A, 33, 34, 35, 50, 51, 52, 53, 54, 55, 58, 78, 95, 99, 105, 106, 118, 121, 128, 131, 132, 139, 156, 157, 158, 161, 171, 172, 175, 176, 206, 215, 216, 223, 238, 245, 249, 258, 294, 310, 354, 375, 394, 419 (6.16, 5.09, 11.35)

Vacuum: High (better than 10\*\*9 Torr)

6, 15, 28, 29, 31, 38, 39, 40, 41, 43, 44, 45, 46, 55, 56, 71, 73, 75, 76, 82, 91, 97, 98, 99, 111, 135, 137, 139, 141, 142, 144, 152, 156, 178, 186, 188, 203, 207, 215, 216, 271, 284, 300, 374, 434 (6.42, 10.66, 9.83)

#### **MAJOR FACILITIES: OPERATIONS**

Pulsed Neutron Sources (Operations)

42, 154, 160 (0.66, 3.86, 0.66)

Steady State Neutron Sources (Operations)

61, 405 (0.44, 7.88, 0.44)

Synchrotron Radiation Sources (Operations)

31, 36, 40, 62, 67, 122, 124, 183, 203, 216, 327, 441, 450, 456 (3.06, 8.73, 3.06)

## Divisions of the Office of Basic Energy Sciences

Divisions of the Office of Basic Energy Sciences are summarized below. Full program descriptions and research summary reports are available from each division.

Division of Chemical Sciences, Director: Dr. Robert S. Marianelli, 301/903-5804.

The Chemical Sciences subprogram sponsors experimental and theoretical research on liquids, gases, plasmas, and solids. The focus is on their chemical properties and the interactions of their component molecules, atoms, ions, and electrons. The long-term goal is to contribute to new or improved processes for developing and using domestic energy resources in an efficient and environmentally acceptable manner.

## Division of Energy Biosciences, Acting Director: Dr. Gregory L. Dilworth, 301/903-2873.

Energy Biosciences sponsors research in the microbiological and botanical sciences. The research addresses the underlying mechanisms of green plant productivity by solar energy transformation, conversion of biomass and other organic materials into fuels and chemicals by novel and improved methods of fermentation, and biotechnologies capable of saving energy.

# Division of Engineering and Geosciences, Acting Director: Dr. William C. Luth, 301/903-5829

The Engineering Research activity sponsors research to strengthen the foundations of energy-related engineering practice aimed at long-term energy needs, while furthering advanced engineering education. Contact: Dr. Oscar P. Manley, 301/903-5822.

The Geosciences Research objectives include development of a knowledge base for predicting the behavior and response of geologic materials, such as rocks, minerals, and fluids, and the broader earth-sun system, to natural processes. Research areas include: fracture characteristics, fluid movement in geologic formations and reservoirs; indirect characterization and monitoring of geologic structures and *in situ* properties of rock masses.